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# Studies on the Occipital Bone in Africa

## THE OCCIPITAL CURVATURE IN FOSSIL MAN AND THE LIGHT THROWS ON THE MORPHOGENESIS OF THE BUSHMAN

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Previous studies in this series, exploring varying occipital curvature of over 100 modern African crania, have demonstrated the existence of two phenotypically distinct patterns in Negroes and Bushmen (Tobias, '58a, '58b). The former group is characterized by marked flattening of the occipital arc from lambda to opisthion, whereas the Bush cranium has a strongly rounded occiput. In young crania of both groups, however, there is very little difference of curvature and all skulls have slightly bossed occiputs. Occipital flattening in Negro crania sets in at about the time of eruption of the first permanent teeth, and continues until the *planoccipital* contour of the adult is attained. Bush crania, on the other hand, are not subject to this flattening process and retain the *curvoccipital* contour into adulthood (Tobias, '58c). The mechanism of occipital flattening is shown to be a hinge-like movement downwards along the lambdoid suture, with compensatory endocranial invagination and ectocranial resorption of adjacent parts of the parietal bones (Tobias, '59a).

It is the purpose of the present paper to describe which of the two fundamental human occipital patterns is the older and, to trace the phylogenetic trends in occipital variation. To this end, the occipital curvature has been studied in 62 fossil and fossil hominid crania from Africa. For comparison, data have been assembled on non-African fossil crania.

In the second part of the paper, the morphogenesis of African cranial patterns is discussed in the light of the evolutionary changes revealed. In particular, light is thrown on the differing taxonomic valen-

cies of occipital curvature and of other infantile or genetically retarded features of the Bush cranial morphology.

### METHODS

Two curvature indices of the occipital bone have been derived from a single pair of measurements, the sagittal chord ( $S'3$ ) and arc ( $S3$ ) between lambda and opisthion.

The first index expresses the chord as a percentage of the arc [ $100(S'3/S3)$ ].

The second index, Karl Pearson's Occipital Index (Oc.I.), expresses the percentage ratio of the radius of curvature of the occipital bone to the chord  $S'3$ . The assumption is made that the median sagittal curve from lambda to opisthion is an even curve, part of the circumference of a single circle. The Oc.I. is obtained from the formula;

$$\text{Oc.I.} = \frac{S3}{S'3} \sqrt{\frac{S3}{24(S3 - S'3)}}$$

or, given the size of  $S'3$  and  $S3$ , it may be read off directly from tables compiled by Tildesley ('20-'21).

The history and usefulness of these indices are described and assessed elsewhere (Tobias, '58a, '59b).

### MATERIALS

The materials available for the study of occipital indices in fossilized and protohistoric African crania are as follows:—

*Australopithecus prometheus* Dart. Specimen M.L.D.1 from Makapansgat (Boné and Dart, '55) kindly measured by Professor R. A. Dart.

*Kanjera I.* From Leakey ('35) and remeasured by the author.



*Broken Hill (Rhodesian Man)*. From Morant ('28).

*Singa*. Measured by the author, with the permission of the Keeper of Geology, British Museum (Natural History).

*Gamble's Cave IV*. Measured by Leakey ('35).

*Skildergat (Fish Hoek)*. Measured for the author by Dr. R. Singer. This cranium, formerly considered to belong to the Middle Stone Age, is now thought to belong to the Second Intermediate (Magosian-type) stage in the African cultural sequence agreed on by the 3rd Pan-African Congress on Prehistory at Livingstone (Clark, '57).

*Naivasha*. The human cranium from the Naivasha Railway Rock Shelter was measured by Leakey ('42) and re-measured by the author at the Coryndon Museum, Nairobi, in June, 1957, with the kind permission of Dr. Leakey. The cranium was originally attributed to the Upper Kenya Capsian "C" of the end-Palaeolithic, but it should perhaps be regarded as Upper Kenya Capsian "D" of the Kenya Mesolithic.

*Asselar and Mechta I*. Measured for the author by Dr. H. V. Vallois.

*Afalou-bou-Rhummel*. Occipital measurements were made by the author on 5 male and 4 female crania from Afalou, with the kind permission of the Director of the Institut de Paléontologie Humaine, Paris, Dr. H. V. Vallois.

*Matjes River Wilton* (South African Later Stone Age). From original measurements of two males and 4 females by Meiring ('37).

*Mesolithic Elmenteitans, Neolithic East Africans and Iron Age East Africans*. Indices were extracted mainly from data published by L. S. B. Leakey ('35, '45, '50). These 24 crania are made up of the following:

| Bromhead's Site,<br>Elmenteita   | Mesolithic                |                 |
|--|---------------------------|-----------------|
|  | 4♂, 3♀                    | Leakey, '35     |
| Makalia<br>Nakuru<br>Willey's Kopje<br>Hyrax Hill<br>Ngorongoro<br>Njoro River | Neolithic                 |                 |
|  | 1♂                        | Leakey, '35     |
|  | 1♂                        | Leakey, '35     |
|  | 3♂                        | Leakey, '35     |
|  | 1♂                        | Leakey, '45     |
|  | 3♂                        | Trevor (unpub.) |
|  | Leakey and<br>Leakey, '50 |                 |
|  |                           |                 |
| Hyrax Hill   | Iron Age                  |                 |
|  | 1♂                        | Leakey, '45     |

*Nyarindi (Kenya Smithfield)*. These crania, one male and one female, were measured by the author at the Coryndon Museum, Nairobi, with the kind permission of Dr. Leakey.

*Cape Flats*. Kindly measured by Dr. R. Singer. This cranium from an old limestone surface below three feet of wind-blown sand is of indeterminate age (Drenth, '29).

*Tanganyika Proto-historic*. Two male crania from Naberera and two male crania from Handeni were measured by the author in the Anatomy Department, Makerere College, Kampala, Uganda, with the permission of Professor A. Galloway. Naberera crania do not include the cranium described by Galloway ('33) under the place-name, Nebarara, but they were referred to by Fosbrooke ('57) and reported on by Galloway at the 3rd Pan-African Congress on Prehistory at Livingstone (Clark, '57).

*Kamas Hottentots*. Four males and four females were especially measured by Dr. A. C. Hoffman, Director of the National Museum, Bloemfontein.

*Istrice (Abyssinia)*. Measured by the author at Nairobi in June, 1957. This unpublished cranium was excavated by Harold Monfreid in a cave between Harrar and Diredawa, and handed over to the Coryndon Museum by Dr. J. C. Trevor. The age of the cranium is unknown.

## RESULTS

### *Occipital curvature in fossil man*

In table 1, the occipital indices of African fossil and sub-fossil crania are given, and, for comparison, those of a number of non-African fossil crania. African forms range from *Australopithecus* to proto-historic and historic groups, whereas those non-African forms extend from *Pithecanthropus* to Upper Palaeolithic European crania.

It is noteworthy that the majority of fossil remains and all the earlier fossil crania, both African and non-African, fall into the category of ultra-strong occipital curvature with chord-arc indices below 80.5% (see categories proposed by Tobias, '58b). With these may be compared the figures for *Australopithecus prometheus* (Dart, '48). Professor R. A. Dart was



ly provided the author with the previously unpublished median sagittal chordal arc of the occipital bone (M.L.D.1) from Makapansgat, the bone being entire in the median plane. The determination of lambda in this specimen is rendered difficult by the presence of a series of lambda ossicles. However, the upper of two possible points used by Dart coincides with the point determined by the recommended technique of Buxton and Morant ('33); namely, the point of intersection of three pencil lines following the general direction of the sagittal and lambdoid sutures. This point may be regarded as the closest approximation to lambda possible. From it the occipital arc measures 85.0 mm and the chord 68.0 mm, giving indices of 70.05 and 80.00. Thus, the occipital index of *A. prometheus* falls well within the human range and, in fact, on the opposite side of the modern human range to that which fall most of the non-human primates measured by Morant (Pearson and Davin, '24). On its occipital indices, *A. prometheus* falls with the more ancient types of man from Africa.

The remains of Kanjera I, found in 1933 by Leakey on the southern shore of the Kavirondo Gulf of Lake Victoria, have a strong occipital bulge, indicated by the chord and arc measurements of 88 and 102 mm to the point of the break in the median plane (Leakey, '35). These figures, though incomplete, yield indices of 76.11 and 78.57. When I examined the original in the British Museum (Natural History) in 1955, I found that the reconstruction of the deficient parts of the neural surface of the occipital fragment is highly asymmetrical. Using the point of the break judged to be nearest to opisthion in the median plane, I obtained measurements differing fractionally from Leakey's and yielding indices of 56.26 and 78.79.

The cranium of *Rhodesian Man* which is imaged in the occipital area gives an approximate value of 54.5 for Oc.I. (fig. 1). This compares well with an index of 55.0 in Macgregor's reconstruction of Heidelberg Man from the Mauer mandible and is the lowest value in the table, save only for those of Naivasha and Price. In his original table of Pearson's C.I., Morant gave a value of 68.0 for

*Rhodesian Man* (Pearson and Davin, '24). This was, however, based on a cast and the correct value was quoted in his later papers (Morant, '27, '28).

Unfortunately, the occipital bones of other heavily-browed early South African fossils, *Florisbad* and *Saldanha*, are incomplete, as are the later crania from *Boskop* and from *Tuinplaats* (Springbok Flats).

The cranium from the railway rock shelter near *Naivasha* (Kenya) is partly synostosed in the lambda region. Leakey's ('42) estimate of the position of lambda yielded indices of 72.94 and 53.80, making this cranium the second most curvoccipital African skull on record. However, on examining the skull at the Coryndon Museum, Nairobi, in June, 1957, I determined a rather different position for lambda, which rendered indices of 74.64 and 54.30.

The *Singa* cranium was originally measured by Smith Woodward in 1938 and re-measured by Wells in 1951, but neither worker measured the occipital arc and chord. When in 1955, I was given facilities for working in Dr. K. P. Oakley's

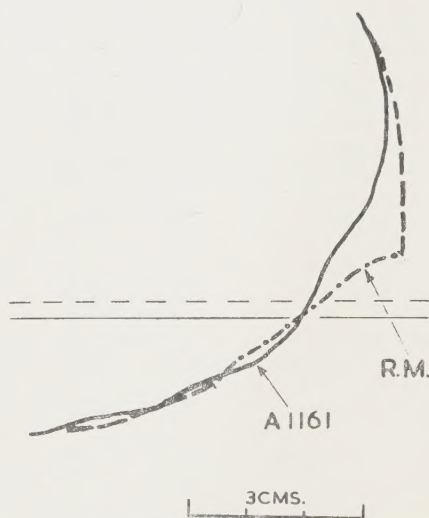


Fig. 1 Occipital contour of *Rhodesian Man* (R.M.) compared with that of a Bush-type cranium with similar occipital index. R. M., *Rhodesian Man* with occipital indices 75.17?? and 54.5??. A1161, Bush-type cranium with occipital indices 74.9 and 54.40. The portion of R.M. shown by a dot-and-dash line has been reconstructed. Note the contour of the occipital torus of R.M. in sharp contrast with the smooth surface of the Bush-type cranium.



TABLE 1

*Occipital curvature of fossil crania from Africa and elsewhere*

| Cranium                            |       | 100(S'3/S3) | Oc. I.  | Reference  |
|------------------------------------|-------|-------------|---------|--|
| African zone                       |       |             |         |  |
| <i>Australopithecus prometheus</i> |       | 80.00       | 57.05   | Dart, R. A. (pers. comm.)                                  |
| Kanjera I                          |       | 78.57       | 56.1    | Leakey ('35)   |
|                                    |       | 78.79       | 56.26   | Tobias (unpub. remeasurement)                              |
| Florisbad                          |       | incomplete  |         | —  |
| Singa                              |       | 77.22       | 55.38   | Tobias (unpub.)  |
| Broken Hill                        |       | 75.17??     | 54.5??  | Morant ('28)   |
| Saldanha                           |       | incomplete  |         | Singer (pers. comm.)                                       |
| Springbok Flats                    |       | incomplete  |         | —  |
| Gamble's Cave IV                   |       | 77.73       | 55.67   | Leakey ('35)   |
| Boskop                             |       | incomplete  |         | —  |
| Skildergat (Fish Hoek)             |       | 78.26       | 55.93   | Singer (pers. comm.)                                       |
| Naivasha                           |       | 72.94??     | 53.80?? | Leakey ('42)   |
|                                    |       | 74.64??     | 54.30?? | Tobias (unpub. remeasurement)                              |
| Mechta I                           | (♂)   | 80.80       | 57.64   | Vallois (pers. comm., measured on cast)                    |
| Afalou-bou Rhummel                 | (5♂)  | 82.6        | 59.5    | Tobias (unpub.)  |
|                                    | (4♀)  | 86.3        | 64.2    | Tobias (unpub.)  |
| Asselar                            |       | 85.32       | 62.45   | Vallois (pers. comm.)                                      |
| Cape Flats                         |       | 79.65       | 56.79   | Singer (pers. comm.)                                       |
| Plettenberg Bay                    |       | incomplete  |         | Singer (pers. comm.)                                       |
| Matjes River Wilton                | (2♂)  | 82.0        | 59.0    | Meiring ('37)  |
|                                    | (4♀)  | 84.1        | 62.0    | Meiring ('37)  |
| Nyarindi (Kenya                    | (1♂)  | 81.9        | 58.6    | Tobias (unpub.)  |
| Smithfield)                        | (1♀)  | 83.9        | 60.6    | Tobias (unpub.)  |
| Bromhead's Site                    |       |             |         |  |
| (Mesolithic                        | (4♂)  | 81.3        | 58.4    | Leakey ('35)   |
| Elmenteitans)                      | (3♀)  | 83.9        | 60.7    | Leakey ('35)   |
| Willey's Kopje                     |       |             |         |  |
| (Kenya Neolithic)                  | (3♂)  | 80.98       | 58.48   | Leakey ('35)   |
| Ngorongoro                         |       |             |         |  |
| (Tanganyika                        | (3♂)  | 81.00       | 58.08   | Trevor (pers. comm.)                                       |
| "Neolithic")                       | (2♀)  | 86.25??     | 64.09?? | Trevor (pers. comm.)                                       |
| Njoro River Cave                   |       |             |         |  |
| (Late stone age                    | (5♂)  | 77.75       | 55.74   | Leakey ('50)   |
| survival)                          | (2♀)  | 79.73       | 56.92   | Leakey ('50)   |
| Makalia II                         |       |             |         |  |
| (Kenya Neolithic)                  | (♂)   | 80.17       | 57.19   | Leakey ('35)   |
| Nakuru IX                          |       |             |         |  |
| (Kenya Neolithic)                  | (♂)   | 80.93       | 57.74   | Leakey ('35)   |
| Hyrax Hill                         |       |             |         |  |
| (Kenya Neolithic)                  | (1♂)  | 83.90       | 60.63   | Leakey and Leakey ('45)                                    |
| (Kenya Iron Age)                   | (1♂)  | 82.22       | 58.89   | Leakey and Leakey ('45)                                    |
| Istrice (Abyssinia)                |       | 74.2        | 54.2    | Tobias (unpub.)  |
| Tanganyika (proto-                 |       |             |         |  |
| historic—4)                        |       | 85.78       | 63.47   | Tobias (unpub.)  |
| Kakamas Hottentots                 |       |             |         |  |
| (? historic)                       | (4♀)  | 82.6        | 59.6    | Tobias, (based on measurements by A. Hoffman, pers. comm.) |
| Predynastic Egyptians              |       |             |         |  |
| (Naqada A and Q)                   | (84♂) | —           | 60.2    | Pearson and Davin ('24)                                    |
| (Naqada, B, T, and R)              | (23♂) | —           | 60.5    |  |

TABLE 1 (Continued)

*Occipital curvature of fossil crania from Africa and elsewhere*

| Cranium                            | 100(S'3/S3) | Oc. I. | Reference  |
|------------------------------------|-------------|--------|--|
| Non-African zone                   |             |        |  |
| <i>Aecanthropus robustus</i>       | 72.3        | 53.63  | Weidenreich ('51)                                      |
| <i>Aecanthropus pekinensis</i> III | 77.78       | 55.67  | Weidenreich ('43)                                      |
| <i>Aecanthropus pekinensis</i> XI  | 73.50       | 53.96  | Weidenreich ('43)                                      |
| <i>Aecanthropus pekinensis</i> XII | 72.88       | 53.78  | Weidenreich ('43)                                      |
| <i>Aecanthropus pekinensis</i>     |             |        |  |
| Mean (3)                           | 74.72       | 54.47  | Weidenreich ('43)                                      |
| Uncombe                            | 80.34       | 57.29  | Measured on cast (Tobias)                              |
| o I                                | 72.3        | 53.64  | Weidenreich ('51)                                      |
| o V                                | 71.8        | 53.53  | Weidenreich ('51)                                      |
| o VI                               | 74.6        | 54.28  | Weidenreich ('51)                                      |
| o IX                               | 73.8        | 54.01  | Weidenreich ('51)                                      |
| o X                                | 767.3       | 753.05 | Weidenreich ('51)                                      |
| o XI                               | 72.4        | 53.69  | Weidenreich ('51)                                      |
| o Mean (6)                         | 72.0        | 53.70  | Weidenreich ('51)                                      |
| Gringsdorf                         |             | 754.2  | Morant ('27) from Weidenreich's measurements           |
| Altar I                            | 776.51      | 755.0  | Morant ('27)   |
|                                    | 76.89       | 55.21  | McCown and Keith ('39)                                 |
| Chapelle                           | 78.42       | 56.0   | Morant ('27)   |
|                                    | 78.42       | 56.04  | McCown and Keith ('39)                                 |
| Spina (child)                      |             | 57.3   | Morant ('27) from Gorjanovic-Kramberger's measurements |
| pūn I                              | 783.33      | 760.00 | McCown and Keith ('39)                                 |
| nūl I                              | 82.86       | 59.56  | McCown and Keith ('39)                                 |
| nūl IV                             | 770.49      | 753.31 | McCown and Keith ('39)                                 |
| nūl V                              | 79.03       | 56.42  | McCown and Keith ('39)                                 |
| nūl IX                             | 773.64      | 753.99 | McCown and Keith ('39)                                 |
| pūn-Skhūl Mean (5)                 | 77.87       | 56.66  |  |
| nbe-Capelle (♂)                    | 80.00       | 57.1   | Morant ('30)   |
| otte des Enfants, Grimaldi (♂)     | 779.76      | 756.9  | Morant ('30)   |
| Adolescent (♂)                     | 781.45      | 7758.2 | Morant ('30)   |
| ma Grande II (♂)                   | 7783.12     | 7759.1 | Morant ('30)   |
| o-Magnon I (♂)                     | 79.29       | 56.6   | Morant ('30)   |
|                                    | 79.37       | 56.62  | McCown and Keith ('39)                                 |
| utré I (♀)                         | 778.35      | 756.0  | Morant ('30)   |
| utré II (♂)                        | 7783.73     | 7760.5 | Morant ('30)   |
| utré III (♂)                       | 82.12       | 58.8   | Morant ('30)   |
| utré IV (♂)                        | 79.15       | 56.5   | Morant ('30)   |
| utré V (♀)                         | 76.23       | 54.9   | Morant ('30)   |
| dmmost III (♂)                     | 778.66      | 756.2  | Morant ('30)   |
| dmmost IV (?♀)                     | 81.46       | 58.2   | Morant ('30)   |
| dmmost IX (♂)                      | 76.61       | 55.1   | Morant ('30)   |
| dmmost X (♀)                       | 79.02       | 56.4   | Morant ('30)   |
| utsch I (♂)                        | 79.69       | 56.8   | Morant ('30)   |
| per Palaeolithic (Europe)          |             |        |  |
| Mean (13♂)                         | 80.79       | 57.9   | Morant ('30)   |
| Mean (6♀)                          | 80.61       | 58.0   | Morant ('30)   |

laboratory in the British Museum (Natural History), I was able to re-measure the cranium, including the chord and arc dimensions. The values obtained were: occipital arc 135.0 mm and occipital chord 104.25 mm. These give indices of 77.22 and 55.38.

*Earliest Indications of Occipital  
Flattening in Africa*

The earliest North African crania to show some degree of occipital flattening are Late Palaeolithic (Upper Caspian or Ibero-Maurusian) crania from *Mechta-el-Arbi* and *Afalou-bou-Rhummel*, and the Asselar skull which is possibly Final Palaeolithic or early Neolithic (Boule and Vallois, '32). This Asselar skull which Boule and Vallois held to show "Boskopoid" features falls low in the list, its indices of 62.4 and 85.3 indicating only gentle curvature of the occiput. In this respect, then, it differs from the other representatives of the "Boskopoid" strain, Bushmen, Hottentots and, to a degree, Griquas, all of whom have low indices and pronounced curvature. In its modest occipital curve, the Asselar cranium shows an early approach to the cranial contour of the negroid peoples of West Africa.

Of East African remains, it is to the Kenya Smithfield crania of *Nyarindi* and to the Mesolithic Elmenteitans of *Bromhead's Site*, as well as to the Neolithic remains of *Willey's Kopje*, *Makalia*, *Nakuru*, *Hyrax Hill* and *Ngorongoro*, that we must turn in order to find the earliest traces of some occipital flattening.

In Southern Africa, the earliest example of occipital flattening is evident in the Wilton (Later Stone Age) crania described by Meiring from *Matjes River* ('37). This group stands out in marked contrast to the more curvoccipital Old Yellow South Africans who have retained their low occipital indices down to the present day.

The available evidence suggests, therefore, that highly-curved occipitals characterized African peoples almost to the end of the Palaeolithic (on the European system of nomenclature) and to the Later Stone Age (in the African terminology); in the Mesolithic and the Later Stone Age, the first crania showing a moderate degree of flattening appear.

*Earliest occipital flattening in  
non-African crania*

An examination of the non-African fossil data reveals that the earliest crania to show some degree of flattening are Leviloiso-Mousterian and Upper Palaeolithic (Aurignacian and Solutrean), but the tendency remains moderate through the Middle Pleistocene. Nevertheless, the mean value for Upper Palaeolithic crania of Europe ( $\sigma$  80.79;  $\text{♀}$  80.61) remain in the category of strong, and only just outside the class of ultra-strong occipital curvature.

In Europe, too, the fossil evidence would suggest that no flattening tendency of the occipital manifested itself until late in the Upper Palaeolithic.

Morant concludes from his survey of European palaeolithic crania: "Not one of the values (of Oc.I.) given above is extreme for a human skull, but there is a clear suggestion that the archaic specimens are characterized by unusually low indices—i.e., unusually protruding occipital bones—while the types which stand nearest to them in this respect are the Upper Palaeolithic and modern ones of Western Europe."

DISCUSSION

The evidence compiled from Africa in this paper confirms the view of Morant ('30) that more ancient skulls possess well-curved occipital bones. We might modify his statement that modern Western Europeans stand nearest to the ancient crania in occipital curvature, by pointing out that crania of Old Yellow South Africans, especially Bushmen, stand even closer to them (fig. 2). In fact, of all adequate studied racial series of crania anywhere in the world, none surpasses those of the Bushmen in the degree to which they have preserved the extreme occipital curvature of fossil man.

It seems then that a strong occipital curvature is a very ancient human specialization, serving to differentiate the human line from that of the planoccipital anthropoid apes. This fact assumes considerable importance when we consider the cranial classification which Fassetto ('09-'18), following Sergi, based on patterns of frontal, parietal and occipital growth. He pointed out that, in its development, each cranial



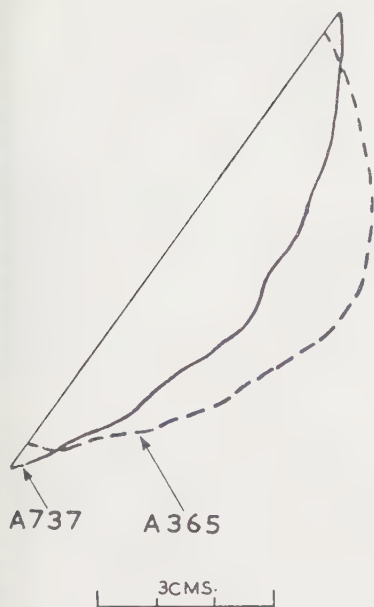


Fig. 2 The contour of a strongly curvocal Bush skull (A365) with indices 76.23 (S'3/S3) and 54.92 (Oc.I). For comparison, the contour is superimposed on that of an exceptionally planoccipital Zulu cranium (A737) with indices 93.1 and 83.97.

ult-bone tends to pass through a phase of extreme angulation or strong, pointed bossing (the "fetal" stage), followed by a rounding off to a more dome-like prominence ("juvenile" stage), finally to be replaced by the smooth, arched contour of the "adult" stage. These several shapes of the individual vault-bones gave character to the calvaria as a whole, especially when seen from above. So, guided especially by the degree of bossing of the parietal bone, Frassetto recognized three stages of development of the whole vault, the *pentagonoid* skull of the fetus or infant, the *ovoid* contour of the juvenile and the *ellipsoid* form of the adult, all in the *trichocranial* series. The corresponding stages in brachycranial skulls were *euryntegonoid*, *sphenoid* and *spheroid*. Not every individual, however, attained the final ellipsoid or spheroid contour. Females, for instance, retained more highly-bossed crania than males, even in adulthood. Mediterranean people frequently retained a pentagonoid vault throughout life, whereas this was much less common

among Alpines and Nordics. In other words, individuals and races differed in the degree to which, in their ontogenies, they "outgrew" the fetal or infantile pattern. With the aid of a diagnostic set of 12 skulls presented by Frassetto to Professor R. A. Dart, Gear ('29) applied the Frassetto classification to South African crania. He showed that crania of Bantu-speaking Negroid peoples are most commonly ovoid, while those of Bushmen are generally pentagonoid. Frassetto's classification has been further usefully applied in South Africa at the hands of Dart ('37) and Eriksen ('57). The Frassetto-types could thus be used as purely static descriptive terms; but it was obvious that they might provide a clue to the pattern of growth and differentiation, so that the morphology would be capable of a more dynamic interpretation.

One such interpretation was soon to emerge, when Bolk ('29) suggested that fetalization (human neoteny or pedomorphism) had played a significant role in human evolution. The retention of fetal characters for long periods, and even into adulthood, was, he thought, one of the hallmarks distinguishing man from the apes. He went further and pointed out that, even among different human races of today, the degree of retention of infantile characters varied widely: a race with many retained infantile morphological traits was designated a "pedomorphic" race. One which has departed in many respects from the infantile pattern might be called "adultiform" or "gerontomorphic." Bolk stressed that Caucasoids and Mongoloids had many pedomorphic features, whereas Negroids had not.

Drennan ('29b) quickly pointed out that the South African Bush people and their antecedents show many pedomorphic features. Over subsequent years, various workers elaborated Drennan's theme (see Dart, '40). A long list of Bush infantile features has been given recently (Tobias, '57). Among the features enumerated is the strong bossing of the vault-bones. In its powerful frontal, parietal and occipital bosses, the Bushman cranium could be said to have carried forward its juvenile morphology into adulthood.

A totally different approach to the subject has been proposed by the present author. Instead of looking upon the neotenuous phenomena as a kind of teleological manifestation, I have suggested that the variations in morphology be regarded as the effect of gene mutations (probably coupled with environmental effects) altering the rates of differentiative processes. We know that most genes act by influencing the direction, timing or rate of phenogenetic and morphogenetic processes. So it is not inconceivable that gene-mutations may have occurred which retarded certain differentiative processes: moderate retardation would lead to the late appearance of morphological features; marked retardation might result in a gene-product or organizer appearing too late to take effect, i.e., after its phenocritical period, so that a morphological feature might fail to appear. On the other hand, accelerating mutations might speed up differentiative processes and result in the early appearance of adult morphological traits. An infantile morphology, on this view, would result from a series of retarding mutant genes, which might have become selected by conferring slight advantages on the bearer. It is in the light of this genetic conception that the further discussion is couched.

The phenotypic characters resulting from retarded differentiation include, as we have seen, the degree of bossing of the vault-bones, as well as other easily detectable cranial features. If, now, we were to examine fossil crania, reasonably believed on morphological and archaeological grounds to be antecedent to the Bushman, we should be in a position to detect at what point in time the retarding mutations became manifest. Based on this line of reasoning, I have elsewhere suggested that the Bushman stemmed from essentially adultiform ancestors, as represented by the big-browed "Rhodesioid" group (Broken Hill, Saldanha, Florisbad, Lake Eyassi) and that the retarding mutations began before the end of the Middle Stone Age (Tobias, '55-'56, '56, '57). The earliest indications of such a tendency are perhaps the diminution and indentation of the upper facial (maxillary) skeleton of Florisbad man, (whose parietal and occipital bosses

are, however, lost to us) and the mass bossing of the Singa cranium.

In a previous paper (Tobias, '59a) was suggested that, whereas sutural growth of the cranium was predominant and appositional growth relatively unimportant in thin-skulled forms like modern man, in human types like Rhodesian Man with heavy bony excrescences, such as orbital and occipital tori, appositional growth of bone must be correspondingly more important. The result of such appositional growth in the occipital region is that the arc from lambda to opisthion is relatively lengthened, without corresponding change in the chord, and a lower occipital inclination follows. If in figure 1 we examine the occipital contours of Rhodesian Man with his heavy torus and of a Bush cranium with smooth occiput, we see that an identity of occipital index may result from two apparently different processes: first, in Rhodesian Man, heavy appositional growth together with a well-curved occipital, and secondly, in a Bush cranium, on marked curvature of the occipital, moulded by sutural growth mechanisms to the contours of the underlying brain. In the evolutionary raising of the occipital index two processes therefore come about, the elimination of most appositional growth, i.e., of the occipital torus, and the flattening of the basic curvature of the occipital bone. Cappieri ('57), in a recent discussion of the development of the moderately rounded form of the calvaria, has recognized two phases, a first in which the cranial base has decreased and the vault has become more rounded, and a second in which the torus orbitalis and torus occipitalis are decreased. While this may be the sequence of events in Caucasoid populations (and Cappieri's discussion arises from a description of "protomediterranean" skulls), in the African sequence it would seem that the order of events was reversed. For while our living Bushmen have lost almost all trace of the bony prominence they have retained angular, unrounded crania with extreme occipital curvature.

It emerges from this study that the retarded differentiation of the Bush cranium has arisen in a series of stages, the first of which goes back to the beginnings of man



self. At the stage of human emergence, only the occipital bone which remains remarkably fetal in form throughout life. It is a fundamental fetalizing change distinguishing hominids from pongids. An occipital with no other fetal features of the cranial vault characterizes *Australopithecus*, *Pithecanthropus*, *Homo neanderthalensis* and *Homo rhodesiensis*.

The second stage in the retardation less affects the differentiation of the parietal bones, as exemplified best by the Bushman cranium. In this cranium, the occipital is markedly protuberant (Oc.I. 55.4). Assembling, as Wells ('51) comments, the occipital contour of many Bush skulls. Likewise the parietal bosses are exceptionally prominent, lying at the center of a field of very obvious radially-orientated trabeculae. In other words, the differentiation of the parietals has been retarded, presumably in conformity with a distribution of underlying brain substance. Hence, we see retarded morphological differentiation in both occipital and parietal regions. The frontal and facial bones, however, show exactly the opposite. The forehead is low and sloping; there is a powerful orbital torus; the orbits are long and the structure of the zygomatic bone at its insertion behind the torus is reminiscent of a robust, palaeoanthropic hominid-like strain such as that of Kenyan Hill. There is thus a marked disparity between the anterior and posterior parts of the cranium: the posterior manifesting retarded differentiation, the anterior showing non-retarded development. Another example of this type of skull is that of *Istrice* studied by the author in the Lyndon Museum, Nairobi. Here, too, we have a frankly Bushmanoid (retarded) skull and a discordantly adultiform forehead and face, a type of morphology which seems to have survived at least to fairly recent times.

The third stage is marked by the extension forwards to the frontal and facial regions of the tendency towards retardation. With the final sweeping of these regions under a retarded controlling mechanism, the vault becomes completely infantile, pentagonoid vault emerges, as in the Cro-Magnon, Boskop and Bushman skulls. In Southern and

Central-East Africa, this must have taken place before the end of the Middle Stone Age, to give rise to the Boskop and Bush physical types.

We might recognize a fourth stage in occipital evolution, whereby a flattening sets in, in the emergence of some strains of modern man, especially the Negro. Since we have no planoccipital fossil crania of any considerable antiquity, it seems certain that the Negro cranium must have developed from a curvoccipital ancestral cranium. Unfortunately, in contrast with the Bushman, we have no long lineage of proto-negroid fossils to corroborate this hypothesis in relation to the Negro. The postulated phylogenetic flattening of the occipital, which we have shown is recapitulated in each modern Negro ontogeny, is presumably brought about by accelerating mutations. In other races, which have retained the curvoccipital cranium, it may be supposed that these accelerating and differentiating changes did not occur. Here it may be interpolated that, in accordance with recent studies on skull growth, the mutations we are referring to probably applied more directly to the underlying brain growth and only by secondary intention to the bones of the encapsulating vault (Tobias, '59a).

We see now that the big occipital bosses of the Bushman are not of the same morphological or taxonomic valency as the parietal bosses; the occipital boss has been there, the evidence would suggest, since the days of *Australopithecus*, whereas the parietal bosses are part of a rather late retarding specialization.

#### SUMMARY

1. All early fossil hominid crania, both African and non-African, have ultra-strong occipital curvature.

2. On its occipital indices, *Australopithecus prometheus* falls with the Hominiidae and, more particularly, with the more ancient types of man from Africa.

3. In North Africa, the earliest crania to show some degree of occipital flattening are those of Mechta, Afalou and Asselar (Late Palaeolithic).

4. In East Africa, the earliest crania showing some occipital flattening are those

from a variety of Kenya Smithfield, Mesolithic and Neolithic sites.

5. In Southern Africa, the earliest crania to show occipital flattening are those from the Wilton (Later Stone Age) level at Matjes River.

6. Among non-African forms, appreciable flattening of the occiput does not manifest itself until late in the Upper Palaeolithic.

7. Of all adequately studied cranial series anywhere in the world, the Bushmen alone among modern races has preserved the extreme occipital curvature of fossil man.

8. Since the highly curved occipital of the adult Bushman represents, too, the retention of an infantile characteristic into adulthood, it is suggested that the occipital curvature of the Bush cranium is the result of genetically retarded differentiation.

9. Infantile morphology or retarded differentiation of the cranial vault has arisen in three stages: (a) Initially, only the occipital bone remains remarkably fetal in form throughout life, as in *Australopithecus*, *Pithecanthropus*, *Homo neanderthalensis* and *Homo rhodesiensis*. (b) Retarded differentiation "moves forward" to affect the parietal bones as well, as in the Singa cranium. (c) The frontal region is engulfed by the wave of retarded differentiation, giving the completely infantile form of the cranium, as in Cro-Magnon, Boskop and Bushman.

10. A fourth stage in occipital evolution, whereby a flattening sets in, marks the emergence of some strains of modern man, especially the Negro.

11. Occipital and parietal bosses are of very different taxonomic valency, the occipital bulge having been present since the dawn of man, the parietal boss being part of a rather late retarding specialization.

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# Blood Group Genes of the Copper Eskimo<sup>1</sup>

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This report deals with the blood group secretor genes of the so-called Copper Eskimo living on Victoria Island and the boring mainland, roughly between 60° and 120° west longitude and from the Arctic Circle to 72° north longitude. The report is part of a continuing study under the auspices of the National Museum of Canada of the populations which go to make up the population of Canada.

The Copper Eskimo form an almost pure bred population of about 1000 which has been stationary as to numbers since they were first studied by Jenness ('23) in 1891-1896. Where they came from and how long they have lived here is unknown. Caribou and seal were their main food, providing food, clothing, fuel and building materials, while in their territory they used native copper which they used both for manufacture and for trade. Neither the north nor the west offered greater attractions, while the south was barred by Athabaskan-speaking Indians. It seems probable that they have occupied their present territory for a long time. On the other hand it is not to be imagined that they have been in complete isolation. Their neighbors 300 miles to the west about the delta of the Mackenzie knew of them, but only spoke of them as "the people off." To the east and southeast they had some slight contact with other Eskimo, and undoubtedly there was some intermarriage. With the Indians to the south they were apparently in a constant state of small raids of extermination. So the earliest record we have of them is in Daniel Hearne's journal of his journey to Fort Prince of Wales on Hudson Bay to the mouth of the Coppermine River in 1771 in that venture the Indians who accompanied Hearne killed every Eskimo they encountered. Even so, from tales we have heard, it is reasonable to believe that they have had an occasional Indian woman

or child may have been spared and incorporated into the population.

As to the other admixtures there was only a rare contact between Eskimo and people other than Indian from 1771 until about 40 years ago. These were not whaling waters. A few explorers and traders entered the area, some of whom married Eskimo women. These events are so recent that children of these marriages are still living, a number of whom we tested. Today there are two small white settlements, that at Cambridge Bay and that at Coppermine, and several still smaller implants.

In the present study 330 out of the total of approximately 1000 were tested. With the help of Corporal and Mrs. D. McDougal of the R.C.M.P., Father LaPointe, O. M. and the Reverend Canon Sperry a detailed genealogy was drawn up. There were no first-cousin marriages.<sup>3</sup> The genealogy could not be carried far enough back to prove or disprove second-cousin marriages. Of the 330, only 10 could be proved to have white ancestors; these 10 were eliminated in gene frequency analysis. Such analysis was carried out for the remaining total 320 and for 46 among them who were apparently unrelated. While there is no significant difference between the two estimates, we think analysis based on the 320 is probably more accurate since phenotypes of low frequency were then represented. The estimates in the tables are so based. There is a high death rate in young adults, so it was unusual to obtain blood from children and both parents. Few genotypes could therefore be defined but in what family data we did obtain, there was no disagreement between expected phenotypes and those found.

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<sup>3</sup> See addendum.



## SAMPLES, SERA AND METHODS

Samples were collected at Cambridge Bay, Holman Island, Reid Island and Coppermine in April, 1958 and the tests carried out at the Hudson's Bay Company post at Coppermine. With the exception of the specimens from Cambridge Bay (which were venous samples obtained for us by Dr. Gillison of the Department of National Health, sent by air to Coppermine and tested on the third day) all samples were of capillary blood taken into saline and tested on the day of collection.

The test sera had all been in use in our laboratory for some time so that their specificity and mode of action were well known. We had taken test cells of various known antigen constitution with us; these were used daily to control the results. Five methods were used according to the mode of action of the various sera; agglutination in a capillary tube by untreated serum (Chown and Lewis, '51) indicated below by "capillary;" agglutination in a capillary tube by serum mixed with activated papain (Lewis et al., '58) indicated below by "capillary papain;" the indirect Coombs technique of Race and Sanger ('58) modified to the extent that the final agglutination with anti-human-globulin rabbit serum (Coombs' reagent) was carried out in a capillary tube, indicated by "indirect Coombs;" agglutination in bovine albumin (Lewis and Chown, '57), indicated below by "short albumin;" open well slide, so stated below. In the following the serum is described first; the method is given after the semicolon. The letters in brackets are our code names for the particular serum used. Unless otherwise indicated tests were made at room temperature which varied from 18° to 22°C.

*The ABO system.* One each, high titre, avid anti-A and anti-B and one anti-A + B from O donor; capillary. One commercial anti-A<sub>1</sub> (absorbed B); open well slide.

*The MNSs system.* One commercial anti-M; capillary. One commercial anti-N; open well slide. One anti-S (Dev), one anti-s (Chei); capillary. One anti-Mi<sup>a</sup> (UB no. 4), one anti-M<sup>s</sup> (HA1); capillary. One anti-Vw (Gill); short albumin. One anti-He (Sha); open well slide.

*The P system.* One anti-P (Wil); capillary at refrigerator temperature. One anti-Tj<sup>a</sup> (Ell); capillary.

*The Rh system.* One each anti-C (comm.), anti-C<sup>w</sup> (Bosh), anti-D (F); capillary. One each anti-c (Tod), anti-c (McL), anti-e (Hal); capillary papain. One each further anti-c (Gai), anti-E (Ros), anti-e (Sha) for those samples reacting with CcDee or ccDEe; capillary papain. In every case the reaction with the test serum agreed with the reaction obtained with the primary test serum.) One anti-Rh (Ros); indirect Coombs.

*The Lutheran system.* One each anti-Lu<sup>a</sup> (TG) and anti-Lu<sup>b</sup> (TG); capillary.

*The Kell system.* One each anti-K (N), anti-k (Ort); capillary papain. One anti-Kp<sup>a</sup> (97B) and anti-Kp<sup>b</sup> + K (Rae); indirect Coombs.

*The Duffy system.* One anti-Fy<sup>a</sup> (D), one anti-Fy<sup>b</sup> (Mul); indirect Coombs.

*The Lewis system.* One anti-Le<sup>a</sup> (M); capillary.

*Other systems.* One anti-Wr<sup>a</sup> (S); capillary at 37°. One each anti-Di<sup>a</sup> (W), anti-Be<sup>a</sup>, anti-By<sup>a</sup>, anti-Yt<sup>a</sup> (Cart); indirect Coombs.

*Secretor status.* Saliva samples were diluted one part to one part of saline, heated in a boiling water bath for 10 minutes, centrifuged and the supernatant tested. All of groups A, B and AB being tested for inhibition of anti-A, anti-B and anti-H. All of group O for inhibition of anti-H (UL). There were no discrepancies as between inhibition of anti-A or B and anti-H, which since all proved to be secretors, the inhibition tests served to confirm the blood grouping.

## RESULTS

The results are set out in table 1 to 4. The gene frequencies for the MN, Ss and Rh groups were determined by gene counting the others by square root methods or, in the case of MNSs, by a combination. Those of group O who were P-negative were tested with anti-Tj<sup>a</sup> and were positive. Hence, in that system, the frequencies are based on an assumed absence of *p*. Other than this the tables are self-explanatory.

TABLE 1  
*The ABO system*

| Phenotype        | Number | Frequency observed | Frequency expected | Number expected | Gene frequencies      |
|------------------|--------|--------------------|--------------------|-----------------|-----------------------|
| O                | 146    | 0.4563             | 0.4583             | 146.66          | O 0.6770              |
| A <sub>1</sub>   | 153    | 0.4781             | 0.4794             | 153.41          |                       |
| B                | 14     | 0.0437             | 0.0438             | 14.02           | A <sub>1</sub> 0.2914 |
| A <sub>1</sub> B | 7      | 0.0219             | 0.0184             | 5.89            | B 0.0316              |
| Totals           | 320    | 1.0000             | 0.9999             | 319.98          | 1.0000                |

TABLE 2  
*The MN system*

| Genotype | Number | Frequency observed | Frequency expected | Number expected | Gene frequencies |
|----------|--------|--------------------|--------------------|-----------------|------------------|
| MM       | 221    | 0.6906             | 0.7092             | 226.94          | M 0.8422         |
| MN       | 97     | 0.3031             | 0.2659             | 85.09           |                  |
| NN       | 2      | 0.0063             | 0.0249             | 7.97            | N 0.1578         |
| Totals   | 320    | 1.0000             | 1.0000             | 320.00          | 1.0000           |

TABLE 3  
*The Ss system*

| Genotype | Number | Frequency observed | Frequency expected | Number expected | Gene frequencies |
|----------|--------|--------------------|--------------------|-----------------|------------------|
| SS       | 14     | 0.0437             | 0.0334             | 10.69           | S 0.1827         |
| Ss       | 89     | 0.2781             | 0.2987             | 95.58           |                  |
| ss       | 217    | 0.6782             | 0.6679             | 213.73          | s 0.1873         |
| Totals   | 320    | 1.0000             | 1.0000             | 320.00          | 1.0000           |

TABLE 4  
*The MNSs system*

| Phenotype | Number observed | Frequency observed | Frequency expected | Number expected | Gene frequencies      |
|-----------|-----------------|--------------------|--------------------|-----------------|-----------------------|
| MMSS      | 14              | 0.0437             | 0.0295             | 9.44            | MS 0.1719             |
| MMSs      | 67              | 0.2094             | 0.2304             | 73.73           |                       |
| MMss      | 140             | 0.4375             | 0.4493             | 143.78          | M <sub>s</sub> 0.6703 |
| MNSS      | 0               | 0.0000             | 0.0000             | 0.00            | NS 0.0000             |
| MNSs      | 22              | 0.0687             | 0.0543             | 17.38           | N <sub>s</sub> 0.1578 |
| MNss      | 75              | 0.2344             | 0.2116             | 67.71           |                       |
| NNSS      | 0               | 0.0000             | 0.0000             | 0.00            |                       |
| NNSs      | 0               | 0.0000             | 0.0000             | 0.00            |                       |
| NNss      | 2               | 0.0063             | 0.0249             | 7.97            |                       |
| Totals    | 320             | 1.0000             | 1.0000             | 320.01          | 1.0000                |

TABLE 5  
*The P system*

| Phenotype | Number | Frequency | Gene frequencies      |
|-----------|--------|-----------|-----------------------|
| P+        | 107    | 0.3344    | P <sub>1</sub> 0.1842 |
| P-        | 213    | 0.6656    | P <sub>2</sub> 0.8158 |
| Total     | 320    | 1.0000    | 1.0000                |

TABLE 6  
*The Rh system*

| Phenotype | Number | Frequency observed | Frequency expected | Number expected | Gene frequencies      |
|-----------|--------|--------------------|--------------------|-----------------|-----------------------|
| CCDee     | 81     | 0.2531             | 0.2485             | 79.52           |                       |
| ccDEE     | 78     | 0.2437             | 0.2407             | 77.02           |                       |
| CcDEe     | 154    | 0.4813             | 0.4891             | 156.51          | $CDe(\pm Cde)$ 0.4985 |
| CcDee     | 3      | 0.0094             | 0.0109             | 3.49            | $cDE(\pm cdE)$ 0.4906 |
| ccDEe     | 4      | 0.0125             | 0.0106             | 3.39            | $cDe(\pm cde)$ 0.0109 |
| ccddee    | }      | 0                  | 0.0000             | 0.03            |                       |
| ccDee     |        |                    |                    |                 |                       |
| Totals    | 320    | 1.0000             | 0.9999             | 319.96          | 1.0000                |

TABLE 7  
*The Duffy system*

| Phenotype | Number | Frequency | Gene frequencies |
|-----------|--------|-----------|------------------|
| Fy(a+)    | 300    | 0.9375    | $Fy^a$ 0.7500    |
| Fy(a-)    | 20     | 0.0625    | $Fy^b$ 0.2500    |
| Totals    | 320    | 1.0000    | 1.0000           |

TABLE 8  
*Other systems*

| System   | Phenotype   | Number tested | Frequency |
|----------|---|---------------|-----------|
| Lutheran | Lu(a-b+)  | 146           | 1.00      |
| Kell     | K-k+Kp(a-b+)                                      | 320           | 1.00      |
| Lewis    | Le(a-)  | 299           | 1.00      |
| Secretor | Secretor of appropriate A, B, or(and) H substance | 200           | 1.00      |
|          | Yt(a+)  | 146           | 1.00      |

TABLE 9  
*Antigens apparently lacking (i.e., not demonstrated)*

| System | Antigen         | Number tested (all negative) |
|--------|-----------------|------------------------------|
| MNSs   | He              | 320                          |
|        | Me              | 320                          |
|        | Mi <sup>a</sup> | 320                          |
|        | Vw              | 146                          |
| Rh     | C <sup>w</sup>  | 320                          |
|        | V               | 320                          |
| Diego  | Di <sup>a</sup> | 320                          |
| Berens | Be <sup>a</sup> | 88                           |
| Batty  | By <sup>a</sup> | 299                          |
| Wright | Wr <sup>a</sup> | 299                          |

DISCUSSION

The gene frequencies of this population are in some ways similar to, and in others

different from, those of other Eskimo populations that have been studied. As to similarities there is, as in others, a low frequency of P<sub>1</sub>, absence of K, of Le<sup>a</sup> and of Di<sup>a</sup>, and a high frequency of cDE. As to differences there are three of note. In the ABO system the frequency of i (0.0316) is low in comparison with that of the Eskimo of Alaska and southern Greenland, but is little if at all different from the frequency along the coast of Hudson Bay. In the Duffy system we proved by direct test the presence of Fy<sup>b</sup> in the population and assume that it contains only the two Duffy genes Fy<sup>a</sup> and Fy<sup>b</sup>. On this basis the frequency of Fy<sup>b</sup> is higher than in other populations we have tested. In the MNS system the Copper Eskimo have the highest frequency of MS and the lowest Ns of any group we have examined. Judging by the following tentative frequencies there ap

to be a cline from northwest to south-MS being replaced by Ns:

| Location        | MS     | Ms     | NS     | Ns     |
|-----------------|--------|--------|--------|--------|
| Hudson          | 0.1719 | 0.6703 | 0.0000 | 0.1578 |
| Island          | 0.1524 | 0.6090 | 0.0000 | 0.2386 |
| East Hudson Bay | 0.1200 | 0.5943 | 0.0000 | 0.2857 |
| East Hudson Bay | 0.1337 | 0.5646 | 0.0000 | 0.3017 |
| East Hudson Bay | 0.0619 | 0.5662 | 0.0000 | 0.3719 |

The meaning of these differences is uncertain. The ABO findings taken alone can be used as evidence supporting the argument that the Eskimo living farthest from Hudson Bay have a large admixture of Indian blood; indeed to the absurd that they are pure blood Indians. But the Duffy and the MNSs data destroy this argument. In point of fact so far as the MNSs data go the closer geographically the Eskimo come to the modern Indians the further they recede from them genetically. It would seem to us that, as more complete blood groups and other genetic data accumulate, one can but conclude that there is no close genetic relationship between the Eskimo and the Indians of Hudson Bay. They may perhaps stem from a common stock, but if so the primary divergence having occurred a long, long time ago, there has been little grafting since. Only in our own studies there is surprisingly little evidence for replacement of blood group genes by genes of Whites. Such replacement certainly, but not in such numbers in the populations we have studied as to disturb the inherent Eskimo blood group frequencies. If neither of these is the explanation of the variations then that the variation must lie within the Eskimo population itself.

The archaeologists have painstakingly dated at least two Eskimo cultures in the northern Arctic, the Dorset and the Thule. It would seem to us not unlikely that the people of these cultures differed genetically, perhaps as much as the Indians of Hudson Bay do from the Eskimo, and that there

may well be in the present Eskimo population a Dorset residuum which is genetically recognizable. It is hardly to be expected that such recognition will depend upon the presence of a strange gene in one population that is absent from the other, but rather upon differences of frequencies of genes already known or to be discovered, differences such as are indicated in the above data. One can as yet draw no firm conclusions, but certainly the indications are enough to make further genetic studies, even more complete than the present one, worth-while.

#### SUMMARY

Blood group gene frequencies were determined based on samples from 320 Copper Eskimo as follows: O, 0.6770; A<sub>1</sub> 0.2914; B, 0.0316; M, 0.8422; N, 0.1578; S, 0.1827; s, 0.8173; MS, 0.1719; Ms, 0.6703, NS, 0.0000, Ns, 0.1578; P<sub>1</sub>, 0.1842, P<sub>2</sub>, 0.8158; CDe(±Cde), 0.4985; cDE(±cde), 0.4906; cDe(±cde), 0.0109; Fy<sup>a</sup>, 0.7500; Fy<sup>b</sup>, 0.2500. The following phenotypes were found to have a frequency of 1.00; Lu (a - b +), K - k + Kp(a - b +), Le(a -) and Sec. The following antigens were not present in the population tested: He, M<sup>s</sup>, Mi<sup>a</sup>, Vw, C<sup>w</sup>, V, Di<sup>a</sup>, Be<sup>a</sup>, By<sup>a</sup>, and Wr<sup>a</sup>. Differences between this population and other Eskimo populations studied were noted in the ABO, MNSs and Duffy systems.

#### ACKNOWLEDGMENTS

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#### ADDENDUM

Since this article was written we have obtained additional genealogical information from Mr. Wm. Joss, Manager of the



Hudson's Bay Company post at Reid Island, who obtained much of it from Ageak, an Eskimo of some 70 or more years. Four out of 60 matings in the most recent major mating generation were between first cousins. We are deeply indebted to Mr. Joss and to Ageak for the great care with which they went into this question for us.

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# Density of Cervical Vertebrae and Comparison with Densities of Other Bones<sup>1</sup>

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It is believed that femora and humeri have a greater proportion of compact bone than do vertebrae; femora and vertebrae are subjected to more compressive force because of their load-bearing role than are humeri whose function subjects them to greater tensile compressive force; and that the more anteriorly the vertebrae are located the greater is the compressive force since a greater weight is borne (Evans, '57). Furthermore, there is evidence to suggest that the density of the skeleton varies according to race and sex and that the density is reduced with aging.

This paper will present data pertaining to the density of the cervical vertebrae of skeletons of both sexes of two races with a wide age range, and comparisons will be made with the densities of thoracic and lumbar vertebrae (Broman, Trotter and Peterson, '58) and humeri (Trotter, Broman and Peterson, '58) of the same skeletons.

## MATERIAL AND METHOD

A series of 80 skeletons, equally divided between each sex and between American Whites and Negroes with approximately the same age range constitutes the material. The details of source and preparation are recorded elsewhere (Trotter and Peterson, '55). The bones were weighed

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in the dry, fat-free state. The volume was taken of each series of cervical vertebrae by displacement of millet seed in a 1000-cm<sup>3</sup> graduated cylinder with the bones simulating the anatomical position. The technique differed only in the size of the cylinder from that for femora and lumbar vertebrae where the size was 4000 cm<sup>3</sup> (op. cit.) and from that for humeri where it was 2000 cm<sup>3</sup> (op. cit.). It is advantageous to utilize a cylinder of the smallest size which will accommodate the bones. As in the earlier studies the per cent error of the method was determined from two measurements each of 10 series of vertebrae chosen at random and for the cervical vertebrae the error was found to be 1.0%.

The statistical procedures were selected and carried out by Barbara Bartels Hixon, to whom grateful acknowledgment is made.

## Density of cervical vertebrae

The mean age of the skeletons and the mean weight, volume and density of the cervical vertebrae for each of the 4 groups are presented in table 1.

The differences in the mean ages are not large, nevertheless the Whites are older than the Negroes. As expected the mean weight, volume and density are greater in Negroes than in Whites and in males than in females.

*Differences among group means.* An analysis of variance of the differences among the group means is as follows:

| Source of variation   | Degrees of freedom | Mean square | Variance ratio (F) | P       |
|-----------------------|--------------------|-------------|--------------------|---------|
| Among 4 group means   | 3                  | 0.0682      | 7.29               | < 0.001 |
| Male vs. female       | 1                  | 0.0775      | 8.28               | < 0.01  |
| Negro vs. White       | 1                  | 0.1224      | 13.08              | < 0.001 |
| Interaction           | 1                  | 0.0047      | < 1                |         |
| Within groups (error) | 75 <sup>1</sup>    | 0.0094      | 1                  |         |

<sup>1</sup> In this and succeeding analyses one degree of freedom was lost from the error term because of a substitution in the White male group by missing plot technique.

TABLE 1

Means and standard deviations (S.D.) of age (years), weight (gm), volume (cm<sup>3</sup>), and density (wt./vol.) of cervical vertebrae according to race and sex

| Group        | No.             | Age  |      | Weight |      | Volume |      | Density |       |
|--------------|-----------------|------|------|--------|------|--------|------|---------|-------|
|              |                 | Mean | S.D. | Mean   | S.D. | Mean   | S.D. | Mean    | S.D.  |
| White male   | 20 <sup>1</sup> | 64.0 | 12.8 | 53.9   | 9.3  | 104.9  | 12.8 | 0.517   | 0.065 |
| Negro male   | 20              | 59.6 | 13.9 | 59.0   | 13.2 | 101.6  | 14.8 | 0.580   | 0.090 |
| White female | 20              | 67.2 | 17.1 | 37.6   | 9.6  | 86.0   | 13.8 | 0.440   | 0.102 |
| Negro female | 20              | 60.3 | 19.8 | 46.4   | 10.1 | 88.2   | 14.2 | 0.533   | 0.121 |

<sup>1</sup> In two skeletons of the White male series the cervical vertebrae had been sacrificed for another study. For one a value based on a skeleton of comparable age, race and sex was substituted; for the other a substitute value was derived by the missing plot technique (Snedecor, '56, 5th ed., p. 310), since no comparable skeleton was available.

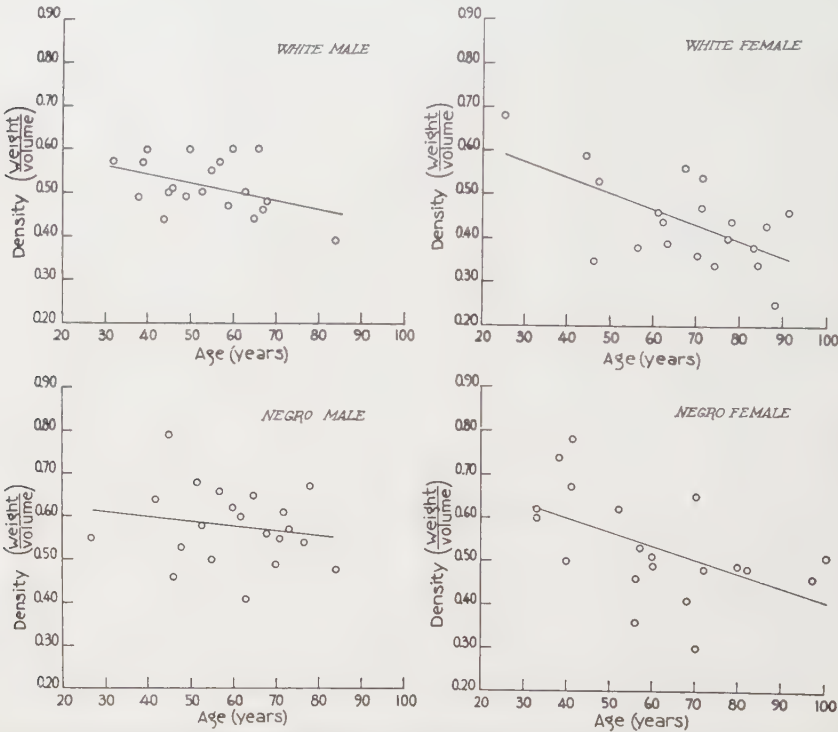


Fig 1. Scattergrams and regression lines of density on age of cervical vertebrae for each sex-group.

It is seen that the differences among the 4 group means are highly significant ( $P < 0.001$ ) and that these differences are accounted for by both sex and race since the variance ratio for each is significant.

*Effect of age.* In figure 1 are shown the densities of cervical vertebrae plotted against age and the regression line of each of the 4 groups.

The calculated slopes are as follows:

| Group        | Slope   | P      |
|--------------|---------|--------|
| White male   | -0.0021 | n.s.   |
| Negro male   | -0.0010 | n.s.   |
| White female | -0.0036 | < 0.01 |
| Negro female | -0.0032 | < 0.05 |

The densities of each group decrease with age but only in the two female groups the calculated slopes significantly differ from zero.

test of parallelism of the regression of the 4 groups indicates that the inclined slope is significantly different from zero ( $P < 0.001$ ) and that the individual slopes do not differ significantly from the best fitting combined slope with divergence among the 4 slopes being less than the error term, i.e., the scatter and the separate slopes.

*Differences among group means after adjustment for differences in age.* By the analysis of covariance in which correction for differences in age is made, it is shown that the variation found among the mean densities of the cervical vertebrae of the 4 groups by the analysis of variance is still significant and accounted for by both sex and race. The only difference in the levels of significance found in the two analyses is between the races, whereby correction for differences in age altered the probability from less than 0.001 to less than 0.01. In neither analysis was the interaction found to be significant. The analysis of variance is shown as follows:

| Source of variation | Degrees of freedom | Variance ratio | P         |
|---------------------|--------------------|----------------|-----------|
| 4 groups            | 3                  | 6.32           | $< 0.001$ |
| Male vs. female     | 1                  | 8.59           | $< 0.01$  |
| Negro vs. White     | 1                  | 10.25          | $< 0.01$  |
| Interaction         | 1                  | $< 1$          | n.s.      |
| 4 groups (error)    | 74                 | 1              |           |

*Comparison of densities of cervical vertebrae, lumbar vertebrae, humeri and femora*

The findings pertaining to the last three series of bones may be found in the earlier reports (op. cit.).

*Differences among means of sex-race series for each bone series.* The means and standard deviations of each series of

bones according to sex and race are summarized in table 2.

The densities within each sex-race group are seen to be higher in the long bones, humeri and femora, than in either series of vertebrae. The long bones have a greater proportion of compact to cancellous bone than have the vertebrae. Likewise, in the same sex-race group the densities are higher in the cervical vertebrae than in the lumbar vertebrae, the former having a greater relative amount of compact bone. For each group of bones higher densities are found in males than in females and in Negroes than in Whites.

*Differences among means of sex-race groups before and after adjustment for differences in age.* The differences among the mean densities for any one series of bones of the 4 sex-race groups have been found to be significant by the analysis of variance. After adjustment was made for differences in age the probability levels remain the same except for the femora which are found to be not significant. In table 3 are summarized the levels of significance of differences in densities and of the sources of the variation for each series of bones before (analysis of variance) and after (analysis of covariance) correction for differences in age was made.

For each bone series the degrees of freedom were subdivided into one each for sex, race and interaction. Differences attributable to sex are significant only among the cervical vertebrae and humeri and this finding is not altered by adjustment for age differences. On the other hand, differences attributable to race are significant for each group of bones, although at different levels of probability; but, after adjustment for age differences, the level of

TABLE 2

*Summary of means and standard deviations (S.D.) of densities of 4 groups of bones from the same series of skeletons*

|              | Cervical vertebrae |       | Lumbar vertebrae |       | Humeri |       | Femora |       |
|--------------|--------------------|-------|------------------|-------|--------|-------|--------|-------|
|              | Mean               | S.D.  | Mean             | S.D.  | Mean   | S.D.  | Mean   | S.D.  |
| White male   | 0.517              | 0.065 | 0.408            | 0.098 | 0.642  | 0.113 | 0.628  | 0.114 |
| Negro male   | 0.580              | 0.090 | 0.483            | 0.097 | 0.718  | 0.102 | 0.700  | 0.087 |
| White female | 0.440              | 0.102 | 0.380            | 0.080 | 0.566  | 0.114 | 0.598  | 0.121 |
| Negro female | 0.533              | 0.121 | 0.458            | 0.141 | 0.640  | 0.139 | 0.652  | 0.129 |





ility is reduced for each group and in the case of the femora the differences are never significant. The interaction of sex and race is found to make no significant contribution to the differences among mean densities of any of the 4 series of bones. Thus, it is seen that the cervical vertebrae and humeri, the bones with less weight-bearing function, show greater differences in density among the sex-race groups than do lumbar vertebrae and femora, the bones with greater weight-bearing function.

#### *Differences among mean densities of the series of bones within each sex-race group*

The densities of the 4 series of bones within each of the 4 sex-race groups (skeletons in each group) were tested for significant differences by the analysis of variance. The differences are found to be highly significant ( $P < 0.001$ ) in each

of the three degrees of freedom among the series of bones in each group have been tested to:

1. The more compact bones, humeri and femora, vs. the less compact bones, cervical vertebrae and lumbar vertebrae;

2. The more weight-bearing bones, lumbar vertebrae and femora, vs. the less weight-bearing bones, cervical vertebrae and humeri; and,

3. Interaction between 1 and 2, i.e., structure vs. function.

The interaction is significant ( $P < 0.01$ ) in each group showing that the density of the lumbar vertebrae does not correspond to the density of the femora in the same way that the density of the cervical vertebrae corresponds to the density of the humeri. Inspection of the mean densities of the bones in each of the 4 sex-race groups (table 2) shows that the difference between the densities of cervical vertebrae and humeri is not as great as that between densities of lumbar vertebrae and femora in each group. Since the interaction in each group is significant, over-all comparisons would be of little value. Therefore, individual comparisons were made through adaptation of Tukey's method (Snedecor, 5th ed., p. 251) in which the difference between the mean densities of two series of bones within each group is compared with a calculated significant

difference. The difference between the densities of each combination of any two series of bones was found to be significant ( $P < 0.01$ ) in every case except for the difference between the densities of humeri and femora which is not significant for any sex-race group. Thus, of the 4 bones the two which are most alike in structure and least alike in function do not differ significantly in density.

*Effect of age.* The calculated slope of the densities of each series of bones with age in each sex-race group is negative, indicating a decrease of density with age (fig. 2). The slope is significantly different from zero except for the cervical vertebrae in the two male groups and for the lumbar vertebrae in the Negro male group. Since all slopes are negative it is possible that larger samples of these groups would provide regression coefficients which are significant, also. The linear or straight line regression of density for the combined series of the 4 bones with age in each of the 4 sex-race groups is significantly different from zero ( $P < 0.001$ ), but the scatter about the line is also highly significant ( $P < 0.001$  for each), indicating that some type of curve might be a better fit than a straight line although no particular type is apparent from the scattergrams. When all 16 slopes are compared there is no indication of departure from parallelism, i.e., all slopes could have been obtained by random sampling from the same bivariate population. Thus, it appears that density is reduced with age uniformly in each of the 4 series of bones in each sex-race group.

#### SUMMARY AND CONCLUSIONS

The densities (weight/volume) of the cervical vertebrae from 80 adult skeletons were determined and compared with the densities of the lumbar vertebrae, humeri and femora from the same skeletons. The skeletons were derived equally from American Whites and Negroes of both sexes, each group with a wide age range. Weight was taken of the bones in a dry, fat-free state; volume was measured by displacement of millet seed.

It was found that:

1. The mean density of cervical vertebrae is significantly greater in Negroes

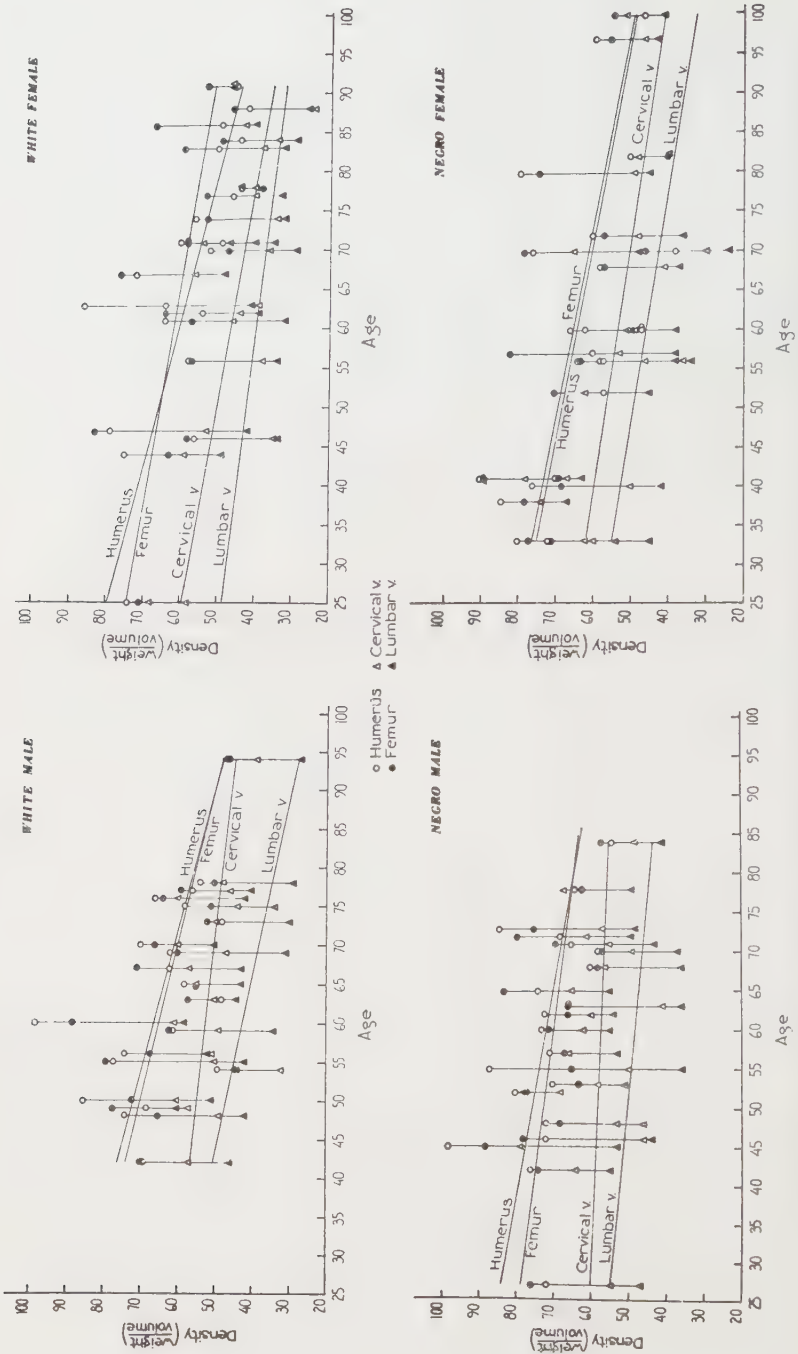


Fig. 2 Scattergrams and regression lines of density on age of 4 series of bones from each skeleton (indicated by vertical line) for each sex-race group.

n in Whites and in males than in females.

. The densities of cervical vertebrae of each sex-race group decrease with age, but only in the female groups are the slopes significant.

. After correction for age differences among the 4 sex-race groups the mean densities of each series of bones except of femora differ significantly. In the cervical vertebrae and humeri the difference is attributable to sex (male bones denser than female) and to race (Negro bones denser than White), whereas in lumbar vertebrae only race plays a significant part.

. The mean densities of the 4 series of bones within each sex-race group differ significantly. There are significant differences between any two series of bones except between humeri and femora.

. The densities of each series of bones in each sex-race group are found to decrease uniformly with age; apparent differences in slope are accounted for by sampling variation. The combined slope is significant for all except three of the 16 regression coefficients (of cervical vertebrae for the female groups and of lumbar vertebrae

for the Negro male group) are significantly different from zero.

Thus, from this study of the 4 series of bones, it is concluded in general that bones of the Negro skeleton are denser than those of the White skeleton; that less weight-bearing bones (cervical vertebrae and humeri) of the male are denser than those of the female and that for the more weight-bearing bones (lumbar vertebrae and femora) the role of sex is not so marked; that bones which are alike in structure although unlike in function (humeri and femora) do not differ significantly in density; and that bone density decreases with age.

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# Inconstancy of Physique in Adolescent Boys and Other Limitations of Somatotyping<sup>1</sup>

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A central problem in somatology is the measurement and definition of the more invariant "constitutional" characteristics of the growing organism. The present study of this problem is based chiefly on nude, full-length photographs of 71 White adolescent boys. It is a continuation of a study by Hunt, Cocke and Gallagher ('58), in which somewhat distinctive chronologies of sexual maturation were found in boys of different somatotypes.

Since this previous study was wholly cross-sectional, our newer work has used only longitudinal data. The physique of a boy at pubescence can thereby be compared with his subsequent maturation, and correlated with his antecedent pattern of physical development. Equally important is the continuity or predictability of body build during adolescence. We have investigated this continuity by means of photographic assessments by the senior author (Hunt). Since Hunt's ratings are somewhat idiosyncratic, their agreement with similar evaluation by other experienced investigators is reviewed by means of factor analysis. In general, our evidence adds to the theoretical and technical limitations of photographic ratings of physique, some of which have already been discussed by Hunt, Hunt and Sen ('58).

## *Selection of the adolescent series*

The adolescents in our study were photographed in Oakland, California during a longitudinal investigation under the direction of Dr. Herbert R. Stolz. Many of the somatological findings on the growth of this sample have been ably described in a monograph by Stolz and Stolz ('51). This volume also contains some of the photographs whose originals we evaluated.

The serial photographs of each boy usually begin at 10–11 years of age, and are repeated at intervals of about 6 months to a maximal age of about 17 to 18 years. At each age, a trio of standing photographic views is available: front, side and rear.

At all ages, the junior author rated sexual maturation from the photographs, using the standards of Tanner ('55). This part of the study was completed prior to his training or reading in somatotyping. The senior author then independently rated the physique of each boy at extreme ages dictated mainly by the individual's own period of serial study. This separation of ratings of physique and sexual maturation was designed to reduce bias in comparing the two phenomena.

The chief criterion for selecting the present sample was that at least one trio of photographs be available at or beyond 16.5 years of age. In each case, the oldest trio was rated, and nearly all were at either 17 or 18 years old. The whole series of older photographs is defined as the "subadult" age group, since all of the boys had reached Tanner's final stage of adolescent maturation of the genitalia and pubic hair (stage 5).

For each member of the "subadult" group, an earlier trio of photographs was chosen at the age nearest to 11.5 years. At this younger age, 31% of the boys had attained or surpassed the onset of adolescent enlargement of the testes. Serial skinfold measurements with the Franzén caliper on nearly all of these boys showed that about 80% had not yet reached the maximum adipose thickness of their "fat spurt"

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(Stolz and Stolz, '51). In their younger photographs, then, most of the boys were almost pubescent, and these pictures are therefore designated as the "prepuberal" age group.

### *Ratings of physique*

Most workers today who rate physique from full-length photographs are the bearers of a cultural tradition based largely on the work of Sheldon and his associates ('40, '42, '49, '54). Enough disagreement now exists, however, so that it is desirable to mention how the present ratings of adolescent boys were carried out.

Hunt, who performed these assessments, began his experience in this field when he completed an undergraduate honors thesis in biology at Harvard on physique and reflexes of the autonomic nervous system. At that time, Hunt rated photographs of a group of Harvard undergraduates by Sheldon's longer, photogrammetric procedure which today is in disuse (Hunt, '42).

Before 1940, when Sheldon and his earliest students were the only somatotypers, this group showed close agreement in their photographic assessments. For example, Sheldon and Tucker rated a series of 200 young men and obtained a correlation of +0.95 for the 600 evaluations of the three components, considered as a single aggregate (Sheldon, Stevens and Tucker, '40).

From 1946 to 1954, Hooton and his associates diverged considerably from Sheldon's original formulations and methods of rating. These divergences grew from the experience of the Harvard group in evaluating photographs of over 40,000 men in the U. S. Army. These workers renamed their method "body typing," and the three components "fat," "muscularity," and "attenuation." Fat and muscularity were rated by inspection of photographs. Attenuation was measured by means of a 7-point scale of equal intervals of the inverse ponderal index ( $\text{stature}/\sqrt[3]{\text{weight}}$ ).

In 1947, Hunt completed a course in body typing at Harvard under Hooton, but was never active enough in the Army program to adopt fully Hooton's revised standards of rating.

From the two divergent schools of investigators have come two standard photo-

graphic atlases for White adult males: that of Hooton ('51), and the work of Sheldon, Dupertuis and McDermott ('51). For younger adolescent or prepubescent boys, however, no such atlas is available from either school. At such ages, the simplest alternative is to arrange photographs in rank order according to the degree of manifestation of one component at a time.

Rank-ordering actually antedates Sheldon's adoption of the 7-point scale of expression of the three components. Before defining these scales in at least partial morphological terms, Sheldon had ranked thousands of body build photographs (Sheldon, Stevens and Tucker, '40).

As part of an experiment on component ratings and factor scores, Howells ('51) asked Hunt to rank-order 30 young Army men in the first component on two occasions, separated by an interval of two years. Hunt's agreement with himself (reliability), as indicated by Spearman's rank-order coefficient of correlation, was +0.958. For mature males, then, Hunt's ratings seem fairly stable.

Accordingly, in the present work on adolescent boys, Hunt visually rated the prepuberal photographs in the first and second components. Each preliminary ranking of a single component was performed in one day, and a few final adjustments made on a later day. Some weeks later, the same procedure was applied to the subadult photographs of the boys. At both ages, the third component was measured by Hooton's method, but without his 7-point scale intervals. Instead, Hunt directly calculated the inverse ponderal index of stature in centimeters divided by the cube root of weight in kilograms.

In these adolescent photographs, the boys are usually relaxed, so that the upper extremity is not in the rigid pose which most raters prefer to use in assessing the region. Hunt therefore minimized it in his ratings of the whole body.

When the ordinal ranks of all 71 boys had been determined at both ages, the ranks were compared by means of Spearman's rank-order coefficient of correlation. The inverse ponderal indices at the two

were correlated by means of the product-moment coefficient.

#### *Findings on the adolescent sample*

The basic correlations for the three components are as follows:

| Component | r      |
|-----------|--------|
| 1         | +0.453 |
| 2         | +0.501 |
| 3         | +0.708 |

Obviously the visual first and second components are far less predictable through adolescence than is the metrical component. This index is close to the value found by Deming ('57) in comparing prepuberal and mature recumbent measurements of 24 boys: namely, +0.736.

For the two ages in our data, the means and standard deviations of the index are:

|            | Mean | S.D. |
|------------|------|------|
| Prepuberal | 43.9 | 1.5  |
| Subadult   | 44.2 | 1.3  |

The prepuberal values of the index are significantly and negatively correlated with later changes in adolescence ( $r = -.73$ ;  $p < .001$ ). If  $x$  represents the prepuberal index, and  $y$  the subadult value, the least squares regression of  $y$  on  $x$  is:

$$y = 18.3 + 0.6x \text{ (S.E.}_{\text{est}} = 0.9).$$

It can be shown from this equation that "attenuated" boys with high values of the index at 11.5 years of age tend to become stocky in their later teens: while stocky boys tend to become more attenuated.

The correlations for the two visual components are so low that we may even wonder whether the pre-existing physique is "obliterated" during adolescence. Alternatively, however, errors or idiosyncrasies in Hunt's ratings may be great enough so that an uncorrupted assessment of the components might yield higher coefficients. It is possible to explore this problem by comparing Hunt's evaluations with those of other experienced workers.

Dupertuis and Emanuel ('56) have shown that divergences among raters may be appreciable. Their study was based on ratings of photographs of 500 Air Force personnel. Dupertuis rated the photographs by Sheldon's inspectional or "anthroposcopic" method, and Hooton and Stagg by their system of body typing.

The correlations between the two methods were:

| Component | r     |
|-----------|-------|
| 1         | +0.82 |
| 2         | +0.83 |
| 3         | +0.86 |

Still more relevant to Hunt's own ratings is a set of correlations between a number of observers, including Hunt. These workers evaluated a set of 28 to 30 photographs of White men in the U. S. Army, selected for representativeness of physique on the basis of frequencies of Hooton's body types in over 39,000 men who were photographed during their separation from the service after World War II. Howells ('57) identifies the raters as follows: *Lab* is a rating by a team trained, supervised and reviewed by Hooton. *A* is one of Hooton's chief associates, who rated the small Army sample by himself some years later. *X* is an adherent of the Sheldon method who is currently active in physiology. *Y* is one of Sheldon's major collaborators. The ratings of *X* were done by inspection only: while *Y* applied Sheldon's entire anthroposcopic technique, including considerations of age, stature and weight. All workers used the 7-point scale, including intermediate values such as 3.5 whenever necessary, and evaluated only the first and second components.

The correlations between these raters are presented to the right of the asterisks in table 1. Agreement is usually closer in the first component than in the second, unlike the finding of Dupertuis and Emanuel ('56). We shall now review the basic correlations in table 1 by means of factor analysis.

#### *Consensus and idiosyncrasy among body typers: a problem in cultural conformity and deviance*

Since all of the preceding 5 raters shared at least some cues from the photographic images as a basis of their judgments, their intercorrelations can be subjected to a simple factor analysis. We may thereby distinguish between a major factor of common cultural tradition in rating, and residual measures of deviance. From a somewhat different point of view, Thurstone and Degan ('51) once applied a



factor analysis to the decisions of justices of the U. S. Supreme Court.

In the present case, the factorial procedure is based on the work of Spearman, as expounded particularly in Spearman ('27) and in Spearman and Wynn Jones ('50). The plan of computation is especially well described in Thomson ('51).

For decades, many psychologists have administered batteries of standardized tests to groups of experimental subjects. When the scores from these tests are organized into a matrix of intercorrelations, quite often all the tests share appreciable loadings of a general factor. Spearman called it "g," and assumed it to be a basic intellectual characteristic analogous with "mental energy." Many other factorial methods are now in use, and in some of these routines, "g" is simply the "first factor" in a more extended program of computations (Thomson, '51).

In using Spearman's procedure, one first measures the g loading of each of the original tests. Each original correlation in the matrix contains some g from each of its two constituent tests. One then removes this g from the coefficient, leaving a smaller residual correlation. The residuals are then combined into a new matrix. If all the coefficients in the residual matrix are only insignificantly divergent from zero, one may conclude that the original matrix had a large saturation of g, and perhaps each test then has only inconsequential amounts of residual variation. If the investigator is interested only in g, he may thereafter use only the most g-saturated tests in the original battery.

This method may sometimes be useful in physical anthropology. For example, if many of the existing methods of measuring hydration and fatness have been concurrently applied to a group of test subjects, one may thereafter rely on the most g-saturated few measures for future investigations. The present study of physique, again, represents multiple estimates of certain scales of somatic variation. Since we are dealing with many raters, it seems useful to define g as "consensus." Each rater is now the analog of a single psychological test, and we can measure his "consensus loading." A rater with a high loading is a "conformist," and one with a

lesser amount of consensus is a "deviant." From all these loadings, we compute residual correlations between raters. In table 1, the consensus loadings of raters are in a column at the extreme right and the residuals are located to the left of the diagonal asterisks.

#### *Findings on consensus*

In the first component, Hunt and Hooton have the highest consensus loadings: whereas *Lab* and Y are the deviants. In the second component, considerable reversal of the loadings occurs, with Hooton and X as the deviants. Disagreement among raters in most cases is greater in the second component than for the first.

Of all the raters, Hunt and X share the most experience in measuring the subcutaneous adipose layer from skinfolds and radiographs. This background may help them both men to equate the first component rather efficiently with subcutaneous adiposity. The present authors feel, in fact, that this equivalence should be consciously cultivated. Perhaps the low consensus loadings of Hunt and X in the second component reflect their skepticism concerning the anatomical rationale for this scale of somatic variation—again, because of experience with radiographic measurements of inner tissue shadows.

For a sample of 28 to 30 cases, the magnitude of a residual to be significantly divergent from zero would have to exceed  $\pm 0.35$ . None of the residuals in table 1 even approaches this size. From this evidence alone, one might conclude that a simple polarity exists between the schools of Hooton and Sheldon, and each rater seems to be on his own.

Despite this evidence of idiosyncrasy, these workers have not yet arrived at the "tower of Babel" stage. Furthermore, Howells ('57) has undertaken a very extensive factor analysis of the anthropometric measurements and ratings of physique in this same Army sample, and he found fairly clear-cut differences between the ratings by Hooton's students (*Lab* and Hunt), and those by Sheldonians and Y. In the first component, the Harvard group somewhat emphasized shape and bulk of the whole trunk, and some reduction of limb lengths. The



TABLE 1

*Correlations, consensus and residuals in five ratings of physique in 28-30 men in the U. S. Army*

|                  | Hunt   | Lab    | A      | X      | Y      | Consensus loading |
|------------------|--------|--------|--------|--------|--------|-------------------|
| First component  |        |        |        |        |        |                   |
| Hunt             | *      | + 0.87 | + 0.87 | + 0.89 | + 0.84 | 0.974             |
| Lab              | + 0.04 | *      | + 0.87 | + 0.79 | + 0.66 | 0.869             |
| A                | - 0.02 | + 0.07 | *      | + 0.83 | + 0.75 | 0.917             |
| X                | - 0.02 | - 0.02 | - 0.03 | *      | + 0.86 | 0.935             |
| Y                | + 0.02 | - 0.07 | - 0.02 | + 0.07 | *      | 0.840             |
| Second component |        |        |        |        |        |                   |
| Hunt             | *      | + 0.70 | + 0.67 | + 0.66 | + 0.81 | 0.821             |
| Lab              | 0.00   | *      | + 0.80 | + 0.69 | + 0.73 | 0.852             |
| A                | - 0.05 | + 0.05 | *      | + 0.82 | + 0.70 | 0.879             |
| X                | - 0.04 | - 0.03 | + 0.08 | *      | + 0.74 | 0.849             |
| Y                | + 0.09 | - 0.02 | - 0.07 | 0.00   | *      | 0.875             |

d is toward squatness rather than eunoidism. The Sheldonians, however, clearly stressed bulk of the waist and h, and taper (but not shortening) of limbs. As Howells cogently puts it, Sheldonians think of an extreme encephaloid as a "gutball." These subtleties did not have been revealed through comparisons as crude as Spearman's factor analysis of the ratings alone.

#### *Continuity and error in adolescent physique*

The concept of consensus is now to be applied to Hunt's assessments of components 1 and 2 in the adolescent sample. This test is based on two assumptions: first, that consensus is the basic scale of systematic variation for each component; second, that the predictability of consensus we wish to reveal is that of the first component in Hunt's adolescent ratings. The second assumption is that Hunt's loadings of consensus in rank-ordering immature boys are equivalent to those based on his use of 7-point scales on grown men. Our statistical test is the hypothesis that the predictability of consensus for components 1 and 2 through adolescence is not significantly different from that of the inverse ponderal index.

For our symbolism, we let  $c$  = consensus loading, subscripts 1 and 2 represent components 1 and 2, and subscript 3 represent the index.

By the preceding hypothesis, the expected correlation between the prepubertal and subadult ratings of components 1 or 2

should be given by the product of the appropriate  $c$  value and our observed correlation for the index:

| Component | Expected correlation                         |
|-----------|--|
| 1         | $r_1 = c_1 r_3 = 0.974 \times 0.708 = 0.689$ |
| 2         | $r_2 = c_2 r_3 = 0.821 \times 0.708 = 0.581$ |

For components 1 and 2, the preceding expected correlations are converted into  $z$  scores, and the significance of their divergences from the "obtained" correlations is evaluated by the  $t$  test, where the sample size of 71 boys is the basis of our standard error of  $z$  (Snedecor, '56). We find that the following values occur:

| Component | $t$  | $p$      |
|-----------|------|----------|
| 1         | 2.95 | $< 0.01$ |
| 2         | 0.93 | $> 0.05$ |

From these findings, it seems that however we may "purify" the rating of the first component by a consensus of experienced workers, it is still not as predictable during adolescence as is the inverse ponderal index. Consensus on the second component, however, is only insignificantly less predictable than one might expect from the index.

#### DISCUSSION

Considerations of body composition and growth may help us to interpret these findings. The inverse ponderal index, after all, is based on stature and weight. During adolescence, stature usually increases to almost the individual's lifelong maximum. According to Davenport ('44), the only minor exception to this increase is

the occasional transient shortening of long bones at puberty—especially in girls.

Weight is the other measurement in the index. It is mainly non-adipose, and its lean fractions for the most part either grow or stabilize in adolescence. The major exception is lymphoid tissue, which shrinks (Scammon, '30). The adipose portion of body weight, however, may increase, stabilize or shrink in adolescence, depending on the caloric balance of the individual. It would appear that adolescence in boys is often a caloric "revolution," whose intensity cannot efficiently be forecast from a nude photograph at 11 1/2 years.

The second component, on the other hand, is a very crude perception of deeper tissues which *do* enlarge in adolescence. In the absence of rating error, theoretically it appears to be virtually as predictable as the index. This error seems to characterize all 5 raters in our study of adult males, however, so that in practice we may doubt whether one person could attain this level of accuracy in his predictions.

Moreover, even "purified" or highly conformist predictions of the second component seem to give small comfort to Sheldon and his followers, who have long hoped to reveal a regular and predictable life cycle for each somatotype (Sheldon, Dupertuis and McDermott, '54), and to combine the two patterns into an expression of genetic endowment called the "morphogenotype." Instead, our evidence seems to support Hooton's conservative opinion on body typing; that ratings are transient estimates of physique. In other words, a rather broad range of alternative patterns of development may lead to outwardly similar mature individuals.

Under such circumstances, it may be unwise to relate the three components to embryonic germ layers, as Sheldon, Stevens and Tucker ('40) have done, or even to later developmental stages, as the senior author has alternatively suggested (Hunt, '49, '52). Instead, tissue size seems to be the simplest rationale of any theory of "components" of physique.

In such terms, the first component seems to be more defensible than the second.

As Edwards ('50), Reynolds ('51), Brožek and Keys ('51), Garn ('54), Hammond ('55), Lindegård ('56) and others have shown, adipose thicknesses at different subcutaneous sites show considerable variation or communality, so that a person tends to be more or less lean or obese all over.

The subcutaneous layer contains some but not all of the extractable fat in the body, and measurements and ratings of its thickness certainly do not directly indicate how much inner fat lies near the viscera, muscle fibers, or nerve cords. Nevertheless, in young adults at least, the subcutaneous and inner adipose deposits are balanced well enough so that superficial estimates of adiposity agree fairly satisfactorily with calculations of the body fat from underwater weighing (Dupertuis et al., '51; Brožek and Keys, '52). In the young, then, Hooton's first component is an apt and realistic concept.

During aging, inner fat often accumulates more rapidly than does fat in the subcutaneous layer (Brožek, '52; Škejnovský, Brožek and Hunt, '53). The composition and elasticity of the skin and subcutaneous layer change, and sizeable individual differences occur in the sites and amount of accumulated inner adipose tissue (Lerner, Mazzoleni and Rodriguez, '55). The age changes detract from the predictability of total body fat from skinfolds (Brožek and Keys, '51), and somewhat undermine the concept of adiposity as an overall "component" of the elderly organism.

In measuring fatness in man, we must now ask whether photographic ratings of the first component are preferable to assessments of the subcutaneous layer from skinfolds or radiographs. In general, the basic correlations between raters of the first component in table 1 are lower than those between different measurers using skinfold calipers. Even with the old French caliper, with unspecified pressure and age of contract, Meredith ('36) found correlations between different anthropometrists of +0.940 and +0.948 in measurements of skinfolds in children. With this caliper Hammond ('55) found that repeat measurements by one person were in only slightly better agreement than those of different investigators. He also found that

ment is somewhat improved by the use of the newer Harpenden caliper, with standardized pressure and area of contact of the skinfold. Using another type of standardized caliper, Newman and White found correlations between partly-trained measurers at 4 skinfold sites which ranged from +0.916 to +0.955 (Keys and Brody, '53).

Successive standardized radiographs, measurements of tissue shadows are at least as reliable as skinfolds, and in some cases are more so (Clarke, Gëser and Hunsdon, '56; Baker, Hunt and Sen, '58). Where living persons can be measured, standardized x-ray films taken, we therefore prefer skinfolds or measurements of adipose tissue shadows to ratings as the first component, for reasons of objectivity, modesty and simplicity.

Sheldon, Stevens and Tucker ('40) considered the original second component (somomorphy) to be a concurrent estimate of muscle size and bone size. From frontal photographs of the body, such estimates are apparently inaccurate. For example, Harper ('54) found that "apparent" muscle size as rated from photographs is virtually uncorrelated with the actual sizes of muscle shadows as measured from radiographs. Furthermore, the sizes of muscles and bones in the limbs are nearly independent of each other (Arnolds, '44; Baker, Hunt and Sen, '58). Muscle sizes in different regions of the body, however, do show some covariation when measured from x-ray films, and in this sense we may still speak of a component of muscularity (Baker, '58, personal communication).

Still another difficulty with the second component is that no factor akin to it has been revealed through factor analysis of external body measurements. Some of the better analogies have been demonstrated between factors and concepts such as the first component or caloric nutriture (Manner, '47; Howells, '52, '57; Lasker, Hammond, '57).

We are left, then, with a second component which expresses muscle relief, coarseness of skin and features, masculinity and strength. It can be seen in the body or in suitable photographs, but cannot yet be measured in either. Further-

more, different observers no longer agree closely on how to rate it.

In view of these difficulties, most future research which bears on muscularity should probably be based on direct measurements rather than on photographic ratings. Often, these measurements may be designed to show "parsimoniously" the effects of local mechanisms of growth (Baker, Hunt and Sen, '58; Hunt, '58). At least in radiographs of the brachium, some improvement of reliability in measuring muscle shadows can be attained when the muscles are contracted rather than relaxed (Clarke, Gëser and Hunsdon, '56).

Although Sheldon's concept of the constancy of physique certainly does not hold during adolescence, we suggest that it still has some validity over the younger years of adulthood. As Finlay ('57) has observed, the non-adipose constituents of the mature body show enough metrical continuity so that one may speak of them as rather stable, "constitutional" characteristics of the individual. The evidence indicates, however, that body build photographs do not permit us to make precise statements on this stability: whereas such statements may be somewhat more credible when the "inner man" beneath the subcutaneous layer is measured.

#### SUMMARY

Stolz and Stolz ('51) have described the somatic changes in a series of adolescent boys who were remeasured for several years in Oakland, California. We have used serial body build photographs of this series for a study of physique and sexual maturation in adolescence. On 71 boys, the photograph nearest the age of 11.5 years was defined as the "prepuberal" age level, and an older photograph taken between 16.5 and 19.0 years was assumed to be at the "subadult" stage of development.

The senior author (Hunt) rank-ordered the prepuberal and subadult photographs according to the first and second components of physique, and calculated the third component as equivalent to the inverse ponderal index ( $\text{stature}/\sqrt[3]{\text{weight}}$ ). The correlations between the ratings are based on the rank-order formula, and between values of the index by the product-



moment coefficient. Agreement at the two ages was worse for the first component, slightly better for the second, and by far the best for the index. The adolescent change in the index was found to be negatively correlated with the index at the prepubescent age.

Since experienced workers show only limited agreement today in their ratings of body build photographs, the hypothesis was tested that the first and second components are really as predictable as the index through adolescence, but that the lower observed correlations were produced chiefly by Hunt's idiosyncrasies of rating. This test was made through a factor analysis of agreement of his ratings with 4 others made on a set of photographs of men in the U. S. Army.

This test showed that the correlation of the second component could be explained by this hypothesis, since it (like the index) is based mainly on tissues which continue to grow in adolescence. The first component, however, is related to adipose tissue. In adolescence, some boys fatten, others stabilize, and still others thin down. It would appear that the alternative of adipose shrinkage tends to depress the predictability of fatness from early to late adolescence. In fact, radical changes are sometimes seen. Consequently, similar physiques in the adult may be attained by quite different developmental paths in different individuals.

These findings add to the theoretical and practical difficulties of rating physique from photographs. Observers generally do not agree as well in assessing the first component as do workers who measure the subcutaneous layer from skinfolds or radiographs. The second component is a score related in part to the bulging of muscles, but it is not appreciably related to muscle size. Observers today agree less well on rating it than they do on the first component. Furthermore, the sizes of muscles and bone are not highly correlated with each other. Factor analyses of body measurements, too, have failed to reveal a factor resembling the second component. For most purposes, therefore, direct measures of inner tissues seem preferable to photographic ratings of the second component.

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# The Weight of the Dry Fat-free Skeleton of American Whites and Negroes<sup>1</sup>

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The characteristics of bone are the total expression of extrinsic and intrinsic factors acting upon it. Thus, weight, one of the characteristics, is influenced by these factors. Ingalls ('31) stated: "Development of bone is never completed, but the conditions presented at any given moment are the end results of a certain hereditary complex interacting with some particular environment for a given length of time." That the same bone of different individuals, or that different bones of the same individual, are affected to the same degree by these complex factors is not to be expected. Bauer, Aub, and Albright (1930) demonstrated that bone trabeculae constitute the main storehouse of calcium and that a measurable reduction in trabeculae occurs in animals placed on a low calcium diet. Ingalls ('31) found the sternum and sacrum—both largely cancellous bones—to be the most variable in weight of the bones of 100 White male skeletons. He indicated further that differences in weight occur in each region of the vertebral columns of these skeletons with a progressive increase in the coefficients of variation extending from the skull caudad, i.e., the cervical vertebrae, with relatively more compact bone than the other vertebrae, are less variable in weight from vertebra to vertebra than are the lumbar vertebrae and sacrum, which have relatively more cancellous bone.

Using radioactive strontium, Pecher (1945) measured the activity of "shaft and trabecular bone" in recently injected rats and rabbits, and found trabecular bone to have a higher activity than shaft bone, that "activity was higher in the dorsal vertebrae than in most of the other bones." Levy ('45) attributed the difference in rate of renewal of epiphyseal and dia-

physeal bone to the richer circulation of the epiphyses. He demonstrated with labelled phosphate that during the course of 50 days 29.7% of inorganic phosphate in an epiphysis of the femur was renewed, whereas only 6.7% of inorganic phosphate was renewed in the diaphysis of the same bone. A similar relation was seen between epiphysis and diaphysis of the tibia. However, the ribs and scapula had an average renewal of 27.5% and 43.8%, respectively.

Age is definitely expressed in bones (Todd, '27), and that the weight of bones is altered by aging has been presented by Ingalls ('31). In his study of 100 White male skeletons ranging in age from 19 to 78 years, the material was grouped in 5 ten-year age periods. The skeletons were from the Todd Collection and had been prepared by routine maceration, cleaning, and air-drying, but were not degreased. He recognized that the preparation had not resulted in the same condition for all of the bones, but he was interested in relative rather than absolute values. He found that the maximum physiological development of the skeleton occurred "somewhere around 35 years of age." Following this peak, there was a decline in bone weight to age 45 years. Beyond this age, the weight again increased reaching the highest value for the series in the sixth decade; however, this increase was interpreted to be the result of aging or pathological processes forming new bone around the articular surfaces and upon the skull. From age 55 years, the weight again decreased, reaching its lowest value "between 65 and 70 years."

The weights of the skeleton and of selected bones have been utilized in deriving

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formulae for estimation of skeletal weight in the living (Trotter, '54; Merz, Trotter and Peterson, '56). It was found by Baker and Newman ('57) that broad categories of living weight can be predicted from skeletal weight and that the correlation between weights of individual bones or of subdivisions of the skeleton may be helpful in the segregation of commingled skeletal remains. The usefulness of weights of long limb bones in the determination of their sex has been presented by Vallois ('57).

Lowrance and Latimer ('57) studied a series of skeletons of Asiatic origin of unknown age and sex. They presented the mean percentage contributions to the total skeleton weight by individual bones.

A survey of the content of strontium-90 in man (Kulp, Eckelmann, and Schulert, '57; Eckelmann, Kulp, and Schulert, '58) has indicated a need for absolute values of the weight relationship of given bones and groups of bones to the total skeleton weight. Single bones, usually a rib, vertebra, or femur shaft, were analyzed for content of strontium-90, and from these small samples an estimate of the amount of strontium-90 in the total skeleton was determined on the basis of the relation of the weight of the sample to the weight of the total skeleton. In their report ('57) the final values for the world-wide human assay were "normalized" by using unpublished data based on weights of a few skeletons in this laboratory. Although the authors have maintained the analytical error to the lowest possible value, the "errors on the individual measurement range from 2 to 50 per cent" with "most of the samples having an error of the order of 5 to 10 per cent." They propose that the analytical error is quite small compared with the degree of uncertainty of the relation between the amount of strontium-90 assimilated by an individual bone and that by the whole skeleton. Thus, they recognized that different bones of the skeleton as well as different parts of the same bone are in varying states of metabolism at any one time. It should be recognized, also, that the weight relationships of parts of the skeleton to the whole may vary among groups and that failure to take this into account in a world-wide survey will

result in further error in estimates of strontium-90 content in the total skeleton.

The purpose of the present undertaking is a comparative study of the weight of the total skeleton and the contributions of it by 4 arbitrary divisions and by individual femurs based on skeletons of American Whites and Negroes.

MATERIAL

A series of 100 dry, fat-free skeletons evenly divided among American Whites and Negroes of both sexes and showing gross pathology, were chosen. The methods of preservation of the cadavers before skeletonization are summarized as follows:

| Unembalmed          | Embalmed         |                      |                |       |
|---------------------|------------------|----------------------|----------------|-------|
| Frozen <sup>1</sup> | GAC <sup>2</sup> | GAC + F <sup>3</sup> | F <sup>4</sup> | Unkne |
|                     | White male       |                      |                |       |
| 22                  | 1                |                      | 2              |       |
|                     | White female     |                      |                |       |
| 12                  | 4                | 4                    | 4              |       |
|                     | Negro male       |                      |                |       |
| 18                  | 3                |                      | 2              |       |
|                     | Negro female     |                      |                |       |
| 7                   | 12               | 2                    | 2              |       |

<sup>1</sup> Cadavers are retained in the morgue of medical school for a minimum of 30 days at temperature of -15° to -20°C.

<sup>2</sup> Solution of glycerin (two parts), 95% alcohol (two parts) and dissolved carbolic acid crystals (one part).

<sup>3</sup> 3% formalin.

<sup>4</sup> 10% formalin.

The majority of the bodies were unembalmed, and had had a complete or partial autopsy before delivery to this department. For the autopsy the usual procedure of moving the anterior chest wall by a transverse cut through the manubrium sterni and longitudinal cuts on either side through the ribs had been followed. The only other cuts affecting the skeleton were through the calvaria when the post-mortem examination involved the head. In an earlier study in this laboratory, Trotter and Peterson ('55) found that the state of the cadaver, either embalmed or unembalmed, does not affect the weight of ash of the bones in percentage of their dry fat-free weight and concluded that the dry fat-free weight of a bone is not altered by embalming.

TABLE 1

*Age distribution of skeletons according to race and sex*

| Race  | Sex    | Age interval (years) |       |       |       |       |        | Total |
|-------|--------|----------------------|-------|-------|-------|-------|--------|-------|
|       |        | 25-39                | 40-49 | 50-59 | 60-69 | 70-79 | 80-100 |       |
| White | male   | 0                    | 1     | 5     | 8     | 11    | 0      | 25    |
| White | female | 1                    | 3     | 4     | 5     | 7     | 5      | 25    |
| Negro | male   | 1                    | 5     | 5     | 6     | 7     | 1      | 25    |
| Negro | female | 4                    | 4     | 3     | 3     | 6     | 5      | 25    |
| Total |        | 6                    | 13    | 17    | 22    | 31    | 11     | 100   |

The age distribution of the 4 groups according to race and sex is presented in Table 1. The White male and female groups range in age from 25 to 91 years, with a mean age of 66 years. The Negro male and female groups range from 25 to 100 years with a mean age of 60 and 65 years, respectively.

#### METHOD

After removal of the soft parts, the skeletons were prepared by the Terry Method (Terry, '32) excepting the last step, that of immersing the bones in a thin solution of sodium hydroxide. Rather, after they had been submerged in acetone vapors, they were immersed in acetone, dried, and weighed (Terry and Peterson, '55).

The weights of the bones were determined on a Toledo beam balance. The bones were weighed individually with the exception of the bones of the cranium,<sup>2</sup> hand, ribs and moveable vertebrae. The ribs were weighed as right and left groups; the moveable vertebrae were weighed in accordance with their topographical location (cervical, thoracic, and lumbar. No attempt was made to weigh the coccyx,<sup>3</sup> ossicle of the ear,<sup>4</sup> or the hyoid bone. The sum of the individual weights of a given skeleton is considered to be the total skeleton weight.

In determining the weight of the skull, a correction was made for missing teeth at the corresponding alveolar borders. It was recognized that some error is introduced by the absence of teeth, whether they were pre- or post-mortem. The distribution of skeletons (in per cent) according to number of teeth in each jaw is summarized for each racial group as follows:

|            | 0 teeth | 1-8 teeth | 9-16 teeth |
|------------|---------|-----------|------------|
|            | White   |           |            |
| Maxillary  | 34      | 8         | 8          |
| Mandibular | 28      | 13        | 9          |
|            | Negro   |           |            |
| Maxillary  | 9       | 21        | 20         |
| Mandibular | 8       | 19        | 23         |

The dry, fat-free weight of one complete set of permanent teeth in a White male, age 50 years, was found to be 39.0 gm. The weight of the maxillary teeth, 19 gm, was approximately the same as of the mandibular teeth, 20 gm. The total teeth were found to contribute 5.5% of the skull weight, 712 gm. In this series of skeletons only two Negro female skeletons presented a complete set of teeth, and in 43% there were no maxillary teeth and in 36% no mandibular teeth.

Each skeleton was divided into 4 arbitrary divisions: I, skull; II, vertebral column, ribs and sternum; III, superior extremities; and IV, inferior extremities. In addition, the femur weights were used in comparing a single bone weight to the total skeleton weight.

In the statistical treatment, the 4 groups of skeletons were compared by the analysis of variance to determine whether there are significant race or sex differences among total skeleton weights, among each of the 4 division weights, among the weights of the femurs, and among the percentage contributions of each division and of femurs to total skeleton weight. In addition,

<sup>2</sup> *Cranium* is used to designate skull without mandible.

<sup>3</sup> Except when the whole or part of it was fused to sacrum; it was then included in the weight of sacrum.

<sup>4</sup> Except when retained in the temporal bone after maceration.

the analysis of covariance, correcting for differences among total skeleton weights was used to compare weights of the 4 divisions and of the femurs (Snedecor, '57). Grateful acknowledgment is made to Barbara Bartels Hixon for selection of the statistical methods and for guidance in accomplishing the analyses.

RESULTS

*Total skeleton weight*

In table 2 are presented the means of the total skeleton weights according to race and sex. The mean total skeleton weight of White males, 3418.7 gm, is greater than of White females, 2302.5 gm; and of Negro males, 3852.7 gm, than of Negro females, 2828.2 gm. The two groups of Negro skeletons have a mean weight of 3340.2 gm and are heavier than the two groups of White skeletons with a mean weight of 2869.6 gm; the two groups of the male skeletons, mean weight of 3635.7 gm, are heavier than the two groups of female skeletons, mean weight of 2565.4 gm. The differences among the 4 groups are presented graphically in figure 1.

An analysis of variance of the mean total skeleton weights of the 4 groups was carried out as shown below.

Highly significant differences ( $P < 0.001$ ) are found among the mean skeleton weights of the 4 groups. A breakdown of the source of variation into race, sex, and interaction of the two shows the Negro skeletons to be significantly heavier ( $P < 0.001$ ) than the White, and the male skeletons to be significantly heavier ( $P < 0.001$ ) than the female, with no significant interaction.

*Division I, skull*

The mean weights of the skull, are presented according to race and sex in table 2 and figure 2. In the White groups, the mean weight is greater in the male, 632.9

gm, than in the female, 498.9 gm; however, in the Negro groups, the difference between the mean weights of the skull of the two sexes is slight, 668.1 gm for males and 643.2 gm for females.

Using the analysis of variance as above it is found that there are significant differences ( $P < 0.001$ ) among the skull weights of the 4 groups. A breakdown of the three degrees of freedom among the 4 groups shows the interaction of race and sex to be significant ( $P < 0.05$ ) indicating an irregular pattern of the mean weight of the skull between the sexes within a race.

Because the interaction is significant the mean square for "Within groups" is no longer the appropriate error term to use for testing race and sex differences. The mean squares for these two sources of variation are not significantly larger than for the interaction. Therefore, no definite conclusion can be reached from this analysis concerning sex or race differences.

To test the possibility that differences in the mean total skeleton weights of the 4 groups may be obscuring differences among the mean skull weights of the 4 groups, an analysis of covariance in which the effect of differences in total skeleton weights is removed was applied.

Significant interaction ( $P < 0.05$ ) is still present, and the mean squares for Negro vs. White and for Male vs. Female are smaller than for the interaction. Therefore, no significant differences in skull weights between the races or sexes have been found in these data.

The mean per cent contributed by the skull to total skeleton weight of each of the 4 groups is presented in table 2 and figure 3. The percentage contributed ranges from 17.5% in the Negro male series to 23.0% in the Negro female series. It is greater in the female series of both races (22.5%) than in the male series

| Source of variation | Degrees of freedom | Mean square | Variance ratio (F) | P      |
|---------------------|--------------------|-------------|--------------------|--------|
| Among 4 groups      | 3                  | 11,483,304  | 41.2               | <0.001 |
| Negro vs. White     | 1                  | 5,756,639   | 20.7               | <0.001 |
| Male vs. female     | 1                  | 28,640,692  | 102.8              | <0.001 |
| Interaction         | 1                  | 52,581      |                    | n.s.   |
| Within groups       | 96                 | 278,609     | 1                  |        |



TABLE 2

means and standard deviations (S.D.) of the total skeleton weight and the weight and the contribution of each division in per cent of total weight according to race and sex

| Division                               | Weight in grams |       | Per cent of total skeleton weight |      |
|--|-----------------|-------|-----------------------------------|------|
|  | Mean            | S.D.  | Mean                              | S.D. |
| White male                             |                 |       |                                   |      |
| Total skeleton                         | 3418.7          | 496.3 |                                   |      |
| I, Skull                               | 632.9           | 137.5 | 18.5                              | 3.20 |
| II, Vertebral column, ribs and sternum | 582.2           | 123.4 | 16.9                              | 1.83 |
| III, Superior limbs                    | 634.3           | 107.8 | 18.5                              | 1.20 |
| IV, Inferior limbs                     | 1569.2          | 253.0 | 46.0                              | 3.16 |
| White female                           |                 |       |                                   |      |
| Total skeleton                         | 2302.5          | 482.3 |                                   |      |
| I, Skull                               | 498.9           | 97.2  | 22.0                              | 3.85 |
| II, Vertebral column, ribs and sternum | 408.6           | 104.1 | 17.7                              | 2.08 |
| III, Superior limbs                    | 374.8           | 91.0  | 16.2                              | 1.27 |
| IV, Inferior limbs                     | 1020.1          | 253.7 | 44.0                              | 3.25 |
| Negro male                             |                 |       |                                   |      |
| Total skeleton                         | 3852.7          | 540.2 |                                   |      |
| I, Skull                               | 668.1           | 101.1 | 17.5                              | 2.68 |
| II, Vertebral column, ribs and sternum | 669.4           | 124.6 | 17.3                              | 1.43 |
| III, Superior limbs                    | 751.2           | 105.2 | 19.5                              | 1.02 |
| IV, Inferior limbs                     | 1764.2          | 300.0 | 45.6                              | 2.67 |
| Negro female                           |                 |       |                                   |      |
| Total skeleton                         | 2828.2          | 586.8 |                                   |      |
| I, Skull                               | 643.2           | 145.3 | 23.0                              | 3.79 |
| II, Vertebral column, ribs and sternum | 507.8           | 124.2 | 17.9                              | 1.89 |
| III, Superior limbs                    | 476.8           | 102.0 | 16.8                              | 0.69 |
| IV, Inferior limbs                     | 1200.3          | 303.3 | 42.2                              | 3.93 |

the two racial groups (18.0%). In the two racial groups the per cent of total skeleton weight contributed by Division I is the same (18.5% and 18.0%).

In the analysis of variance the mean percentage contributions of the skulls to the total skeleton weights of the 4 groups are found to be significantly greater ( $P < 0.001$ ) in the female groups than in the male groups. The differences between the races and the interaction of race and sex are not significant.

#### *Division II, vertebral column, ribs and sternum*

The mean weight of division II (table 2; figure 2) is heavier in White males, 582.2 gm, than in White females, 408.6 gm; and in Negro males, 669.4 gm, than in Negro females, 507.8 gm.

By the analysis of variance, the race and sex differences are found to be highly sig-

nificant ( $P < 0.001$ ). However, by the analysis of covariance, in which adjustment is made for differences among the mean total skeleton weights of the 4 groups, division II is found to be significantly heavier ( $P < 0.001$ ) in the males than in the females with no significant difference between the races nor with significant interaction of race and sex.

The mean per cents contributed by the vertebral column, ribs, and sternum to the total skeleton weight of each of the 4 groups are presented in table 2 and figure 3. The contribution by this division is essentially the same in the Negro group (17.0%) as in the White group (17.3%). Although the difference between the sexes, female, 17.8% and male, 17.1%, is slightly larger but not significantly so, it should be noted that the variance ratio ( $F$ ) of 3.62 approaches the minimum probability level used in this study. It is possible that



a larger sample might show a significant sex difference.

*Division III, superior limbs*

The mean weights of the skeleton of the superior limbs of the 4 groups are presented in table 2 and figure 2. In the White group, the mean weight is greater in males, 634.2 gm, than in females, 374.8 gm; in the Negroes, the mean weight is also greater in males 751.2 gm, than in females, 476.8 gm.

Before adjusting for the differences in the mean total skeleton weights of the 4 groups, highly significant differences ( $P < 0.001$ ) were found.

After adjustment for the differences in the mean total skeleton weights of the 4 groups was made using the analysis of covariance, a significant difference ( $P < 0.001$ ) persists. A breakdown of the three degrees of freedom among the 4 groups shows that the skeleton of the superior limbs of the males is significantly heavier ( $P < 0.001$ ) than of the females, and of the Negroes ( $P < 0.01$ ) than of the Whites, with no significant interaction.

The mean per cents contributed by the skeleton of superior limbs to total skeleton weight of each of the 4 groups are shown in table 2 and figure 3. In the Whites

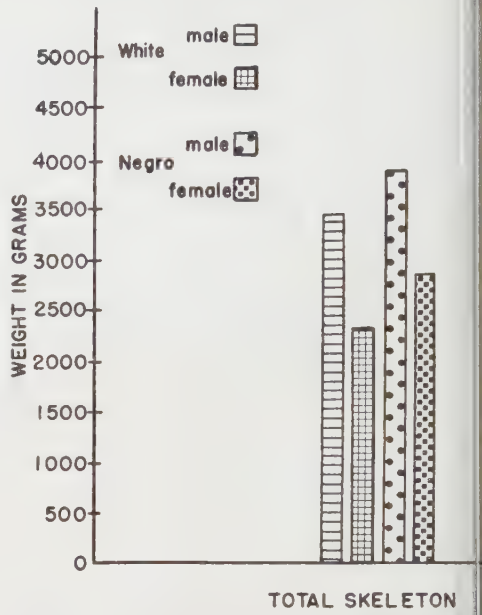


Fig. 1 Block graph of total skeleton weight according to race and sex.

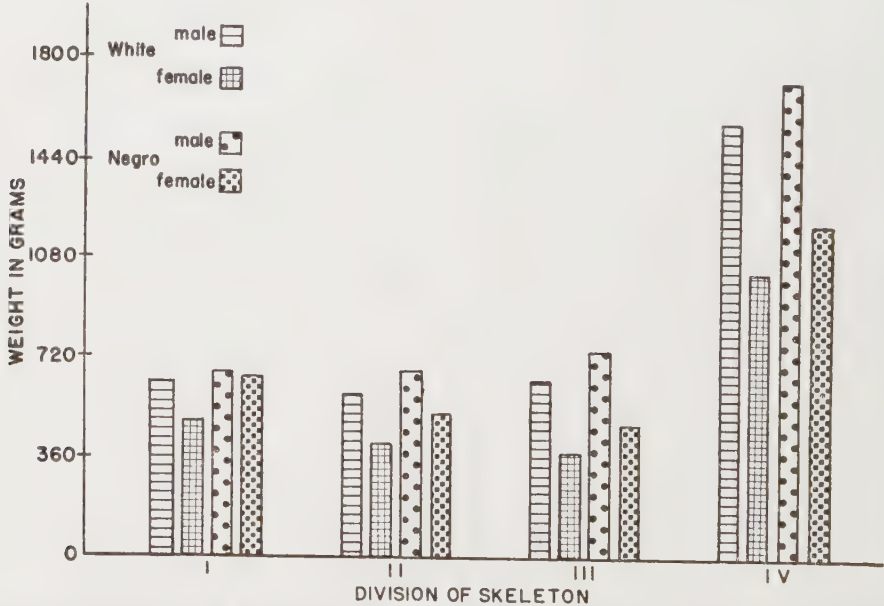


Fig. 2 Block graph of the absolute weights of skull (division I); vertebral column, ribs and sternum (division II); skeleton of superior limbs, (division III); and skeleton of inferior limbs (division IV) according to race and sex.

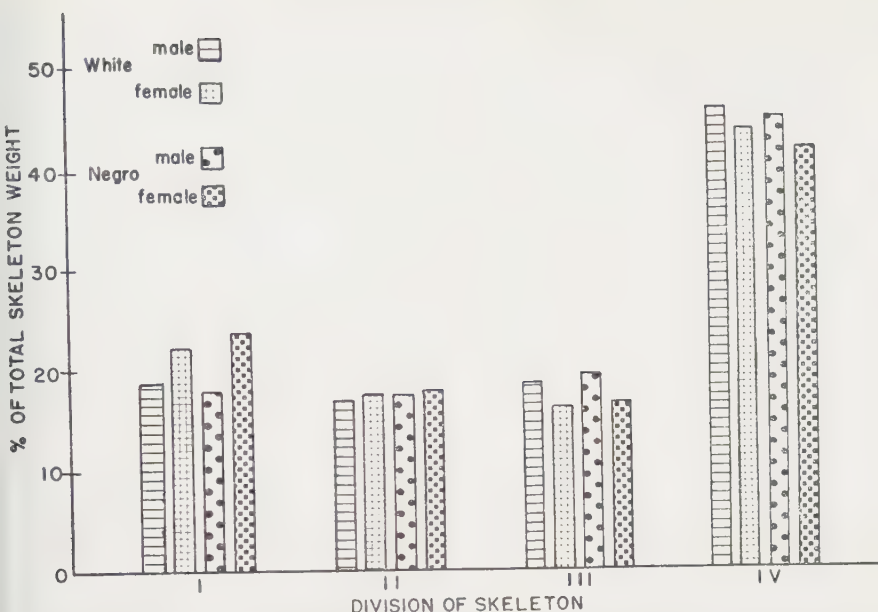


Fig. 3 Block graph of the percentage contributions to total skeleton weight of skull (division I); vertebral column, ribs and sternum (division II); skeleton of superior limbs (division III); and skeleton of inferior limbs (division IV); according to race and sex.

the superior limbs of the male contribute a greater amount (18.5%) to the skeleton than of the female (16.2%). In the Negroes, the same pattern is evident, the male contributing 19.5% as compared to 16.8% of the female. The percentage is greater (18.2%) in the Negroes than in the Whites (17.4%).

From the analysis of variance, race and sex differences are found to be highly significant ( $P < 0.001$ ), with the superior limbs of the Negro group contributing a significantly greater ( $P < 0.001$ ) percentage of the total skeleton weight than of the White group, and the superior limbs of the males contributing significantly more ( $P < 0.001$ ) to the total skeleton weight than of the females. No significant interaction is present.

#### Division IV, inferior limbs

The mean weight of the skeleton of the inferior limbs (table 2; fig. 2) is heavier in the males, 1569.2 gm, than in White females, 1020.1 gm; and in Negro males, 1202.2 gm, than in Negro females, 1200.3

gm. In the 4 groups, the race and sex differences appear to be highly significant ( $P < 0.001$ ), with no significant interaction. However, after adjustment for the differences in the mean total skeleton weights by the analysis of covariance, the level of significance between the races is reduced ( $P < 0.05$ ); the difference between the sexes and the interaction of race and sex are not significant.

The mean per cents contributed by the skeleton of the inferior limbs in each of the 4 groups to total skeleton weight are presented in table 2 and figure 3. In the White group, the inferior limbs of the male contribute a greater amount (46.0%) than of the female (44.0%). The percentage of total skeleton weight contributed by this division is greater in the Negro males (45.6%) than in the Negro females (42.2%). The analysis of variance shows that only the difference between the sexes is significant with the percentage contribution by the male being significantly greater ( $P < 0.001$ ) than by the female.

#### Femurs

Before adjustment for the differences in the mean total skeleton weights of

The mean weights of the femurs are presented in table 3 according to side,

TABLE 3

Means and standard deviations (S.D.) of the weights of the right and left femurs of and their contributions in per cent to total skeleton weight according to race and sex

| Race and sex | Weight in grams |      | Per cent of total skeleton weight |       |
|--------------|-----------------|------|-----------------------------------|-------|
|              | Mean            | S.D. | Mean                              | S.D.  |
| Right femur  |                 |      |                                   |       |
| White male   | 315.4           | 45.5 | 9.27                              | 0.958 |
| White female | 205.4           | 50.9 | 8.90                              | 0.890 |
| Negro male   | 353.9           | 61.4 | 9.16                              | 0.764 |
| Negro female | 236.6           | 57.2 | 8.34                              | 0.890 |
| Left femur   |                 |      |                                   |       |
| White male   | 320.1           | 41.8 | 9.40                              | 0.736 |
| White female | 203.2           | 47.3 | 8.83                              | 0.764 |
| Negro male   | 355.2           | 58.8 | 9.20                              | 0.577 |
| Negro female | 238.3           | 53.8 | 8.44                              | 0.817 |

race, and sex. Since the difference between the weights of the right and left femurs is not significant in any of the 4 groups, the weights of the two sides were combined and tested for race and sex differences by the analyses of variance and covariance. The analysis of variance, shows the differences between races and between sexes to be significant ( $P < 0.001$ ). However, after adjustment for differences in the mean total skeleton weights of the 4 groups in the analysis of covariance, the race difference is not significant but the sex difference remains highly significant ( $P < 0.001$ ). Interaction between race and sex is not significant either before or after adjustment.

The mean per cents contributed by the right femur and by the left femur (table 3) of each of the 4 groups to total skeleton weight were tested separately. The percentage contribution by each femur to total skeleton weight is greater in the male than in the female and in the White than in the Negro groups. In all but the White female group the left femur contributes a slightly greater amount to the total skeleton weight than the right femur. By the analysis of variance, it is found that the right and the left femur each contributes significantly more ( $P < 0.001$ ) to the total skeleton weight in the males than in the females; that the left femur, contributes significantly more ( $P < 0.05$ ) in the White groups than in the Negro groups; and that the right femur contributes more in the White than in the Negro but with  $P$  merely approaching significance.

In order to compare the mean percentage contributions of the right and left femurs to total skeleton weight a pooled error term based on the "Within groups" variation for the right and left femur per cents were used. The combining of the error terms is permissible since the mean squares for the right and left femurs are homogeneous. By the analysis of variance, the mean square for the right and left femur is less than the error term, thereby showing no significant difference between the relative amounts of total skeleton weight contributed by the two bones.

DISCUSSION

The weight of human skeletons may be affected by many diverse factors. Race, sex, size, age and nutrition provide effects which are inherent characteristics of the bones and constitute existent differences in weight which need to be known. The effects of disease and of processes used in the preparation of the skeleton, such as maceration, degreasing, and drying, may also alter the weight of the skeleton and should be controlled. In this study, skeletons having evident pathology were excluded, and the preparation of the material was uniform, i.e., all skeletons were prepared by the same individual, using the same technique. Reduction of the skeletons to a dry, fat-free state eliminated the differences in moisture and fat content. Only mature skeletons with a wide and comparable distribution of age among the groups were used. Nutrition effects are unknown, but it may be relevant to

the skeletons were from individuals whose remains had been assigned to the Anatomical Board because of lack of place for the usual disposition.

Considering the results of this study it should be recalled that the concern is differences in weight of the skeleton in its parts between American Whites and Negroes and between the sexes of each race. The absolute values are considered only as the relative contribution of each part to the total skeleton weight. Probabilities associated with these differences are summarized in table 4.

Differences in the mean total skeleton weights of the 4 race-sex groups are significant with the Negro skeleton heavier than the White and the male skeleton heavier than the female.

The divisions of the skeleton which are found to account for race differences in the mean total skeleton weights are the superior and inferior limb skeletons (divisions III and IV). There is shown to be no significant race difference in the weights of vertebrae, ribs and sternum as a group (division II), and because of interaction between race and sex in the

TABLE 4

*Summary of probabilities (P) in the analyses of variance and covariance associated with differences (race, sex, and interaction) in group means for total skeleton weight (TSW), for each division of the skeleton, and for femurs; and in the analysis of variance for differences among percentage contributions of each group to TSW*

|                                    | Weights           |            | Per cent contributions to TSW |        |
|------------------------------------|-------------------|------------|-------------------------------|--------|
|                                    | Variance          | Covariance | Analysis of variance          |        |
|                                    | P                 | P          | P                             |        |
| Total skeleton                     |                   |            |                               |        |
| Groups                             | <0.001            |            |                               |        |
| Race                               | <0.001            |            |                               |        |
| Sex                                | <0.001            |            |                               |        |
| Interaction                        | n.s. <sup>1</sup> |            |                               |        |
| Skull                              |                   |            |                               |        |
| Groups                             | <0.001            | n.s.       | <0.001                        |        |
| Race                               | n.s.              | n.s.       | n.s.                          |        |
| Sex                                | n.s.              | n.s.       | <0.001                        |        |
| Interaction                        | <0.05             | <0.05      | n.s.                          |        |
| Vertebral column, ribs and sternum |                   |            |                               |        |
| Groups                             | <0.001            | <0.05      | >0.05                         |        |
| Race                               | <0.001            | n.s.       | n.s.                          |        |
| Sex                                | <0.001            | <0.001     | <0.10                         |        |
| Interaction                        | n.s.              | n.s.       | n.s.                          |        |
| Superior limbs                     |                   |            |                               |        |
| Groups                             | <0.001            | <0.001     | <0.001                        |        |
| Race                               | <0.001            | <0.01      | <0.001                        |        |
| Sex                                | <0.001            | <0.001     | <0.001                        |        |
| Interaction                        | n.s.              | n.s.       | n.s.                          |        |
| Inferior limbs                     |                   |            |                               |        |
| Groups                             | <0.001            | <0.05      | <0.001                        |        |
| Race                               | <0.001            | <0.05      | n.s.                          |        |
| Sex                                | <0.001            | n.s.       | <0.001                        |        |
| Interaction                        | n.s.              | n.s.       | n.s.                          |        |
| Femurs                             |                   |            | Right                         | Left   |
| Groups                             | <0.001            | <0.001     | <0.01                         | <0.001 |
| Race                               | <0.001            | n.s.       | <0.10                         | <0.05  |
| Sex                                | <0.001            | <0.001     | <0.001                        | <0.001 |
| Interaction                        | n.s.              | n.s.       | n.s.                          | n.s.   |

Not significant.



weight of the skull, no conclusion could be reached concerning difference in weight of the skull between the two races or sexes.

In the mean weights of divisions II and III, the male is significantly heavier than the female; this difference cannot be accounted for by the greater skeleton weight of the male, as shown from the analysis of covariance.

The percentage contributions to the total skeleton weight by divisions I, III and IV are found to vary significantly between the sexes. The skull (division I) in the female of both races contributes a significantly greater amount to the total skeleton weight than in the male; however, the contributions by divisions III and IV are greater in the male than in the female. The only race difference in percentage contributions evident in this series is shown by division III (superior limbs). The relative contribution to the total skeleton weight by division II does not vary significantly between races or sexes, but the sex difference approaches the minimum probability level of significance used in this study. The sex difference present in the percentage contributions by inferior limbs is present also in the percentage contributions of the individual femurs; and, the left femur shows a significant race difference ( $P < 0.05$ ) with the right femur approaching significance. It is of interest that significant differences are found in the percentage contributions to total skeleton weight among these 4 race-sex groups by a single bone, the femur, for which the percentage contributions range from 8% to 9%. There are no significant differences in percentage contributions between right and left femurs.

It is well known that the long limb bones are longer in Negroes than in Whites and in males than in females (Schultz, '37), and there is evidence also to indicate that the circumference and the amount of compact bone of the shaft of the femur are greater in the Negroes of both sexes than in the Whites (Merz, Trotter and Peterson, '56). The density (weight/volume) of the femurs and of the lumbar vertebrae is also significantly greater in the Negro than in the White, but no significant difference

was found between the sexes (Bronn, Trotter and Peterson, '58). Thus, it is surprising to find that the total skeleton is significantly heavier in the Negro than in the White and in the male than in female.

The relationships of the dependent variables, the division weights, with the independent variable, total skeleton weight, were tested and found to show linear regressions, i.e., an increase in the weight of the division of the skeleton corresponds to an increase in total skeleton weight, and the same test applied to the relationships of percentage contributions of each division to total skeleton weight indicates a significant change in the percentages with increase in skeleton weight. Statistical analyses used in determining differences among the 4 groups are more sensitive when applied to the actual weights than the percentage contributions to the total weight since in analyzing the percentages the range of variability is necessarily limited to 100%. In the levels of significance (table 4) of the contributions by race and sex for weights (by the analysis of covariance) and for percentage contributions of each of the 4 divisions (by the analysis of variance) there is evident a general conformity between the results of the tests excepting for the skull and for the skeleton of the inferior limbs. The analysis of covariance of the weights of the lower extremity shows a significant difference between races but not between sexes, whereas the difference in percentage contributions to skeleton weight of the lower extremity of the 4 groups is found by the analysis of variance to be significant only between the sexes.

The study by Ingalls ('31) was carried out on White male skeletons of the Todd Collection of which the source was cadaver material. The series was composed of 100 skeletons, in contrast to 25 in the present White male series. It may be assumed that the chief difference between the skeletons used in the two studies is that of fat-content and statidity. The Todd Collection skeletons had not been subjected to any degreasing process and the weights were taken after ordinary drying by air, whereas the skeletons used in this study had been nat-

ghly dry and fat-free before weighing. It is of interest to compare the results of the two White male series of similar

mean weight, 3418.7 gm, of the male skeletons used in this study is that recorded by Ingalls, 4957 gm. The difference is probably due to the removal of fat from the present series rather than to a difference in the overall size of skeletons of the two series.

In comparison between the percentage contributions of the 4 arbitrary divisions of the total skeletal weight of the two series the following:

|            | Ingalls         | Present study |
|------------|-----------------|---------------|
| al column, | 15              | 18.5          |
| nd sternum | 19 <sup>1</sup> | 16.9          |
| r limbs    | 19              | 18.5          |
| e limbs    | 47              | 46.5          |

etermined from Ingalls' weight data.

The difference in the percentage contributions by the same groups of bones in the two series might be explained by the fact that in one series the bones were dry and fat-free and in the other they were not, since the relative proportion of fat content is not expected to be the same in the divisions of the skeleton.

As seen from this statistical study of the results of small series of male and female skeletons derived from two racial stocks, significant differences are present, not only among the mean weights of the skeleton of the 4 race-sex groups but also among the percentage contributions of each division of the skeleton to the total skeleton weight. It may be anticipated that similar racial stocks will likewise show differences. Thus, when weight is involved in determining the effect of a given external factor on the total skeleton by extrapolation from measurement of a small part, the resultant error will be reduced if racial differences are taken into account.

#### SUMMARY AND CONCLUSIONS

One-hundred dry, fat-free skeletons were divided among American Whites and Negroes of both sexes were selected from a wide and comparable age range in each group and for lack of gross pathology.

The methods of preservation were known not to alter the skeleton. The bones were weighed individually with the exception of the bones of the cranium, hand, foot, ribs and moveable vertebrae. Each skeleton was divided into arbitrary divisions: (1) skull; (2) vertebrae, ribs and sternum; (3) superior limbs and (4) inferior limbs. The total skeleton weights, the weights of each division and the percentage contributions of each division to the total weight were studied. In addition, the femur weights were used in comparing a single bone weight to the total skeleton weight. The data were analyzed statistically in order to determine whether significant differences in the weights of the total skeleton, the 4 arbitrary divisions and the femurs, and in the percentage contribution of each division and of each femur to the total skeleton weight exist among the 4 groups.

A highly significant difference in the mean total skeleton weights of both races and sexes exists among the 4 groups. In both sexes, the mean total skeleton weight of the Negro is significantly heavier than in the White, and in both races, the male is significantly heavier than the female.

The mean weights of the superior and inferior limb skeletons are found to be significantly heavier in the Negro group than in the White group. The superior limbs are also significantly heavier in the male than in the female, but in the skeleton of the inferior limb no significant sex difference is present. The femurs are significantly heavier in the male than in the female with no significant race difference. In division II, the sex difference in the mean weights is highly significant, with no significant difference between the races. Because of the significant interaction between race and sex differences in the mean weight of the skull no conclusion can be reached concerning the source of the differences.

The contributions (in per cent) to the total skeleton weight by divisions I, III, and IV are found to vary significantly between the sexes. The relative contributions to the total skeleton weight by divisions III and IV are significantly greater in the male than in the female; however, in division I, the skull, the female contributes a significantly greater per cent to the total

skeleton weight than the male. Also, in division III, the Negro group contributes significantly more to the total skeleton weight than the White group. In division II, there are no significant differences among the 4 groups; but the difference between the sexes approaches the minimum probability level used in this study.

The relative amount of total skeleton weight contributed by the individual femurs is significantly greater in males than in females. The percentage contributions of the right and left femurs are greater in the White group than in the Negro group; for the left the difference is statistically significant, but for the right the difference merely approaches the 0.05 level of significance. There is no significant difference between the percentage contributions of right and left femur within a given group.

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# Distribution of Hereditary Blood Antigens Among the Maya and Non-Maya Indians in Mexico and Guatemala<sup>1</sup>

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Numerous studies have been made on the distribution of the hereditary blood in the ABO and M-N systems among Indians of North America but comparative work has been reported on Indians of Central and South America. Comprehensive reviews on the North American Indians have been prepared by Boyd ('39) and more recently by Mourant ('54) and Mourant et al. ('58) and on South American Indians by Salzano ('57) and by Mourant et al. ('58).

Although some work has been done in the various tribes of Indians in Mexico on the incidence of antigens in the ABO system (Lewer, '30; Salazar-Mallen, '44; Salazar-Mallen and Martinez, '47; Wiener et al., 1947; Arteaga et al., '52), M-N (Wiener et al., 1947; Arteaga et al., '52), P (Wiener et al., 1947) and Rh-Hr (Wiener et al., '45; Mourant et al., '52), systems and in Guatemala the ABO system (Cabrera, '50), nothing has been done in determining the distribution among them of the recently discovered hereditary blood antigens. In view of this it was deemed important to make a survey of the incidence of the factors among Maya and non-Maya Indians of Mexico and Guatemala.

## MATERIALS AND METHODS

Primary arrangements were made with the United States Department of Health, Education and Welfare, quarantine regulations to permit the specimens which were to be sent in bond to the Minneapolis War Memorial Blood Bank to pass through U. S. Customs without interception at the border. At Mexico City, permission to proceed with the project was obtained by key men in appropriate departments of the national government. Letters

of introduction from the Instituto Nacional de Antropología e Historia, Instituto de Salubridad y Enfermedades Tropicales, Instituto Nacional Indigenista and from the Instituto de Historia at the University of Mexico, to the respective departments in the states visited, greatly facilitated the procurement of similar letters from the state authorities to the Presidente Municipal, health authorities and others in the communities where the specimens were obtained. The same procedure was followed in Guatemala. For the most part blood specimens were taken from the following tribes at hospitals, prisons and schools as well as clinics set up in the field:

### Maya

#### *In Mexico*

1. Chol—15 specimens from San Cristobal, Las Casas, Chiapas.
2. Itza Maya—67 specimens from Merida and Xcoptel, Yucatan.
3. Lacandon—33 specimens from Rio Jateté and Monte Libano, Chiapas Jungle.
4. Tzeltal—111 specimens from San Cristobal, Las Casas, Chiapas. Aguacatenango and Monte Libano, Chiapas.
5. Tzotzil—91 specimens from Zinacantan, Chamula, Chilil and San Cristobal, Las Casas, Chiapas.

#### *In Guatemala*

1. Cakchiquel—9 specimens from Salola, Guatemala.
  2. Mam—24 specimens from Huehuetenango and Quezaltenango, Guatemala.
  3. Quiché Maya—203 specimens from Chichicastenango, Quiché, Totonicapan and Quezaltenango, Guatemala.
- Total Maya—553 specimens.

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*Non-Maya**In Mexico*

1. Chiapaneca—41 specimens from Suchiapa and Tuxtla Gutierrez, Chiapas.

2. Mextizo—19 specimens from Papantla, Vera Cruz prison.

3. Totonaca—45 specimens from Papantla, Vera Cruz.

4. Zapoteca—143 specimens from Mitla and Tehuantepec, Mexico.

Total non-Maya—248 specimens. Total Maya and non-Maya specimens—801.

Blood specimens (5–8 cm<sup>3</sup>) were taken from the median basilic vein by means of a sterile B-D vacutainer tube-needle assembly. Numbered labels had been put on each of the tubes. Each tube contained 0.17 ml of citric acid-dextrose solution. The specimens were refrigerated as soon as possible after they were taken and then shipped via air express in insulated shippers containing sealed bags of ice. The time in transit was usually less than 48 hours. The specimens arrived in excellent condition.

Upon arrival at the laboratory the blood samples were put at once in refrigeration at 4–6°C and the sera and clots were separated. Cells from the clots were tested with suitable antisera for A, B, M, N, S, D, C, E, c, e, P<sub>1</sub>, K, Fy<sup>a</sup>, Jk<sup>a</sup>, Le<sup>a</sup>, Le<sup>b</sup>, Lu<sup>a</sup>, Di<sup>a</sup>, Mi<sup>a</sup>, V and He antigens. Hemolysates were made from selected specimens for hemoglobin type studies. The serum specimens were numbered and placed in the deep freezer for future testing. Later the frozen serum specimens were sent to Dr. H. Eldon Sutton, Human Genetics Laboratory, University of Michigan Medical School, Ann Arbor, Michigan, to be tested for hereditary haptoglobins. The hemolysates were sent to Dr. R. W. Koucky, Fairview Hospital laboratory in Minneapolis for testing by paper electrophoresis method for hemoglobin types and later to Dr. Abner Robinson of the Child Research Center of Michigan, Detroit, Michigan, for confirmation by agar plate electrophoresis method. In this paper only the results of blood grouping tests will be reported, whereas the haptoglobin and hemoglobin studies will be reported in later publications.

## RESULTS AND DISCUSSION

Only putatively full-blood Indians included in this study. The custom of dress and the word of the Indian he was relied upon for determining the of blood purity. However, information obtained may not in all instances be entirely accurate.

The results of the tests on these specimens are listed in the tables according to the particular people under the blood group system considered. The relation of the gene frequencies in the tables have been done by Whitaker Johnson, Department of Mathematics, Institute of Technology, University of Minnesota, using the recognized formula outlined by Mourant ('54).

*ABO blood groups*

The results of the tests in the ABO system are shown on table 1.

From the table it is seen that on the whole, both the Maya and non-Maya Indians are predominantly group O people. The O gene frequencies in 9 tribes ranged from 90.87% among 67 Itz'at in Yucatan, Mexico, to 100% among Cakchiquel in Guatemala. In the 4 tribes of non-Maya, the O gene frequency ranged from 81.95% in 41 Chiapanecas to 95.45% among 45 Totonacas. The percentage frequency of the O gene in the 553 Maya is 96.80% as compared to the lower percentage frequency of 81.95% for the non-Maya group. The high frequency of group O is in general agreement with previous studies among Indians in Mexico (Arteaga et al., '52; Gordon, '30; Salazar-Mallen, '49, '44, '47; Vetter et al., '45) and Guatemala (Cabrera, '49) and with the larger body of Indians maintained in North (Boyd, '39; Brown, '58; Chown and Lewis, '53; Matsuda, '33; Schrader, '33; Matson and Piper, '47; Matson et al., '54; Mourant, '54) and in Central America (Salzano, '57). In general, more isolated peoples such as the Tzeltal, Tzotzil and Cakchiquel have the highest frequency of the O gene. It may be significant that according to the data of the Itza Maya and the Chiapanecas are reputed to be more mixed with Indian blood than are those groups which have the higher gene frequencies.

TABLE 1  
The ABO system

| Peoples        | Number tested | Number and per cent of phenotypes |       |                |       |                |       |     |       |                  |      |                  |      | Gene frequencies |                |                | Sum of gene frequencies<br>$r + p_1 + p_2 + q$ |   |
|----------------|---------------|-----------------------------------|-------|----------------|-------|----------------|-------|-----|-------|------------------|------|------------------|------|------------------|----------------|----------------|--|---|
|                |               | O                                 |       | A <sub>1</sub> |       | A <sub>2</sub> |       | B   |       | A <sub>1</sub> B |      | A <sub>2</sub> B |      | r                | p <sub>1</sub> | p <sub>2</sub> |  | q |
|                |               |                                   |       |                |       |                |       |     |       |                  |      |                  |      |                  |                |                |  |   |
|                |               | No.                               | %     | No.            | %     | No.            | %     | No. | %     | No.              | %    | No.              | %    |                  |                |                |  |   |
| Maya           |               |                                   |       |                |       |                |       |     |       |                  |      |                  |      |                  |                |                |  |   |
| In Mexico      |               |                                   |       |                |       |                |       |     |       |                  |      |                  |      |                  |                |                |  |   |
| Chol           | 15            | 13                                | 86.67 | 0              | 0.00  | 2              | 13.33 | 0   | 0.00  | 0                | 0.00 | 0                | 0.00 | .9309            | .0000          | .0691          | .0000  |   |
| Itza Maya      | 67            | 58                                | 86.57 | 4              | 5.97  | 2              | 2.99  | 0   | 0.00  | 2                | 2.98 | 1                | 1.49 | .9087            | .0453          | .0235          | .0223  |   |
| Lacandon       | 33            | 32                                | 96.97 | 1              | 3.03  | 0              | 0.00  | 0   | 0.00  | 0                | 0.00 | 0                | 0.00 | .9847            | .0153          | .0000          | .0000  |   |
| Tzeltal        | 111           | 110                               | 99.10 | 1              | 0.90  | 0              | 0.00  | 0   | 0.00  | 0                | 0.00 | 0                | 0.00 | .9956            | .0044          | .0000          | .0000  |   |
| Tzotzil        | 91            | 89                                | 97.80 | 0              | 0.00  | 0              | 0.00  | 2   | 2.20  | 0                | 0.00 | 0                | 0.00 | .9889            | .0000          | .0000          | .0111  |   |
| In Guatemala   |               |                                   |       |                |       |                |       |     |       |                  |      |                  |      |                  |                |                |  |   |
| Cakchiquel     | 9             | 9                                 | 100.0 | 0              | 0.00  | 0              | 0.00  | 0   | 0.00  | 0                | 0.00 | 0                | 0.00 | 1.0000           | .0000          | .0000          | .0000  |   |
| Mam            | 24            | 22                                | 91.67 | 2              | 8.33  | 0              | 0.00  | 0   | 0.00  | 0                | 0.00 | 0                | 0.00 | .9574            | .0426          | .0000          | .0000  |   |
| Quiché Maya    | 203           | 188                               | 92.61 | 13             | 6.40  | 0              | 0.00  | 2   | 0.99  | 0                | 0.00 | 0                | 0.00 | .9624            | .0326          | .0000          | .0049  |   |
| Total Maya     | 553           | 521                               | 94.21 | 21             | 3.80  | 4              | 0.72  | 4   | 0.72  | 2                | 0.36 | 1                | 0.18 | .9680            | .0210          | .0046          | .0063  |   |
| Non-Maya       |               |                                   |       |                |       |                |       |     |       |                  |      |                  |      |                  |                |                |  |   |
| In Mexico      |               |                                   |       |                |       |                |       |     |       |                  |      |                  |      |                  |                |                |  |   |
| Chiapaneca     | 41            | 27                                | 65.85 | 8              | 19.51 | 1              | 2.44  | 5   | 12.20 | 0                | 0.00 | 0                | 0.00 | .8195            | .1034          | .0138          | .0633  |   |
| Mextizo        | 19            | 16                                | 84.21 | 0              | 0.00  | 0              | 0.00  | 2   | 10.53 | 0                | 0.00 | 1                | 5.26 | .8924            | .0000          | .0263          | .0812  |   |
| Totonaca       | 45            | 41                                | 91.11 | 3              | 6.67  | 0              | 0.00  | 1   | 2.22  | 0                | 0.00 | 0                | 0.00 | .9545            | .0339          | .0000          | .0112  |   |
| Zapoteca       | 143           | 109                               | 76.22 | 18             | 12.59 | 3              | 2.10  | 12  | 8.39  | 0                | 0.00 | 0                | 0.00 | .8731            | .0688          | .0113          | .0465  |   |
| Total non-Maya | 248           | 193                               | 77.82 | 29             | 11.69 | 4              | 1.61  | 20  | 8.07  | 1                | 0.40 | 1                | 0.40 | .8814            | .0624          | .0108          | .0454  |   |

This suggests that the incidence of A and B antigen among any of these Indians is due to racial crossing. Examples of this are the relatively high frequencies for the B gene in the Mextizo, Chiapaneco and Zapoteca, the A<sub>2</sub> gene in the Chol, Itza Maya and Mextizo and the A<sub>1</sub> gene in the Itza Maya, Mam, Chiapaneco and Zapoteca.

Tradition asserts that the Chiapaneca Indians migrated many years ago into Chiapas from somewhere farther south in Nicaragua. It might be rewarding therefore, to study further the blood group distribution among this people as well as the Indians in Nicaragua, selecting as accurately as possible the full-bloods among them.

These observations are in general agreement with the findings in North American Indians which are group O except for the Blackfeet and related tribes in which there has been observed an equally high incidence of group A<sub>1</sub> (approximately 80%). (Matson, '33, '38; Matson and Schrader, '33; Matson et al., '36). This surprising high incidence of A<sub>1</sub> among the Blackfeet and related tribes, suggest the possibility of a relationship between some Amerinds and Polynesians in whom also there is a high incidence of group A<sub>1</sub> (60.8%): (Nigg, '30; Simmons and Graydon, '57). Indeed Heyerdahl ('50, '52) suggests that the early inhabitants of Polynesia could have been carried in small craft by ocean currents and prevailing winds from the west coast of South America to the Polynesian Islands of the Pacific.

The Winnebago Indians also have a higher incidence of group A<sub>1</sub> (approximately 42%) than is found among the general body of full-blood Indians and is higher than can be accounted for on the basis of white admixture (Matson, '41). Close proximity and intermarriage with the group A<sub>1</sub> Blackfeet earlier in their history, perhaps in the Hudson's Bay area, could explain the high incidence of group A<sub>1</sub> among the Winnebago.

#### *The MN-Ss system*

Tests for the M and N antigens were done by the slide technique with commercial antisera. The reagents were po-

tent and specific giving satisfactory actions with known control bloods. Results of the tests are shown on table 2.

From table 2 it is apparent that the expected high incidence of M among Indians prevails among these Indians. The percentage frequency of the M gene ranges from 61.11% among the Cakchiquel, 86.67% among the Chol and Totonac. There is not much difference in the percentage incidence of the M gene among the Maya and the non-Maya groups. The frequency in the former being 75.56% and in the latter group 72.58%. This agrees with the unusually high incidence of M among other Indians tested in Central America (Arteaga et al., '52; Wright et al., '45) in North America (Boydell, Brown et al., '58; Chown and Lewis, Levine et al., '35; Matson and Piper, Matson and Roberts, '49; Matson et al., '36, '54; Pantin and Kallsen, '53) in South America, (Salzano, '57; Morton et al., '58) as compared to whites and other races (Landsteiner and Levine, '28).

Anti-s serum was not available and therefore the bloods were tested with S serum only. Control tests of the serum were done using standard bloods of all groups and these gave satisfactory results. Because of a limited quantity of S body reagent the S antigen was determined on only 169 blood specimens. These results are shown on table 3.

As seen from table 3 these specimens were obtained from 109 Maya and non-Maya Indians in Mexico. They have a gene frequency of S varying from 28.51% among 45 Totonaca to 55.56% among 15 Mextizo tested. The percentage frequency of the S gene in the Maya is 40.18% and for the non-Maya 34.11%. Except for the Mextizo and Tzeltal, the latter in which the S gene frequency is 47.78%, these values do not differ much from 34.2% reported for pure Chippewa (Matson et al., '54) and 38.2% for the Blood tribe (Chown and Lewis, '53). Whether do the values differ greatly from the per cent gene frequency of S for a white population in the United States as reported by Levine, et al., '51 (34.9%) or for Englishmen as reported by Race and Hager, '58 (32.7%) or from our findings

TABLE 2

*Mn system*

| Peoples        | Number tested | Number and per cent of phenotypes |       |     |       |     |       |        |        | Gene frequencies |  | Sum of gene frequencies |
|----------------|---------------|-----------------------------------|-------|-----|-------|-----|-------|--------|--------|------------------|--|-------------------------|
|                |               | M                                 |       | MN  |       | N   |       | M      | N      |                  |  |                         |
|                |               | No.                               | %     | No. | %     | No. | %     |        |        |                  |  |                         |
| Maya           |               |                                   |       |     |       |     |       |        |        |                  |  |                         |
| In Mexico      |               |                                   |       |     |       |     |       |        |        |                  |  |                         |
| Chol           | 15            | 11                                | 73.33 | 4   | 26.67 | 0   | 0.00  | 0.8667 | 0.1333 | 1.0000           |  |                         |
| Itza Maya      | 67            | 34                                | 50.75 | 25  | 37.31 | 8   | 11.94 | 0.6940 | 0.3060 | 1.0000           |  |                         |
| Lacandon       | 33            | 23                                | 69.70 | 8   | 24.24 | 2   | 6.06  | 0.8182 | 0.1818 | 1.0000           |  |                         |
| Tzeltal        | 111           | 69                                | 62.16 | 39  | 35.14 | 3   | 2.70  | 0.7973 | 0.2027 | 1.0000           |  |                         |
| Tzotzil        | 91            | 53                                | 58.24 | 30  | 32.97 | 8   | 8.79  | 0.7473 | 0.2527 | 1.0000           |  |                         |
| In Guatemala   |               |                                   |       |     |       |     |       |        |        |                  |  |                         |
| Cakchiquel     | 9             | 3                                 | 33.33 | 5   | 55.56 | 1   | 11.11 | 0.6111 | 0.3889 | 1.0000           |  |                         |
| Mam            | 24            | 13                                | 54.17 | 11  | 45.83 | 0   | 0.00  | 0.7708 | 0.2292 | 1.0000           |  |                         |
| Quiché Maya    | 203           | 112                               | 55.17 | 74  | 36.46 | 17  | 8.37  | 0.7340 | 0.2659 | 0.9999           |  |                         |
| Total Maya     | 553           | 318                               | 57.50 | 196 | 35.44 | 39  | 7.05  | 0.7523 | 0.2477 | 1.0000           |  |                         |
| Non-Maya       |               |                                   |       |     |       |     |       |        |        |                  |  |                         |
| In Mexico      |               |                                   |       |     |       |     |       |        |        |                  |  |                         |
| Chiapaneca     | 41            | 19                                | 46.34 | 15  | 36.59 | 7   | 17.07 | 0.6463 | 0.3537 | 1.0000           |  |                         |
| Mexizo         | 19            | 10                                | 52.63 | 6   | 31.58 | 3   | 15.79 | 0.6842 | 0.3158 | 1.0000           |  |                         |
| Totonaca       | 45            | 35                                | 77.78 | 8   | 17.78 | 2   | 4.44  | 0.8667 | 0.1333 | 1.0000           |  |                         |
| Zapoteca       | 143           | 77                                | 53.85 | 49  | 34.26 | 17  | 11.89 | 0.7098 | 0.2902 | 1.0000           |  |                         |
| Total Non-Maya | 248           | 141                               | 56.85 | 78  | 31.45 | 29  | 11.69 | 0.7258 | 0.2742 | 1.0000           |  |                         |



TABLE 3  
S-s system

| Peoples        | Number tested | Number and per cent of phenotypes |       |     | Gene frequencies |        |        | Sum of gene frequencies |
|----------------|---------------|-----------------------------------|-------|-----|------------------|--------|--------|-------------------------|
|                |               | SS & Ss                           |       | No. | s                | S      | s      |                         |
|                |               | No.                               | %     |     |                  |        |        |                         |
| Maya           |               |                                   |       |     |                  |        |        |                         |
| In Mexico      |               |                                   |       |     |                  |        |        |                         |
| Irza Maya      | 62            | 39                                | 62.90 | 23  | 37.10            | 0.3909 | 0.6091 | 1.0000                  |
| Tzeltal        | 22            | 16                                | 72.73 | 6   | 27.27            | 0.4778 | 0.5222 | 1.0000                  |
| Tzotzil        | 25            | 15                                | 60.00 | 10  | 40.00            | 0.3675 | 0.6325 | 1.0000                  |
| Total Maya     | 109           | 70                                | 64.22 | 39  | 35.78            | 0.4018 | 0.5982 | 1.0000                  |
| Non-Maya       |               |                                   |       |     |                  |        |        |                         |
| In Mexico      |               |                                   |       |     |                  |        |        |                         |
| Mexizo         | 15            | 12                                | 80.00 | 3   | 20.00            | 0.5528 | 0.4472 | 1.0000                  |
| Totonaca       | 45            | 22                                | 48.89 | 23  | 51.11            | 0.2851 | 0.7149 | 1.0000                  |
| Total Non-Maya | 60            | 34                                | 56.67 | 26  | 43.33            | 0.3417 | 0.6583 | 1.0000                  |

TABLE 4  
MN Ss system

| Peoples        | Number tested | Number and per cent of phenotypes |       |     |       |     |       |     |       |                               |       |     |      | Chromosome frequencies |        |        |        | Sum of gene frequencies |
|----------------|---------------|-----------------------------------|-------|-----|-------|-----|-------|-----|-------|-------------------------------|-------|-----|------|------------------------|--------|--------|--------|-------------------------|
|                |               | MS                                |       |     |       |     |       | MNS |       |                               |       |     |      | NS                     |        |        |        |                         |
|                |               | No.                               |       | %   |       | Ms  |       | MNS |       | M <sub>N</sub> S <sub>s</sub> |       | NS  |      | No.                    |        | %      |        |                         |
|                |               | No.                               | %     | No. | %     | No. | %     | No. | %     | No.                           | %     | No. | %    | No.                    | %      |        |        |                         |
| Maya           |               |                                   |       |     |       |     |       |     |       |                               |       |     |      |                        |        |        |        |                         |
| In Mexico      |               |                                   |       |     |       |     |       |     |       |                               |       |     |      |                        |        |        |        |                         |
| Izta Maya      | 62            | 17                                | 27.42 | 15  | 24.19 | 16  | 25.81 | 7   | 11.29 | 6                             | 9.68  | 1   | 1.61 | 0.2042                 | 0.4898 | 0.1868 | 0.1192 | 1.0000                  |
| Tzeltal        | 22            | 4                                 | 18.18 | 5   | 22.72 | 11  | 50.00 | 1   | 4.55  | 1                             | 4.55  | 0   | 0.00 | 0.2030                 | 0.5943 | 0.2027 | 0.0000 | 1.0000                  |
| Tzotzil        | 25            | 6                                 | 24.00 | 7   | 28.00 | 6   | 24.00 | 3   | 12.00 | 3                             | 12.00 | 0   | 0.00 | 0.1148                 | 0.6325 | 0.2527 | 0.0000 | 1.0000                  |
| Total Maya     | 109           | 27                                | 24.77 | 27  | 24.77 | 33  | 30.28 | 11  | 10.09 | 10                            | 9.17  | 1   | 0.92 | 0.2278                 | 0.5245 | 0.1741 | 0.0736 | 1.0000                  |
| Non-Maya       |               |                                   |       |     |       |     |       |     |       |                               |       |     |      |                        |        |        |        |                         |
| In Mexico      |               |                                   |       |     |       |     |       |     |       |                               |       |     |      |                        |        |        |        |                         |
| Mextizo        | 15            | 7                                 | 46.67 | 1   | 6.67  | 3   | 20.00 | 2   | 13.33 | 2                             | 13.33 | 0   | 0.00 | 0.2370                 | 0.4472 | 0.3158 | 0.0000 | 1.0000                  |
| Totonaca       | 45            | 18                                | 40.00 | 17  | 37.78 | 3   | 6.67  | 5   | 11.11 | 1                             | 2.22  | 1   | 2.22 | 0.2483                 | 0.6184 | 0.0368 | 0.0965 | 1.0000                  |
| Total Non-Maya | 60            | 25                                | 41.67 | 18  | 30.00 | 6   | 10.00 | 7   | 11.67 | 3                             | 5.00  | 1   | 1.67 | 0.2163                 | 0.5095 | 0.1254 | 0.1488 | 1.0000                  |

among whites in Minnesota (Mat-  
l., '54).

distribution of the S-s antigen in  
to the three genotypes MM, MN  
and the corresponding frequency  
chromosomes MS, Ms, NS and Ns  
on table 4.

table 4 it may be observed that  
Maya and the Mextizo show an  
ably smaller frequency of Ms than  
tively purer Totonaca (44.72%  
34% respectively). However, to  
degree the same appears to be true  
tza Maya of Yucatan.

chromosome frequencies for Maya  
-Maya on table 4 are shown on  
with similar data from other stud-  
comparison.

ifferences are not great between  
groups of Indians with regard to  
Ms but the NS is high compared  
ong the Maya whereas among the  
a there is a more equitable dis-  
of these chromosomes. The fre-  
of the NS appears to be high for  
s tested in this study and, with the  
n of Chown's ('53) findings in  
d tribe, Ns is lower than among  
dians (Brown et al., '58; Matson  
'54; Pantin and Kallsen, '53) and  
ish (Race and Sanger, '58).

#### *The Henshaw (He) antigen*

ted amount of anti-He serum was  
ailable to us for this study through  
ness of Dr. T. J. Greenwalt of  
ee Blood Center, Milwaukee, Wis-  
The antiserum was satisfactory  
eaction with known He positive  
A total of 166 Maya (67 Itza  
7 Tzeltal and 62 Tzotzil) and 80  
a (45 Totonaca, 20 Zapoteca and  
tizo) bloods were tested. No He  
was found among these 246  
s.

he antigen appears to be typically  
character (Chalmers et al., '53;  
l Mourant, '51). Among 1,423  
icans it was found in 38 (2.7%).  
ot found in 1,500 Whites (Chal-  
al., '53). The He gene is closely  
bsolutely linked with the MNSs  
Nijenhuis, '53; Shapiro, '56; Zou-  
'55). The gene responsible for  
haw (He) phenotype appears to

be rare if present at all among the Amer-  
inds tested.

#### *The Miltenberger (Mi<sup>a</sup>) antigen*

We had available some potent anti-Mi<sup>a</sup>  
serum obtained in Minneapolis from a  
group O donor sensitized through preg-  
nancy. The serum was not absorbed and  
was usable therefore only on group O  
bloods. This, however, was of little hin-  
drance in this study of preponderantly  
group O people. Although the anti-Mi<sup>a</sup>  
serum reacted well with Mi(a+) controls,  
it is presumed that it contained some anti-  
Vw as well, since anti-Mi<sup>a</sup> sera tested so  
far are considered to contain also, anti-  
Vw (Race and Sanger, '58). No Mi<sup>a</sup> anti-  
gen was found in the 521 Maya and 193  
non-Maya group O Indians tested.

The Mi(a+) phenotype is rare among  
white people (one in about 500) and it  
has become evident that the gene or genes  
responsible for phenotypes Mi(a+)Vw+  
and Mi(a+)Vw-, are linked to the MNSs  
genes (Hart et al., '54; Wallace et al., '57).  
The absence of cross-overs in families sug-  
gests a very close linkage. It has also  
been observed that usually the gene or  
genes responsible for the Mi(a+)Vw+ re-  
action are accompanied by N and s, while  
those responsible for the Mi(a+)Vw- re-  
action are accompanied by M and S (Race  
et al., '51). Since the incidence of N is  
small among Indians, the chance of find-  
ing Mi(a+)Vw+ among them would be  
correspondingly small, if indeed the Mi<sup>a</sup>  
and Vw genes exist at all in pure Amer-  
inds.

#### *The P system*

Recent work on the P system by Sanger  
'55) and more recently by Matson, Swan-  
son, Noades, Sanger and Race ('58) has  
made some revolutionary changes in the  
concept of this system. The newer nota-  
tions P<sub>1</sub> and P<sub>2</sub> are used in this paper,  
where P<sub>1</sub> is the antigen previously called P  
and P<sub>2</sub> is the one formerly called p.

Only anti-P<sub>1</sub> (anti-P) sera were used in  
testing the bloods, one a commercially  
distributed fluid reagent and one dried an-  
tiserum obtained through the kindness of  
Dr. A. E. Mourant, Lister Institute, Lon-  
don, England. Weak and doubtful reac-  
tions were checked with a rather potent

TABLE 5  
The MN-Ss chromosome frequencies

| Peoples                                 | MS    | Ms    | NS    | Ns    |
|---|-------|-------|-------|-------|
| Maya (this study)                       | 22.78 | 52.45 | 17.41 | 7.36  |
| Non-Maya (this study)                   | 21.63 | 50.95 | 12.54 | 14.88 |
| Chippewa (Matson, Koch and Levine, '54) | 29.60 | 42.40 | 4.60  | 23.40 |
| Blood (Chown and Lewis, '53)            | 30.10 | 56.50 | 6.90  | 6.50  |
| Diegueno (Pantin and Kallsen, '53)      | 40.10 | 35.50 | 0.00  | 24.40 |
| West Navaho (Brown et al., '58)         | 14.40 | 41.60 | 23.23 | 20.77 |
| Pima (Brown et al., '58)                | 13.27 | 57.07 | 10.94 | 18.71 |
| English (Race and Sanger, '58)          | 24.70 | 28.30 | 8.00  | 39.00 |

TABLE 6  
P system<sup>1</sup>

| Peoples        | Number tested | Number and per cent of phenotypes |       |                  |       | Gene frequencies |                | Sum of gene frequencies |
|----------------|---------------|-----------------------------------|-------|------------------|-------|------------------|----------------|-------------------------|
|                |               | P <sub>1</sub> +                  |       | P <sub>1</sub> - |       | P <sub>1</sub>   | P <sub>2</sub> |                         |
|                |               | No.                               | %     | No.              | %     |                  |                |                         |
| Maya           |               |                                   |       |                  |       |                  |                |                         |
| In Mexico      |               |                                   |       |                  |       |                  |                |                         |
| Chol           | 15            | 9                                 | 60.00 | 6                | 40.00 | 0.3675           | 0.6325         | 1.0000                  |
| Itza Maya      | 67            | 45                                | 67.16 | 22               | 32.84 | 0.4270           | 0.5730         | 1.0000                  |
| Lacandon       | 33            | 24                                | 72.73 | 9                | 27.27 | 0.4778           | 0.5222         | 1.0000                  |
| Tzeltal        | 101           | 68                                | 67.33 | 33               | 32.67 | 0.4284           | 0.5716         | 1.0000                  |
| Tzotzil        | 75            | 54                                | 72.00 | 21               | 28.00 | 0.4708           | 0.5292         | 1.0000                  |
| Total Maya     | 291           | 200                               | 68.73 | 91               | 31.27 | 0.4408           | 0.5592         | 1.0000                  |
| Non-Maya       |               |                                   |       |                  |       |                  |                |                         |
| In Mexico      |               |                                   |       |                  |       |                  |                |                         |
| Mextizo        | 16            | 12                                | 75.00 | 4                | 25.00 | 0.5000           | 0.5000         | 1.0000                  |
| Totonaca       | 45            | 27                                | 60.00 | 18               | 40.00 | 0.3675           | 0.6325         | 1.0000                  |
| Zapoteca       | 43            | 28                                | 65.12 | 15               | 34.88 | 0.4094           | 0.5906         | 1.0000                  |
| Total Non-Maya | 104           | 67                                | 64.42 | 37               | 35.58 | 0.4035           | 0.5965         | 1.0000                  |

<sup>1</sup> Tested with anti-P<sub>1</sub> only



une human anti- $P_1$  serum prepared in our laboratories. Positive and negative controls were employed as reference. Because of the scarcity of anti- $P_1$  only 291 Maya and 104 non-Maya bloods were tested. The results are shown on table 6. There do not appear to be any outstanding differences in the distribution of  $P_1$  between Maya and non-Maya Indians. There do large differences appear between tribes within each group. The percentage incidence of  $P_1$  among the 291 Maya is 68.73% and among 104 non-Maya 44.42%. Assuming the  $P_1$  negatives are  $P_2$  the percentage of gene frequencies the Maya were calculated at 44.08%  $P_1$  and 55.92% for  $P_2$  and for the non-Maya 40.35% for  $P_1$  and 59.65% for  $P_2$ . Comparing these findings with the genotype per cent for pure Chippewa (Matson et al., '54) we find them to be somewhat lower, the Chippewa having 55%  $P_1$ . Chown and Lewis ('53) also reported 85%  $P$  for the Blood Indians. However, Pantin and Junqueira ('52) had only 41.1%  $P$  among 73 Brazilian Indians and Pantin and Kallsen ('53) reported 57% among 58 Dieguenos. A study of 300 Whites in Minnesota (Matson et al., '54) showed 79.70%  $P$  which does not vary greatly from that found among the Chippewa (Matson et al., '54) and Bloods (Chown and Lewis, '53).

#### *The Rh-Hr system*

Results presented on tables 7 and 8 are the results of Rh and hr tests using the 5 sera, anti-C, anti-D, anti-E, anti-c and anti-e. These anti-sera were continually checked for potency and specificity. Slide agglutination tests were performed on all the blood specimens and doubtful reactions were re-checked by a tube test using anti-sera of a different lot or from a different manufacturer. A slide control was run with each blood to rule out false positives due to rouleaux, auto-agglutination, conglutination, etc. Anti-D, anti-E and anti-c were prepared in our own laboratories, whereas the remaining antisera were obtained from various commercial distributors. The anti-C and anti-e gave generally weaker reactions and frequently the results were questioned and the tests repeated. Owing to the scarcity of anti-e

sera, these determinations were done only on bloods possessing the E factor. The chromosome frequencies were computed by the formulae of Mourant ('54). These formulae are considered by Boyd ('54, '54a) to be the most accurate of the simple methods for determining Rh-hr chromosome frequencies.

From table 8 it can be seen that both the Maya and non-Maya Indians have a high chromosome frequency of  $CDe(R^1)$  and  $cDe(R^2)$  a low incidence of  $cde(r)$  and  $cDe(R^0)$  and in most tribes (Chol, Tzotzil, Cakchiquel, Quiché Maya, Chiapaneca, Totonaca and Zapoteca) there is some  $CDE(R^*)$ . No homozygous  $cDe(Rh_0)$  or  $cde(rh)$  were observed in the Maya Indians. Only one Rh negative appeared in the non-Maya group, this in the Chiapaneca (table 7). In the absence of homozygotes there is no way of knowing whether  $cDe$  or  $cde$  is present. These are listed, therefore, as  $cDe$  and/or  $cde$ . It is more likely, however, that the chromosome frequency is entirely  $cde$  since phenotype  $ccDee$  occurs rarely in Americans. The calculated  $cDe$  and/or  $cde$  chromosome frequency for the Chol is extremely high, but this could easily be due to the small sampling and the fact that two brothers in this group appear to have the  $cDe$  or  $cde$  chromosome. The relatively higher frequencies for  $cDe$  and/or  $cde$  for the Chol, Itza Maya, Mam, Chiapaneca, Totonaca and Zapoteca series suggest rather extensive admixture.

It may be noteworthy that the Lacandon (Wilson, '48) who have isolated themselves for centuries from whites and other Indians and in whom there is a considerable amount of inbreeding and incest, show an extremely high frequency of the  $cDe$  chromosome (65.15%) (table 8). On the other hand, the Cakchiquel show 70.15% frequency for  $CDe$ . In the latter tribe also, two siblings appear to be  $CDE/CDe$ . It is to be expected in a people having a high  $cDe$  that this would be accompanied by moderate values of  $cde$ . However, due to the extremely high incidence of D, and the absence of  $dd$  individuals this chromosome could not be demonstrated.

A high  $cDe$  and low  $cde$  has been reported by Matson, Koch and Levine ('54)

TABLE 7  
*Rh-hr system*

| Peoples        | Number tested | Number and per cent of phenotypes <sup>1</sup> |       |       |       |       |      |       |       |       |       |       |       |
|----------------|---------------|--|-------|-------|-------|-------|------|-------|-------|-------|-------|-------|-------|
|                |               | CCDEe  |       | CCDee |       | CcDEE |      | CcDee |       | ccDEE |       | ccDee |       |
|                |               | No.  | %     | No.   | %     | No.   | %    | No.   | %     | No.   | %     | No.   | %     |
| Maya           |               |  |       |       |       |       |      |       |       |       |       |       |       |
| In Mexico      |               |  |       |       |       |       |      |       |       |       |       |       |       |
| Chol           | 15            | 1  | 6.67  | 3     | 20.00 | 0     | 0.00 | 5     | 33.33 | 1     | 6.67  | 4     | 26.66 |
| Itza Maya      | 67            | 0  | 0.00  | 16    | 23.88 | 0     | 0.00 | 32    | 47.76 | 5     | 7.46  | 9     | 13.44 |
| Lacandon       | 33            | 0  | 0.00  | 4     | 12.12 | 1     | 3.03 | 14    | 42.42 | 0     | 0.00  | 13    | 39.39 |
| Tzeltal        | 111           | 0  | 0.00  | 30    | 27.03 | 0     | 0.00 | 47    | 42.34 | 7     | 6.30  | 21    | 18.92 |
| Tzotzil        | 91            | 5  | 5.49  | 22    | 24.18 | 1     | 1.09 | 45    | 49.45 | 3     | 3.30  | 12    | 13.19 |
| In Guatemala   |               |  |       |       |       |       |      |       |       |       |       |       |       |
| Cakchiquel     | 9             | 2  | 22.22 | 4     | 44.45 | 0     | 0.00 | 3     | 33.33 | 0     | 0.00  | 0     | 0.00  |
| Mam            | 24            | 0  | 0.00  | 7     | 29.17 | 0     | 0.00 | 10    | 41.66 | 1     | 4.17  | 3     | 12.50 |
| Quiché Maya    | 203           | 4  | 1.97  | 67    | 33.00 | 3     | 1.48 | 87    | 42.86 | 5     | 2.46  | 31    | 15.27 |
| Total Maya     | 553           | 12   | 2.17  | 153   | 27.67 | 5     | 0.90 | 243   | 43.94 | 22    | 3.98  | 89    | 16.09 |
| Non-Maya       |               |  |       |       |       |       |      |       |       |       |       |       |       |
| In Mexico      |               |  |       |       |       |       |      |       |       |       |       |       |       |
| Chiapaneca     | 41            | 1  | 2.44  | 12    | 29.27 | 0     | 0.00 | 18    | 43.90 | 4     | 9.76  | 2     | 4.88  |
| Mexitzo        | 19            | 0  | 0.00  | 7     | 36.84 | 0     | 0.00 | 8     | 42.11 | 1     | 5.26  | 2     | 10.53 |
| Totonaca       | 45            | 2  | 4.44  | 12    | 26.67 | 0     | 0.00 | 16    | 35.55 | 4     | 8.89  | 8     | 17.78 |
| Zapoteca       | 142           | 1  | 0.70  | 26    | 18.31 | 0     | 0.00 | 62    | 43.66 | 23    | 16.20 | 21    | 14.79 |
| Total Non-Maya | 247           | 4  | 1.62  | 57    | 23.08 | 0     | 0.00 | 104   | 42.11 | 32    | 12.96 | 33    | 13.36 |

<sup>1</sup> None of the following phenotypes were observed: CCDEE, CCddeE, CCdDEe, CCddeE, CcddEE, CcddEe, ccDee, ccdee, ccddEE, ccddEe.

TABLE 8  
*Rh-Hr chromosome frequencies*

| Peoples        | Number tested | R <sub>z</sub><br>CDE | R <sup>1</sup><br>CDe | R <sup>y</sup><br>CDe | r'<br>Cde | R <sub>2</sub><br>cDE | r''<br>cDE | R <sup>o</sup><br>cDe and/or cde |
|----------------|---------------|-----------------------|-----------------------|-----------------------|-----------|-----------------------|------------|----------------------------------|
| Maya           |               |                       |                       |                       |           |                       |            |                                  |
| In Mexico      |               |                       |                       |                       |           |                       |            |                                  |
| Chol           | 15            | 0.0745                | 0.3921                | 0.0000                | 0.0000    | 0.2921                | 0.0000     | 0.2412                           |
| Itza Maya      | 67            | 0.0000                | 0.5149                | 0.0000                | 0.0000    | 0.3806                | 0.0000     | 0.1045                           |
| Lacandon       | 33            | 0.0000                | 0.3485                | 0.0000                | 0.0000    | 0.6515                | 0.0000     | 0.0000                           |
| Tzeltal        | 111           | 0.0000                | 0.5135                | 0.0000                | 0.0000    | 0.4279                | 0.0000     | 0.0586                           |
| Tzotzil        | 91            | 0.0559                | 0.5101                | 0.0000                | 0.0000    | 0.3782                | 0.0000     | 0.0558                           |
| In Guatemala   |               |                       |                       |                       |           |                       |            |                                  |
| Cakchiquel     | 9             | 0.0167                | 0.7055                | 0.0000                | 0.0000    | 0.2611                | 0.0000     | 0.167                            |
| Mam            | 24            | 0.0000                | 0.5208                | 0.0000                | 0.0000    | 0.3958                | 0.0000     | 0.0834                           |
| Quiché Maya    | 203           | 0.0171                | 0.5666                | 0.0000                | 0.0000    | 0.3893                | 0.0000     | 0.0270                           |
| Total Maya     | 553           | 0.0206                | 0.5219                | 0.0000                | 0.0000    | 0.4061                | 0.0000     | 0.0514                           |
| Non-Maya       |               |                       |                       |                       |           |                       |            |                                  |
| In Mexico      |               |                       |                       |                       |           |                       |            |                                  |
| Chiapaneca     | 41            | 0.0225                | 0.5628                | 0.0000                | 0.0000    | 0.2945                | 0.0000     | 0.1201 <sup>1</sup>              |
| Mexitzo        | 19            | 0.0000                | 0.6053                | 0.0000                | 0.0000    | 0.3421                | 0.0000     | 0.0526                           |
| Totonaca       | 45            | 0.0430                | 0.4903                | 0.0000                | 0.0000    | 0.3681                | 0.0000     | 0.0986                           |
| Zapoteca       | 142           | 0.0823                | 0.4706                | 0.0000                | 0.0000    | 0.3506                | 0.0000     | 0.0965                           |
| Total Non Maya | 247           | 0.0169                | 0.5054                | 0.0000                | 0.0000    | 0.3678                | 0.0000     | 0.1100                           |

<sup>1</sup> Most probably r.

TABLE 9  
*Rh-Hr chromosome frequencies in Maya and non-Maya Indians compared with other Indians*

| Peoples                       | Number tested | CDE<br>R <sup>2</sup> | CDe<br>R <sup>1</sup> | cDE<br>R <sup>2</sup> | cDe<br>R <sup>0</sup> | Cde<br>r <sup>1</sup> | cdE<br>r <sup>2</sup> | cde<br>r            |
|-------------------------------|---------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|---------------------|
| Maya (this study)             | 553           | 0.0206                | 0.5219                | 0.4061                | 0.0514 <sup>1</sup>   | 0.0000                | 0.0000                | 0.0000              |
| Non-Maya (this study)         | 247           | 0.0169                | 0.5054                | 0.3678                | 0.1100 <sup>1</sup>   | 0.0000                | 0.0000                | 0.1210 <sup>1</sup> |
| Chippewa (Matson et al., '58) | 161           | 0.0190                | 0.3150                | 0.5870                | 0.0000                | 0.0000                | 0.0790                | 0.0000              |
| Blood (Chown and Lewis, '53)  | 241           | 0.0383                | 0.4689                | 0.4011                | 0.0000                | 0.0000                | 0.0269                | 0.0648              |
| Navaho (Brown et al., '58)    | 104           | 0.0260                | 0.3753                | 0.2605                | 0.3382 <sup>1</sup>   | 0.0000                | 0.0000                | 0.0000              |

<sup>1</sup> The calculations did not segregate this value into R<sup>0</sup> and r. It may be one or the other or both may be included.

TABLE 10  
*The antigen V: phenotype and gene frequencies in Indians of Central America compared to other peoples*

| Peoples                  | Investigator         | Number tested | Per cent of phenotypes |                | Per cent of gene frequencies |       |
|--------------------------|----------------------|---------------|------------------------|----------------|------------------------------|-------|
|                          |                      |               | V <sup>+</sup>         | V <sup>-</sup> | V                            | v     |
| Central American Indians | This study           | 801           | 0.13                   | 99.87          | 0.36                         | 99.64 |
| Negroes (West Africa)    | DeNatale et al., '55 | 150           | 40.00                  | 60.00          | 22.54                        | 77.46 |
| Negroes (New York City)  | DeNatale et al., '55 | 168           | 26.79                  | 73.21          | 14.44                        | 85.56 |
| Negroes (Seattle)        | Giblett et al., '57  | 327           | 28.75                  | 71.25          | 15.59                        | 84.41 |
| Whites (London)          | DeNatale et al., '55 | 407           | 0.49                   | 99.51          | 0.25                         | 99.75 |
| Whites (Seattle)         | Giblett et al., '57  | 514           | 0.19                   | 99.81          |                              |       |
| Oriental                 | Giblett et al., '57  | 272           | 0.37                   | 99.63          |                              |       |
| North American Indians   | Giblett et al., '57  | 174           | 1.15                   | 98.85          |                              |       |



the Chippewa; Chown and Lewis ('53) for the Blood tribe; Wiener et al. ('45) for Mexican Indians; Matson and Piper ('47) for the Ute tribe; Matson and Roberts ('49) for Eskimos in Western Alaska; Pantin and Kallsen ('53) for Diegueno and Pima Indians and Brown et al. ('58) for Navaho, Apache and other Indians in Arizona.

The results of this study as compared with those done on other putatively full-blooded Amerinds of North America are summarized in table 9.

Aside from the specific difference noted above, the findings among the Maya and non-Maya in this study appear to be in general agreement with those found among other tribes of Indians and Eskimos (Brown et al., '58; Landsteiner et al., '49; Matson and Piper, '47; Matson and Roberts, '49; Wiener et al., '45) and differ from whites in the same respects, i.e., a high cDE, a low incidence or absence of cde and the presence of CDE chromosomes. Indeed the outstanding feature is the high frequency of the r''(E) gene among Indians wherein they surpass other known populations except perhaps the Polynesians. Generally speaking the Rh factor is high also among other non-European peoples, e.g., the Chinese, Levine and Wong, '43; Wiener et al., '44) the Indonesians and Aborigines of Australia and the Pacific Islands (Sanger et al., '44; Simmons et al., '44, '45, '48).

#### *Incidence of the V antigen*

A very good anti-V serum was obtained for this study through the kindness of Dr. R. Giblett, King County Blood Bank, Seattle, Washington. This serum was absorbed and suitable for testing bloods of all groups. V positive and V negative bloods served as satisfactory controls. All of the 801 specimens of Maya and non-Maya blood were tested with this antiserum and all but one (a Totonaca) were found to lack the V antigen. This was more or less to be expected since the gene so far has been traced only in the chromosomes cDef and cdef and these chromosomes were found to be rare in the Indians tested, as indeed they have been found to be rare in all Amerinds.

For the incidence of the V antigen and the frequency of its gene in groups of people we are indebted principally to two studies. De Natale et al. ('55) reported on the incidence of V in West Africans, Negroes in New York and Whites in London and Giblett et al. ('57) provided further figures for Negroes in Seattle Caucasians, Orientals and American Indians. These data are tabulated on table 10.

#### *Lutheran system*

Tests for Lutheran groups were limited to the use of anti-Lu<sup>a</sup> serum. Two anti-Lu<sup>a</sup> sera were made available to us for this study. One from Dr. Tibor Greenwalt, Milwaukee Blood Center, Milwaukee, Wisconsin had the other from Dr. A. E. Mourant, Lister Institute, London, England. The Milwaukee serum was from a group O donor and was not absorbed. The Lister serum was usable for all groups. Both antisera reacted not strongly but still satisfactorily with positive control bloods and it was completely negative with negative controls.

No Lu(a+) phenotype was found in any of the 173 Maya (three tribes—67 Itza Maya, 37 Tzeltal and 69 Tzotzil) or 109 non-Maya (three tribes—45 Totonaca, 49 Zapoteca and 15 Mextizo) Indians tested with the available antisera, thus giving a frequency of 100% for the Lu<sup>b</sup> gene (homozygous Lu<sup>b</sup> Lu<sup>b</sup>). This agrees with the findings of Chown and Lewis ('53) among 97 Blood Indians in Alberta and among 25 Eskimos. To our knowledge the only reported Lu<sup>a</sup> positives in Amerinds were those reported by Pantin and Kallsen ('53) among 58 Diegueno Indians in California (3.45% Lu(a+) phenotype, 1.74% Lu<sup>a</sup> gene) and by Pantin and Junqueira ('52) among 73 Brazilian Indians (16.44% Lu(a+) phenotype, 8.59% Lu<sup>a</sup> gene). The Lu<sup>a</sup> gene is rare or does not appear at all in Asian peoples that have been studied, (South India, Lehmann and Cutbush, '52; Malaya and Borneo, Pelunin and Sneath, '53). Peoples of Western Europe have a higher incidence of Lu(a+). In a study representative of Caucasians, Callender and Race ('46) report 7.90% Lu(a+) or a frequency of 4.03% for the Lu<sup>a</sup> gene in 582 English.

TABLE 11  
*Kell system*

| Peoples        | Number tested | Number and per cent of phenotypes |      |     |        | Gene frequencies |        | Sum of gene frequencies |
|----------------|---------------|-----------------------------------|------|-----|--------|------------------|--------|-------------------------|
|                |               | K+1                               |      | K-  |        | K                | k      |                         |
|                |               | No.                               | %    | No. | %      |                  |        |                         |
| Maya           |               |                                   |      |     |        |                  |        |                         |
| In Mexico      |               |                                   |      |     |        |                  |        |                         |
| Chol           | 15            | 0                                 | 0.00 | 15  | 100.00 | 0.0000           | 1.0000 | 1.0000                  |
| Itza Maya      | 67            | 1                                 | 1.49 | 66  | 98.51  | 0.0075           | 0.9925 | 1.0000                  |
| Lacandon       | 33            | 0                                 | 0.00 | 33  | 100.00 | 0.0000           | 1.0000 | 1.0000                  |
| Tzeltal        | 111           | 2 <sup>2</sup>                    | 1.80 | 109 | 98.20  | 0.0091           | 0.9909 | 1.0000                  |
| Tzotzil        | 91            | 0                                 | 0.00 | 91  | 100.00 | 0.0000           | 1.0000 | 1.0000                  |
| In Guatemala   |               |                                   |      |     |        |                  |        |                         |
| Cakchiquel     | 9             | 0                                 | 0.00 | 9   | 100.00 | 0.0000           | 1.0000 | 1.0000                  |
| Mam            | 24            | 0                                 | 0.00 | 24  | 100.00 | 0.0000           | 1.0000 | 1.0000                  |
| Quiché Maya    | 203           | 0                                 | 0.00 | 203 | 100.00 | 0.0000           | 1.0000 | 1.0000                  |
| Total Maya     | 553           | 3                                 | 0.54 | 550 | 99.46  | 0.0027           | 0.9973 | 1.0000                  |
| Non-Maya       |               |                                   |      |     |        |                  |        |                         |
| In Mexico      |               |                                   |      |     |        |                  |        |                         |
| Chiapaneca     | 41            | 1                                 | 2.44 | 40  | 97.56  | 0.0123           | 0.9877 | 1.0000                  |
| Mexitzo        | 19            | 0                                 | 0.00 | 19  | 100.00 | 0.0000           | 1.0000 | 1.0000                  |
| Totonaca       | 45            | 0                                 | 0.00 | 45  | 100.00 | 0.0000           | 1.0000 | 1.0000                  |
| Zapoteca       | 141           | 3                                 | 2.13 | 138 | 97.87  | 0.0107           | 0.9893 | 1.0000                  |
| Total Non-Maya | 246           | 4                                 | 1.63 | 242 | 98.37  | 0.0082           | 0.9918 | 1.0000                  |

<sup>1</sup> Tested with anti-k sera. No KK were found.

<sup>2</sup> Brothers.

### *The Kell-Cellano system*

All the blood specimens were tested for the Kell antigen using a reliable anti-Kell serum prepared in our laboratory from sensitized donors. Only K positive specimens were tested with anti-k. The findings are shown on table 11.

Nearly all the Indians tested were Kell negative, presumably homozygous Cellano sensitive. No homozygous KK were found. Three Kk individuals were observed in 553 Maya (two of these are brothers) and 4 Kk were observed in the 248 non-Maya. One may conclude that the Kell gene is extremely rare among these Indians and when found is probably indicative of white admixture. The gene frequencies for the 553 Maya were 0.27% for the Kell gene and 0.73% for the k gene. For the non-Maya the gene frequency for Kell appears to be a little higher, 0.82% and 99.18% for the k gene.

These findings are in general agreement with values reported by others for Indians (Chown and Lewis, '53, '57; Matson et al., '54), however, Pantin and Junqueira (1952) report a value of 12.41% for the Kell gene among 73 Brazilian Indians. The gene frequency for the Kell gene among whites in Manitoba has been reported recently (Lewis et al., '55) to be 6.9%.

### *The Lewis system*

Antisera used in testing for Le<sup>a</sup> and Le<sup>b</sup> antigens were prepared at the Minneapolis War Memorial Blood Bank. Three anti-Le<sup>a</sup>, one anti-Le<sup>b</sup> and one anti-Le<sup>a</sup> + Le<sup>b</sup> were used. The antisera appeared to be potent and specific. Though the blood specimens arrived in good condition for grouping, it should be pointed out that the Lewis antigens are fragile and give their best reactions when blood is freshly drawn. Saliva specimens were not obtainable for study.

The Lewis system is still obscure in many of its aspects and the genetics of the system are uncertain. Gene frequencies cannot be calculated with confidence (Mourant, '54) and therefore the incidence of Lewis groups are reported on table 12 only in terms of the number and percent of phenotypes.

The Le(a+b-) group is rare among these Indians. Only 6 or 1.08% were found among the 553 Maya and only 5 or 2.04% were observed among the 245 non-Maya. The Le(a-b+) was the most common phenotype ranging from 55.50% among 9 Cakchiquels to 82.22% among 45 Totonacas. The percentage phenotypes for the 553 Maya was 77.40% and of the 245 non-Maya 171 or 69.80% were group Le(a-b+). Absence of both the Le<sup>a</sup> and Le<sup>b</sup> antigens was noted in 119 or 21.52% of the Maya and in 69 or 28.16% of the non-Maya.

Only a few other studies have been reported for the distribution of the Lewis antigens among Amerinds. Chown and Lewis ('53) included in their series tests on 39 Blackfeet and 241 Bloods of all of whom lacked the Le<sup>a</sup> antigen. In 1949, Salazar-Mallen ('49) reported on 81 Otomis Indians and 199 Mexicans in whom he found 9.88% to be Le(a+) and 90.12% to be Le(a-). The Mexicans were found to be 11.56% Le(a+) and 88.44% Le(a-). Arteaga et al. ('52) reported 12.06% Le(a+) for 141 Mexicans in Mexico City.

Studies in which both anti-Le<sup>a</sup> and anti-Le<sup>b</sup> were used reveal differences between these Indians in Central America and other peoples that have been studied. Among other peoples there is generally more Le(a+b-) and less Le(a-b-) with the exception of Swedes (Grubb, '51), Greeks (Mourant, '54), West African Negroes (Barnicot and Lawler, '53) and American Negroes (Miller et al., '51) (see table 13). For the most part however, peoples of western Europe (Allison et al., '52; Andresen, '48; Mourant, '54), Chinese (Miller et al., '51), Maori (Simmons et al., '51), Australian Whites (Simmons and Jacobowicz, '51) and American Whites (Miller et al., '51) are low in Le(a-b-).

### *The Duffy (Fy<sup>a</sup>) system*

The Duffy factor among these Indians was determined only with anti-Fy<sup>a</sup> sera since these were the only ones available to us. Commercial antisera from two manufacturers were used and these appeared to be satisfactory when tested with

TABLE 12  
*The Lewis system*

| Peoples        | Number tested | Number and per cent of Lewis phenotypes |      |          |       |          |       |
|----------------|---------------|---|------|----------|-------|----------|-------|
|                |               | Le(a+b-)                                |      | Le(a-b-) |       | Le(a+b+) |       |
|                |               | No.                                     | %    | No.      | %     | No.      | %     |
| Maya           |               |   |      |          |       |          |       |
| In Mexico      |               |   |      |          |       |          |       |
| Chol           | 15            | 0                                       | 0.00 | 12       | 80.00 | 3        | 20.00 |
| Itza Maya      | 67            | 0                                       | 0.00 | 54       | 80.60 | 13       | 19.40 |
| Lacandon       | 33            | 0                                       | 0.00 | 23       | 69.70 | 10       | 30.30 |
| Tzeltal        | 111           | 1                                       | 0.90 | 84       | 75.68 | 26       | 23.42 |
| Tzotzil        | 91            | 2                                       | 2.20 | 73       | 80.22 | 16       | 17.58 |
| In Guatemala   |               |   |      |          |       |          |       |
| Cakchiquel     | 9             | 0                                       | 0.00 | 5        | 55.56 | 4        | 44.44 |
| Mam            | 24            | 1                                       | 4.17 | 18       | 75.00 | 5        | 20.83 |
| Quiché Maya    | 203           | 2                                       | 0.98 | 159      | 78.33 | 42       | 20.69 |
| Total Maya     | 553           | 6                                       | 1.08 | 428      | 77.40 | 119      | 21.52 |
| Non-Maya       |               |   |      |          |       |          |       |
| In Mexico      |               |   |      |          |       |          |       |
| Chiapaneca     | 41            | 0                                       | 0.00 | 27       | 68.85 | 14       | 34.15 |
| Mextizo        | 19            | 0                                       | 0.00 | 15       | 78.95 | 4        | 21.05 |
| Totonaca       | 45            | 0                                       | 0.00 | 37       | 82.22 | 8        | 17.78 |
| Zapoteca       | 140           | 5                                       | 3.57 | 92       | 65.72 | 43       | 30.71 |
| Total Non-Maya | 245           | 5                                       | 2.04 | 171      | 69.80 | 69       | 28.16 |



TABLE 13  
*Samples tested with anti-Le<sup>a</sup> and anti-Le<sup>b</sup> (Lewis groups)<sup>1</sup>*

| Peoples              | Investigator                  | Number tested | Per cent of phenotypes |          |          |
|----------------------|-------------------------------|---------------|------------------------|----------|----------|
|                      |                               |               | Le(a+b-)               | Le(a-b+) | Le(a-b-) |
| English              | Ikin et al. (in Mourant, '54) | 1166          | 21.10                  | 71.61    | 7.29     |
| Norwegian Lapps      | Allison et al., '52           | 90            | 8.89                   | 81.11    | 10.00    |
| Swedes               | Grubb, '51                    | 1000          | 18.70                  | 52.60    | 28.70    |
| Danes                | Andresen, '48                 | 238           | 19.33                  | 74.79    | 5.88     |
| Greeks (Athens)      | Dunsford, (in Mourant, '54)   | 34            | 11.76                  | 55.88    | 32.35    |
| West African Negroes | Barnicot and Lawler, '53      | 105           | 17.14                  | 43.81    | 39.05    |
| Chinese (New York)   | Miller et al., '51            | 85            | 23.53                  | 69.41    | 5.88     |
| Maori                | Simmons et al., '51           | 71            | 21.13                  | 60.56    | 7.04     |
| Australian Whites    | Simmons and Jakobowicz, '51   | 500           | 26.40                  | 69.80    | 3.60     |
| American Negroes     | Miller et al., '51            | 200           | 23.00                  | 60.00    | 16.00    |
| American Whites      | Miller et al., '51            | 460           | 22.83                  | 71.52    | 5.65     |

<sup>1</sup> Taken from Mourant, '54.

TABLE 14

*Duffy system*

| Peoples        | Number tested | Number and per cent of phenotypes |        |        |       | Gene frequencies |        | Sum of gene frequencies |
|----------------|---------------|-----------------------------------|--------|--------|-------|------------------|--------|-------------------------|
|                |               | Fy(a+)                            |        | Fy(a-) |       | Fya              | Fyb    |                         |
|                |               | No.                               | %      | No.    | %     |                  |        |                         |
| Maya           |               |                                   |        |        |       |                  |        |                         |
| In Mexico      |               |                                   |        |        |       |                  |        |                         |
| Chol           | 15            | 11                                | 73.33  | 4      | 26.67 | 0.4836           | 0.5164 | 1.0000                  |
| Itza Maya      | 67            | 59                                | 88.06  | 8      | 11.94 | 0.6544           | 0.3456 | 1.0000                  |
| Lacandon       | 33            | 32                                | 96.97  | 1      | 3.03  | 0.8259           | 0.1741 | 1.0000                  |
| Tzeltal        | 111           | 94                                | 84.68  | 17     | 15.32 | 0.6087           | 0.3913 | 1.0000                  |
| Tzotzil        | 91            | 83                                | 91.21  | 8      | 8.79  | 0.7035           | 0.2965 | 1.0000                  |
| In Guatemala   |               |                                   |        |        |       |                  |        |                         |
| Cakchiquel     | 9             | 9                                 | 100.00 | 0      | 0.00  | 1.0000           | 0.0000 | 1.0000                  |
| Mam            | 24            | 24                                | 100.00 | 0      | 0.00  | 1.0000           | 0.0000 | 1.0000                  |
| Quiché Maya    | 203           | 183                               | 90.15  | 20     | 9.85  | 0.6861           | 0.3139 | 1.0000                  |
| Total Maya     | 553           | 495                               | 89.51  | 58     | 10.49 | 0.6762           | 0.3238 | 1.0000                  |
| Non Maya       |               |                                   |        |        |       |                  |        |                         |
| In Mexico      |               |                                   |        |        |       |                  |        |                         |
| Chiapaneca     | 41            | 37                                | 90.24  | 4      | 9.76  | 0.6877           | 0.3123 | 1.0000                  |
| Mexitzo        | 19            | 18                                | 94.74  | 1      | 5.26  | 0.7706           | 0.2294 | 1.0000                  |
| Totonaca       | 45            | 43                                | 95.56  | 2      | 4.44  | 0.7892           | 0.2108 | 1.0000                  |
| Zapoteca       | 141           | 126                               | 89.36  | 15     | 10.64 | 0.6738           | 0.3262 | 1.0000                  |
| Total Non-Maya | 246           | 224                               | 91.06  | 22     | 8.94  | 0.7010           | 0.2990 | 1.0000                  |

own positive and negative controls. The results of the tests are shown on table 14. Gene frequencies for  $Fy^a$  varied greatly ranging from 48.36% among 15 Chol to 100% among 9 Cakchiquel and 24 Mam Indians. The percentage of gene frequencies for 553 Maya, however, was 32% and for the 246 non-Maya a 10% value was found for the  $Fy^a$  gene. These values are high when compared with the 40.74% reported for 205 English Labrador and Mollison, '50) and the 14% for 300 Minnesota Whites (Matson et al., '54).

For Amerinds the reported gene frequencies of  $Fy^a$  are usually high: 74.74% for 235 Blood Indians (Chown and Lewis, '51), 86.36% for 161 Chippewa (Matson et al., '54), 67.84% for 58 Diegueno (Pantlin and Kallsen, '53); with the exception of two studies in Brazil where Pantlin and Junqueira ('52) have reported a complete absence of the  $Fy^a$  gene in 73 Brazilian Indians, the population thus being presumably homozygous for  $Fy^b$ . Junqueira and Pantlin ('56) have found a value of 100% for the  $Fy^a$  gene in 55 Carajás Indians in Brazil. These low findings, if confirmed and established with the anti- $Fy^b$  may be very significant. Until such tests have been done there will remain the question whether or not there are present in Indians the third Duffy gene found in Africans (Sanger et al., '55) which does not cause a reaction with either anti- $Fy^a$  or anti- $Fy^b$ . Since the  $Fy$  gene appears so far to be peculiar to Negroes, it would be surprising if it were found among Indians tested in this study although one may expect to find it among tribes in which Negro blood has been introduced, such as the Blackfeet (Matson, '33). A low gene frequency for  $Fy^a$  (13.98%) has also been found for Negroes living in the United States (Matson et al., '58).

Other peoples reported to have a high gene frequency for the  $Fy^a$  gene are: Norwegian Lapps (Allison et al., '52) (89%), Chinese (Miller et al., '51) (85%), Ainu-Japanese (Simmons et al., '53) (86.00%), Koreans (Matson et al., '53) (99.50%) and Asiatic Indians (Cutler and Mollison, '50) (73.04%).

### *The Kidd system*

Two anti- $Jk^a$  sera were used in testing for the Kidd ( $Jk^a$ ) antigen. One of these antisera came from a sensitized group A donor and was processed at the Minneapolis War Memorial Blood Bank. It was not absorbed and was used for group A and O bloods. For checking doubtful reactions and for group B and AB bloods, a commercial anti- $Jk^a$  serum was employed. Positive and negative controls were satisfactory with these antisera. Anti- $Jk^b$  serum was not available at the time these tests were done and therefore the genotype frequencies shown on table 15 were computed on the basis of tests done with  $Jk^a$  antiserum only.

From the table it is apparent that there is no appreciable difference between the incidence of  $Jk^a$  in the Maya and non-Maya population tested. However, the Maya in Guatemala generally show a higher incidence of  $Jk^a$  (100%  $Jk^a$  for 9 Cakchiquel, 87.5% for 24 Mam and 88.18% for 203 Quiché Maya) than do the Maya in Mexico or the non-Maya groups.

The gene frequencies calculated from the incidence of the  $Jk(a+)$  phenotype vary little between the Maya and non-Maya showing 54.36% for the Maya and 50.00% for the non-Maya.

So far as we know there have been no other studies done on the incidence of the Kidd blood groups among Indians in Central or South America. The high incidence of  $Jk(a+)$  among the Maya in Guatemala is similar to values reported for Blackfeet (87.18%) and Blood Indians (Chown and Lewis, '53) (92.27%), for Africans (Ikin and Mourant, '52) (95.25%) and for American Negroes (Rosenfield et al., '53) (92.79%). The incidence of  $Jk(a+)$  phenotype and the corresponding gene  $Jk^a$  among the Maya and non-Maya Indians in Mexico is not very different from that reported for the English (Race et al., '51) (76.62% for  $Jk(a+)$  and 51.65% for gene  $Jk^a$ ) and two reports (Allen et al., '51; Rosenfield et al., '53) for American Whites (77.25% and 76.72% for  $Jk(a+)$  and 52.30% and 51.75% respectively for the  $Jk^a$  gene. Chinese in New York on the other hand are reported (Rosenfield et al.,

TABLE 15  
*Kidd system*

| Peoples        | Number tested | Number and per cent of phenotypes |        |        |       | Gene frequencies |                 | Sum of gene frequencies |
|----------------|---------------|-----------------------------------|--------|--------|-------|------------------|-----------------|-------------------------|
|                |               | Jk(a+)                            |        | Jk(a-) |       | Jk <sup>a</sup>  | Jk <sup>b</sup> |                         |
|                |               | No.                               | %      | No.    | %     |                  |                 |                         |
| Maya           |               |                                   |        |        |       |                  |                 |                         |
| In Mexico      |               |                                   |        |        |       |                  |                 |                         |
| Chol           | 15            | 11                                | 73.33  | 4      | 26.67 | 0.4836           | 0.5164          | 1.0000                  |
| Itza Maya      | 66            | 43                                | 65.15  | 23     | 34.85 | 0.4097           | 0.5903          | 1.0000                  |
| Lacandon       | 33            | 21                                | 63.64  | 12     | 36.36 | 0.3970           | 0.6030          | 1.0000                  |
| Tzeltal        | 111           | 84                                | 75.68  | 27     | 24.32 | 0.5068           | 0.4932          | 1.0000                  |
| Tzotzil        | 91            | 69                                | 75.82  | 22     | 24.18 | 0.5083           | 0.4917          | 1.0000                  |
| In Guatemala   |               |                                   |        |        |       |                  |                 |                         |
| Cakchiquel     | 9             | 9                                 | 100.00 | 0      | 0.00  | 1.0000           | 0.0000          | 1.0000                  |
| Mam            | 24            | 21                                | 87.50  | 3      | 12.50 | 0.6464           | 0.3536          | 1.0000                  |
| Quiché Maya    | 203           | 179                               | 88.18  | 24     | 11.82 | 0.6562           | 0.3438          | 1.0000                  |
| Total Maya     | 552           | 437                               | 79.17  | 115    | 20.83 | 0.5436           | 0.4564          | 1.0000                  |
| Non-Maya       |               |                                   |        |        |       |                  |                 |                         |
| In Mexico      |               |                                   |        |        |       |                  |                 |                         |
| Chiapaneca     | 36            | 26                                | 72.22  | 10     | 27.78 | 0.4730           | 0.5270          | 1.0000                  |
| Mextizo        | 19            | 12                                | 63.16  | 7      | 36.84 | 0.3930           | 0.6070          | 1.0000                  |
| Totonaca       | 45            | 35                                | 77.78  | 10     | 22.22 | 0.5286           | 0.4714          | 1.0000                  |
| Zapoteca       | 132           | 101                               | 76.52  | 31     | 23.48 | 0.5154           | 0.4846          | 1.0000                  |
| Total Non-Maya | 232           | 174                               | 75.00  | 58     | 25.00 | 0.5000           | 0.5000          | 1.0000                  |



TABLE 16

*Diego system*

| Peoples        | Number tested | Number and per cent of phenotypes |       |        |        | Gene frequencies |                 |
|----------------|---------------|-----------------------------------|-------|--------|--------|------------------|-----------------|
|                |               | Di(a+)                            |       | Di(a-) |        | Di <sup>a</sup>  | Di <sup>b</sup> |
|                |               | No.                               | %     | No.    | %      |                  |                 |
| Maya           |               |                                   |       |        |        |                  |                 |
| In Mexico      |               |                                   |       |        |        |                  |                 |
| Chol           | 15            | 4                                 | 26.67 | 11     | 73.33  | 0.1436           | 0.8564          |
| Itza Maya      | 67            | 17                                | 25.37 | 50     | 74.63  | 0.1361           | 0.8639          |
| Lacandon       | 33            | 11                                | 33.33 | 22     | 66.67  | 0.1835           | 0.8165          |
| Tzeltal        | 111           | 11                                | 9.91  | 100    | 90.09  | 0.0508           | 0.9492          |
| Tzotzil        | 86            | 13                                | 15.12 | 73     | 84.88  | 0.0787           | 0.9213          |
| In Guatemala   |               |                                   |       |        |        |                  |                 |
| Cakchiquel     | 5             | 0                                 | 0.00  | 5      | 100.00 | 0.0000           | 1.0000          |
| Quiché Maya    | 46            | 8                                 | 17.39 | 38     | 82.61  | 0.0911           | 0.9089          |
| Total Maya     | 363           | 64                                | 17.63 | 299    | 82.37  | 0.0924           | 0.9076          |
| Non-Maya       |               |                                   |       |        |        |                  |                 |
| In Mexico      |               |                                   |       |        |        |                  |                 |
| Chiapaneca     | 41            | 3                                 | 7.32  | 38     | 92.68  | 0.0373           | 0.9627          |
| Mexitzo        | 19            | 4                                 | 21.05 | 15     | 78.95  | 0.1115           | 0.8885          |
| Totonaca       | 43            | 9                                 | 20.93 | 34     | 79.07  | 0.1108           | 0.8892          |
| Zapoteca       | 141           | 21                                | 14.89 | 120    | 85.11  | 0.0775           | 0.9225          |
| Total Non-Maya | 244           | 37                                | 15.16 | 207    | 84.84  | 0.0789           | 0.9211          |

'53) to have a low percentage incidence of the Jk(a+) phenotype (52.43%).

### *The Di<sup>a</sup> antigen*

Enough anti-Di<sup>a</sup> serum was made available to us through the goodness of Drs. M. Layrisse, Banco de Sangre, Venezuela and B. Chown, Rh Laboratory, Winnipeg, Manitoba, Canada, to test 363 Maya and 244 non-Maya in this study. The anti-serum was of excellent quality being specific and potent with known positive controls.

It is not known definitely at this time whether or not Di<sup>a</sup> represents a new system. In table 16, however, the findings are recorded as positive or negative to anti-Di<sup>a</sup> serum and genotypes are calculated on the assumption that there is a corresponding allele Di<sup>b</sup>.

The table shows appreciable variation in the incidence of the Di<sup>a</sup> antigen (from 0.00% in 5 Cakchiquel to 33.33% among 33 Lacandon, many of the latter being related. The percentage incidence of the phenotype Di(a+) for the 363 Maya is 17.63% and for the 244 non-Maya it is 15.16%. The per cent of genotype frequencies for the Di<sup>a</sup> gene varies the same way (from 0.00% for the Cakchiquel to 18.35% for the Lacandon). However, the per cent genotype frequencies for total Maya and total non-Maya are closer together being 9.24% for the Maya and 7.89% for the non-Maya.

Other studies of the distribution of the Di<sup>a</sup> antigen among Amerinds and other peoples have shown that Amerinds (Junqueira et al., '56; Layrisse and Arends, '56; Layrisse et al., '55; Lewis et al., '56), Chinese, Japanese (Layrisse and Arends, '56a) Koreans (Matson et al., '58), possess in varying distribution the Di<sup>a</sup> antigen whereas Polynesians, Australian Aborigines, Papuans, New Britain natives (Simmons, '57), Eskimos (Lewis, Chown and Kaita, '56) and Caucasians (Levine et al., '56) do not have it. Since Polynesians (Nigg, '30 have a high percentage of A<sub>1</sub> and since the Blackfeet Indians (Matson, '33; Matson and Schrader, '33) also are preponderantly a group A<sub>1</sub> people, it would appear to be important to study the Blackfeet for the presence of the Di<sup>a</sup> factor.

### SUMMARY AND CONCLUSIONS

1. A study of the distribution of hereditary blood factors among 801 M and non-Maya Indians in Mexico Guatemala has been reported. Of the 553 were Maya including Chol, Itza M Lacandon, Tzeltal, Tzotzil, Cakchiquel Mam and Quiché Maya and 248 non-Maya including Chiapaneca, Mextizo, Totonac and Zapoteca.

2. These blood specimens were tested for the following antigens: A, B, O, M, S, He, Mi<sup>a</sup>, Pi, C, D, E, c, e, V, K, Lu<sup>a</sup>, Le<sup>b</sup>, Fy<sup>a</sup>, Jk<sup>a</sup> and Di<sup>a</sup>. The number tested in each group and number and percentage incidence of phenotypes and the calculated per cent of gene frequencies are presented in appropriate tables.

3. With the exception of the Chiapaneca, all showed an extremely high incidence of group O ranging from 76.22% for the Zapoteca to 100% for the Cakchiquel. The Chiapaneca showed 65.85% group O which is low for the general body of Indians. The Blackfeet and related tribes are an exception having approximately 80% A<sub>1</sub>.

4. The M antigen is high and the S is low as is common to other Amerinds. However, the Chiapaneca show an unusually high gene frequency for the N gene (35.37%) which is very high for Indians.

5. The differences are not great between the Maya and non-Maya with respect to MS and Ms but the NS is high compared to Ns among the Maya whereas among the non-Maya there is a more even distribution of these chromosomal factors.

6. No He antigen was found among 246 Amerinds tested. The gene responsible of the Henshaw (He) phenotype appears to be rare if present at all in the peoples.

7. Among 714 bloods from group O Indians tested for the presence of the Di<sup>a</sup> antigen none was found.

8. There do not appear to be any outstanding differences in the distribution of P<sub>1</sub> antigen between Maya and non-Maya Indians, but it is somewhat lower than the incidence reported for the Chippewa and Blood Indians, and somewhat higher than the reported incidence for Brazilian and Diegueno Indians.

In the Rh-Hr system the average results among the Indians of this study appear to be in general agreement with those observed among other Indians and from Whites in the same respects, a high cDE, a low incidence or absence of cDe and cde and the presence of the chromosomes. The Chol, Cakchiquil, Mam and Chiapaneca showed exceptions to this generality.

All except one of the 801 specimens tested lacked the V antigen. This could be expected since the V gene has been found only with the chromosome 1 and cdef and these chromosomes have been found to be rare in these Indians.

No Lu(a+) phenotype was found among any of the 173 Maya or 109 non-Maya Indians tested thus giving a frequency of 0% for the Lu<sup>b</sup> gene (homozygous Lu<sup>b</sup>).

Nearly all the Indians tested were negative, presumably homozygous for the negative allele. No homozygous KK were found. Three Kk bloods were observed in the Maya (two of these were brothers) and 4 Kk were observed in the 248 non-Maya.

The Le(a+b-) group is rare among these Indians. The Le(a-b+) was the most common phenotype (77.40% for the Maya and 69.80% for the non-Maya). The Le(a-b-) was the next most frequent phenotype.

The average percentage of gene frequencies for Fy<sup>a</sup> is high among these Indians (67.62% for Maya, 70.10% for non-Maya). These values compare favorably with those reported by others for Indians.

The average gene frequencies calculated from the incidence of the Jk(a+) phenotype vary little between Maya and non-Maya Indians (54.36% and 50.0% respectively). These values are somewhat lower than those reported for Indians in Colombia and Alberta.

The per cent of genotype frequency for the Di<sup>a</sup> gene varies from 0.00% in the Cakchiquil to 18.35% for the Lahu. The values for the Maya and non-Maya, however, are closer together at 9.11% and 7.89% respectively. These findings are in general agreement with those among Amerinds.

17. Further studies should be done on the distribution of the hereditary blood factors among Indians in Central and South America.

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# Gown for Measuring Subcutaneous Tissue in Females

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A study of the amount and distribution of subcutaneous tissue in adolescent males and females is now under way at the University of Nebraska (Fry, '57). This study uses the Harpenden caliper (Tanner and Whitehouse, '55) for measuring subcutaneous fat deposits of the upper arm, lower arm, waist, back, and knee. Measurement of these locations in adolescent girls is often complicated by the reluctance of the subjects to expose themselves in some of these areas. A gown (Figure 1) was designed to overcome these difficulties.<sup>1</sup>

The gown consists of two pieces of cotton cloth measuring 90 mm by 60 mm. The pieces are sewn together across one of the 60-mm ends, leaving a 40-mm gap

in the center through which the subject places her head. In the front of the gown there is a horizontal slit 15 mm in length located 15 mm in from the right hand side of the subject and 45 mm from the top of the gown. A similar slit running in a vertical direction is located on the back of the gown, 18 mm in from the subject's right side and 18 mm from the top. Ties are placed on both sides at 20 and 60 mm from the top.

The horizontal slit in the front permits the measurement of subcutaneous tissue at the waist and waist circumference, and the vertical slit in the back allows the

<sup>1</sup>The gown was designed by Miss Marion Wright, a student in the Department of Anthropology, University of Nebraska.

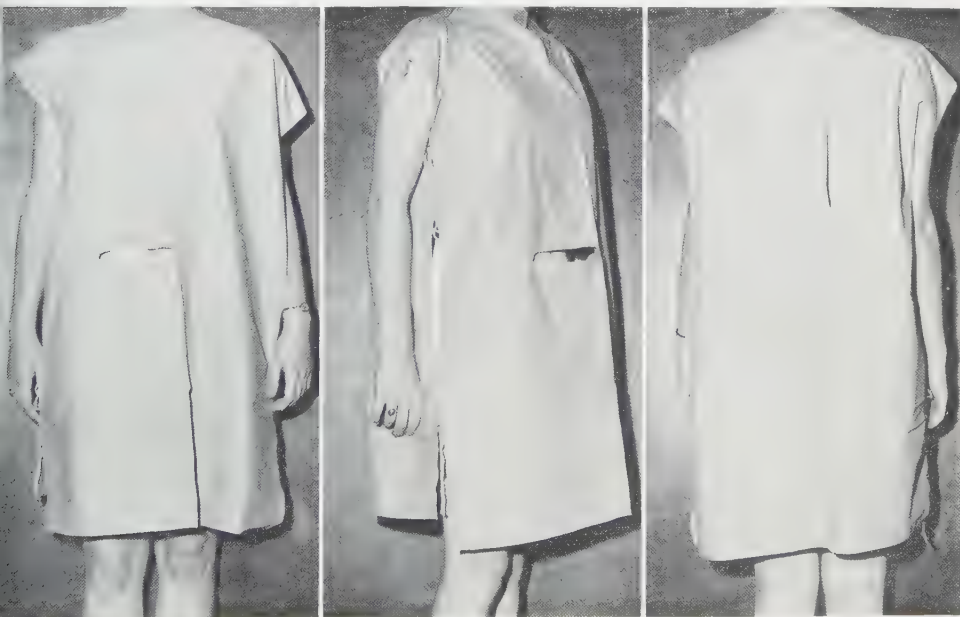


Figure 1

measurement of subcutaneous tissue on the back below the inferior angle of the right scapula. Since the gown is sleeveless, the tissue on the arms is easily measured, while the short length means that the knee is exposed when the subject sits.

Subjects of all weights and statures can be measured, since the gown fits loosely and the ties can be adjusted for girls of widely different circumferences. In addition, the use of the gown standardizes

the measurement of weight, since all subjects wear only the gown and their normal underwear while being measured.

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# The First Australopithecus Cranium from the Pink Breccia at Makapansgat

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Dr. R. S. Cunliff was in charge of the sorting party sorting the dumps at Makapansgat for the final 4 months of field work in 1958. During December, 1958 Messrs. Alun R. Hughes and James W. Gunning were looking over blocks of bone-bearing pink breccia when the latter spied a block seen in these photographs and brought it away for development in Johannesburg.

Figure 1 shows in the block the right lateral aspect of an australopithecine skull, of which has been wrenched away during mining; it may be found somewhere amongst the 63 tons of breccia recovered during that season. Below the skull whose frontal region and face has been eroded away by natural weathering can be seen the remains of the broken second and third right molar teeth, the remainder of which must be in the block of breccia.

Figure 2 shows the front or weathered aspect of the skull and the molar teeth of both sides in frontal section. Off to the right is another skull upside down, eroded sagittally; apparently a specimen of *Parapapio broomi* the first cercopithecoid type to be found at Makapansgat. This part of the dump is where *Cercopithecus williamsi* was first found. It has been proving so rich in cercopithecids since 1947 that it has generally been known locally as the "*Cercopithecoides* dump" uncompletely sorted through and removed during the 1958 season.

Figure 3 displays the rear aspect of the australopithecine skull. The lambdoidal region and the external occipital protuberance have been cleared sufficiently to indicate that this skull corresponds remarkably closely with that of the female *Australopithecus prometheus* first identified from the occiput discovered by James Gunning in 1947.

Off to the left the lower jaw of the *Parapapio* skull can be seen. About halfway between the cercopithecoid and australopithecine fragments lies the lower jaw of an infantile specimen probably of the same type of baboon (Freedman, '57).

No further development of the specimens in this unusual block will be done until casts have been prepared of the block in its present state and until a thorough search has been made amongst the aforesaid bone-bearing breccia recovered during 1958 for the missing slab of rock carrying the rest of the right side of the broken skull and its second and third molar teeth.

But whether that slab is found or not this block contains the most complete specimen of *Australopithecus prometheus* hitherto recovered at Makapansgat and corroborates the reconstruction made in 1948 and the inferences drawn therefrom.

The naturally eroded surface of the block displayed in figure 2 and the situation of the "*Cercopithecoides* dump"—at the crest of the dumping area on the hill slope just outside the quarries and between two mouths of the quarries—which the sorting party reached last year, now enable us to fix with tolerable certainty the position of this block in the original cave deposit.

This discovery and the original situation of this eroded surface block in the deposit have thrown further light on the deposit and have opened up hitherto unexpected prospects of further australopithecine finds in the pink breccia almost anywhere along the slopes of this amazing valley, so a few comments upon these matters are relevant.

The publication recently of the splendid detailed monograph on "The Transvaal ape-man-bearing cave deposits" by Dr. C. K. Brain ('58) enables anybody in-



Fig. 1 Cranium of *Australopithecus* seen from the right lateral aspect in a block of pink lime-consolidated (upper phase 1) bone breccia from Makapansgat Limeworks. The sectioned bone fragments above and below the skull have not been identified but the second and third upper molar teeth as well as the posterior part of the australopithecine maxilla are visible in section below the skull near the right margin of the block as seen from this aspect. Note the expanded parietal region of the skull just below the left thumb of the hands holding the block.

sted to localize the original position of block and the accompanying breccia he deposit with considerable precision. Eighteen pages and 19 illustrations in Memoir are devoted to the Limeworks. The "*Cercopithecoides* dump" lay approximately midway between the two

quarry mouths marked by the points H and X on Brain's figure 90 ('58).

From these points Brain drew his planes of section ABCD and XBFYZ through the deposit. They intersect at B on the surface of this pink breccia (upper phase 1 breccia of Brain). Just to the left of B, the point



Fig. 2 The upper or weather-eroded surface of the block of pink (upper phase 1) breccia displaying the coronally-sectioned australopithecine skull and its molar teeth on the left and the sagittally-sectioned baboon skull (*Cercopithecoides broomi*)—turned upside down—on the right. The criss-cross pattern on the block just above the australopithecine skull was made by the chisel of the developer. Some of the eroded and pink stained bone was also scratched with a scalpel the better to display the outlines of the sectioned skulls.



of intersection, Brain has shown the trench made by the limeworkers to remove the pink breccia that lay on top of the dripstone or stalagmitic lime in the quarried area between B and the intersecting plane of section EF.

Brain's planes of section show that the thickness of the stalagmitic deposit in particular part of the quarry was its greatest (up to 40 feet) and the overlying phase 1 breccia eroded almost at its base (so that it formed a covering



Fig. 3 The inferior surface of the pink breccial block to display on the right the posterior or occipital aspect of the australopithecine skull. The lambdoidal region, the external occipital protuberance and the superior nuchal line on both sides have been partially exposed. At the left margin lies the broken lower jaw and cranium of the larger baboon. Between them and the australopithecine skull is the fragment of the lower jaw of a much smaller infant baboon (*Parapapio broomi*).



5-10 feet thick) over this great 40-foot-thick deposit of stalagmite.

It is patent why it was profitable for miners to make the trench through the mine at this point at an early stage in mining and to dump the pink (upper phase 1) overlying breccia over the fairly gently falling hill slope nearby. The latter was cleared of its "*Cercopithecoides* dump" last year and has yielded this precious

from the eroded face of the block disclosing the two skulls in section it is obvious that the australopithecine skull lay plain face upwards alongside these baffle fragments 5 to 10 feet from the exposed part of the stalagmitic floor. Now the dripstone has been mined away underlying dolomitic hump, upon which it was deposited, is laid bare. Observers (like Mrs. Alun R. Hughes in her figure 92) naturally use it to surmise the cavern made by the miners when they removed the dripstone. The "ununnatural roof" of today's cavern made by the miners is formed chiefly by this unexcavated phase 1 (lower grey and upper pink) breccia, that formed the successive floors of the cavern during australopithecine times.

With one small, but most valuable exception all the australopithecine fragments discovered in the Makapangsang works dump during the past 12 years came from the grey bone-rich lower phase breccia. That single exceptional fragment came from the most recent or phase breccia inside the quarry. It came from the face of the wall when the cone had collapsed after the dripstone was removed, that part which contained the pebble identified by the late Professor C. Riet Lowe (Brain et al., '55).

The distinctive importance of this new australopithecine skull lies in its having been deposited at least 5 feet above the stalagmitic floor and in the upper phase 1 breccia lying between the grey breccia and the bone-implement bearing phase 2 breccia. The unexcavated part of this phase breccia is immense; it is exposed as an eroded land surface there today over an area approximately  $500 \times 500 = 250,000$  square feet.

From observations over the last two years we know that on the average 400 lbs of the lower phase 1 grey breccia occupies 9 cubic feet of space. The pink bone-breccia of the upper phase 1 breccia is more dense and probably on the average heavier. However, by applying the grey breccia weight to space formula to this 5-40 ft. thick unexcavated block of upper phase 1 breccia and assuming the average thickness to be 10 ft. the resultant 2,500,000 cubic foot figure shows that at least 50,000 tons of potentially australopithecine-bearing grey, pink and reddish upper phase 1 breccia remains to be excavated at this site alone.

Any part of this surface and the underlying 5 to 40 feet of pink breccia (together with the 5 feet or more thickness of grey breccia below it) that still remains for excavation in the future may contain *Australopithecus* or contemporary faunal remains.

During 1958 we were able to keep our working party in the field for just over 9 months and were able to sort over 14,000 tons of dumped material and to recover some 60 tons of bone-bearing breccia principally of this pink type. The bone content of the pink (upper phase 1) breccia in general diminishes as one proceeds upwards in the deposit. This is due to two factors. First, the redness due to soil and wind-blown dust contamination increases with the enlargement of the cavern entrances. Secondly the greater the amount of entrant soil and dust the greater the acidity of the deposit and the less likelihood of bone preservation by the alkalinity of the lime-laden cavern moisture.

The 95 tons of bone-bearing breccia represents the fruits of the past 12 years' programme of dump sorting. Through the generosity of the Wenner-Gren Foundation and lately of the Wilkie Foundation this sorting has been carried right up the hillside to the quarries themselves.

The contemporaneity of *Australopithecus* with the entire period of cavern-filling deposition has been proven by australopithecine remains in each of its three characteristic types of breccia. But the point which I made last year (Dart, '58) and wish to emphasize again now is the relative triviality of the total 95 tons (35 grey, 60

pink) of breccia recovered from the dumps during the past 12 years as compared with the quantities previously removed by the limeworkers and the amount still remaining to be excavated.

I pointed out (op. cit., p. 929) that Eitzman ('58, p. 182) had observed three bone layers in the dripstone and had estimated that 300 tons of grey breccia were present in the middle layer alone. So the 35 tons of grey breccia collected from the dump (and of which we have developed only 7 tons so far) is merely a trivial sample of the total grey breccia deposit.

Similarly the 60 tons of pink bone-breccia collected from the dumps represents an infinitesimal portion of the pink breccia when compared with the 50,000 tons of unexcavated phase 1 breccia at this site. This unexcavated mass of phase 1 breccia still includes at its base, as is well known from numerous samples taken from the quarried cavern walls, a very considerable amount of basal grey and overlying pink bone-bearing breccia richly charged with bone, that may take a generation or two to remove.

Only when an extensive excavation been undertaken at Makapansgat, which this 10 years of dump-sorting programme has served as an informative liminary, will we come to understand quately the life and habits of *Australopithecus* at Makapansgat. Meantime the velopment of the breccia recovered from the dump and the analysis of the odontokeratic fragments it contains is yielding cumulative and highly rewarding formation.

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# Comments on the Photometric System<sup>1</sup>

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any of the technical aspects of the PhotoMetriC camera have been aptly pointed out by Hunt and Giles ('56). An earlier study was made by Blesh, Meyers and Kiphuth ('54). Most recently, the PhotoMetriC system was used during the summer of 1957 in conjunction with an anthropometric survey of more than 2000 personnel in the United States Air Force. One of the aims of the project, relating to the introduction of new equipment, was to ascertain how the anteroposterior and transverse dimensions of the body are related to various body circumferences. At each of the 6 air bases visited between 27 June and 31 August, the PhotoMetriC camera was installed. The field procedure consisted of taking body circumferences with standard steel tape and marking, with felt-tip pen, the anatomical landmarks which would later serve as reference points on the projected photographic image. Each subject was photographed in both standing and seated positions. The posing technique of Dupertuis and Tanner ('50) was generally followed for standing subjects. I shall refer to 4 problems related to this system of photogrammetry and indicate possible solutions to them.

Hunt and Giles ('56) have called attention to the immobility of the PhotoMetriC camera room. As one might expect, this difficulty can be minimized with strength and muscle. In three hours, a team of men was able to dismantle, pack and move all the camera room and other equipment, which weighed approximately one ton and was usually shipped by military transport. To facilitate installation, a heavy, inverted U-shaped support (designed by the PhotoMetriC engineer) was connected for the overhead mirror, thus obviating the necessity at each air base for a special ceiling platform. As Hunt and Giles have pointed out, distortion differences caused by varying statures renders the overhead view less satisfactory for anthropo-

metric purposes. The metal support for the mirror reflecting this view, however, is unnecessary to secure the top pivot of the target (about the size of one of the mirrors and marked off in 6-inch squares) used for precisely positioning the mirrors of the camera room layout. The overhead mirror itself is useful in posing the subject.

2. Hunt and Giles ('56) considered the seated position "impracticable" to record with the PhotoMetriC camera. In the standard PhotoMetriC layout, this is certainly true. If certain modifications are made, however, the seated subject can be photographed—with exception of his toes. At our request, the PhotoMetriC Corporation made a test which revealed that the front mirror spanned 78.5 cm; the rear, 72.7 cm; the profile mirror, 80.6 cm. The latter, therefore, could potentially afford ample coverage for the buttock-knee lengths of air force personnel, which, according to the 1950 survey, have a mean of 60 cm and do not exceed 70 cm (Hertzberg, Daniels and Churchill, '54). Since the midline of the profile mirror in the standard PhotoMetriC camera room layout corresponds roughly with the center of the standing platform, the engineer moved the former along its conventional plane 9.6 cm away from the camera position in order to cover the buttock-knee lengths. This modification did not disturb the over-all relationships of the camera-mirror system, and provided the necessary viewing area in front of the seated subject.

The anteroposterior magnification balance, however, was affected. In order to accommodate all buttock-knee lengths, each seated subject was posed so that the midline of his torso was slightly be-

<sup>1</sup> This research was supported by the United States Air Force under Contract Nr. 33(616)-5246, monitored by Aero Medical Laboratory, Directorate of Research, Wright Air Development Center, Wright-Patterson Air Force Base, Ohio.



hind the point of zero magnification distortion. Thereby, the posterior plane of the torso was placed about 10 cm closer to the camera than it would have been in the standard standing position, while the anterior view was the same distance further away from the camera. Obviously, such a maneuver increased the magnification distortion of the rear view, while the front view, which was then approximately in the zero plane, had no appreciable magnification distortion. Problems of distortion in photogrammetry have been discussed by Tanner and Weiner ('49) and Gavan, Washburn and Lewis ('52). The former authors have mentioned the standard procedure for calculating magnification differences. The distance from the nodal point of the camera lens to the plane of operation is first determined. This figure, then, is divided by the over-all distance between the nodal point of the camera lens to the point of zero magnification distortion (9271 mm in the PhotoMetriC system). The quotient yields the percentage of the measurement of the photographic image which is represented by the real measurement. Therefore, in the PhotoMetriC system, the real counterpart of any measurement taken on a plane 10 cm towards the camera from the zero point is 98.92% of the magnified dimension. Thus, for every 10 cm closer to the camera, there is a magnification distortion of 1.08%.

Another approach to this problem is to include in the photograph itself a boldly calibrated scale at the plane where the measurements are to be made. All measurements taken at this plane can be directly gauged from the scale (Gavan, Washburn and Lewis, '52). For seated subjects we employed a "knee-plane marker" in this fashion.

A limitation to recording the seated view with the PhotoMetriC camera is that only the academically conventional left side can be photographed. On the standing view, the right side can be photographed by merely turning the subject 180 degrees. With the seated subject similarly rotated, however, the profile mirror would have to be shifted on its plane *towards* the camera position. If this is done, the focal paths of the mirrors cross one another.

3. Although the PhotoMetriC Corporation has apparently taken every precaution to make its mirrors distortion free ("optically flat within three thousandths of an inch tolerance" according to the company brochure), some contain minor distortion. In the particular camera-mirror system used, the most disturbing distortion occurred in the front and side-view mirrors. One meter from the floor, or approximately from hip to waist level, the center 30 cm of the front-view mirror undermagnified about 2%, while the same section of the side-view mirror showed approximately similar distortion. Possibly distortion in the front and/or back-view mirrors have contributed to the unreliability of measurements. Hunt and Giles ('56) considered to be caused by "anteroposterior sway of the body." Vertical dimensions reflected in the aforementioned areas of these mirrors did not show undermagnification. In fact, there was a tendency in the opposite direction. The rear-view mirror system tended to be relatively accurate with just a slight indication of overmagnification in some areas. The exigencies of rapid field installations and frequent transport of the delicate mirrors may have contributed to these slight inaccuracies. In future installations, it might be worth the additional expense to test at least two sets of mirrors to assure optimum results.

4. At the time of the Air Force's anthropometric survey, the PhotoMetriC Corporation was using Eastman Kodak Ektachrome X film, because its peculiar thickness and resiliency are best suited for the friction film advance mechanism of the PhotoMetriC camera. The Eastman Kodak Company ('58) rates this film's resolving power as "medium" (76-90 lines per mm), while the enlargement potential is only "moderate." Considering that the picture on the PhotoMetriC projection screen is half life size, there is little wonder that image definition leaves something to be desired. In the future, different emulsions will probably be available since the PhotoMetriC camera is being modified to accept standard 35 mm rolls of 70 mm film instead of the specially rolled 5.5 m lengths formerly used. Thus, other emulsions from Agfa, Du Pont as well as from Eastman may be employed if they possess the cor-



ness and resiliency. The longer roll, eventually, would have facilitated our operation when up to 130 subjects were photographed in one day.

It is hoped that these few remarks will find application in future use of the PhotoMetriC system by anthropologists. In summary, the following comments about the system can be noted: (1) it has been used in extensive field work; (2) it can be used to record the seated position with results mentioned above; (3) the possibility of slight mirror distortion should be taken into account and minimized if possible, and (4) photographic emulsions of high resolving power would enhance the effectiveness of the system.

#### ACKNOWLEDGMENTS

This project was initiated and carefully monitored by 1/Lt. Frank P. Saul, USAF, of the Aero Medical Laboratory's Anthropometry Section, which is directed by Mr. E. Hertzberg. Mr. Theodore Yonkler, President of the PhotoMetriC Corporation, was most helpful in making arrangements for, for the first time, his delicate instrument to undergo the rigors of a summer's field trip. Mr. Carlyle Richard, PhotoMetriC engineer, showed personal interest in our problems and was largely responsible for the success of the USAF Anthropometric Survey. The field work was efficiently executed by men of Gordon College under my direction.

Messrs. Donald F. Booth and Norman T. Jeffries, Jr. performed most of the measuring on the PhotoMetriC screen, while the latter was also responsible for calculating magnification distortion. Mr. William H. Barton made substantial contributions to this study. We were fortunate to have Dr. C. W. Dupertuis personally inspect one of our field operations. Dr. Edward E. Hunt, Jr. has been gracious to read this paper and to offer valuable suggestions.

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# Summary of Blood Group Phenotypes in Some Aboriginal Americans

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| Phenotypes                      | Vicos<br>Indians<br>(Peru) <sup>1</sup> | Penobscot<br>Indians<br>(Maine) <sup>2</sup> | Athabascan<br>Indians<br>(Alaska) <sup>3</sup> | Tlingit<br>Indians<br>(Alaska) <sup>3</sup> | Eskimos<br>(Alaska) <sup>3</sup> |
|---------------------------------|---|--|--|---|----------------------------------|
| O                               | 396                                     | 133  | 181  | 63  | 65                               |
| A <sub>1</sub>                  | 34                                      | 100  | 23   | 11  | 134                              |
| A <sub>2</sub>                  | 0                                       | 15   | 2  | 0   | 2                                |
| B                               | 5                                       | 0  | 0  | 4   | 29                               |
| A <sub>1</sub> B                | 0                                       | 1  | 0  | 1   | 11                               |
| A <sub>2</sub> B                | 0                                       | 0  | 0  | 0   | 0                                |
| Rh <sub>2</sub> rh              | 10                                      | 14   | 18   | 6   | 5                                |
| Rh <sub>2</sub> Rh <sub>2</sub> | 95                                      | 12   | 73   | 37  | 62                               |
| Rh <sub>1</sub> Rh <sub>2</sub> | 194                                     | 60   | 82   | 23  | 127                              |
| Rh <sub>1</sub> Rh <sub>1</sub> | 114                                     | 58   | 17   | 7   | 43                               |
| Rh <sub>1</sub> rh              | 15                                      | 83   | 8  | 3   | 4                                |
| Rh <sub>2</sub> Rh <sub>2</sub> | 2                                       | 0  | 4  | 2   | 0                                |
| Rh <sub>2</sub> Rh <sub>1</sub> | 5                                       | 0  | 3  | 0   | 0                                |
| rh                              | 0                                       | 22   | 1  | 0   | 0                                |
| rh''rh                          | 0                                       | 0  | 0  | 1   | 0                                |
| Rh <sub>0</sub>                 | 0                                       | 0  | 0  | 0   | 0                                |
| M, S—                           |   | 36   | 109  | 42  | 121                              |
|                                 | (M) 172                                 |  |  |   |                                  |
| M, S+                           |   | 63   | 49   | 15  | 40                               |
| MN, S—                          |   | 60   | 23   | 15  | 61                               |
|                                 | (MN) 192                                |  |  |   |                                  |
| MN, S+                          |   | 60   | 24   | 7   | 16                               |
| N, S—                           |   | 19   | 0  | 0   | 2                                |
|                                 | (N) 64                                  |  |  |   |                                  |
| N, S+                           |   | 11   | 1  | 0   | 1                                |
| Fy(a+)                          | 319                                     | 196  | 205  | 79  | 236                              |
| Fy(a—)                          | 28                                      | 53   | 1  | 0   | 5                                |
| Jk(a+)                          |   | 201  | 153  | 62  | 170                              |
| Jk(a—)                          |   | 48   | 53   | 17  | 71                               |
| P+                              | 200                                     | 194  | 47   | 9   | 63                               |
| P—                              | 228                                     | 55   | 129  | 21  | 167                              |
| Le(a—b+)                        | 297                                     | 234  | 167  | 25  | 225                              |
| Le(a—b—)                        | 95                                      | 6  | 9  | 4   | 5                                |
| Le(a+b—)                        | 16                                      | 9  | 0  | 1   | 0                                |
| K+                              | 0                                       | 1  | 1  | 1   | 0                                |
| K—                              | 302                                     | 248  | 205  | 78  | 241                              |
| Kp(a+)                          | 0                                       | 4  | 0  | 0   | 1                                |
| Kp(a—)                          | 164                                     | 245  | 206  | 79  | 240                              |
| Lu(a+)                          | 4                                       | 21   | 0  | 0   | 0                                |
| Lu(a—)                          | 372                                     | 228  | 188  | 79  | 230                              |
| Di(a+)                          | 74                                      | 20   | 1  | 0   | 2                                |
| Di(a—)                          | 234                                     | 229  | 205  | 79  | 239                              |

<sup>1</sup> Done in 1956; Allen and Newman.

<sup>2</sup> Done in 1958; Allen and Corcoran.

<sup>3</sup> Done in 1958; Corcoran, Allen, Allison and Blumberg

# Shed New Light on Australopithecine Humeral and Lithic Weapons

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A year ago I (Dart, '49) published in *Journal of the South African Institute of Science* the first comparative study of bone deposits from the South African man-ape sites to display the predatory hunting technique of *Australopithecus* at three sites. At that time the most convincing evidence of his humeral bludgeoning technique, apart from the double-vallecular fractures in baboon skulls, were the depressed fractures that spelled murder for the two *Plesianthropus* cranial casts seen in figure 1.

The double-vallecular fractures were obviously the work of double-ridged tools. Of the bone deposit at Makapansgat yielded generous evidence in antelope humeri, whose distal ends — if not them- selves — fractured easily into these sections.

Despite its clarity the evidence presented in illustrations and actual casts of humeri was received with such doubt by some colleagues that we initiated a program at Makapansgat such as should exclude any reasonable doubt. We proceeded to extract all the available bone from the dump and every bone fragment from the breccia, however long the procedure might take.

By the end of 1958 the entire dump area between the kilns and right up to the very mouths had been sorted and 95 tons of bone breccia recovered. This rather massive task, one that involved the sorting and shifting of approximately 40,000 tons of rubble, could not have been accomplished in that period without the financial help which came first from the Gertrude Foundation, and since 1955, from the Wilkie Foundation.

Meanwhile only 7 tons of the gray breccia, of which 35 tons are available, had been developed; but it has yielded 35,500 osteodontokeratic fragments

or about 5,000 to the ton. But I had provided a preliminary statistical insight into its contents in the Memoir (Dart, '57a) on The osteodontokeratic culture of *Australopithecus prometheus* at a time when only 7,159 fragments were available. There I showed that distal ends of humeri were the most frequently found of all long bone fragments.

Incidentally, it became necessary to conduct collateral investigations, frequently distracting and involving considerable inconvenience, in order to confront traditional misconceptions with actual facts about the habits of rodents and carnivores *vis-à-vis* bone collecting (*vide* Dart, '56a,b, '57a, b, c, '58a, b) as compared with the bone collecting of human beings including the proto-human *Australopithecus*.

It is highly probable that, had these criticisms not arisen, the full import of the challenge presented by these ape-size-brained, but proto-human creatures at Makapansgat for understanding man's earliest known culture would have escaped notice. But it is important to recognize that one of the outstanding effects of the South African man-apes upon physical anthropology has been to display its limitations in assisting us to define what is man and what is ape anatomically. Makapansgat has meantime been exerting an effect of an entirely different sort. The absence of stones from the gray breccia there made it essential to search for australopithecine tools amongst the bones.

So physical anthropology had to enter a new phase. It had not neglected in the past physical defects due to human interference such as cranial deformation and trepanation, dental extraction and filing, and the like. Nor had it failed to include osseous changes in primates due to growth and disease as well as operative proced-

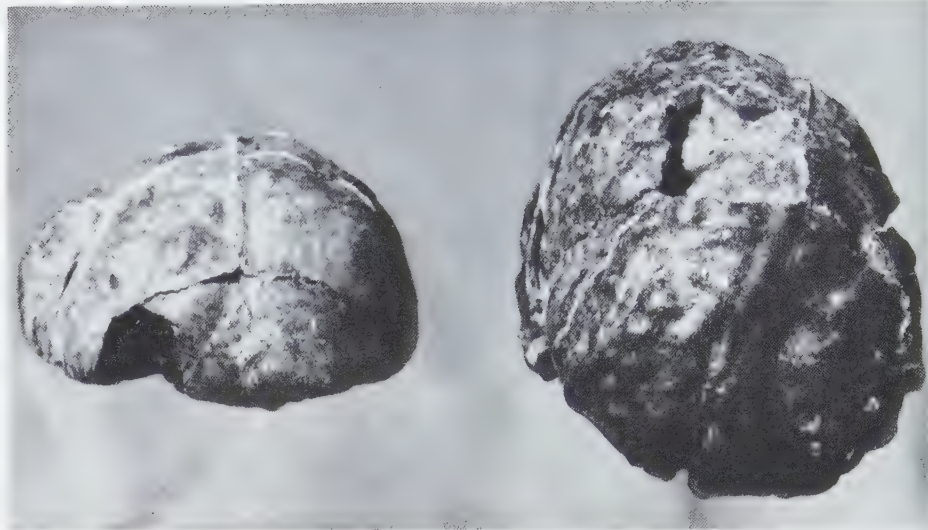


Fig. 1 Two *Plesianthropus* endocranial casts from Sterkfontein to demonstrate depressed fractures caused by double-ridged tools. The specimen on the left, seen from the rear, shows a Y-shaped fracture running jaggedly from side to side across the midline in the parietal region. The elevated area between the two limbs of the Y shows the double-headed nature of the bludgeon used.

The specimen on the right, seen from the front, illustrates the accurate impact of a double-ridged tool that smashed through the two parietals either side of the elevated midline; and forced the lower border of the right parietal (left side in picture) bone to slide down inside the squame of the temporal bone.



Fig. 2 Fifteen pairs of antelope humeral fragments from Kalkbank and Makapansgat. Limeworks (Kalkbank left, Makapansgat right in each pair). *Left side*: 5 pairs of distal ends at corresponding stages of destruction; *right*: 5 pairs of proximal end fragments; *central part*: 5 pairs of distal ends to show the combination of pounder and spiral blade that were generally formed and their usefulness as blades even when split longitudinally.



But Makapansgat caused physical anthropologists to acquaint themselves also with the rudiments of forensic medicine as well as to acquire an expanding acquaintance with comparative anatomy in order to provide evidence of man.

It might be reasonable to argue that the study of handiwork is the domain of the archaeologist rather than of the physical anthropologist if it were not for the fact that the training of the cultural anthropologist has veered in the direction of stone technology rather than of bones and comparative anatomy. It seems more appropriate therefore at the present juncture to devote some space to bringing comparative anatomical data of the sort included in this communication to a physical, rather than a cultural

anthropological audience though they clearly belong to both.

#### *Kalkbank and Makapansgat*

I was enabled recently with the assistance of Dr. Revil J. Mason and James W. Kitching (Mason, '58) to place on record a description of the Kalkbank Middle Stone Age site and a detailed comparison of the Kalkbank long bones and flakes with those from the Makapansgat Limeworks australopithecine site.

For the convenience of those who may not have access to that significant comparative study I am including here the figure (fig. 2) used there to compare the states in which antelope humeri are found at Kalkbank about 15,000 years ago and at



Fig. 3 Sheep femora split by James W. Kitching spirally through contrariwise twisting of the two ends of the bone after the shafts had suffered an impact; the left specimen with the point of a stone tool, the right specimen by striking it on the edge of a wooden table.

Makapansgat the better part of 1,000,000 years ago.

The fragments are arranged in corresponding pairs (Kalkbank on the left, Makapansgat on the right in each pair) to display the exactitude of the correspondences between the two cultures at these two sites with respect to the ungulate humeri.

The 5 pairs on the left are a series of distal ends in various stages of disintegration as a result of use as clubs. The 5 pairs on the right are a series of proximal ends of various sizes struck off during use as clubs or pounders.

Five pairs of distal extremities occupy the center of the figure. They illustrate

how humeri of the largest type were as triple-purpose tools: pounders, rators and blades at both sites. The shaft served as a perforating point, blade according to the manner in which was broken or trimmed. Blade tools also secured by splitting the bone longitudinally. Samples of blades are seen either side of the central pair in the row.

#### *The spiral blade and dagger point*

James Kitching and I had ascertained during this investigation that humeri flakes were also the most frequently occurring type of bone flake found at



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Fig. 4 XIII from Breuil's "Bone and Antler Industry of Choukoutien" to demonstrate the correspondences in the treatment of ungulate humeri to produce both spiral and pointed shafts by *Sinanthropus* in China with that used by *Australopithecus* at Makapansgat and by sapient man at Kalkbank in South Africa.

ank and Makapansgat. But we were ignorant of the means whereby primitive man at Kalkbank 15,000 years and the still more primitive proto-*Australopithecus prometheus* about 1,000,000 years ago at Makapansgat obtained these spiral blades until one Sunday I reserved the femur from the family's leg of mutton.

The following day he brought it to the story, struck the shaft with the point of the stone implement and, holding each end of the bone in a hand, twisted them in opposite directions. The beautiful spiral blades and the point of the stone implement are clearly visible on the left side in figure 3.

However, no stones whatever, other than a primitive sliver of quartzite, have been entered during the development of 17 tons of the Makapansgat gray bone shales. So the next time his Sunday joint landed James Kitching with a further experimental opportunity he simply struck

the shaft against the wooden edge of the table and twisted the bone ends contrariwise again with the spiral, and pointed results seen on the right side in the same figure.

From these two specimens it is patent that a simple, but intelligence-demanding technique of making spiral blades and stabbing tools from humeri or femora had been invented by *Australopithecus* and was being carried on nearly a million years later by Kalkbank man.

Reference to Breuil's ('39) "Bone and antler industry of the Choukoutien Sinanthropus site" shows that the same technique was followed in China in the Middle Pleistocene (fig. 4). Breuil and Zbyszewski ('47) illustrated unwittingly humeral blades made by the same technique in their revision of the mesolithic industries of Muge and Magos. They have also included there a picture of a distal end of a humerus identical with some of those from Makapansgat and Kalkbank.



Fig. 5 A mint specimen of a humeral dagger prepared by *Australopithecus prometheus* at Makapansgat by spiral fracture and trimmed to a point by flaking. Inset the other side of the dagger point: the original spiral blade also slightly flaked.



The pointed and trimmed nature of these humeral shafts from Makapansgat as well as their splitting demonstrates that *Australopithecus* understood as thoroughly as Kalkbank sapient man how to choose his antelope — large, medium or small — for his osteodontokeratic purposes; and also how to maintain by flaking, if he did not achieve it by breaking, the sort of point or blade he needed.

Figure 5 has been chosen to illustrate this matter of dagger preparation by the man-ape. It presents a fossil antelope humerus from Makapansgat broken spirally and with its trimmed and pointed end lying on another bone, an ulna of an antelope. Inset in the same illustration is a photograph of the other side of this dagger point. Figure 6 gives the reader an opportunity of seeing this weapon as it lay in the breccia. Simultaneously it provides an unusual picture of finding objects of similar function stored together.

This tool-stacking feature of the Makapansgat man-ape deposit was treated in the memoir on The osteodontokeratic culture of *Australopithecus prometheus* in respect of antelope skulls, palates and bone flakes. It is demonstrated here in respect of daggers because we find in this block, in addition to the intentionally-fashioned humeral dagger, natural antelope ulnar and gazelle horn-core daggers. To their left lie a levering rib and a pounding or trimming calcaneus, or hock bone frozen together. They lay there, by the white lime drips.

From the facts presented here it is patent that the antelope humerus owes its prevalence at Makapansgat and its presence at Kalkbank, as well as its dispersal from South Africa to Peking in the East and to Portugal in the north west, to the fact that it was the most generally serviceable domestic appliance discovered by *Australopithecus*. It seems to have



Fig. 6 An australopithecine example of stacking similar tools together. The three daggers: gazelle horn-core, antelope ulna and pointed humeral shaft, lying upon one another and embedded in the dripstone with a levering rib and a calcaneal pounder to the left of the gazelle horn-core.



ancestor simultaneously of the kitchen and rolling pin, of the intentionally banded dagger as well as the club.

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# Hair from a Kadar Woman of India<sup>1</sup>

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On January 21, 1957 Dr. Carleton S. Coon cut a sample of hair (composed of approximately 100 hairs) from the head of a young woman, about 20 years old, in Kadar Camp at Ittaney, Kerala State, India. The sample and a photograph of the young woman were sent to this department for study and comparison with hair samples of known racial origins.

Coon ('58) has stated that some of the Kadar are Negritos and others are Australian in appearance. It may be expected that mixtures of the two, or of either with other inhabitants of India, will present a variety of mixed characteristics.

For the outset mention should be made of the fact that hair from a series of individuals of any given group shows a wide range of variation as do also individual hairs from a single individual. Thus, the hairs from the present sample could easily suggest the genetic constitution of an individual from whom it came.

## OBSERVATIONS

**Form.** The gross form of the hair was determined according to Martin's classification ('28). From the sample and the appearance of the donor the hair appears to be deeply waved with the distal ends more curly. However, Coon, in his letter accompanying the sample stated that most of the Kadar in the camp used an oil to "dekink" their hair and that this particular woman had tried it unsuccessfully. Coon also stated that the members of the camp who were returning from a gathering expedition had "kinky" hair.

**Color.** The hair was compared with the "Farbentafel" of Fischer and Saller ('30) for color determination. It matches sample which is designated as Y, the darkest color on this scale, and is in the black range.

**Index and area.** The hairs from approximately half the sample were sectioned

transversely with the J. I. Hardy thin cross-section device (Trotter and Duggins, '48) in order to determine the area and the shape or index of the cross-section. The index was determined by using the formula:

$$\frac{\text{least diameter} \times 100}{\text{greatest diameter}};$$

and the area by the formula:

$$\frac{1}{2} \text{ greatest diameter} \times \frac{1}{2} \text{ least diameter} \times 3.1416.$$

The greatest and least diameters were measured microscopically with an eyepiece micrometer and then converted into square millimeters from the area formula. The average index and area in mm<sup>2</sup> of the 50 hairs measured is: index—69.74; area—0.0050 mm<sup>2</sup>. The range of indices is 54–95 with the greatest number in the 65–69 group; while the range of areas is 0.0026 mm<sup>2</sup>–0.0072 mm<sup>2</sup> with about 50% between 0.0046 mm<sup>2</sup>–0.0055 mm<sup>2</sup>. It is not unusual to find a wide range of any one characteristic in hairs from any one person.

**Medullation.** Approximately 25 hairs from the sample were placed parallel on a slide, immersed in zylol, and the length of the shaft examined through low power of the microscope to determine the presence or absence of a medulla. The classification, absent, scanty, broken, and continuous (Wynkoop, '29) was used, and a record kept of the type in each hair. The results are:

|               |     |
|---------------|-----|
| Absent        | 68% |
| Fragmentary   | 32% |
| Discontinuous | 0%  |
| Continuous    | 0%  |

The hair being heavily pigmented made visibility of the medulla difficult and so an approximate check was taken by ex-

<sup>1</sup>This study was supported (in part) by a grant-in-aid from the Wenner-Gren Foundation for Anthropological Research, Inc.

aming the cross-sections for evidence of medulla. Such evidence was apparent in 17 of 57 hairs, which tends to substantiate the percentage of medullas found by the first method.

#### DISCUSSION

The area or size of the questioned sample,  $0.0050 \text{ mm}^2$ , (fig. 1) most closely approaches the size of average Mongoloid hair ( $0.0051 \text{ mm}^2$ —based on a number of samples from Chinese, North and South American Indians, Eskimos and Thais) and is much larger than the average area of 22 Negroid groups studied to date in this department (fig. 2). These 22 groups include samples from Madagascar, Tasmania, Southern Rhodesia and New Guinea with an average size of  $0.0032 \text{ mm}^2$ . They range from  $0.0019 \text{ mm}^2$  for the Keimoes of South Africa to  $0.0048 \text{ mm}^2$  for the Antanosy of Madagascar.

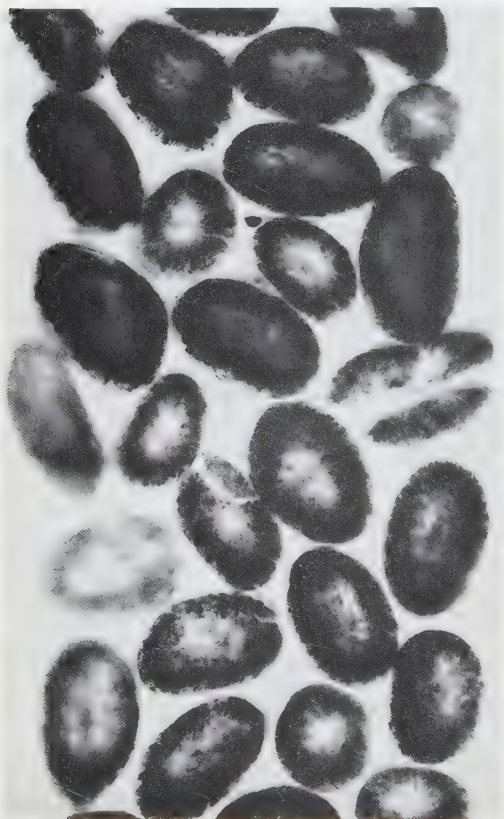


Fig. 1 Cross-sections ( $\times 220$ ) of hairs from a Kadar of India.

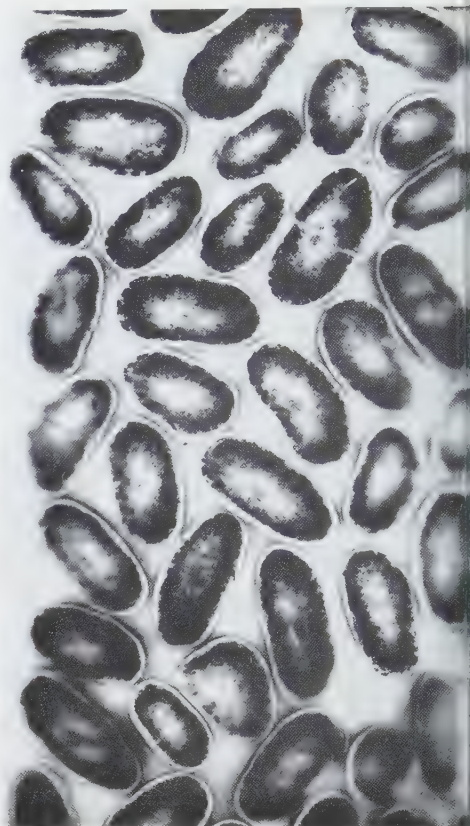


Fig. 2 Cross-sections ( $\times 220$ ) of hairs from a Zulu.

The average index of the Kadar sample of 69.7 falls between the average index of Negroid hair, 59.5, and, 80.9, for Mongoloid hair. In fact it most closely approximates the 69.6 index for the hair of Australian Aborigines. However, in one of the Negroid groups, the Merina of Madagascar, an average index of 71.0 was recorded.

The low percentage of medullas (32%) located in the Kadar hair again approaches the Negroid characteristic of few medullas as recorded for the Korana, Keimoes and Zulu (31%) and is much lower than the 68% figure for Mongoloid hair.

The hair form, "Curly to Kinky," relates the Kadar specimen to this same characteristic in Negroid hair while the hair color, "brownblack," falls within the color range of either Negroid or Mongoloid hair.

A search through the file of hair specimens revealed that the Kadar sample



TABLE 1

*Averages of characteristics of hair from samples of various racial sources*

| Source—collector                                      | Area            | Index | Medulla | No. of samples |
|---|-----------------|-------|---------|----------------|
|   | mm <sup>2</sup> |       | %       |                |
| Korano, Keimoes, Zulu—Gates                           | 0.0025          | 53.20 | 31      | 34             |
| Zulu—Stewart  | 0.0031          | 54.79 | 25      | 9              |
| Zulu—Gates  | 0.0033          | 47.47 |         | 3              |
| Arnhem Land—Setzler (Australia)                       | 0.0032          | 70.90 | 35      | 197            |
| American Negro—Trotter                                | 0.0033          | 48.47 | 38      | 125            |
| American White—Trotter                                | 0.0033          | 73.74 | 36      | 163            |
| Madagascar—Singer, Gates                              | 0.0040          | 64.80 | 49      | 392            |
| Hindu—Gates   | 0.0041          | 83.20 |         | 3              |
| Yupa Indians—Gusinde                                  | 0.0045          | 85.00 | 67      | 26             |
| $\frac{3}{4}$ Hindu $\times$ $\frac{1}{4}$ Zulu—Gates | 0.0049          | 70.00 | 24      | 1              |
| Kadar—Coon  | 0.0050          | 69.70 | 32      | 1              |
| India—Theophilas                                      | 0.0050          | 77.00 | 32      | 20             |
| India—Graham  | 0.0052          | 74.00 | 49      | 21             |
| Thai—Dhira  | 0.0052          | 81.80 | 54      | 68             |
| Chinese Students—Trotter                              | 0.0060          | 79.66 | 73      | 30             |

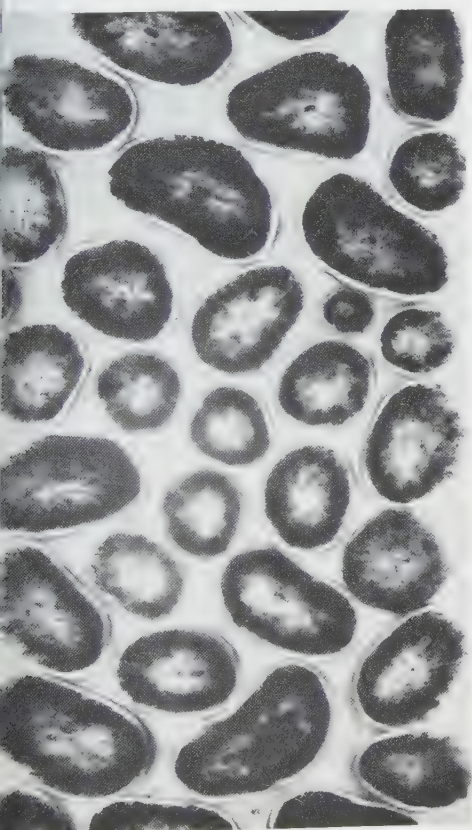


fig. 3 Cross-sections ( $\times 220$ ) of hairs from individual of Natal, Africa,  $\frac{3}{4}$  Hindu and Zulu.

rather closely resembles a single specimen submitted by Gates to this laboratory in 1956 as  $\frac{3}{4}$  Hindu and  $\frac{1}{4}$  Zulu (fig. 3). This sample was collected along with other pure Hindu and pure Zulu samples from Durban and Dundee, Natal, Africa.

The area, index and percentage of medullas recorded for hair samples from a number of racial and national groups are listed in table 1 together with those for the present sample.

#### CONCLUSION

As was pointed out earlier the wide range of characteristics within the hair of an individual makes it difficult to predict origin based upon hairs taken from just one subject. The average of measurements taken on samples from a larger group would be more indicative of race. Based upon measurements and examination of hair from the Kadar subject in question, it will be noted that the area of the specimen most closely approaches the average area for Mongoloid hair, while the low percentage of medullas is characteristic of Negroid hair. The index or shape of the specimen and its form fall between the Mongoloid and Negroid and the color falls within the range of both. It would therefore appear that some Negroid and some Mongoloid characteristics are represented in the specimen.

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## APPENDIX

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*University Museum, University of Pennsylvania*

The Kadar are a group of about 500 persons living in the Cardamon Hills of Cochin State, South India. Until disturbed by the march of progress, they were simple food gatherers who lived by digging roots in the forest, collecting a little slow game, and climbing trees and cliffs for honey. They did not touch the large animals with whom they shared the forest, and vice versa. These people have long been considered the nearest thing to a Negrito population in India, although certain Indian anthropologists have denied it. Actually they include both Negrito and Australoid phenotypes. Their preferred form of marriage in which ego marries his father's brother's daughter, may help to impede complete assimilation. This sample is from a woman of Negrito phenotype (fig 4). It is my observation that the hair of the Australoid phenotype is coarse. In Central India, among the Munda-speaking peoples, a Mongoloid element is present among the Australoid majority.



Fig. 4 Kadar woman of India.

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# The Relation of the Temporal Muscle to the Form of the Coronoid Process<sup>1</sup>

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the interdependence of bone and the associated muscle has recently been reviewed at length by Scott ('57). As he states, many lines of evidence show that the post-natal development of the skeletal areas for muscle attachment are dependent for their normal expression upon functioning muscles which attach to them. This is particularly true of tuberosities and other bony prominences (Tower, Leriche, '39; Washburn, '47; Wolffson, Avis, '59). That some measure of relation exists seems certain. This fact is of great utility to anthropologists who wish to interpret the form of bone.

The reasons for this dependence of bone on muscle are yet open to question. One attribute it largely to mechanical factors, e.g., tension exerted by the muscle on living bone (Glucksman, '42; Washburn, '47). Others prefer to regard bone growth as the result of intrinsic factors whose expression is made possible by the presence of extrinsic factors provided by the muscle, such as vascularization (Felts, '57).

The present experiment was designed to determine the mechanical situation, maintaining all other factors normal. This was accomplished by removing a large amount of the temporal muscle, leaving all that immediately adjacent to the coronoid process. In this way it was hoped to separate the results of muscle tension from those of vascularity of the bone. To determine any concomitant changes in the internal structure, the split-line method of Hershman ('25) was used.

## MATERIALS AND METHODS

In a series of 10 6-week-old male cats the superior portion of the right temporal muscle was removed from the cranial vault just above the level of the zygomatic arch. The remaining portion of

the muscle was not disturbed. The coronoid process, surrounded by the residual muscle, was not exposed nor was it damaged in any way.<sup>2</sup> Recovery was rapid, and normal chewing of food was resumed as soon as the animals emerged from the anesthesia. The unoperated side of the jaw served as a control. The animals were raised in the author's home. Diet consisted of canned cat food, fresh kidney and liver, milk and, not infrequently, wild rabbits and birds. In the majority of the animals body growth was excellent. Continual observation failed to disclose any preferential use of either side of the jaw.

The animals were sacrificed at the age of 16 months. All skulls were examined prior to maceration to determine the results of the operation. The bones were macerated by boiling for a short time in a 2% solution of acetic acid.

After the bones had dried a variety of measurements were taken to discover any differences which might exist between the operated and unoperated sides of the jaw.

Those mandibles which were to be used for split-line analysis were decalcified in a 5% solution of HCl until penetration by an ordinary sewing needle was possible. Following this, they were washed thoroughly in running water to inhibit further action of the acid. To rid the bone of excess moisture and localize the staining fluid, they were placed in an air-tight container for several hours. The punctures

<sup>1</sup> Work began under a grant from the National Science Foundation and continued with a grant from the Ford Foundation for the study of the Evolution of Behavior under the direction of S. L. Washburn. Successful completion of the project was largely owing to the efforts of Dr. William F. Irwin and Mrs. Fannie M. Avis, under whose care the experimental animals were raised.

<sup>2</sup> Surgery was performed by William F. Irwin, D.V.M., of Tulsa, Oklahoma.



were made and stained simultaneously by dipping the needle into India ink prior to piercing the bone. No attempt was made to draw out the splits into continuous lines in the manner of Pauwels ('50) and Tappen ('57) since the major alteration in the split-line pattern to be seen in the coronoid process on the operated side of the mandible was sufficiently demonstrated by the discontinuous splits.

For purposes of illustration the split-lines were redrawn on closely similar but undecalcified mandibles of the same series since good photographic reproduction of the original specimens was not possible.

#### OBSERVATIONS

The operations were completely successful and had almost identical results. The temporal muscle on the operated side had not regenerated and extended only slightly above the tip of the coronoid process. The portion of the muscle which remained arose from the zygomatic arch and infratemporal fossa of the skull and inserted into the coronoid process.

Changes in the cranium following the operation were much like those seen earlier in the rat (Washburn, '47). At the dorsal border of the muscle lay the temporal line, bounding the infratemporal fossa, far below its position on the normal side. The parietal bone was reduced in thickness and lacked some of its normal curvature so that this aspect of the skull appeared flatter than did the opposite side. While the nuchal crest was well below normal in size, the interparietal bone had suffered an even greater reduction in growth.

Gross examination showed no abnormality of the dental arch and this impression was confirmed by the measurements taken after maceration. There was no differential wear of the teeth. The only difference between the two sides of the mandible lay in the coronoid process. The process on the unoperated side was similar to that of normal cats, but the coronoid process of the operated side, while only slightly smaller than its fellow, differed markedly from it in shape (fig. 1). In vertical dimensions the altered process was somewhat shorter than the normal one but the major change was seen to be the shift in its axis. Instead of describing a curve upward

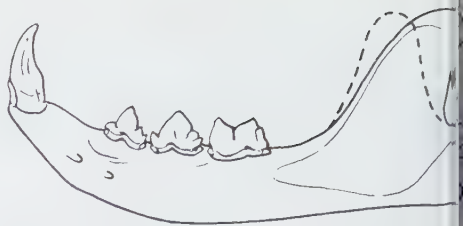


Fig. 1 Diagrammatic representation of the mandible showing the effects of partial removal of the temporal muscle early in the growth period. The outline of the operated side has been superimposed upon that of the normal side of the mandible. Solid lines, normal side; broken lines, operated side. (approx. nat. size)

and posteriorly as in the normal condition, the coronoid process of the operated side of the jaw rose straight upward, lacking entirely any suggestion of the normal curve.

This modification in external form of the coronoid process was also reflected in the split-line pattern. On both of its surfaces a coronoid process is characterized by a pattern composed of two components, anterior and posterior. In the normal condition these converge near the base of the process and continue postero-dorsally in the curvature of the bone, ending at its postero-dorsal border (fig. 2). In the altered condition the coronoid process the posterior component is greatly reduced in extent and the enlarged anterior division deviated from its normal orientation halfway through its course to become antero-dorsally directed and to terminate adjacent to the antero-dorsal border (fig. 3). This modification was even more marked in another operated mandible in which the splits parallel to the antero-dorsal border were absent and the anterior component continued its course to the end of the bone (fig. 4). The remainder of the mandible on the operated side showed no departure from the normal split-line pattern.

#### DISCUSSION

Removal of a large part of the temporal muscle, but not that surrounding the coronoid process, results in a process not only somewhat smaller than normal but with an external conformation and internal structure notably deviant. Despite this the remainder of the mandible is completely normal in all respects, as shown in figures 1-4.



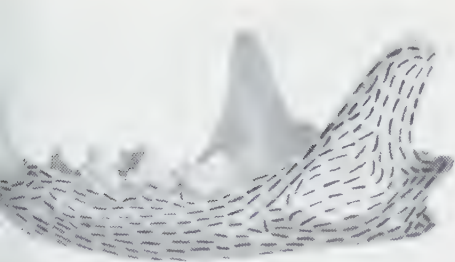


Fig. 2 Normal side showing normal split-pattern.

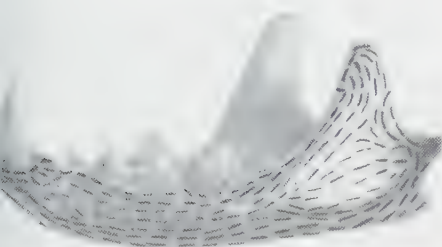


Fig. 3 Operated side showing deviant pattern.

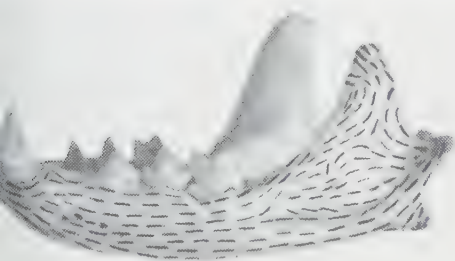


Fig. 4 Operated side in a different animal.

Figures 2-4 Split-line pattern of the external face of the cat mandible on normal and operated sides of the jaw. The pattern of the internal face of the coronoid process was closely similar to that of the external surface. The patterns shown redrawn on undecalcified specimens of series. To facilitate comparison, the original side of the jaw is illustrated in figures 3 and 4 the right side of the jaw. (approx. nat. size)

This circumstance supports the concept of the mandible as a composite of relatively independent parts, a suggestion made by Washburn ('51) and elaborated by Murphy ('57). Among an increasing number of workers this concept, extended to the rest of the body, has largely replaced the older view whereby the body

was regarded as a unit all parts of which had evolved in unison and a single feature of which might thereby serve as a valid index of taxonomic status. Thus Abbie ('51) speaks of the skull as "a mosaic of features which, within wide limits, can vary independently of one another" while Le Gros Clark ('55) voices the same belief in noting the need for the consideration of many characters, the "total morphological pattern," in any evaluation of fossil primate material.

But it is equally true, as much experimental evidence has shown, that an interrelationship does exist between certain of the features. It is also certain that such a series of interrelated features forms a pattern which underlies and makes possible the successful performance of a particular activity which forms an integral part of a way of life. Without experimental proof, identification of patterns and the interrelations of parts must rest on hypothesis alone.

The presence of another pattern in the mandible, embracing characters other than those here involved, has already been demonstrated (Avis, '59). In that case, as in the present one, changes in structure appear to be due to altered mechanical factors.

The removal of all the temporal muscle dorsal to the zygomatic arch effected two major changes. The strength of the muscle was reduced, and the direction of tension changed. Lack of abnormality in the dental arch together with the normal chewing pattern of the animals show that the muscle was a functioning one with adequate vascularity. Clinical work has shown that immobilization or non-use of any part of the body in the normal individual has as a consequence the partial or complete failure of osteoblasts (in the affected region) to secrete the organic intercellular substance which is calcified to form new bone (Albright and Reifstein, '48). For this reason, the foregoing workers believe that "stress and strain stimulate osteoblastic activity." The smaller coronoid process in the present work is easily interpretable in terms of the lessened tension of the muscle and its effect upon osteogenesis.

External form is the visible result of osteoblastic activity expressed differen-

tially in a given region. It has been suggested that such a pattern of activity is intrinsic to a tissue and that vascularity is a major factor governing its expression (Felts, '57). But the pattern of vascularity in any peripheral area is highly variable in the individual (Ruth, '53). Granted that osteogenesis in an area is necessarily preceded or accompanied by vascularization, in the present case the pattern of vascular development has been modified from the normal one in apparent accordance with the newly acquired mean direction of tensile force exerted by the attached muscle. This is even more apparent if the split-lines indicate the mean orientation of the majority of the Haversian systems in the area, as they are believed to do (Seipel, '48). Regardless of the priority of either osteoblastic activity or vascular development, both have been similarly modified. It is concluded that tensile force (or its physiological effects) has altered the direction of tissue growth and that the modified coronoid process is an expression of this. Here the directive power of mechanical forces upon bone development seems clear.

Closely related is the change in the split-line pattern of the smaller coronoid process. Split-lines have been described as reflecting a variety of the constituent features of bone, the specific feature depending upon the type of tissue concerned (Seipel, '48), but each an important component of the internal structure. Whether the observed changes are produced by a "normal pattern of growth acting on a substrate of altered form" or by "mechanical adaptation" (Murray, '36), the fact remains that formation of the internal structure has shifted in conformity to the extrinsic forces acting upon it.

Results such as these lend support to Tappen's conclusion ('57) that those changes which occur in the split-line pattern of the juvenile gorilla skull in the transition to the adult condition are in response to altered mechanical conditions.

Recent developments not only make it possible to evaluate the morphogenic powers of muscle tissue but suggest the mechanisms by which many of the external prominences of cortical bone are, as Washburn ('47) suggested, maintained by their muscular attachments.

For example, in the mandible prior to maturity, both outer and inner surfaces of the shell of cortical bone enclosing the medullary cavity (Breitner, '40; Weinman, '40; and Sicher, '55) are the scene of osteogenesis. Observations indicate the probability that, as in long bones of rabbit and rat (Brookes and Harrison, '57; Brookes, '58), arterial vessels of the medullary cavity supply the nutrition for the cortical bone. Clinical, experimental and developmental evidence (Albright and Reifenshtein, '48; Ruth, '53; Lacroix, '49) demonstrate that resorption, most intense in the areas in most intimate contact with the vascular system (Ruth, '53), proceeds outward from the medullary cavity and is followed by appositional bone formation. This models the basic circumferential lamellae deposited by the periosteum into the familiar structure of Haversian systems. No section or non-use of muscle during the period is followed by a greatly diminished rate of osteogenesis throughout the cortex in the affected region while loss of muscle is accompanied by complete cessation of bone formation, at least in the affected periosteal zone (Avis, '59). The normal rate of resorption on the inner cortical surface together with continuing osteoblastic activity in the surrounding normal areas of the periosteum eventually engulfs the stationary external region resulting in its disappearance, as recent work coupling alizarin staining with muscle removal has shown (Avis, '59).

In the adult, with the halt of osteogenesis in the periosteum at maturity, inhibition of bone development through muscle loss or non-use is necessarily limited to the interior of the cortex (Allison and Brooks, '21) where remodeling continues throughout life in the normal individual. Denervation experiments on the humerus of the rat (Armstrong et al., '45) as well as clinical work on man (Albright and Reifenshtein, '48) illustrate this. Resorption continues at its normal rate and, since it is especially marked on the periphery of the medullary cavity, the latter encroaches upon the cortical bone, reducing its radius (Allison and Brooks, '48). While the bone substance is thus decreasing, its volume and its external dimensions apparently remain relatively stable (Ruth, '53) un-

age, with its failure of the peripheral ossification (Bick, '55), introduces other factors. It seems clear from the foregoing summary of recent work that no longer is there any doubt the active role which mechanical forces, e.g., muscle tissue, play in the aspects of skeletal morphology, that upon which so much stress has been laid in phylogenetic studies. While it is less true that other processes are of considerable importance in bone formation, recognition of bone-muscle relationships make it possible to place evolutionary studies upon a much firmer basis than before. No longer is it necessary to choose between hypotheses, of which there may be a number, each equally logical. Recognition of the activity patterns of these interrelated anatomical features are both the basis and the reflection and go far toward the solution of how it came to be what he is.

## SUMMARY

The superior portion of the temporal bone was removed unilaterally in a series of young cats.

The coronoid process was reduced in size and its shape altered to a great degree when the temporal muscle was partially removed.

The split-line pattern of the coronoid process exhibited striking changes after removal of the temporal muscle.

The findings support the belief that the mandible is a composite structure made of a number of relatively independent parts.

The external form and internal structure of the post-natal coronoid process are closely related to the functions of the temporal muscle.

Some of the means by which muscle influences the external form of bone are discussed.

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# ABO and Rh Blood Groups Among Chamorros of Guam

## WITH REFERENCE TO ANTHROPOLOGIC AND GENETIC PROBLEMS IN THE AREA

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The early investigations of the distribution of the ABO blood groups in Micronesia have been summarized by Boyd ('39). Recently, Simmons ('52, '53), Gray ('53) and their associates in a series of reports reported extensive data on almost all the known blood groups among the Chamorros, Trukese, Gilbertese and Kapiti. The purpose of this report is to present findings for the ABO and Rh blood groups among 1,497 Chamorros on the island of Guam and to discuss their anthropologic significance and possible relationship to the occurrence of amyotrophic lateral sclerosis on the island. To our knowledge, this is the first report of the distribution of blood groups among the Chamorros.

Some background information about the island of Guam and its people is in order. Guam is the largest of the Mariana Islands and forms one of the 6 Micronesian Island Groups. It has an area of 225 square miles and lies 1,500 miles east of the Philippines and 1,800 miles south of Hawaii in the Western Pacific. The island was discovered by Magellan in 1521 but it was not colonized by the Spanish until 1668 in the 17th century. When the first Spanish missionaries arrived in Guam in 1668 they were well received and were given land for a mission by the local people who were called *chamorri*. Later the Spanish applied the name to the whole native population who came to be known as Chamorros.

As a result of wars with the Spanish, epidemics and famine, the population of the Marianas, which was estimated at 70,000 early in the 17th

century, was reduced to about 1,600 in 1700. Many families from Guam escaped to the island of Rota, about 40 miles to the north of Guam, but a number of natives, mostly women and children, stayed on the island. The population then began to increase and, in spite of recurrent epidemics of smallpox and cholera, in 1855 the census showed 8,207 Guamanians. In 1856, a smallpox epidemic reduced the population to 4,724. Following this, the population increased steadily, especially after the occupation of the island by the United States in 1898, and by 1952 it was over 29,000.

Present-day Chamorros are supposed to be chiefly descendants of Spanish, Mexican and Filipino soldiers and the Chamorros who stayed on the island when the rest of the population fled to Rota. It is doubtful whether any pure-bred Chamorros exist today. A local school textbook records the death of the last pure-bred Chamorro as having occurred in 1826 (Searles, '37).

The present material was collected from blood donors at the Guam Memorial Hospital Blood Bank. By carefully reviewing the various data on the blood typing records, all "stateside" civil and military service personnel and probably 95%

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TABLE 1  
ABO blood groups among the Chamorros

| Number tested | Phenotypes per cent |       |       |      | Gene frequencies <sup>1</sup> |       |       |
|---------------|---------------------|-------|-------|------|-------------------------------|-------|-------|
|               | A                   | O     | B     | AB   | r                             | p     | O.I.  |
| 1,497         | 47.96               | 29.86 | 19.37 | 2.81 | 0.701                         | 0.180 | 0.119 |

<sup>1</sup> Estimated using Bernstein's correction formulae (see Mourant, 1954).

of Filipino donors have been eliminated. The findings for the ABO groups and the estimated gene frequencies are given in table 1.

Of the 1,497 donors, only two were Rh negative (Rh typing was done for D only, using anti-D serum), and these were also of type O. This would give a gene frequency of 0.036. It was interesting, however, to find out that the two Rh negative individuals were a pair of male, presumably monozygotic twins whose family resides in Saipan. They deny any Carolinian, European or other admixture in their ancestry. The family has recently been investigated serologically by Dr. Robert S. Krooth to whom we are grateful for the above information.

#### COMMENT

A comparison of the ABO blood groups between the Chamorros and other peoples of Micronesia is given in table 2. It will be seen that the distribution of these groups among the Chamorros is similar to that among the Palauans, while it differs considerably from that among the Trukese, Gilbertese, and Kapingas. The greatest difference from the Palauans is in the O group, which is somewhat higher than in the Chamorros. The Trukese and Gilbertese are lower than the Chamorros in O but considerably higher in A and AB and only slightly higher in B. The Kapingas, although perhaps comparable in O, are considerably higher in A and lacking in B and AB in this small sample. With respect to the Rh factor, the Chamorros resemble the rest of the Micronesian and Pacific peoples among whom the Rh negative type is practically non-existent.

In his important article of the somatology and serology of Micronesia, Hunt ('50) views the area as a geographic unit and divides the peoples of Micronesia into three major groups: the Chamorros of the Marianas; the Trans-Micronesians who

inhabit the area from Sorosol and Truk to the southwest to the Marshalls and Gilbertes in the east; and those who speak the Carolinian language in the southern Carolines and Ellice Islands.

Simmons et al. ('52) consider the Chamorros to be a very mixed population. Indeed, a large number of anthropological components have been ascribed to them by various investigators, including Polynesian, Indonesian, Negroid, Mongoloid, and recent Asiatic.

Simmons ('56) suggests that the difference among the Gilbertese and Trukese could be due to Polynesian influence and, since B is possibly a good Mongoloid marker, the unusually high peak of B among the Chamorros may be due to Mongoloid influence. It will be recalled that B is also high among the Palauans although lower than among the Trukese and Gilbertese. It is possible that there is Mongoloid influence among the Chamorros, too. Further anthropological evidence for this comes from Hunt ('50) who considers the Chamorros as meeting the most Mongoloid group in Micronesia. Unfortunately, no further comparison can be made at present because data on the rest of the blood groups among the Chamorros are lacking. Such data are becoming available for a smaller sample of Chamorros and will be published.

The more recent Spanish, Filipino, and Japanese influence among the Chamorros is also widely recognized, as mentioned earlier. It seems, however, that the admixture of Spanish blood among the Chamorro population has been given undue prominence and is certainly not borne out by the Rh findings, for the frequency of the Rh negative gene among the present Chamorro Spaniards is quite high, ranging from 30 to 40%, (Mourant, '54) while the Rh negative type is almost absent among the Guamanians.

This is interesting in connection with the hypothesis advanced by Kurland,

*Comparison of the ABO blood groups in the Chamorros and other Micronesian peoples*

| Population | Investigators        | Number tested | Phenotypes per cent |       |       |      | Gene frequencies |       |       |
|------------|----------------------|---------------|---------------------|-------|-------|------|------------------|-------|-------|
|            |                      |               | O                   | A     | B     | AB   | r                | p     | q     |
| Gilbertese | Simmons et al., 1953 | 150           | 12.6                | 44.0  | 28.9  | 14.5 | 0.340            | 0.382 | 0.278 |
| Trukese    | Simmons et al., 1953 | 117           | 23.1                | 40.2  | 22.2  | 14.5 | 0.486            | 0.319 | 0.195 |
| Palauans   | Simmons et al., 1953 | 191           | 56.5                | 23.6  | 16.8  | 3.1  | 0.753            | 0.143 | 0.104 |
| Kapingas   | Simmons et al., 1953 | 46            | 57.0                | 43.0  | 0     | 0    | 0.75             | 0.25  | 0     |
| Chamorros  | This study           | 1,497         | 47.96               | 29.86 | 19.37 | 2.81 | 0.701            | 0.180 | 0.119 |

'58), concerning the occurrence and frequency of amyotrophic lateral sclerosis (A.L.S.) among the Chamorros of Guam. It is now well-established that A.L.S. among the Chamorros is about 100 times more prevalent than in the United States and that a considerable number of cases have positive family histories, suggesting dominant inheritance. Kurland theorized that the A.L.S. gene may have been introduced by the Spanish and that it may have spread and been fixed to its present high frequency either by genetic drift or by balanced polymorphism.

In view of the almost complete absence of the Rh negative type among the Chamorros, it seems unlikely that any appreciable mixture of Spanish and Chamorro has taken place or that the A.L.S. gene has been introduced by the Spanish.

On the other hand, it is possible that the Rh index is not a reliable one. The absence of Rh negative individuals among the Micronesians and neighboring Pacific peoples may be due to the operation of some selective force which results in its elimination. If this be so, the same selective mechanism might tend to eliminate the Rh negative type from among the Chamorros, even if the gene had been repeatedly introduced by the Spanish. Unfortunately, at present, nothing is known about these selective values associated with the Rh negative gene. It is hoped that the genetic studies now conducted on Guam will help to clarify the significance of the high frequency of A.L.S. on the island and the relationship, if any, of the distribution of blood groups to the occurrence and frequency of A.L.S. there.

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# Chemical Analysis of Fossil Bone: Individual Variation

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Numerous attempts have been made in recent as well as the more distant past to establish a relationship between the chemical constituents of a fossil bone and its probable age. The student who has investigated the history of these investigations has undoubtedly been impressed by the fact that although several components of fossil bone tend to show a reduction (depletion) or increase (accumulation) with age after burial the numerical data supporting such trends have never given evidence of that consistency, clarity and precision which is to be desired for an exact system of dating. Several investigators have remarked upon this circumstance. For instance Thunberg ('47) on commenting on the reduction of citric acid in fossil bone states his belief that the method is not adapted to precise dating because of the irregularity of the data. Hey ('51), in his review of the fluorine dating method, published in the *Viking Year Book* for 1949, says regarding the work of Carnot (1893), "If one examines Carnot's individual analyses it becomes evident however that the averaging ignores the important fact that there is considerable variation in the fluorine content of bones of the same geological age from different localities."

In spite of the quite general dissatisfaction with results of analysis by chemical methods no systematic attempt has yet been made to assess the factor of individual variation as such and to evaluate it as an obstacle to the success of the procedure in determining the age of archaeological specimens. This gap in our knowledge is the more surprising in view of the great care with which anthropologists have subjected the physical measurement of skeletal material to searching statistical analysis. It seems therefore worthwhile to

call this matter to the attention of archaeologists and other interested students and to point out at the same time some of the subsidiary problems involved.

Much of the work in the past has involved the analysis of single bones; rarely has there been any effort to establish the measure of a component through a series of similar examples. For example, Carnot based his fluorine comparisons (as well as those of phosphorus, calcium, iron and carbonate) upon a series of modern bones consisting of three human specimens and single specimens, one each from 16 different animals. He therefore took account of variability in neither different bones from the same species nor possible interspecific variation. With his fossil bones he consistently followed the same procedure by analyzing single bones from different animals and from scattered localities. Thunberg ('47) used no more than two samples each from three humans and three animals, all derived from varying localities and times. Pin ('50) analyzed for numerous components in but 4 human bones representing three diverse archaeological periods: "Recent," "Grimaldi" and "Presles." Gangl ('39) analyzing for fat, used one beef bone and 4 human bones, each of different age and provenience. Jaffe and Sherwood ('51) give values for uranium, fluoride, and phosphate from 10 fossil manatee ribs, two fossil shark teeth and one recent manatee rib. The manatee ribs were regarded as being of widely different ages. Barber ('39) analyzed for calcium, phosphate, carbonate, and nitrogen in 25 bones which were from different localities and ranged in time from "alluvial" to the Oligocene. Oakley ('51), on the other hand has used considerable care and has checked his critical specimens (such as Galley Hill and Piltdown) with control series of from

4 to 10 animal bone samples taken from the same localities and horizons where these human skeletons were found.

If uncontrolled individual variation between bones is of significant magnitude then it is evident that the analytical result obtained with a single sample is subject to possible serious error, and if so, then much of the older work, beyond establishing very broad trends, is subject to great reservation. It is desirable therefore to examine to what extent individual variation exists in series of comparable chemical or physical analyses.

It will be permissible to utilize the bone series analyzed in the Departments of Physiology and Anthropology at the University of California (Berkeley) since these are the most extensive with which we are familiar.<sup>1</sup> Numerous components have been tested, but those selected for discussion here are nitrogen, carbon and water. We have analyzed over 600 samples, results for 455 of which are given in table 1 and 168 in table 2. Our procedure has been to secure as many bone samples from each site, or locus of deposit as possible, in order to obtain the best average we could for each site. Hence the variability of individual bones within each of a number of fairly homogenous series can be estimated. In the tables are shown the number of samples analyzed from each site and the mean values for carbon, nitrogen and water, expressed as per cent by weight of the bone. Variation is measured by the standard deviation. However since the means differ widely in absolute value, the standard deviations have been converted into percentages of the corresponding means, i.e., the coefficients of variation. It will be observed at first glance that the coefficients of our series range from 3.1 to 75.1% of the corresponding mean. Thus a relatively high degree of variability is indicated.<sup>2</sup>

Before discussing the possible causes of variability two preliminary points must be considered, viz., the distribution curve and the sample number.

When skeletons are disinterred from a site customarily the exigencies of a small scale archaeology permit only a few to be recovered and these more or less at random. Hence the series which is available

for chemical study represents only a random selection from the total population of skeletons. One would wish to know, therefore, whether the analytical values for nitrogen or fluoride show the same distribution around the mean as would be true if a much larger group of bones, for instance 1,000, could be tested. But in practice it is impossible to secure and analyze any such enormous series and thus to discover the distribution. Consequently we are forced to arrive at and rely upon a reasonable assumption.

For orientation we may adopt a simple procedure, using for the purpose our data for carbon and water. For one of those sites where the carbon content of the bone lies between 2 and 7% by weight and the water content lies between 5 and 7% we may recalculate the individual experimental values of carbon and water as per cent of the mean value for the series involved. This puts all the values on the same relative numerical basis.

Figure 1 shows the frequency distributions for water in 225 bones and carbon in 421 bones. There curves appear to be skewed very slightly to the left but otherwise they take the form of normal distributions. We cannot insist that the

<sup>1</sup> Previous publications pertaining to the investigation carried on in these departments were found in the bibliography, under the names of the authors of this paper.

Numerous archaeologists have aided us by supplying series of bone samples. Among these are Phil C. Orr (Santa Barbara Museum of Natural History), Harold S. Gladwin (Santa Barbara Museum of Natural History), W. A. Ritchie (New York State Museum), C. E. Snow (University of Kentucky) and Stewart and M. Newman (U. S. National Museum). To each of these we express appreciation.

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<sup>2</sup> It is of interest to compare the data given in table 1 with comparable figures cited by Oakley for fluoride. Thus Oakley ('51) in his review of fluoride values for 12 bone samples in the series of the Swanscombe group (table 3), gives values for 12 samples in the pilot series of the Ebbsfleet group (table 8) and values for 7 samples of the skeleton of *Eoanthropus I* (table 9). The corresponding standard deviations and standard errors, expressed as per cent of the means are respectively, for standard deviations 4.55, and 21.8. The same order of variation has been found by Oakley as by us.

TABLE 1

*Nitrogen, organic carbon, and water in fossil bones from 24 California sites*

For each substance is shown the mean in terms of per cent by weight of the bone, the standard deviation expressed in terms of per cent of the mean (coefficient of variation).

| Site                            | No. of samples | Carbon |                   | Nitrogen |                   | Water |                   |
|---------------------------------|----------------|--------|-------------------|----------|-------------------|-------|-------------------|
|                                 |                | Mean   | C.V. <sup>1</sup> | Mean     | C.V. <sup>1</sup> | Mean  | C.V. <sup>1</sup> |
| Late horizon group              |                |        |                   |          |                   |       |                   |
| Co-138                          | 20             | 5.82   | 33.7              | 2.35     | 46.4              | 7.03  | 12.2              |
| ac-6                            | 24             | 3.76   | 42.8              | 1.47     | 58.7              | 5.49  | 30.2              |
| Marin County sites <sup>2</sup> | 13             | 4.68   | 17.9              | 1.32     | 23.2              | 5.58  | 12.8              |
| Malwaj <sup>3</sup>             | 9              | 4.11   | 50.4              | 2.51     | 57.0              | 6.32  | 18.8              |
| ecolote <sup>3</sup>            | 6              | 3.18   | 50.6              | 2.10     | 67.1              | 6.45  | 21.5              |
| asLLages <sup>3</sup>           | 13             | 3.63   | 26.4              | 2.41     | 31.2              | 6.56  | 17.3              |
| anta Rosa Island <sup>3</sup>   | 14             | 5.87   | 14.0              | 3.88     | 4.4               | 7.58  | 5.1               |
| an Miguel Island <sup>3</sup>   | 7 —            | 5.57   | 29.9              | 3.56     | 34.8              | 7.09  | 13.1              |
| anta Cruz Island <sup>3</sup>   | 6              | 6.30   | 7.1               | 3.82     | 6.8               | 7.88  | 3.1               |
| Middle horizon group            |                |        |                   |          |                   |       |                   |
| ac-21                           | 26             | 5.61   | 20.3              | 2.37     | 21.8              | 6.20  | 11.9              |
| CO-141                          | 18             | 7.86   | 21.7              | 3.66     | 13.9              | 7.23  | 8.7               |
| ac-43                           | 30             | 4.05   | 30.1              | 2.04     | 32.8              | 5.96  | 12.1              |
| ac-151                          | 33             | 2.73   | 41.0              | 1.15     | 57.0              | 4.34  | 18.1              |
| ac-104                          | 9              | 1.52   | 36.8              | 0.64     | 40.2              | 5.51  | 18.0              |
| Co-137                          | 8              | 2.64   | 27.6              | 1.70     | 32.1              | 5.74  | 8.9               |
| ul-18                           | 10             | 6.33   | 33.6              | 3.33     | 15.2              | 7.95  | 5.9               |
| Marin County sites <sup>2</sup> | 10             | 4.35   | 20.0              | 1.04     | 28.9              | 5.41  | 14.7              |
| Early horizon group             |                |        |                   |          |                   |       |                   |
| Co-68                           | 30             | 1.95   | 53.8              | 1.32     | 55.0              | 5.84  | 23.0              |
| Co-142                          | 31             | 1.09   | 75.1              | 0.54     | 53.3              | 4.30  | 14.9              |
| ac-107                          | 32             | 1.59   | 35.6              | 0.44     | 67.9              | 4.28  | 15.1              |
| Co-56                           | 33             | 4.09   | 25.9              | 1.62     | 42.0              | 5.24  | 17.3              |
| Co-48                           | 5              | 1.55   | 30.4              | 0.08     | 31.3              | 2.26  | 15.8              |
| An-1                            | 22             | 1.32   | 36.3              | 0.42     | 69.5              | 4.30  | 21.2              |
| 3a-7                            | 10             | 2.64   | 21.5              | 0.70     | 46.0              | 5.83  | 12.2              |
| Special series                  |                |        |                   |          |                   |       |                   |
| ac-6, single skeleton           |                |        |                   |          |                   |       |                   |
| long bones                      | 12             | —      | —                 | 1.47     | 49.6              | —     | —                 |
| short bones                     | 18             | —      | —                 | 2.54     | 34.6              | —     | —                 |
| Fresh beef femur                | 6              | 5.72   | 8.26              | 4.01     | 3.69              | 11.10 | 3.58              |

<sup>1</sup> Plus and minus signs are omitted from the figures for coefficient of variation.

<sup>2</sup> Marin County sites: Late horizon group were Mrn-232b, -242, -266, -271 and -275. Those of the Middle horizon group were Mrn-232b, -242, and -266. For archaeology of these see Hedgesley ('48).

<sup>3</sup> Of these 6 sites, all from the Santa Barbara area, the first three are described by Rogers ('29); the unspecified island sites are some in which Orr has recently excavated but has not described except in a general way (Orr, '51).

Each site considered separately shows an identical pattern but we can suggest that there is no specific abnormal distribution which repeats itself through many of the sites, for if there were such its presence should manifest itself clearly in the composite plot including 25 sites. It can therefore be concluded that the organic components of fossil bone follow a substantially normal distribution.

The problem of sample number is always the same to the archaeologist. In our

investigations we have invariably attempted to secure as many samples in each series and from each site as possible. Yet our numbers run only from 5 to 33. The standard deviations computed from our means for the three components under consideration indicate that even the upper limit of this range is too low for as accurate work as would be desirable. On the other hand to reduce the present average variability no more than half we would have to analyze 4 times the number of



TABLE 2

*Nitrogen, organic carbon and water in fossil bone from 4 southwestern and three New York sites*

Data formulated as in table 1. The southwestern sites, according to tree ring dating range from approximately 500 to 1,300 years before the present. For the New York material the sites are allocated according to cultural criteria to the following periods: Wadsworth farm, prehistoric Iroquois; Sackett, Owasco culture (immediately prior to Iroquois); Frontenac Island, Archaic. The Kentucky material consists of a series of 27 samples from various sites, all from the Adena cultural period and a series of 29 samples from the Indian Knoll site, of the Archaic period.

| Site               | No. of samples | Carbon |      | Nitrogen |      | Water |      |
|--------------------|----------------|--------|------|----------|------|-------|------|
|                    |                | Mean   | C.V. | Mean     | C.V. | Mean  | C.V. |
| Southwestern group |                |        |      |          |      |       |      |
| Soda Canyon        | 10             | —      | —    | 4.35     | 3.51 | 7.55  | 2.7  |
| White Mound        | 16             | —      | —    | 3.95     | 14.0 | 7.31  | 4.2  |
| Hawikuh            | 37             | —      | —    | 4.33     | 7.1  | —     | —    |
| Elden Pueblo       | 19             | —      | —    | 2.99     | 39.8 | —     | —    |
| New York group     |                |        |      |          |      |       |      |
| Wadsworth Farm     | 10             | 2.58   | 21.2 | 1.27     | 32.0 | 6.48  | 10.0 |
| Sackett            | 10             | 3.70   | 36.1 | 1.69     | 59.8 | 5.94  | 18.1 |
| Frontenac Island   | 10             | 2.66   | 23.0 | 1.37     | 29.4 | 6.16  | 6.6  |
| Kentucky group     |                |        |      |          |      |       |      |
| Various (Adena)    | 27             | 5.04   | 28.1 | 3.01     | 41.0 | 7.47  | 23.6 |
| Indian Knoll       | 29             | 2.47   | 31.1 | 1.24     | 24.0 | 4.87  | 10.3 |

samples from each site, a task quite beyond the bounds of practicality not only for us but probably for most other investigators as well. We are thus obliged to fall back to the position of taking the data as they stand.

In view of these circumstances it is evident that a sharp limit is placed upon the precision with which any particular site may be dated. To come within one year or decade is out of the question. To specify a century, even with relatively recent material would be pushing the data

to their extreme. Actually a time limit should be established, representing limits of reasonable probability. Every range of this type would have to be accepted provisional to the small number of samples necessarily available.

The variability of the chemical constituents of fossil bone can be traced primarily to 4 sources: (1) experimental error in the sense of unavoidable fluctuations in the analytical procedure; (2) intrinsic differences between bones of a given skeleton; (3) differences between analogous bones in a series of skeletons in the same site due to physical and chemical fluctuations in the soil matrix; (4) differences due to geographical location of the site. These considerations are discussed below. The treatment must be verbal for the factors involved do not lend themselves to analysis of variance.

1. If the analyses for any of the main bone constituents are properly carried out, whether by macro- or micro methods, the standard error of estimation due to this source alone should not exceed plus or minus one or two %. This should be the order of accuracy attained by Oakley, although he does not discuss this point specifically. For our own work

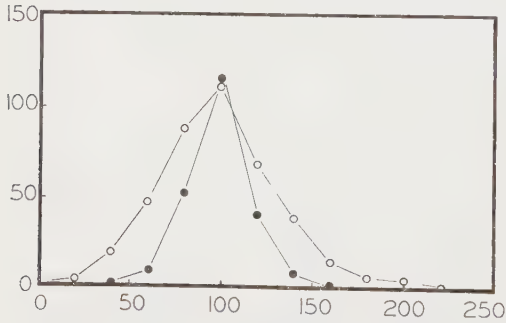


Fig. 1 Frequency distribution of carbon and water. Solid dots represent carbon and circles represent water. The ordinate is the number of cases and the abscissa the analytical values for the two components, referred to 100 as the mean.



of experimental error can be evaluated from the special series on fresh beef (see table 1). We selected a piece of the central shaft of a fresh beef rib, ground it and passed it through a mesh screen. The powdered bone was thoroughly mixed, thus eliminating local variation in the bone itself. Six parallel samples were then analyzed by our standard methods for carbon, nitrogen and water. The coefficient of variation for carbon was 8.26% of the mean value for carbon, for nitrogen 3.69 and for water 3.58. Since the carbon determinations involved two procedures (expulsion of carbonate with acid, and wet combustion with stannous acid) the error obtained represents the sum of the errors of the two tributary processes. The sample number (6) was very small. With a larger number the experimental error would have been correspondingly reduced. In any case the variation introduced by procedural technique is very much smaller than that inherent in the bone itself.

In the initial phases of this investigation we made an intensive study of bones from a single midden, Sac-6, which we regarded as typical of the recent, or Late Pleistocene horizon in the Central Valley of California. We first took a skeleton at random in its entirety and subdivided the bones according to the anatomical nature, segregating 12 bones from the legs and ribs. From each of these we took a segment from the middle of the shaft which was solid and compact. We then selected short bones (fragments of skull, vertebrae, pelvis, etc.) which were highly spongy and cancellous. Analyses were made of all the components, including nitrogen but unfortunately not including carbon and water. The nitrogen analyses however proved themselves instructive. The mean value for nitrogen in the long bones was 1.47% by weight and in the short bones 1.47%. The respective standard deviations were 49.6 and 34.6% of the corresponding means. Using the standard errors as computed from the direct values of the means the Critical Ratio of the means (Fischer's *t*-value) was found to be approximately 1.47, which for the sample numbers involved is decidedly significant. Subsequently we selected the central shaft portions of an-

other 24 femora (from 24 skeletons) disinterred from site Sac-6 and found that their mean nitrogen content was 1.47% of the bone weight with a standard deviation of 58.7% of the mean.

This test clearly brought out two points. In the first place there is a wide disparity between long (compact) and short (cancellous) bone with respect to its organic content. This result has been obtained in essence by us many times and there can be no doubt of its validity. It is furthermore supported by the recent work of Graf ('49) who subjected fossil bones to very careful histological examination and found several types of cells still intact. Since the spongy bone contains in life far more blood and other living tissue than does compact bone it is not surprising that the organic content of the former, in the fossil condition, should run markedly higher than that of the latter.

For this reason it is important that chemical analyses be performed with a uniform type of bone, or at least that the bone analyzed be specified with reasonable anatomical precision. Since the long, compact bony structure is probably the more stable chemically we have invariably used it in preference to any other.

3. The variation between femora from different skeletons scattered through a site, as shown in table 1, is of the same order of magnitude as between the different bones of the same histological structure within a single skeleton. After death the bones are subject only to the influence brought to bear by the medium in which they lie, the soil of the site matrix. Throughout any soil mass, whatever its gross composition and texture, there are certain to be variations in particle size, moisture content, temperature and chemical composition in both vertical and horizontal directions. This condition of local disuniformity is particularly likely to arise in a habitation site which is formed from the most diverse materials and is the result of trash accumulation over a long period of time. Hence the chemical composition of a series of fossil bones, whether or not they are derived from the same skeleton, will tend to be altered quantitatively in varying degrees from point to point in

the site.<sup>3</sup> These local soil differences are random in occurrence, or at least are unknown to and uncontrollable by the investigator. Hence, as far as we can perceive at present, they cannot be avoided or predicted in advance in any analysis of chemical bone constituents. From this source, then, appears to stem much of the variability observed in a series of bones taken from the same archaeological site.

4. The degree of variability of a series of bones taken from within a single site appears to be somewhat different depending upon certain broad factors. Among these is the geographical location of the site. In order to illustrate this point we may classify our sites according to the geographical province in which they lie. We may then compare the average standard deviations, or coefficients of variation, shown by the bones within each subdivision. Such a test is not particularly rigorous but is adequate to bring to light any basic trends. The results are shown in table 3.

There seems to be no significant difference between those California sites which lie respectively in the northern and southern sections of the state. Moreover, the averages for three New York and two Kentucky sites (nitrogen determinations for the latter are not yet completed) appear to fall within the range encompassed by those in California. On the other hand the material from the arid Southwest (Arizona and New Mexico) gives evidence of more internal uniformity than that from either the Atlantic or the Pacific coastal belt. If this distinction is valid then it is quite probable that large-scale climatic fac-

tors are responsible. In the hotter dryer sites of the Southwest there is more opportunity for the ground water to dissolve and transport organic and mineral substance than in the moderately humid coastal areas and Ohio Valley. We may therefore tentatively advance the principle that the highest stability among fossil bones is to be expected in regions of low humidity and rainfall.

CONCLUSIONS

An extensive series of analyses for organic constituents (combustible carbon, nitrogen and water) of fossil bones has made possible the following conclusions:

- 1. For a series of fossil bones from a specific site these constituents tend to be normally distributed around the mean.
- 2. The sample number of comparable bones subjected to a specific type of analysis should be as large as possible. No single value should be taken as definitive.
- 3. The variation of single bones, expressed as the standard deviation or as the coefficient of variation is very great.

<sup>3</sup> We considered the possibility that, particularly in recent sites, the individual skeletons may vary with respect to the time during which they had been underground and hence with respect to the degree of fossilization. In order to test this possibility we secured the records of depth of burial for approximately 170 skeletons from a dozen sites. We attempted to correlate burial depth within individual sites as an index to duration of interment, with the chemical composition of the bones. It is unnecessary to cite detailed data because there was no apparent correlation between the two factors. If such a relation does actually exist it is completely obscured by variations derived from other sources.

TABLE 3  
*Average coefficients of variation for carbon, nitrogen and water, according to locality*

| Locality  | Carbon | Nitrogen | Water |
|---|--------|----------|-------|
| California  |        |          |       |
| Central Valley and northern coast (16 sites, 332 samples) | 27.5   | 30.0     | 16.4  |
| Santa Barbara Channel (8 sites, 87 samples)               | 29.7   | 33.5     | 13.1  |
| Southwestern United States<br>(4 sites, 82 samples)       | —      | 16.1     | 3.5   |
| New York State<br>(3 sites, 30 samples)                   | 26.8   | 40.4     | 11.6  |
| Kentucky<br>(2 sites, 56 samples)                         | 29.6   | 31.5     | 16.9  |

cient of variation for a given group of from the same site may range from nearly 100, depending upon the size of the sample.

Variability is due in very minor part to procedural or experimental error. It is due primarily to local fluctuations in physical and chemical characteristics of soil immediately adjacent to the individual bone.

Variability may be conditioned to a great extent by the general climate surrounding the site, particularly whether it is arid or humid.

Individual variation constitutes a serious obstacle to the successful establishment of a precise dating system based on chemical analyses for any fossil bone constituents.

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# urrence of the Carabelli Trait in thwest Ethnic Groups

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1842 Georg von Carabelli, an Ausdentist, described a tubercle present onally on the mesiolingual surface of permanent maxillary molars, particularly on the first. Since that time it has been known variously as *Tuberculum Carabelli*, *Tuberculum anomale*, or Carabelli's

The many studies that have been published on the subject in the past 115 years have been concerned primarily with (1) descriptive morphology, (2) its occurrence in populations, (3) developmental origin, and (4) mode of inheritance. There has been no agreement on any one of these 4 approaches.

There is general lack of concurrence regarding morphological features that may be assumed under the category of Carabelli's trait. This has produced an effective obstacle to the study of its distribution, development, and possibly the mode of inheritance, to say nothing of the difficulties that are raised in comparing data from the literature.

There is dispute whether all non-artificial disruptions on the crown surface at the site of the Carabelli tubercle should be regarded as manifestations of the same developmental origin as the well-formed accessory cusp or cusplule. Classically only the tubercle or cusp has been considered the expression of the trait. However, at the turn of the century Batujeff ('33), and later Mühlreiter and de Jonge ('28), Hjelmann ('28), Dietz ('44'), Shapiro ('49'), and Kraus ('51'), have included pits and grooves occurring at the same phenotypic variations of the Carabelli trait. Dahlberg ('51), on the other hand, contends that "the pit very likely is a remnant of the cingulum and is probably still confused with the cusp itself by workers on modern man." Because of the confusion in classification, compara-

tive tables giving frequency distributions of the trait among various population groups are extremely difficult, if not impossible, to interpret (cf. table 33 in Dahlberg, '51, and table 21 in Pedersen, '49).

Among those investigators whose classifications include variable expressions of the Carabelli trait there is taxonomic disagreement. Shapiro ('49) suggests that the form of the groove rather than the shape or size of the tubercle be used as a basis for comparing racial incidence of Carabelli's trait. Dietz ('44'), on the other hand, states: "A mere classification as to the type of cusp of Carabelli is valueless if its degree of development is not also included." He then proceeds to classify the tubercle itself as cusploid, lobular, and ridged. De Jonge ('54) considers the pit as the weakest expression of the Carabelli trait.

There is general agreement on only one point with regard to the incidence of various expressions of the Carabelli trait in racial groups. All observers concur that in Mongoloids there is a very low frequency of Carabelli's tubercle. Because, however, of the great discrepancy in the method by which the various expressions of the Carabelli trait are dealt with, there is great difficulty in gaining more insight into the differential frequencies of occurrence of the trait in the various populations of the world.

In an earlier report ('51) the writer suggested a 4-fold classification of the Carabelli traits which will be followed in this paper. Briefly recapitulated, it is as follows:

1. PT — the pronounced tubercle whose tip is palpable.
2. ST — a slight tubercle, or bulge on the side of the tooth; the tip blends into the lingual crown surface.

3. GR — one or two grooves occurring at the site of the Carabelli tubercle.

4. P — one or two pits or fovea occurring at the site of the Carabelli trait.

As will be shown, these expressions may occur in various combinations on the same tooth. In this classification the various forms of the groove, such as Shapiro ('49) noted, are not distinguished.

In the present paper the distribution of the 4 Carabelli traits mentioned above will be indicated for several Southwestern ethnic groups, and a comparison of these frequencies will be made, where possible, with others recorded in the literature. It will be demonstrated that this classification will yield results consistent with the known history of these groups and will provide more meaningful comparative data.

NATURE OF THE DATA

All of the original data described in this paper are derived from prehistoric, historic, and modern populations of the State of Arizona. The prehistoric and historic skeletal material came from sites excavated by the Arizona State Museum. In all cases except the crania, dental impressions and plaster casts were made. The sample consisted of the following:

- 300 White school children in Tucson
- 274 Negro school children in Tucson
- 280 Papago Indian school children, examined at Sells
- 48 Apache Indian school children, examined at White River, and Cibecue on the Fort Apache Reservation
- 40 Yaqui Indian school children in Pascua
- 45 Prehistoric Arizona Indians, from various Pueblo III sites
- 19 Historic Indians from the San Jose site in Tucson
- 96 School children of Mexican ancestry in Tucson

A few words of explanation are necessary about the San Jose, the Prehistoric, the Apache and the "Mexican" samples. The San Jose material was excavated from a burial ground adjoining the ruins of a rancheria established by the Spaniards on the banks of the Santa Cruz River in what is now Tucson, Arizona. The skeletons pertain roughly to the period 1690-1800 A.D. The Prehistoric Indian sample belongs to the period 1050-1250 A.D. and represents the prehistoric Arizona Indian population of that period only in a very general way,

since it derives from several archaeological sites in the Anasazi culture area. Impressions and casts of the Apache children were made under difficult field conditions so that it was inadvisable to examine casts for the occurrence of pits. This is the only sample wherein pits were recorded. The school children of Mexican ancestry are United States citizens whose parents or ancestors migrated from Mexico. Most commonly this ancestry consists of hybridization involving American Indian and European Whites.

RESULTS

The data will first be presented in a general classification to be followed by more detailed breakdowns. In table 1 the ethnic groups are lumped according to their affiliation with one of the three major racial stocks of mankind (Caucasoid, Negroid, and Mongoloid). In addition, Tucson children of Mexican ancestry are designated as White-Indian hybrids. The four of the Carabelli traits are considered: the major manifestation (PT), an intermediate expression (ST, G, P, or any combination thereof), and the complete absence of expression (CA). This type of classification affords the easiest basis for comparison of the data with other recorded distributions in the literature. Table 1 indicates that Negroids and Caucasoids cannot be distinguished as populations by the Carabelli traits. The Mongoloids, however, are significantly different from both Negroids and Caucasoids in having a higher frequency of intermediate expressions, a much lower incidence of pronounced tubercles, and a slightly lower frequency of absence of the traits. The White-Indian hybrid group is distinguished from the other three in having a much higher frequency of expression, in one form or another.

TABLE 1  
*Frequency distribution of Carabelli expressions on individual first permanent molars in Caucasoids, Negroids, Mongoloids, and White-Indian Hybrids*

| Racial stock | No. of teeth | PT    | STGP  |       |
|--------------|--------------|-------|-------|-------|
| Caucasoids   | 600          | 0.162 | 0.393 | 0.445 |
| Negroids     | 548          | 0.150 | 0.427 | 0.423 |
| Mongoloids   | 882          | 0.015 | 0.611 | 0.374 |
| White-Indian | 96           | 0.146 | 0.656 | 0.198 |

Carabelli expression. The types of expression, however, are distributed differently. The frequency of PT is almost as high as that of Negroes but the frequency of intermediate expressions surpasses even that of the Mongoloids.

These data are in general agreement with those reported in the literature. The PT frequency of 1.5% for Southwestern Indians compares favorably with Kallay's 3.4% for the Lapps ('12), Oshima's 3.4% for Manchurians ('38), Pedersen's 3.4% for East Greenland Eskimo ('49), and Berg's 8% for the Pima Indians ('51). The greater range for the PT frequency is evident in the reports for Caucasoids, but again the agreement with Southwestern Caucasoids is good. Batujeff ('53) reports 10.3% for the Russians, Serra ('05) 11.2% for the Swiss, Bolk ('17) 17.4% for the Dutch, Fabian ('28) 17.4% for the Germans, Kallay ('57) 13.6% for the Yugoslavs, Hillebrand (cited in Kallay, '57) 13.6% for Europeans, Corfield (cited in Della Serra, '51) 13.5% for the Portuguese, and Dietz ('44) 31.1% for the Americans, presumably all White. It must be pointed out, however, that Dietz included in his "cuspid" type also those tubercles which were minimally, moderately, and maximally developed, so that the percentage cannot be taken at face value in this comparison.

It is thus apparent that if the proposed tubercle is recognized as the only expression of the Carabelli trait one can easily distinguish between Mongoloid and Caucasoid populations. Data on Negroid populations are too scarce to permit any final conclusions, but it would appear

that a classification based upon the three categories PT, STGP, and CA, cannot be used to separate Negroids from Caucasoids.

We turn now to a more detailed 5-point classification of the Carabelli manifestations and a breakdown of the sample into its constituent ethnic groups. In table 2 the frequencies of the three varieties of intermediate expressions are given separately, together with the PT and CA frequencies.

It becomes readily apparent that striking differences exist between certain of these ethnic groups. The frequency of pits is highest among Prehistoric and Papago Indians and ranges from 0 to 3.1% in the other groups. Whites have a relatively low percentage of grooves, while among Prehistoric, San Jose (Historic), and Apache Indians this expression is by far the most frequent one.

The frequency of slight tubercles groups Negroes, Papagoes, and Yaquis together. This observation is interesting in the light of certain speculations that have been made about Negroid mixture in both Papago and Yaqui populations. Seltzer ('36) has noted a number of Negroid features present in the Yaquis. These include: thick lips, dark skin color, jet-black hair, black eyes, heavy development of brow-ridges, preponderance of flaring nasal wings, high degree of frontal visibility of nostrils, broad nasal bridges, and retrogressive chin. Seltzer concludes: "It does seem evident that there is an element in the Yaqui population with a strong suggestion of certain Negroid features." Where and when this element entered the group is not known, but the possibility and signifi-

TABLE 2

*Frequency of occurrence of the various Carabelli traits in Southwestern populations, based on number of first permanent molars examined*

| Population         | No. of teeth | PT    | ST <sup>1</sup> | GR <sup>1</sup> | P <sup>1</sup> | CA    |
|--------------------|--------------|-------|-----------------|-----------------|----------------|-------|
| Whites             | 600          | 0.162 | 0.290           | 0.092           | 0.012          | 0.444 |
| Negroes            | 548          | 0.150 | 0.150           | 0.246           | 0.031          | 0.423 |
| Papago             | 560          | 0.020 | 0.150           | 0.334           | 0.080          | 0.416 |
| Apache             | 100          | 0.000 | 0.040           | 0.600           | 0.000          | 0.360 |
| Yaqui              | 81           | 0.012 | 0.160           | 0.482           | 0.012          | 0.334 |
| Prehistoric Indian | 98           | 0.000 | 0.051           | 0.633           | 0.102          | 0.214 |
| San Jose           | 43           | 0.023 | 0.046           | 0.605           | 0.023          | 0.303 |

<sup>1</sup> When ST occurred with a pit or groove on the same tooth it was classified only as ST. When GR occurred with one or two pits on the same tooth it was classified only as GR. P may include one or two pits on the same tooth but is classified only once.



cance of the introduction of Negroid genes in American Indian populations has been clearly stated by Glass ('55):

"Nor ought one to conclude that because the Negro gene pool has relatively few American Indian genes, the converse is likewise true. That would by no means follow; for the American Indian populations being so much smaller and the tribes so much more isolated in mating than the American Negro population, it may well be that in certain Indian tribes the proportion of genes derived from Negroes is considerable . . . the Indians were far more exposed to introgression of Negro genes than were Negroes to the introgression of Indian genes." pp. 382-383.

Both Seltzer ('36) and Gabel ('49) point out the affinity of Yaqui to Papago-Pima. Both Papago and Yaqui seem to retain certain non-Mongoloid features which might indicate retention of traits characteristic of the earliest American Indians who were, according to Hooton ('33) "a blend of Mediterranean, Negroid, and an Archaic White element, subsequently glossed over with Mongoloid traits."

Another interesting distribution comes to light in examining table 2. Absence of the Carabelli traits occurs more frequently among Whites, next among Negroes, less among living Indians, and least frequently among Prehistoric Indians. This is in direct contradiction to most of the statements made by other writers, many of whom, however, probably have considered all expressions except the tubercle as absence of the trait. It would be highly premature at this point to attempt to form any genetic hypotheses from these data.

From the material presented one might speculate, however, that the distribution of Carabelli traits among Prehistoric Ari-

zona Indians was one of absence of pronounced tubercle, a high frequency of intermediate expressions of the trait, and a low frequency of its complete absence. Contact and subsequent intermixture of Whites and White-Indian hybrids (Papagos) resulted in the introduction, and a low incidence, of pronounced tubercle, a decrease in the frequency of intermediate forms, and an increase in the absence of the trait. Modern Indians show a change in this distribution. If anything, there is a continuation of the historic trend with respect to the intermediate forms, an increase in the absence of the trait. The data of the Apache offer no contradiction to this generalization. The modern Apaches reveal not a single instance of the pronounced tubercle, but it has already been noted (Kraus and White, '56) that the Apache of the Fort Apache Reservation show no evidence, serologically or genetically, of mixture with Whites.

Chi square tests applied to various paired ethnic groups show some interesting results (table 3). It is observed that with the 5-point classification Whites and Negroes can be differentiated, whereas with the previous 3-point classification they seem to be practically identical. Although the Negroid mixture at some time in the past has been suggested for both Papago and Yaqui Indians, apparently this postulated mixture has become attenuated to a point where there is no similarity between these Indians and the Negroid group in the Carabelli distribution. On the other hand the difference between Papago and Yaqui is significant at slightly less than the 5% level.

The difference between Papago and Yaqui, on the one hand, and Apache, Prehistoric, and Historic Indians on the other

TABLE 3  
*Comparisons of frequency distributions of the five-point Carabelli classification in various paired ethnic groups by means of the  $\chi^2$  test*

| Paired ethnic groups        | $\chi^2$ | D.F. | P         |
|-----------------------------|----------|------|-----------|
| White-Negro                 | 72       | 4    | 0.001     |
| Papago-Yaqui                | 10.5     | 4    | 0.02-0.05 |
| Prehistoric-Historic Indian | 5.5      | 4    | 0.20-0.30 |
| Apache-Historic Indian      | 4.8      | 4    | 0.30      |
| Papago-Negro                | 76.4     | 4    | 0.001     |
| Yaqui-Negro                 | 26.2     | 4    | 0.001     |
| Apache-Prehistoric Indian   | 13.9     | 4    | 0.01      |



very high order of significance. This to confirm the observations of Gabeltzer based upon anthropometrics. Significance tests indicated that the Apache Prehistoric Indian distributions could represent the same population. There is evidence that the San Jose site was created in large part by an Apache band, this would account for the similarity between the two. On the other hand, the Apache, having little history of White admixture, might be expected to show a pre-contact distribution. That this conclusion is not borne out by the Carabelli data is not surprising. The material representing our Prehistoric Indian sample obtained from archaeological sites in the same area now occupied by the Apache in east-central Arizona. However, it can be recalled that the Apache are an Athabaskan people who first entered the Southwest about 400-600 years ago. The Prehistoric Indians of this sample are components of the Arizona Indian population of the period 1050-1250 A.D. and were probably the ancestors of the modern Zuni and other Pueblo Indians. There would be no known biological relationship between the Apache and the Prehistoric Indian populations other than the fact that they are ultimately derived from Asia and they have had no demonstrable mixture with Whites.

There has been comparatively little discussion in the literature of the bilateral expression of Carabelli's trait. Dietz found, in 17 casts having both maxillary first molars, a bilateral expression of the "cusp Carabelli" in all but 17 cases. He notes when "absent on one molar, it was minimally expressed on the other molar." Bourdelle (cited by Della Serra), states that the tubercle is always unilateral. In the present series it was found that the incidence of asymmetry varies in different populations from 6.7% to 100%, without apparent pattern with respect to ethnic grouping. Among Whites and Negroes, who have the highest incidence of PT (16.2 and 15.0%, respectively) the frequency of bilateral asymmetry of this expression is 12.0 and 10.0%, respectively.

The bilateral absence of Carabelli's traits and presumably indicate the absence of

the gene for expression of some form of the trait. Assuming a double allelic autosomal inheritance with dominance, the square root of the frequency of bilateral absence would give an approximation of the frequency of the allele "c." On this basis there would be little difference in the frequencies of "c" in these population groups. The highest frequency (see table 4) would be 0.062 (Whites) and the lowest 0.041 (Prehistoric Indians). Since the trait is inherited and populations are clearly distinguishable phenotypically and since there are similarities in frequency distributions between populations of the same racial stock, it is apparent that the mechanism of inheritance is either variable or more complex than has been suggested by this and other authors. For example, the low frequency of bilateral absence distinguishes Apache and Prehistoric Indians from the other groups studied. On the other hand, the Apache are significantly higher in the frequency of unilateral absence of the trait while the Prehistoric Indians are significantly lower in *this occurrence* than all other groups.<sup>1</sup>

Up to this point the frequency calculations have been based upon the occurrence on each individual maxillary first permanent molar. Slight tubercles, grooves, and pits have been lumped under the category "intermediate expressions." There is, perhaps, equal justification for lumping pronounced and slight tubercles, and distinguishing these expressions from surface invaginations such as pits and grooves. Considering PT and ST as manifestations of the maximum penetrance of the Carabelli genotype, and P and G as indications of moderate penetrance, let us count each individual once only, placing him in the category of maximum unilateral penetrance, that is, to be counted as CA an individual must have bilateral absence of the trait. If an individual has a left PT and a right G, he is classified as PT, etc. In other words we now revert to a three-fold classification (table 4). This type of classification appears to be of maximum value since it best fits the available facts about these populations. The three major stocks of man are clearly separated. In

<sup>1</sup> Only the Yaqui are lower — by 1.7% — in frequency of unilateral absence.

TABLE 4

*Frequency distribution according to maximum bilateral expression of the Carabelli trait*

| Ethnic group        | PT and ST | P and G | CA    |
|---------------------|-----------|---------|-------|
| Whites              | 0.500     | 0.113   | 0.387 |
| Negroes             | 0.339     | 0.296   | 0.365 |
| Papago              | 0.225     | 0.422   | 0.353 |
| Yaqui               | 0.214     | 0.477   | 0.309 |
| Apache              | 0.083     | 0.709   | 0.208 |
| Historic Indians    | 0.083     | 0.709   | 0.208 |
| Prehistoric Indians | 0.057     | 0.773   | 0.170 |

addition, a distinction emerges between the Prehistoric Indians on the one hand, the Historic and Modern Indians on the other. Moreover, the historically attested affinity between the San Jose (Historic) and Apache populations is indicated. The Apache, who are linguistically, serologically, and historically distinct from both Yaqui and Papago, can be easily distinguished by means of the three-point classification of the Carabelli trait. The frequency distributions of the three Carabelli expressions among the Papago and Yaqui are so similar that they afford support for the suggested biologic affinities of the two peoples advanced by Seltzer and Gabel. At the same time the Papago-Yaqui frequency distribution of the Carabelli trait is not unlike that of the Negro sample, which calls to mind the anthropological observations of Seltzer and Gabel hinting at Negroid admixture with these two Indian groups.

The above data are not adequate to attempt a genetic interpretation but certain tentative general conclusions might be advanced. If we are correct in ascribing such morphological features as pits, grooves, slight tubercles and pronounced tubercles to a common locus (or loci), then it would appear that the allele (or alleles) responsible for a Carabelli expression is more common among unmixed Mongoloids than among Whites or Negroes. The Mongoloid genotype, however, does not achieve maximum expressivity, for reasons which are not yet known. Instead, it results in a high frequency (75%) of pits and grooves and a very low frequency of slight tubercles (5%). The pronounced tubercle was not found in the Prehistoric Arizona Indians or in Pedersen's ('49) East Greenland Eskimos, both of whom represent unmixed

Mongoloid populations. When the posing genotype is present it may express itself unilaterally only or bilaterally. In the latter case the expressions may be symmetrical or asymmetrical. This suggests the presence of modifying genes.

When there is White admixture in Mongoloid populations the effect is threefold. There is a slight increase in the frequency of maximum expressions (PT and ST), a slight decrease in the frequency of intermediate expressions (G and P), and a slight increase in bilateral absence of expression. Continued admixture (army unknown) results in an intensification of this trend (Papago and Yaqui). In the case of Whites there is a relatively high frequency of bilateral absence, a high frequency of maximum expression, and a low frequency of intermediate expressions. An hypothesis of two allelic autosomal genes without dominance (Kraus, '51) has been advanced to account for inheritance of the Carabelli trait in Caucasoids, only if ST, G, and P are considered variants. The perusal of phenotypic frequencies in samples of the three major stocks of man suggests that this hypothesis is not adequate to explain all the observations.

Two lines of approach are clearly called for. Observations of the Carabelli trait should be made on well-defined breed populations representing each of the major human stocks, with all variants of the expression carefully recorded. In addition, pedigrees should be collected in each of the major stocks so that a more definite genetic interpretation can be produced. We could then look forward to a sound understanding of the effects of hybridization on the Carabelli phenotype distribution. There is every reason to expect that this trait will become one of the more valuable additions to the armamentarium of the student of human micro-evolution.

#### CONCLUSIONS

The dentitions of samples of several ethnic groups, representing prehistoric, historic, and modern peoples of Arizona were studied and the expressions of the Carabelli trait on the mesiolingual cusp aspect of the maxillary first permanent molar were noted. The expressions were

ed in several ways in an attempt to ve a classification which yielded ination consistent with what is known gically and anthropologically about populations. In addition, compara- lata recorded by earlier writers were dered.

was found that there was generally agreement with the data collected hroughout the world on the basis of fre- quency distributions of the variable Cara- expressions for Caucasoids and Mon- ls. There were insufficient data for Negroid populations.

phenotypic classification which lumps ounced and slight tubercles as forms maximum penetrance of the trait, pits grooves as expressions of intermediate rance, and bilateral absence as indi- g absence of the disposing genotype, ound highly adequate for discriminat- he Southwestern ethnic groups ac- ng to available populational informa- Unmixed Mongoloid populations a low frequency of absence of the as well as of maximum penetrance, very high frequency of intermediate rance. Whites, on the other hand, a high frequency of maximum pene- e, a very low frequency of intermedi- penetrance, and a relatively high y of absence. The introduction of e genes in Mongoloid populations ap- to change the phenotypic distribution rds the White spectrum.

trary to what has been implied in literature, Mongoloid populations may a higher frequency of the Carabelli (or genes) than do Whites, although yfying genes appear to change the e of the expression. It is suggested ore definitive populational and pedi- studies will eventually make the Cara- trait an important tool for research in o-evolution.

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# Longitudinal Study of Growth in Face Depth during Childhood<sup>1</sup>

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This report pertains to an anteroposterior dimension of the facial skeleton in *Homo sapiens*. Its data are drawn from lateral roentgenograms for 125 children examined at annual intervals between the postnatal ages of 5 years and 11 years. Previous studies of the osseous face, similar in method and covering the same segment of ontogeny, have dealt with growth in a transverse dimension (Newman and Meredith, 1946) and a vertical dimension (Meredith, 1947).

## OBJECTIVES

The goals in making the present study

are to define a measurement of skeletal face depth for which highly reliable results could be derived radiographically.

To accumulate, using the procedure described, seriate records of skeletal face depth extending over the childhood period from age 5 years to age 11 years.

To investigate skeletal face depth growth by analyzing measures of absolute growth or variability and trend, and in part by analyzing increment data for velocity differences and correlation with comparable data for other facial variables.

The anteroposterior facial dimension used for study may be identified objectively as the rectilinear distance from the most forward point on the anterior nasal spine to a point near basion (the posterior landmark will be described precisely in a later paragraph). The plan of the study may be characterized as one designed to secure findings with respect to the 6-year period of childhood for (1) the mean skeletal face depth on age, (2) variability of skeletal face depth at the selected ages, (3) differences among individuals in trend, absolute increment, and percentage gain, and (4) associations be-

tween changes during corresponding age intervals in depth, breadth, and height dimensions of the face.

## SUBJECTS

The subjects were 60 boys and 65 girls residing in or near Iowa City, Iowa. All were voluntary participants in the Facial Growth Study, a long-term research program at the State University of Iowa begun in 1946 under the joint sponsorship of the Department of Orthodontics and the Iowa Child Welfare Research Station. Enrollment in the study was based on likelihood of continuing residence in the community and willingness to participate; it was not related to odontologic condition or need for orthodontic treatment.

The 125 subjects constitute a group of American-born white children predominantly of northwest European ancestry and above average socioeconomic status. More than 90% of the subjects had three or more grandparents of northwest European descent; the others had two or more grandparents of central or southeast European background. Information on vocation showed that approximately 50% of the fathers held professional or major managerial positions; 40% owned small businesses, followed skilled trades, or engaged in commercial occupations; and 10% were semiskilled employees.

Every subject was examined annually from age 5 years to age 11 years. The examinations were made on or near each child's birth anniversary.

## MATERIALS AND METHODS

Data for depth of the osseous face were derived from roentgenograms obtained

<sup>1</sup> Supported in part by a research grant, D-217, from the National Institute for Dental Research, of the National Institutes of Health, U. S. Public Health Service.

with the child's head positioned in a cephalostat (Krogman and Sassouni, '57). The x-ray source was to the right of the child at a distance 150 cm from the median plane of the cephalostat, the cassette was near the left side of the child's head, and the central ray passed through the child's external acoustic meatuses perpendicular to the sagittal plane and the plane of the film.

Two landmarks were sought on each roentgenogram. These were defined as (1) the most forward point on the radiographic image of the anterior nasal spine, and (2) the most forward point on the radiographic image of the occipital condyles. Under conditions of symmetrical development of the condyles, and correct subject orientation for a *norma lateralis* roentgenogram, the posterior landmark may be described as the site of junction of the anterior margin of the *right* occipital condyle with the precondylar portion of the occipital bone. Adequate representation of the tip of the anterior nasal spine was provided for by use of an aluminum wedge at the time of film exposure.

In order to minimize age-to-age variation in landmark determination, a landmark was registered at a single sitting on the entire series of roentgenograms for a subject. This was done with the aid of an illuminated worktable and a magnifying glass, each roentgenogram being pricked with a fine-point probe.

The rectilinear distance between the two marked termini was measured by means of a steel tape read to the nearest 0.01 cm. Roentgenograms were taken for measurement in random order, and each was measured by two anthropometrists working independently. When the two independent records agreed within 0.02 cm, they were averaged. In instances of greater discrepancy, two additional measurements were taken and either the mean of the 4 determined or, where there was an obvious misreading of the scale, the mean of the homogeneous three.

Each obtained value was corrected for radiographic enlargement. An earlier publication (Newman and Meredith, '56) describes in detail the procedure by which the appropriate adjustments were made. The adjusted values represent skeletal face

depth defined as the minimum distance from the tip of the anterior nasal spine (TANS) to the anteriormost point of the occipital condyles (APOC). On occasion this dimension will be referred to as TANS-APOC diameter.

Had it been possible to measure the rectilinear distance from the tip of the anterior nasal spine to basion, preference would have been given to this dimension. Since basion cannot be identified on a *norma lateralis* roentgenogram (Hollander, '47), the measure of face depth obtained is a close substitute.

Meticulous care was taken to obtain valid data for the diameter study. Chance errors were minimized by rigid controls in subject positioning, landmark location, and measurement; anatomical equivalence was enhanced by precisely correcting for the systematic errors that radiography imposed.

#### *Trend of means for sex groups*

Columns 2 and 5 of table 1 display the means for skeletal face depth obtained for each age-sex subgroup. In figure 1 the values are plotted and the trend of means for each sex portrayed. Considering the tabular and graphic presentations jointly and drawing from prior research for comparative purposes, the following findings may be listed:

1. Over the childhood period between age 5 years and age 11 years, the compo-

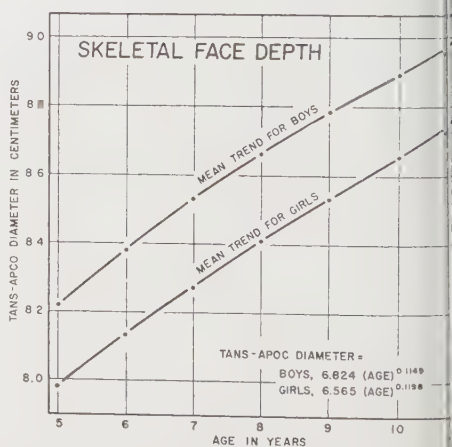


Fig. 1 Curves drawn to means for skeletal face depth on North American white children, 60 boys and 65 girls, studied longitudinally.

mass trend for absolute size of the dimension under study is an ascending slightly concave to the chronobase line. In other words, the groupitude trend for TANS-APOC diameter is an upward slope of slowly diminishing mass.<sup>2</sup> It also has been found that the biologically comparable biomass trends for skeletal bigonial diameter (Newman and Meredith, '56) and skeletal nose height (Meredith, '58) are negatively accelerated increasing functions of age.<sup>3</sup>

For each sex, the series of means on the TANS-APOC diameter is well represented satisfactorily by a parabolic equation. The calculated formulas, obtained by the method of least squares, are  $Y = 0.0001 \times \text{Age in years}^{0.1149}$  (boys) and  $Y = 0.0001 \times \text{Age in years}^{0.1198}$  (girls). Acceptable "goodness of fit" is indicated by the  $r^2$  that corresponding means, obtained by estimation from formula, in no instance differ by more than 0.02 cm. In the previously cited studies of bigonial face breadth and nose height, parabolic equations relating obtained means met a similar condition of adequacy in estimation.

At each age, the mean for TANS-APOC diameter is larger on boys than on girls. A significance test at age 5 years does not provide grounds for rejecting, at a high level of confidence, the hypothesis of random sampling from a common population ( $t = 4.32$ ,  $df$  123). The amount of the difference does not change with age, it is 0.25 cm at 6 years, 0.25 cm at 7 years, and 0.24 cm at 10 years. Ex-

pressed in relative terms, the central tendency statistics of this study show that during the childhood period between 5 to 11 years of age the face depth of the average girl is smaller than that of the average boy by approximately 3%.

4. The table 1 means at age 11 years are higher than those at age 5 years by practically the same amounts for boys and girls. Combining the sexes, the mean at age 11 (8.88 cm.) exceeds that at age 5 (8.10 cm) by 0.78 cm. The relative increment with respect to mean magnitude at the beginning of the 6-year period ( $0.78 \times 100/8.10$ ) is 9.6%. Comparable percentage increases for skeletal bigonial diameter (Newman and Meredith, '56) and skeletal nose height (Meredith, '58) are 13.7 and 19.6, respectively. Colligating: during childhood relative growth rates are highest for the vertical dimension, intermediate for the transverse dimension, and lowest for the anteroposterior dimension.

5. Over successive biennial portions of the age span covered, absolute increases in mean face depth (both sexes together) are 0.30 cm, 0.25 cm, and 0.23 cm. The reader is reminded that in longitudinal research the difference between means at two ages is identical with the mean of the

<sup>2</sup> For discussions of the terms "biomass" and "trend," see Medawar ('50) and Sholl ('54), respectively.

<sup>3</sup> A function that increases at a declining rate is described as "negatively accelerated" since its first derivative has a negative exponent.

TABLE 1

Central tendency and variability statistics for a measure of skeletal face depth  
(TANS-APOC diameter in centimeters)

| Age in years   | Boys: N = 60    |                    |                          | Girls: N = 65   |                    |                          |
|----------------|-----------------|--------------------|--------------------------|-----------------|--------------------|--------------------------|
|                | Arithmetic mean | Standard deviation | Coefficient of variation | Arithmetic mean | Standard deviation | Coefficient of variation |
| 5 <sup>1</sup> | 8.22            | 0.30               | 3.7                      | 7.98            | 0.31               | 4.0                      |
| 6              | 8.38            | 0.32               | 3.8                      | 8.13            | 0.32               | 4.0                      |
| 7              | 8.53            | 0.32               | 3.8                      | 8.27            | 0.33               | 4.0                      |
| 8              | 8.66            | 0.34               | 3.9                      | 8.41            | 0.34               | 4.1                      |
| 9              | 8.78            | 0.35               | 3.9                      | 8.53            | 0.36               | 4.2                      |
| 10             | 8.89            | 0.36               | 4.0                      | 8.65            | 0.37               | 4.3                      |
| 11             | 9.00            | 0.37               | 4.1                      | 8.77            | 0.39               | 4.4                      |

<sup>1</sup> The reader may wish to interpret the statistics for a particular age-sex subgroup as parameter estimates. Standard errors of the A.M. are 0.04 cm (ages 5 through 8 years, boys; 5 through 9 years, girls) and 0.05 cm (ages 9 through 11 years, boys; 10 and 11 years, girls). The standard error of the S.D. is 0.03 cm in each instance. Standard errors of the C.V. are 0.3% (ages 5 through 7 years, boys) and 0.4% (8 through 11 years, boys; 5 through 11 years, girls).



individual changes between the two ages, i.e., the mean absolute gain in TANS-APOC diameter for the age interval 5 to 7 years is 0.30 cm, and so forth. Consecutive biennial percentage gains for the entire sample, again applying the Minot ('08) formula,<sup>4</sup> are 3.7, 3.0, and 2.7, respectively.

In investigations utilizing direct measurements of the face, the anteroposterior dimension that has received most study is distance from the transmeatal axis to prosthion (Hellman, '27; Smyth and Young, '32; Goldstein, '36; Allen, '48; Henriques, '53). Another dimension employed to some extent, and having its anterior terminus closer to TANS, is porion-subnasale diameter. This diameter was studied by Henriques ('53), using data obtained from measuring the face directly, and by Allen ('48), using estimates of direct face measurement derived from roentgenograms. The subjects for both investigations were elementary school children. Allen's sample was drawn in the British Isles and supplied means for each sex at ages 6 and 7 years; Henriques' sample was drawn in North America (Philadelphia) and supplied means for each sex at annual age from 7 through 11 years. All of these values are smaller than comparable subgroup means for TANS-APOC diameter; on the average, the systematic differences approximate 0.55 cm (Allen study) and 0.20 cm (Henriques study).

#### *Variability at selected ages*

Statistics depicting the variability of skeletal face depth are presented in tables 1 and 2. Table 1 epitomizes the dispersion

for each age-sex subgroup in terms of standard deviation and the coefficient of variation. In table 2 the spread of distributions for each sex at 4 selected ages is displayed in terms of the extreme values and several spaced percentiles. Final descriptive and comparative, are:

1. During the childhood period from age 5 years to age 11 years there is a gradual increase in the variability of TANS-APOC diameter. The standard deviations for boys rise from 0.30 cm at 5 years through 0.34 cm at 8 years, to 0.37 cm at 11 years; those for girls are of similar magnitude and rise in like manner (table 1). An increase with age in absolute size also was found by Newman and Meredith ('56) in their study of skeletal bigonial diameter.

2. TANS-APOC diameter has a lower index of relative variability than skeletal nose height. The coefficient of variation for skeletal face depth on boys age 5 years is 3.7% of mean face depth at this age (table 1). A corresponding statistic for skeletal nose height was seen by Meredith ('58). The coefficients of variation on girls age 5 years are 4.0% and 5.2% for face depth and nose height respectively.

3. Age-specific distributions of skeletal face depth on boys, although systematically to the right of those on girls, extensively overlap the girls' distributions (table 2). At age 7 years, for example, TANS-APOC diameter is (a) less than 8.7 cm in 70% of the boys compared

<sup>4</sup> Absolute change in size between two ages  $\times 100/\text{Absolute size at the initial age}$ .

TABLE 2  
Variability statistics for skeletal face depth measured as TANS-APOC diameter in centimeters

| Age in<br>years | Minimum | Percentiles |     |     |     | Maximum |
|-----------------|---------|-------------|-----|-----|-----|---------|
|                 |         | 10          | 30  | 70  | 90  |         |
| Boys: N = 60    |         |             |     |     |     |         |
| 5               | 7.5     | 7.8         | 8.0 | 8.4 | 8.6 | 9.1     |
| 7               | 7.8     | 8.1         | 8.3 | 8.7 | 8.9 | 9.4     |
| 9               | 8.0     | 8.3         | 8.6 | 9.0 | 9.2 | 9.7     |
| 11              | 8.1     | 8.5         | 8.8 | 9.2 | 9.4 | 9.9     |
| Girls: N = 65   |         |             |     |     |     |         |
| 5               | 7.3     | 7.5         | 7.8 | 8.1 | 8.4 | 8.8     |
| 7               | 7.6     | 7.8         | 8.1 | 8.4 | 8.7 | 9.1     |
| 9               | 7.8     | 8.0         | 8.3 | 8.7 | 9.0 | 9.4     |
| 11              | 8.0     | 8.2         | 8.5 | 8.9 | 9.2 | 9.7     |



of the girls, and (b) more than 90% of the boys compared with 70% of the girls.

There is extensive overlapping of sex-specific distributions for skeletal face depth at successive ages. Measurements of TANS-APOC diameter below 8.2 cm are secured on 30% of the girls at age 5 years and 10% of those at age 7 years. Similarly, face depth measurements below 8.2 cm are secured on 90% of the girls at age 9 years and 70% of those at age 11 years.

The distribution of skeletal face depth for boys of a given age is similar to that for girls two years older. Inspection of table 2 shows that the 10th percentile is the same on boys age 5 years, and girls age 7 years; the 30th and 70th percentiles are the same on boys age 7 years, and girls age 9 years; the 90th percentile is the same for boys age 9 years, and girls age 11 years; and so forth.

Table 2 may be regarded as providing a series of reference for TANS-APOC diameter. So regarded, it augments the tabulated graphic norms on North American children presently available to workers in applied anthropology (Meredith, '59). From this perspective, the percentiles of table 2 partition each distribution into 5 sections. A child whose skeletal face depth is below the 10th percentile for age and sex falls in the lowest of the sections, and may be designated as having a shallow face. Proceeding along the continuum, children falling between percentiles 10 and 30, 30 and 70, 70 and 90, and above 90 may be designated, in this order, as having faces that are moderately shallow, average, moderately deep, and deep.

#### *Trends for the individual*

The procedure employed in studying individual trends for skeletal face depth was as follows:

The seriate data for each subject were plotted on a separate Cartesian grid. Successive annual ages were the abscissa, and corresponding measurements of TANS-APOC diameter the ordinate values. A curve was drawn connecting the plotted points representing a child. As in previous studies of individual growth in facial bigonial diameter and skeletal nose

height (Newman and Meredith, '56; Meredith, '58), each time series for skeletal face depth consisted largely of a trend, i.e., curve irregularities were few and minor.

3. The 125 graphs were compared and sorted with respect to trend similarities and differences. This step generated the decision to discuss findings on varieties of trend with the aid of illustrations for three subgroups (fig. 2) and 4 individuals (fig. 3).

The trend of subgroup A in figure 2 was derived by calculating age means for the annual measurement of TANS-APOC diameter on 27 children, 12 boys and 15 girls. Over the sexennium from age 5 years to age 11 years, these children each exhibited

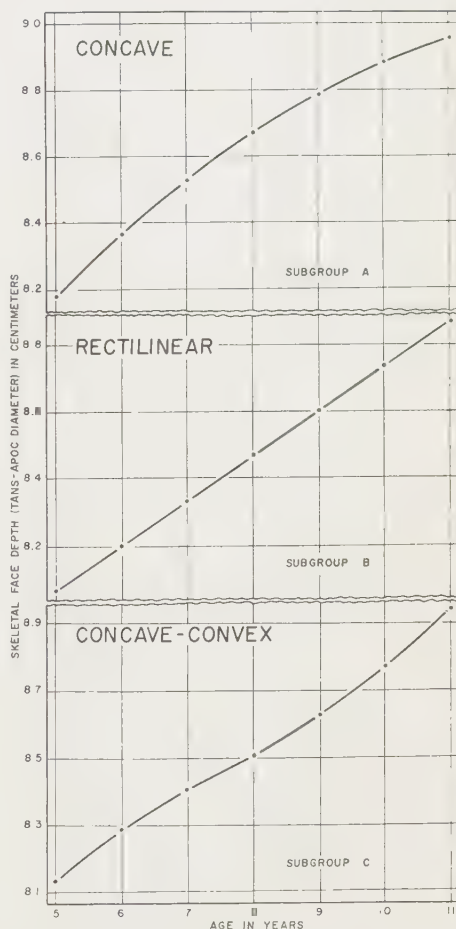


Fig. 2 Trends drawn to means obtained after subgrouping individual curves for skeletal face depth.

an ascending trend that satisfied explicit criteria regarding concavity to the chronologic base line. For every child included in the "concave" subgroup it was required: (1) increase in face depth between ages 5 and 7 years exceed that during the next biennium by 0.05 cm or more, and (2) increase in face depth between ages 7 and 9 years exceed that during the succeeding biennium by 0.05 cm or more.

Annual measurements of TANS-APOC diameter on 23 children, 11 boys and 12 girls, were used in obtaining the mean trend for the "rectilinear" subgroup. The separate graphs of these children depicted oblique lines each rising at an approximately constant velocity. For inclusion in

this subgroup, it was specified that the difference among a child's increments during the bienniums 5 to 7 years, 7 to 9 years and 9 to 11 years surpass 0.03 cm.

The "concave-convex" trend shown in figure 1 was secured by averaging skeletal face depth measurements at annual ages for 11 children, three boys and 8 girls. These children comprise a heterogeneous subgroup in that each of them gained 0.05 cm or more between 5 and 11 years of age than during the preceding biennium. That is, subgroup C included every subject who, in late childhood, showed an ascending curve unequivocally concave to the chronologic base line. For the period from 5 to 11 years, the individual

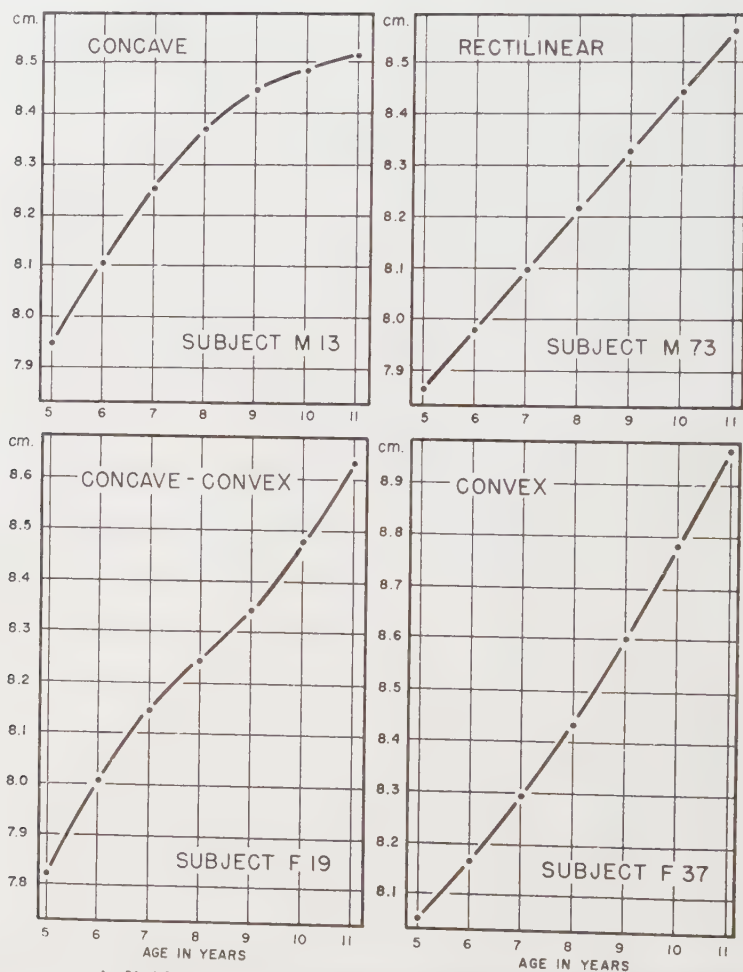


Fig. 3 Curves on individual children depicting varieties of trend for skeletal face depth.

are "concave-convex" in 7 instances, "rectilinear-convex" or entirely "concave" in 4 instances.

Figure 3 was compiled by selecting one from subgroup A, one from subgroup B, and two from subgroup C. Selection was made to portray pronounced examples of the types of individual magnitude trend in face depth.

Twenty-four of the 125 trends for individual face depth are not represented in figure 2 and 11 of these constitute intergrades between the concave and rectilinear trends displayed in figure 3.

The findings of this study from analysis of individual magnitude curves for skeletal face depth may be complemented with findings from earlier analyses for skeletal face depth (Newman and Merrett, 1956) and skeletal nose height (Merrett, 1958). Descriptive and comparative findings, specific for the child population segment of ontogeny investigated, are: All of the individual curves for TANS-APOC diameter ascend in some oblique manner. Likewise, individual curves for osseous measurements of face breadth and nose height lie in paths indicating overall increase in size with age. Approximately 90% of the trends for skeletal face depth rise rectilinearly or slightly convex to the chronologic base line. Early, between 85% and 95% of the trends for skeletal bigonial diameter and skeletal nose height climb along routes diverging from straight-line paths to curvilinear paths concave downward.

Approximately 70% of the curves for skeletal face depth manifest a general trend of ascent with declining velocity. Most of these curves are "concave" throughout. Some are concave during the one-third to one-half of the sexennium preceding rectilinear thereafter. The "concave" individual trend also is the commonest found for skeletal bigonial diameter and skeletal nose height: relative frequencies fall between 65% and 75%.

The relative frequencies for rising linear trends, again assembling findings on the osseous face from investigation of an anteroposterior dimension, a transverse dimension and a vertical dimension, fall between 15% and 25%. For

TANS-APOC diameter, the figure approximates 20%.

5. Individual trends convex to the chronologic base line between ages 9 and 11 years have relative frequencies below 5% for skeletal bigonial diameter, near 10% for skeletal face depth, and near 15% for skeletal nose height. "Convexity" over the period from 9 to 11 years for bigonial diameter, and over the period from 5 to 11 years for any of the three dimensions, (see figure 3, subject F37), are rare phenomena.

#### *Velocity differences*

This section presents findings on inter- and intra-individual differences for rate of growth in TANS-APOC diameter. It (1) describes the variability of increment distributions, (2) displays increment variations by superimposing magnitude trends, and (3) investigates the association between increments for two age trienniums.

Absolute increments (changes in centimeter terms) were derived on each subject for three consecutive biennial intervals and the full 6-year interval. To illustrate: from the time series for skeletal face depth on child X, differences were obtained between the measurements at ages 5 and 7 years, 7 and 9 years, 9 and 11 years, and 5 and 11 years. In addition to centimeter increases, percentage increases (using the Minot formula) were computed.

When these data were tabulated in frequency distributions according to sex, age interval, and type of increment, it was found that comparable distributions for boys and girls did not differ significantly. Consequently, the distributions were collapsed for sex. Statistical reductions of the 8 distributions remaining are assembled in table 3. Selected findings are:

1. Absolute gains in TANS-APOC diameter during the period from age 5 years to age 7 years are between 0.14 cm and 0.22 cm for 10% of the children, between 0.27 cm and 0.33 cm for 40%, and between 0.38 and 0.53 cm for 10%. Relative gains during the same period extend from a minimum of 1.8% to a maximum of 6.2%, with four-fifths of them clustered between 2.7% and 4.6%.

2. Velocity distributions for the biennium from 9 to 11 years of age are sys-



tematically lower than those for the biennium from 5 to 7 years of age. Gains exceeding 0.27 cm are made by 70% of the children between ages 5 and 7 years, compared with 30% between ages 9 and 11 years. No child's increment is below 1.8% during the earlier biennium, although during the later biennium 10% of children increase less than this.

3. Over the sexennium from age 5 years to age 11 years, some children augment their skeletal face depth more than twice as much as other children. Absolute gains are less than 0.5 cm for 5% of the sample, and 1.1 cm or more for 4%. For similar portions of the sample, relative gains are less than 6.0% and more than 13.0%, respectively.

Figure 4 portrays two pair of individual magnitude curves selected to illustrate markedly different amounts of growth in TANS-APOC diameter. Contrast A utilizes the time series on subjects F15 and F81. Subject F81 is the child showing the least absolute change in face depth between ages 5 and 11 years (see table 3, row 4). The other subject is a child matching F81 with respect to (a) sex and (b) face depth in early childhood, but differing widely from F81 in absolute increment. F81's increase of 0.28 cm between 5 and 11 years of age is (1) smaller than F15's increase of 0.42 cm for the biennium 5 to 7 years and (2) only one-fourth F15's increase of 1.11 cm for the entire sexennium.

The time series on subjects M67 and M78 are used in figure 4, contrast B. Subject M78 is the child registering the minimum relative increase in TANS-APOC diameter between 5 and 11 years of age (see table 3, row 8). The other subject is a child matching M78 with respect to (a) sex and (b) face depth in middle childhood, yet differing greatly from M78 in relative growth rate. The sexennial increment of 14.2% for the fast growing boy is two and one-half times that for the slow growing boy (5.7%). Compared in another manner, the face depth relationship of these two boys is transposed from the M78 being the smaller at 5 years of age (0.31 cm, or 3.9%, to that of M67 being the smaller at 11 years by 0.35 cm, or 4.0%).

Meredith and Meredith ('58) investigated associations in childhood between measures of body size and measures of growth rate. For skeletal face depth, they found that neither the absolute nor the relative increments of individual children during the period 5 to 11 years of age could be forecast satisfactorily from information on size at age 5 years. The  $r$  coefficient for covariation of skeletal face depth at 5 years and centimeter gain over the entire sexennium is 0.13. With percentages replacing absolute gain,  $r = 0.02$ . These coefficients disallow rejection of the hypothesis that the population  $r$  is zero and consequently, are valueless for the purpose

TABLE 3  
*Variability of increase in TANS-APOC diameter for three biennial periods and a sexennial period*

| Age<br>interval<br>in years      | Minimum | Percentiles |      |      |      | Maximum |
|----------------------------------|---------|-------------|------|------|------|---------|
|                                  |         | 10          | 30   | 70   | 90   |         |
| Centimeter increase              |         |             |      |      |      |         |
| 5-7 <sup>1</sup>                 | 0.14    | 0.22        | 0.27 | 0.33 | 0.38 | 0.53    |
| 7-9                              | 0.09    | 0.16        | 0.22 | 0.29 | 0.32 | 0.40    |
| 9-11                             | 0.01    | 0.15        | 0.20 | 0.27 | 0.32 | 0.44    |
| 5-11                             | 0.28    | 0.58        | 0.71 | 0.88 | 0.99 | 1.21    |
| Percentage increase <sup>2</sup> |         |             |      |      |      |         |
| 5-7                              | 1.8     | 2.7         | 3.3  | 4.1  | 4.6  | 6.2     |
| 7-9                              | 1.1     | 2.0         | 2.7  | 3.4  | 3.9  | 4.6     |
| 9-11                             | 0.1     | 1.8         | 2.3  | 3.1  | 3.7  | 4.8     |
| 5-11                             | 3.5     | 7.2         | 8.9  | 10.7 | 12.2 | 14.2    |

<sup>1</sup> Each distribution consisted of increment values for 125 North American white children of both sexes.

<sup>2</sup> Computed as: Centimeter gain in skeletal face depth during the period indicated  $\times 100$ . Magnitude of skeletal face depth at the beginning of the period.



izing a child's attained size to pre-  
s subsequent growth rate.

he present study, another question  
ning the predictability of velocity  
nces was investigated: With what  
of precision can children's rates of  
n in TANS-APOC diameter between  
and 11 years be forecast from their  
a rates during the preceding trien-

statistic obtained from correlating  
meter increases for the periods 5 to  
s and 8 to 11 years ( $N = 125$ ) is  
50. Concomitant variation of per-  
ge gains for the same triennial pe-  
also is represented by  $r = 0.50$ . Two-  
le rectilinear regression equations  
ped with coefficients of this magni-  
have a low index of forecasting effi-  
e,  $E = 13.4\%$  (Guilford, '50).

regard to predicting *absolute incre-*  
in skeletal face depth between 8 and  
ars of age, the standard error of  
ate is (a) 0.098 cm from unvarying  
the mean increase for the period 8  
years and (b) 0.085 cm from enter-  
the child's increase between 5 and 8  
in the best-fit regression equation.  
equation is: centimeter gain, 8 to 11  
= 0.56 (centimeter gain, 5 to 8  
+ 0.14. It follows that this equa-

tion affords an improvement of 13.4%  
on the "best guess" standard error of  
0.098 cm. The same small improvement  
in predicting *relative increments* accrues  
with reduction of the standard error of  
estimate from 1.04%, when the mean  
relative increase is used, to 0.90%, when  
use is made of the best-fit regression equa-  
tion, i.e., percentage gain, 8 to 11 years  
= 0.48 (percentage gain, 5 to 8 years)  
+ 2.52.

#### *Growth rate associations for three facial dimensions*

In this section consideration is given to  
relationships among absolute and relative  
increments for a trio of anteroposterior,  
vertical and transverse dimensions of the  
upper face. The three traits are TANS-  
APOC diameter, nasion-TANS diameter,  
and bizygomatic diameter. Previous publi-  
cations give detailed description of the  
procedures by which the data for nasion-  
TANS diameter (Meredith, '58) and bizy-  
gomatic diameter (Meredith, '54) were  
procured. Reiteration is unnecessary be-  
yond notation that the methods used in ob-  
taining the basic measurements were ade-  
quate to assure highly reliable increment  
data representing the segment of childhood  
between ages 5 years and 11 years. For

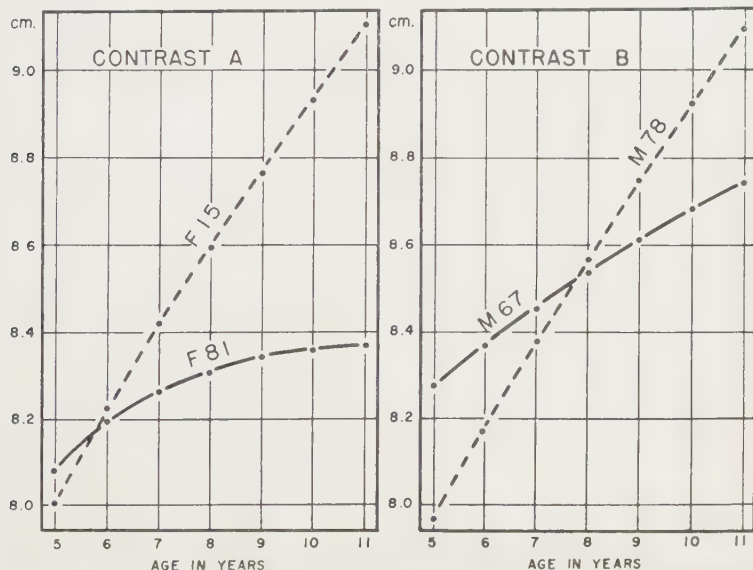


Fig. 4 Trends illustrating individual variation in amount of growth in skeletal face depth between age 5 years and age 11 years.

all of the associations that will be reported,  $N = 125$ .

Dealing first with covariation of the face depth and nose height variables, the obtained  $r$ 's are 0.13 from correlating centimeter gains and 0.12 from correlating percentage gains. Neither value allows rejection of the hypothesis that the growth rate populations are independent. With 123  $df$ , it is required that a  $r$  statistic exceed 0.17 for rejection of this hypothesis at the 5% level of confidence (Lindquist, '40).

Concomitant variation of the face depth and bizygomatic face breadth variables is low positive. The specific  $r$ 's secured are 0.36 for covariation of the absolute increments and 0.33 for covariation of the relative increments. Both coefficients exceed the value necessary for rejection of the null hypothesis at the 1% confidence level ( $r$  greater than 0.23, 123  $df$ ).

The correlation statistics obtained from the nose height and bizygomatic face breadth variables are  $r = 0.22$  (absolute increases) and  $r = 0.21$  (percentage increases). On the assumption of random sampling, it is tenable at the 5% confidence level to infer that these coefficients have not arisen from zero related populations.

It follows that there is little association among growth rates for the three dimensions. In no instance can change in one of the dimensions during the sexennium of childhood between ages 5 years and 11 years be predicted satisfactorily from change in one or both of the other dimensions. Estimation of centimeter gain for bizygomatic face breadth from centimeter gain for face depth, employing  $r = 0.38$  in deriving the best-fit rectilinear regression equation, would have a long-run efficiency (E) below 7%. Estimation of centimeter gain in bizygomatic face breadth from centimeter gains in face depth and nose height would be almost as poor (the multiple correlation coefficient representing this relationship,  $R = 0.41$ , is associated with  $E = 8.8\%$ ).

In an analysis of velocity data for bizygomatic face breadth and bigonial face breadth covering the period from age 5 years to age 11 years, Newman and Meredith ('56) found covariation "is positive

and approximates the order  $r = 0.5$ . This relationship between increases in transverse diameters of the upper and lower face is as high as any combination of increases for the three traits measured on different planes of the upper face.

Incidental study was made of the association between absolute and relative increments for a given dimension. The obtained  $r$ 's are 0.98 from the face depth increments, 0.97 from the bizygomatic face breadth increments, and 0.94 from the increments for nose height.

#### SUMMARY

A measurement of skeletal face depth is investigated with respect to size change during the second sexennium of human ontogeny. Absolute magnitude data are analyzed longitudinally for growth and cross-sectionally for variability. Absolute and relative increments are used to study velocity differences and associations with changes in other measurements of the face.

The subjects are 125 American white children predominantly of northwestern European ancestry and above average socioeconomic status. On each subject are highly valid measures of face depth derived from *norma lateralis* roentgenograms obtained at annual intervals between age 5 years and age 11 years. Time series for face depth are supplemented with increment data on the same children for bizygomatic face breadth and skeletal nose height.

It is found: (1) the trend of mean face depth in childhood is a negatively accelerated increasing function of age at each age studied average face depth is larger in boys than girls by approximately 3%, (2) both absolute and relative variability of face depth increases with age, (3) approximately 90% of individuals show magnitude trends for face depth rise negatively to the time base line or as obliquely to the time base line, (4) increases in face depth during childhood are more than 100% larger in some individuals than in others, (5) the association between rate of growth in face depth from 5 to 8 years of age and rate of growth from 8 to 11 years of age approximates 0.50, (6) relationships among absolute

ents for face depth, face breadth and height lie between zero and  $r = 0.40$ , (8) concomitant variation among growth rates is no higher than among absolute growth rates.

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# e Skin of Primates

## THE SKIN OF THE POTTO (*PERODICTICUS POTTO*)<sup>1</sup>

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The skin of man has many unique features that are difficult to relate to those of the skin of other mammals. (1) It has thick, distinct and richly vascularized papillary body; (2) the underside of its epidermis is thrown into a complex system of ridges and valleys, craters and furrows; (3) numerous eccrine sweat glands are distributed over the entire body surface; whereas (4) apocrine sweat glands are few and restricted to characteristic sites; (5) the cavum axillae is a rich source of large apocrine glands intermingled with eccrine sweat glands, the two types constituting the axillary organ; the scalp has numerous sweat glands, the hair follicles there have a longer period of growth than those elsewhere; also, the scalp of the ageing male has a tendency to become bald. In contrast, the skin of other mammals that we know anything about, excluding primates, (a) has a thin and poorly vascularized papillary body; (b) the underside of the epidermis is usually flat; (c) eccrine sweat glands, if present, are restricted to the volar side of hands and feet; (d) when sweat glands are present over the body surface they are of the apocrine type; (e) an axillary organ has not been found; (f) the skin of the primate shows none of the peculiarities of the skin of man. One wonders if the uniqueness of human skin is real or apparent, and to what extent man shares with other mammals the peculiarities of his skin.

Although all mammals have a basically similar skin, each of the major orders has characteristic differences, and differences even exist within the same order. In the recognition of the peculiarities in the skin of a single group, the more generalized patterns, which are probably related to an ancestral type, should become

evident. Thus, systematic comparative studies of the skin in the members of a family or order may turn up different modifications of cutaneous structures and provide information on phylogenetic relationships. This report is the first in a series which will describe the skin of primates. In identifying the many adaptations in this order, we hope to point up the basic underlying patterns which will be valuable points of reference to students of evolution, comparative physiology, systematics, experimental biology, and dermatology.

The German literature contains a surprisingly large number of studies on the skin of primates. These studies are concerned mostly with the color of skin and hair, with the types and the density of population of hairs, and with dermatoglyphics. Some studies have dealt with the sweat glands, and particularly with those of the axilla. Most of this literature, however, is relatively ancient and fragmentary. Moreover, the majority of these studies were carried out on material of dubious quality for histological study, and at a time when many of the structural features of the cutaneous organs were poorly understood. Even the most recent investigation on the gorilla by Straus ('50) is based on skin obtained from embalmed specimens. None of the modern methods of histology and histochemistry has been used to study the skin of primates. Histochemical methods are particularly useful in the study of skin; it is possible, for example, to separate eccrine from apocrine sweat glands,

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even when they appear morphologically similar.

Our aim is to study the skin of all the major families, so that we may understand the principal attributes of the skin of all primates. These reports will appear as the animals are available to us, and will not necessarily follow any phylogenetic sequence. In general we have selected those techniques which have been most informative in the study of human skin. Although each of the papers which follows will have a brief coverage of the histochemical properties of the nerves, this aspect will be reported more extensively by Dr. Richard K. Winkelmann of the Mayo Clinic, who is primarily concerned with cutaneous innervation. Similarly, Dr. Herman B. Chase of Brown University will give, in time, a fuller report on the pilary system than is covered in these papers.

#### MATERIAL AND METHOD

This study is based upon 6 adult specimens, only two of which, one male and one female, were killed. The animals were in good health and in a good nutritional state. In the laboratory, the animals were fed a varied diet of fruit, cereal and meat. Bananas, grapes, ripe peaches, pears and apples were supplemented with a poultice of pabulum and evaporated milk. For meat we used the better grades of canned dog food, and live young mice. The pieces of skin used in this study were removed while the animals were still alive, under deep nembutal anesthesia.

Pieces of skin were taken from every part of the body. For general histological studies, pieces of skin were fixed in Helly's fluid and 10% formalin and embedded in paraffin. Sections of these tissues were stained with Giemsa, with toluidin blue buffered to various pH values (Montagna et al., '51a), and treated with the PAS reaction for glycogen and saliva nondigestible carbohydrates. Phosphorylase and succinic dehydrogenase activities were demonstrated in frozen sections of unfixed tissues, using the methods of Takeuchi and Kuriaki ('55) and Farber and Louviere ('56). The following enzymes were studied in frozen sections of tissues fixed in chilled 10% formalin for 4 hours: alkaline phosphatase (Gomori, '52); acid phosphatase

(Rutenburg and Seligman, '55); nific esterases (Pearse, '53); tweenase, or lipase (Stowell and Lee, acetylcholinesterase and butyryl esterases (Montagna and Ellis, '57).

#### OBSERVATIONS

The potto, *Perodicticus potto*, (fig. 1) belongs to the following classification ('53):

Order: Primate

Grade A: Strepsirhini

Suborder: Lorisioidea

Family: Lorisidae

Pottos are small, slow-moving, nocturnal animals which inhabit the tropical forests of Africa (Hill, '53). They are 12 to 15 inches long, with a tail two-and-one-half to three inches long. Their fur is short, woolly, grayish and brown. Sparse, coarse hairs protrude an inch or more beyond the underfur of the cheeks, ears, and scapular regions. The few, short hairs are found only on the mystacial region.

The neural spines of the last two and three cervical and the first two thoracic vertebrae, characteristically protrude 10 to 5 millimeters above the surface of the skin and are covered with thin, black, glabrous, thickly cornified skin.

When the animal is clipped, the skin is mottled or pock-marked, particularly on the nape and infrascapular regions. The skin of the sacral region is darker than that elsewhere and is oily to the touch. Over the scrotum and the vulva the surface of the skin is broken by intersecting fissures which outline a mosaic of rhombic figures, the surface of which is covered by short, dense, velvet-like hairs. A pungent musky odor, characteristic of these animals, emanates from these regions.

Although Hill ('53) records only two pairs of nipples, males and females have three pairs. The anterior nipples just dorsal to the axilla are the largest; the posterior abdominal nipples are very small and could be overlooked in an unskinned animal.

Other than general observations on external features, made mostly for the purpose of systematics (Sanderson, 1957), we know nothing about the skin of these animals. Some aspects of the skin of

resemble those of the skin of the Sisk, '57), the insectivores and carnivores (our own unpublished data).

### *Epidermis*

The epidermis is relatively thin. It is thicker in the face, scalp, nape and dorsum, and elsewhere it consists of only two or three cells in thickness. A few dendritic melanocytes, sown between the cells of the basal layer, impart some melanin granules to the cells of the malpighian layer. In the palms and soles, numerous melanocytes are found in the apices of the epidermal papillae, crowded around the junction of the ducts of sweat glands; the epidermis between adjacent papillae is free of melanocytes. In the skin of the tail, the epidermis is nonpigmented but many large dendritic melanocytes are found in the epidermis. In the skin of the scrotum, the melanocytes are very large, and their cell processes bulge below the dermo-epidermal junction. Some melanocytes actually reach into the dermis, but their large dendritic processes extend up into the epidermis. Other melanocytes farther down in the dermis also send processes toward the epidermis, but they may or may not make contact with it. These are much larger than the melanocytes found elsewhere in the skin.

The epidermis has a barely distinguishable stratum granulosum, no stratum lucidum, and a relatively thin stratum corneum. Even in the palms and soles where the epidermis is very thick, the stratum granulosum and the stratum lucidum are very distinct. The spiral canals of the sweat ducts distinctly traverse the very thick stratum corneum. From the cells of the basal layer everywhere, and particularly where the epidermis is thickest, cytoplasmic processes are insinuated into the dermis. Cells in mitosis, however, never attain basal processes. Mitosis is found only in the basal layer. Intercellular spaces between the cells are clear only in the epidermis of the palms and soles.

The thick "basement membrane," consisting of matted PAS-reactive fibers, particularly well-developed in places where the epidermis is thickest, separates the epidermis from the dermis.

The cells of the basal layer of the epidermis are strongly basophil, indicating a rich content of ribonucleic acid. The cells of the spinous layer show progressively less basophilia as they rise to the surface.

The epidermis is generally free of demonstrable glycogen. In the face and lips, glycogen may be found in the cells at the bottom of grooves and pits, where the process of keratinization seems to have been slowed down or stopped (Montagna et al., '51).

The epidermis everywhere has intense phosphorylase activity, limited to the cells of the malpighian layer. The reaction is most intense in the epidermis of the palms and soles, and elsewhere in the thicker epidermis of the head, the genital regions and the tail. The entire malpighian layer has strong succinic dehydrogenase activity. The reaction is strongest in the basal cells, where reactive granules are particularly numerous in the cytoplasm below the nucleus.

Although none of the epidermal cells contains alkaline phosphatase activity, large, dendritic cells scattered throughout the epidermis are characteristically rich in this enzyme. These cells have a perikaryon located between the basal cells and large, branching processes that reach as far as the stratum corneum (fig. 3). The cells resemble dendritic melanocytes, except that they are larger, and melanocytes show no phosphatase reaction. Phosphatase-rich dendritic cells are numerous around the junction of the epidermis with the pilary canals and are also found in the palms and soles. The upper layers of the epidermis, especially in the face and lips, have strong acid phosphatase activity; the basal cells are weakly reactive. In the palms and soles a heavy concentration of the enzyme is found in the epidermal papillae, but the epidermis between them has none. The upper part of the epidermis everywhere, and particularly the stratum corneum, contains lipase and esterases.

### *The apocrine glands*

All of the sweat glands in the general body skin are of the apocrine type. Each gland grows near a hair follicle, but there is usually only one gland for each hair



group, regardless of the number of follicles the group contains (figs. 2 and 21). The glands are largest and the most numerous in the skin of the scrotum and vulva. The glands open by a narrow duct directly to the surface by a funnel-like dilatation near a pilary canal, but not inside it. Below the dilated orifice, the duct has a very narrow diameter and is composed of two layers of cuboidal cells, so flattened that in transverse sections the duct resembles an arteriole. The duct joins abruptly the thick secretory segment midway in the dermis (fig. 21). The secretory segment is slightly coiled and resides entirely within the dermis; in the skin of the face, the axilla, the tail, the scrotum and the vulva, where the glands are larger and more tortuous, they extend beyond the lower limit of the dermis into the subcutaneous fat.

The columnar cells lining the glands have a cuticular border. A mantle of large myoepithelial cells, aligned parallel to the long axis of the tubule, is between the base of the secretory cells and the thick basement membrane. The lumen of the larger glands is scalloped, some of the cells being taller than others; many of the larger cells have cytoplasmic nipples that extend into the lumen. Only some of the glands contain stainable colloid or visible cell debris in their lumina.

The secretory cells have an acidophil ground cytoplasm with coarse basophil bodies clustered below the nucleus; small basophil granules are found just above the nucleus. An area above the nucleus, and about the size of the nucleus, corresponds to the negative image of the Golgi apparatus and is largely achromic. Relatively coarse, lightly pigmented, basophil granules are clustered above this area. The luminal cytoplasm above the basophil granules is replete with delicate achromic granules.

With toluidin blue buffered to pH 5.0, only the nucleus and the coarse basophil bodies are stained, the rest of the cell remains unstained. With the dye buffered to pH 6.0, however, the granules in the superficial cytoplasm stain a deep metachromatic color. The colloid in some of the glands is also stained metachromatically.

In the larger glands of the face secretory cells have a diffuse PAS reactivity which is digestible with saliva which must be glycogen. The myoepithelial cells may also contain some glycogen. Glands elsewhere on the body rarely contain glycogen. The granules which metachromatically with toluidin blue buffered to pH 6.0 are also PAS-positive, saliva resistant (fig. 4). The colloid in the lumen may also be PAS positive. In contrast to the secretory cells, the cells of the excretory ducts always contain glycogen. None of the glands contain demonstrable ionic iron.

In the secretory coils, granules reactive for succinic dehydrogenase nearly fill the cells, and are particularly numerous below and above the nucleus (fig. 9). The epithelial cells have only sparse reaction. The cells of the duct are weakly reactive.

The entire duct from the orifice to the secretory coil has strong phosphatase activity (fig. 5). The secretory segment, however, contains either a trace of activity or none; the myoepithelial cells contain very little enzyme (fig. 6). Alkaline phosphatase is concentrated in the apical region of the secretory cells (fig. 7). The rest of the cell and the myoepithelial cells are almost unreactive. The ducts show no reaction. The secretory cells abound in phosphatase; those of the duct have none. The secretory coil is richer in tween esterase, (fig. 22). alpha and AS-esterase than any other cutaneous structure; the duct has a minimal reaction. None of the glands is surrounded by nerves which contain demonstrable cholinesterases.

### *The inguinal glands*

Before describing the glands in this region, it is well to remember the peculiarities of its skin. The surface of the scrotum and vulva is broken by deep fissures. Dendritic melanocytes are found only in the epidermis lining the crevices; that lining the smooth surface facets is nonpigmented. Clusters of fine hairs grow both on the surface facets and in the crevices. Large sebaceous glands accompany the follicles of these hairs.

A solid bed of coiled glands extends through the whole dermis, passes through



unica dartos, and reaches the hypodermis (fig. 8). The glandular field in the vulva is about two centimeters in diameter and one-half centimeter in thickness; in the vulva is smaller. These *scent glands* are comparable to the brown inguinal glands of the rabbit (Montagna, '56).

The glands in the inguinal region (apocrine glands) (Wislocki, '30) are different and will be described in detail in a future paper.

The inguinal glands are composed of individual, coiled, simple, tubular glands. The ducts rise straight up to the surface and open into pilary canals at the deepest part of the crevices (fig. 9). These orifices dilated into elliptical receptacles which usually contain concretions of secreted material. Adjacent glands are separated by ill-defined connective tissue partitions. The secretory coils measure from one-tenth to one-half millimeter in diameter, terminate abruptly into a narrow duct of about 50  $\mu$  thick. A thick, hyaline basement membrane surrounds the glands. The ducts, clearly of the apocrine type, are lined with tall columnar or cuboidal cells, the free border of which often protrudes into the lumen. The duct lumen rests upon a layer of large myoepithelial cells. The duct, similar to that of the glands elsewhere on the body, is composed of two layers of flat cuboidal

secretory epithelial cells are similar to those of the sweat glands over the rest of the body surface. They have a spherical nucleus (often two) with one or more vacuoli. The cytoplasm is replete with glycogen, evenly distributed basophil granules, and large mitochondria, so closely packed that they are often aligned on the long axis of the cells.

The cells of the duct abound in glycogen, and the secretory coil contain dust-like glycogen granules, and small, pigmented, barely PAS-reactive saliva resistant granules. The content of the lumen is PAS reactive and saliva resistant.

The ducts have strong phosphorylase activity from the pilary canal to the secretory coil, but the secretory coils contain none; the myoepithelial cells have some reserve granules (figs. 5 and 6). The entire

gland is conspicuously reactive for succinic dehydrogenase. Alkaline phosphatase reaction is present only in the apical part of the secretory cells; the ducts show no reaction. The secretory tubules have an intense acid phosphatase reaction, but the ducts have none. The secretory coils, but not the ducts, have intense esterase and lipase activity. The inguinal glands resemble the apocrine glands in the skin of the general body surface in nearly all respects. The inguinal glands, however, have one unexpected difference; they are surrounded by a rich nerve plexus which contains abundant acetylcholinesterase. These nerves have no butyrylcholinesterase.

#### *The glands of the volar side of the hands and feet*

The palms, soles and digital pads contain eccrine sweat glands. The ducts of these glands open to the surface of the thick epidermis through coiled channels. The straight dermal part of the duct is very thin, about 20 to 25  $\mu$  in diameter, like that of apocrine glands, and is composed of two layers of squamous cells. The luminal cells have a barely differentiated cuticular border. Before they join the bottom of the epidermal ridges, the ducts become multi-layered and the lumen funnels out in a wider coiled channel. In the intraepidermal part, the duct has a cuticular border composed of two or three cells.

The coiled secretory segment of the glands is thick and becomes abruptly constricted at the junction with the narrow duct, as in apocrine glands; therefore, none of the glomerate portion of the gland is composed of duct. The gland is lined with large pyriform cells differentiated into clear and dark cells (Montagna, '56). The larger *clear cells* have a spherical, finely stippled nucleus, and a cytoplasm with sparse, delicate granules barely stainable with basic dyes (fig. 10). The smaller *dark cells* seem to be piled up toward the lumen, often above the clear cells, in such a way that the epithelium appears to have two layers. The cytoplasm of dark cells contains basophil granules and some granules that stain metachromatically with toluidin blue. The nuclei are dense, never spherical, usually oval, and often mis-

shapen. The smaller dark cells are more numerous than the clear cells.

Duct and gland contain abundant glycogen (fig. 11). Small amounts of PAS-positive, saliva resistant material are found at the tips of the dark cells only. The lumen contains small granules of glycogen and a weakly PAS-positive, saliva resistant colloid.

Ducts and glands contain so much amylase-1,6-glucosidase and phosphorylase that a strong reaction is obtained by leaving tissues in the incubating medium only 5 minutes; in contrast, the epidermis, which is also reactive, requires a much longer period of incubation to show a reaction. In the intraepidermal part of the duct, only the luminal cells have phosphorylase reaction. The entire gland is also intensely reactive for succinic dehydrogenase. The glands contain small amounts of alkaline and acid phosphatases and traces of esterases.

In sections incubated with acetylthiocholine for the demonstration of cholinesterases, a reaction is obtained in the stratum corneum in the bottom of the sulci of the surface epidermis. The round, tactile corpuscles of Meissner are intensely reactive (fig. 12). The knotted nerves that emerge at the base of these corpuscles also contain acetylcholinesterase but their distal path does not. Each epidermal ridge is surrounded by a nerve net supplied by branches which converge toward the nerve fibers from the tactile corpuscles. All of these nerves are moderately reactive for acetylcholinesterase. All small arteries and arterioles are supplied by reactive nerves. Although the ducts of the glands have no demonstrable nerves around them, the entire glomerate portion of the glands is enveloped by an intensely reactive plexus of large nerve fibers (fig. 13). When eserine is added to the incubation medium all of the reactions in the nerves is abolished, that in the Meissner corpuscles is not. When butyrylthiocholine is used as a substrate, only the tactile corpuscles are reactive.

#### *The pilary system*

Hair follicles grow in crowded groups of 4 to 20, separated from each other by swirl-

ing connective tissue fibers (figs. 14, 15). The hairs from each group of follicles emerge to the surface in depressions which correspond to the pock-marks visible on the surface of the shaved skin. Hair groups are closer together in the dorsal and extensor regions than they are in the ventral and flexor regions.

Only some of the follicles in these groups of hair follicles share a pilary canal; many of them open singly to the surface. The majority of the follicles in a hair group are approximately of the same size, but a few of them may be larger. In the scalp and back, where the pelage is coarser, the follicles are more numerous than in the ventral regions where. Even though the follicles in a group grow very close together, they are in different phases of growth; some follicles may be active while adjacent ones are quiescent (fig. 15).

Each follicle has a long pilary canal with one or two small sebaceous glands opening at the bottom of it. The pilary canal is encircled by giant dendritic cells, strongly reactive for alkaline phosphatase, identical with those in the surface epidermis (fig. 3). Recognizable melanocytes are not reactive for alkaline phosphatase, also found in the wall of the pilary canal.

The hair follicles resemble those of rodents and lagomorphs. Active follicles are very long and narrow with the bulb of the hair emerging in the subcutaneous fat. In relatively thin skin the lower part of the follicle in the hypodermis is bent against the dermal papilla as in the skin of rodents (fig. 2). The elongated bulb encloses the narrow and long dermal papilla. Melanocytes are clustered at the top of the bulb and rarely descend to the sides of it. In hair follicles are surrounded by a thick, glassy membrane. Hairs are fully-formed just above the constriction of the bulb; they can be medullated or unmedullated depending on their thickness and the type of hair. The medulla is usually interrupted by air spaces. In each medulla cell, the cytoplasm is concentrated in the upper part, but the lower part which contains the nucleus is also stained. In coarse, medullated hairs the cortex is heavily pigmented, but in finer ones only the medulla cells contain melanin; the hairs with no medulla have no pigment.

quiescent follicles are less than one-third the length of active ones. The unpigmented, elongated club hair they contain is similar to that of rodents and carnivores. Whether or not the hair is pigmented or medullated, the shaft of the hair in the club is always nonmedullated and nonpigmented for a distance above the surface of the skin. This means that before a follicle becomes quiescent, the following sequences of events take place; first it begins to form a medulla and its melanocytes become inactive, then a club is formed. The hair club is surrounded by an epithelial capsule which is rarely thicker than two layers of cells. The cells of the epithelial capsule are large and rounded and the outer wall is pebbled; in transverse sections the outer side of the capsule is invaginated. Below the club, the epithelial capsule is continuous with the hair germ in the shape of an inverted cone. The hair germ is in contact with the ball-like free dermal papilla (fig. 20). Occasional densely pigmented cells can be seen between the cells of the hair germ.

The club is formed a considerable distance above the bulb, in the region that corresponds to the keratogenous zone (figs. 17 and 18). The epithelial capsule and the cells of the hair germ are formed from the cells of the outer root sheath; the bulb and the cells of the outer root sheath below this point degenerate. During this process the cells of the bulb undergo karyolytic changes and the cytoplasm becomes strongly acidophil. In catagen, then, the bulb largely or entirely degenerates and the epithelial capsule and the hair germ are shed from the cells of the outer root sheath above the level of the bulb (Montagna and Chase, '56).

Hair follicles are practically avascular. In some of the larger, active follicles there are a few capillary loops partially exposed around the lower part, but there are never networks around the bulbs, and dermal papillae are avascular.

All hair follicles, active or quiescent, contain glycogen. In active follicles, glycogen is restricted to the cells of the outer root sheath, extending from the wall of the pilary canal, which contains none, to the cells of the bulb. The cells of the epithelial

sac and those of the hair germ of quiescent follicles also contain glycogen (fig. 18). This is singularly different from the quiescent follicles in all nonprimates we have studied, none of which contains glycogen.

All follicles are very rich in phosphorylase activity. Enzyme activity is as abundant in quiescent as it is in active follicles (figs. 15 and 16).

The dermal papilla of either quiescent or active follicles contains alkaline phosphatase. In active follicles enzyme activity is also found in the cells of the outer root sheath around the lower third of the follicle. The wall of the pilary canal also contains some enzyme activity. The large, phosphatase-rich dendritic cells described earlier in the epidermis encircle the pilary canals (fig. 3); the melanocytes in the upper bulb of the follicles are not reactive. The entire cellular structure of the follicles is reactive for acid phosphatase.

In quiescent follicles tween esterase activity is concentrated throughout the epithelial capsule and hair germ, and is particularly strong in the pilary canal, just from above the club, at the entrance of the sebaceous ducts (fig. 21). In active follicles the enzyme is concentrated in the outer root sheath and in the lower part of the pilary canal, where the inner root sheath disappears. The distribution of nonspecific esterases in hair follicles is essentially similar to that of lipase, the greatest concentration of which is in the pilary canal, where the inner root sheath disappears.

### *The sebaceous glands*

Most of the sebaceous glands are relatively small, have no duct, and open directly into the pilary canal. The orifice of the gland has a collar of undifferentiated cells that blends imperceptibly with the cells of the pilary canal. In the face, the scalp and the scrotum, the glands are larger than those elsewhere in the body and they have a duct.

The cytoplasm of the undifferentiated sebaceous cells is rich in ribonucleic acid. During early sebaceous transformation, very small lipid globules first appear around the nucleus. These lipid droplets increase in number and in size, the cells become



larger, and the cytoplasmic basophil bodies become scattered between the droplets. The mature sebaceous cells still have distinct basophil bodies stranded between the large lipid globules (Montagna, '56). When stained with toluidin blue buffered from pH 5.0 to 6.0, the cytoplasm of the undifferentiated cells shows a delicate metachromatic staining, probably indicating the presence of mucopolysaccharide. The ground substance in the loose connective tissue around the glands is always stained a strong metachromatic color. During differentiation, the lipid droplets increase in size as the cells become larger; lipid droplets coalesce and the cells break up to form sebum. Sebaceous transformation is very gradual and sebum is usually found only at the entrance of the gland into the pilary canal. The fundus of the glands rarely contains formed sebum.

The nuclei of the undifferentiated cells are intensely basophil and Feulgen reactive, and contain at least one large nucleolus. In nearly mature cells, the nucleus shrinks, and the Feulgen reactivity gradually fades. It remains deeply basophil, however, even when stained with toluidin blue buffered to pH 4.5.

When a duct is present, its cells contain demonstrable glycogen. In the gland proper, glycogen is found only in those of the face, lips, brow and scalp (fig. 22); elsewhere, the glands contain none. This is a regional difference. In the glands of the head, glycogen has an inverse relation to the degree of differentiation of the cells.

The short duct is strongly reactive for phosphorylase, but only the glands on the head and on the scrotum show appreciable enzyme activity; those over the rest of the body show little activity. The enzyme is concentrated in the undifferentiated cells at the periphery of the fundus and gradually fades during sebaceous transformation (fig. 23).

The undifferentiated sebaceous cells and the cells of the ducts show strong succinic dehydrogenase activity. Sebaceous glands have no alkaline phosphatase and only traces of acid phosphatase.

In contrast with all other cutaneous structures, the sebaceous glands have a faint, diffuse, tween esterase activity. AS

esterase, however, is abundant throughout the glands, and in the sebum; in the sebum, esterase is concentrated in the gland cells but not in the sebum. All sebaceous glands show intense alpha esterase activity, but the sebum is unreactive.

### *The dermis*

The thick dermis consists almost entirely of a fibrous reticular layer (fig. 24). The papillary layer is only 10 to 20  $\mu$  thick; it contains a few fibroblasts, nuclei flattened parallel to the surface, a few thin collagenous fibers, and a sparse population of reticular fibers. This layer is so thin that in many places the epidermis seems to be resting directly upon the reticular layer. Since there is practically no papillary layer, a vascular plexus, such as that found in man (Montagna and Ellis, '57a) and in higher primates is also absent.

The reticular layer is thickest in the scalp, nape and back, and thinnest on the ventral surfaces of the body. The population of fibroblasts between the collagenous fibers is remarkably scanty. The pilosebaceous systems and sweat glands are enveloped by loose connective tissue containing delicate fibers, rich in cells and with a scanty supply of blood vessels; they are in contact with the fibrous reticular layer. This connective tissue is characteristic of a true papillary body. The blood vessels that traverse the dermis are also surrounded by a bed of loose areolar tissue. This areolar tissue contains many cells mostly in the shape of fibroblasts; some of them are rounded and replete with granules. The mast granules are well served in Helly-fixed tissues; they are positive, stain metachromatically with toluidin blue buffered to any pH level and contain esterases and phosphatases. No cells are ever found any distance from blood vessels.

A few, delicate elastic fibers are loosely interlaced with the collagenous fibers. They are more numerous around hair follicles and sweat glands, and are practically absent underneath the epidermis.

Some small, dendritic cells, found mostly around hair follicles, contain pigment. In the skin of the scrotum and of the penis, however, large dendritic dermal melanocytes are present.



are scattered around the hair follicles and sebaceous glands.

In the scrotum, a thick, discontinuous band of smooth muscle traverses the dermis at the bed of glands. This muscle is composed of two layers of fibers oriented at right angles to each other. To a limited extent, the same features described in the scrotum are found in the labia of the female.

The dermis of the scrotum contains numerous nerve fibers which contain acetylcholinesterase. Nerve fibers encircle the hair follicles; many rise up to the papillary dermis; some invade the epidermis, and others are attached to rounded sensory corpuscles which may be genital corpuscles. The sensory corpuscles and the muscle fibers are also reactive for butyrylthiocholinesterase, but the nerves are not. The dermis is underlain by a nearly continuous panniculus carnosus. This is thicker on the dorsal surface than on the ventral surface.

#### DISCUSSION

The skin of the potto is relatively primitive. The simple epidermis has practically no stratum granulosum. The thick dermis has a very thin papillary body, and contains very few capillaries, and most of the dermal appendages have a scanty blood supply. The thin, very long hair follicles produce hairs which resemble those of rodents and insectivores. Hair follicles are arranged in large clusters or islands, between which the skin is glabrous; this pattern is similar to that of the gap between the pelage of rodents, bats and insectivores, in which individual follicles grow evenly spaced, whereas that of most other mammals in which the hairs are composed of fewer and more conjoined follicles.

In all mammals we have studied previously, the outer root sheath of active hair follicles is always laden with glycogen, but the quiescent follicles of man contain no glycogen. The quiescent follicles of the potto, then, with their rich content of glycogen, are singularly different from those of other primate mammals. Also, quiescent follicles, like those of man and unlike those of nonprimates, abound in phosphorylase. The sebaceous glands are small, usually without a duct and resemble those in the skin of rodents. In the face and near the

genital regions, the glands are larger and have a duct. It is surprising to find glycogen in the glands of the head since we have not found this substance in sebaceous glands other than in those of man (Montagna, '56). This difference recalls another regional difference in the distribution of phosphorylase. Although the undifferentiated cells in all glands have some phosphorylase, only the glands in the head and the genital regions abound in the enzyme. This is curious since of these, only the glands on the head contain glycogen.

The dendritic, alkaline phosphatase-rich cells found in the epidermis and in the pilary canals have not been demonstrated with other techniques. They resemble the dendritic melanocytes, but they contain no melanin, and the recognizable melanocytes are not reactive for phosphatase. The significance of these cells is unknown. They have not been found in other lorises which we have studied so far.

In man, sharp distinction can be made between apocrine and eccrine sweat glands on histochemical grounds. Apocrine glands rarely contain glycogen, they are lacking in phosphorylase, are low in succinic dehydrogenase, and with one possible exception, the glands of Moll, are never surrounded by cholinergic nerve fibers. Eccrine sweat glands abound in glycogen, phosphorylase and succinic dehydrogenase activity, and are always wrapped with nerve fibers containing cholinesterase. The sweat glands of the potto have all the histological and histochemical attributes of apocrine glands, except that they may contain some glycogen. Thus, the apocrine glands of the potto may be considered less specialized than those of man. If eccrine and apocrine glands have a common ancestral origin, the primitive type probably contained glycogen. Although none of the apocrine glands of the general body surface are surrounded with nerves which contain cholinesterase, the inguinal glands, which are identical in most respects with the other apocrine glands, are invested with numerous nerves rich in cholinesterase. These large glands are highly specialized structures, with special adaptive significance, probably related to the reproductive activities of the animal. Studies on the

skin of other primates, to be published later, show that this particular specialization of the apocrine glands is not unique to the potto.

The sweat glands in the volar side of the hands and feet resemble both eccrine and apocrine glands. Although they open to the surface through helicoid channels in the epidermis, their duct is very narrow, and the glomerate secretory part is so wide that the transition between secretory segment and duct is as abrupt as it is in apocrine glands. Thus, all of the glomerate part consists of secretory segments and there are no coiled portions of the duct. This is in contrast with the eccrine glands of man, in which the diameter of the duct is as wide as that of the secretory coil, and about one-third of the glomerate part of the gland consists of coiled duct. The eccrine glands are composed of dark and clear cells as are those of man, but the cells, unlike those of man, contain relatively large secretion granules. The glands are well supplied with cholinergic nerves, as all known eccrine glands are. The eccrine glands of the potto, then, seem to have departed very little from a hypothetical ancestral type, and their structural and functional attributes resemble both types of glands.

After studying the distribution of phosphorylases in the skin of man and in that of several laboratory mammals, Ellis and Montagna ('58) suggested that the presence of this enzyme is characteristic of human skin, the other mammals having practically none. The skin of the potto, however, contains even greater concentrations of it than human skin does. All of the various primates we have studied (to be reported later) also show abundant enzyme activity. It must be concluded, then, that abundant phosphorylase in skin is a characteristic feature of primates and not simply of man. This fact begins to call attention to histochemistry as yet another tool which can be used in the study of anthropology.

#### SUMMARY

1. The relatively thin epidermis contains few dendritic melanocytes; in the tail region, dermal dendritic melanocytes send processes up into the epidermis to impart melanin to it. Large, dendritic cells, dem-

onstrated only with the technique alkaline phosphatase reside in the dermis. The epidermis contains several enzymes, among them phosphorylase.

2. The hairs of the potto are similar to those of rodents. The long, narrow follicles grow in large islands, between which the skin is glabrous. Both growing and quiescent follicles abound in glycogen and phosphorylase activity; these substances are not found in the quiescent follicles of nonprimates we have studied.

3. The sebaceous glands are generally small. The glands in the head contain glycogen; those elsewhere do not. Here, too, we had encountered glycogen only in the sebaceous glands of man.

4. Pottos have one or two sweat glands associated with each hair group. The glands have the characteristic features of apocrine glands.

5. The skin of the scrotum and vulva contains rich fields of apocrine glands, larger, but otherwise similar to those over the body surface. The singularity of these glands is that they are surrounded by nerves rich in acetylcholinesterase.

6. The sweat glands on the volar side of the hands and feet share the features of both eccrine and apocrine glands, and are perhaps very primitive eccrine glands.

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## PLATES

### PLATE 1

#### EXPLANATION OF FIGURES

- 1 An adult male potto. Observe the long, sparse guard hairs, particularly over the head which protrude beyond the thick, woolly underhair.

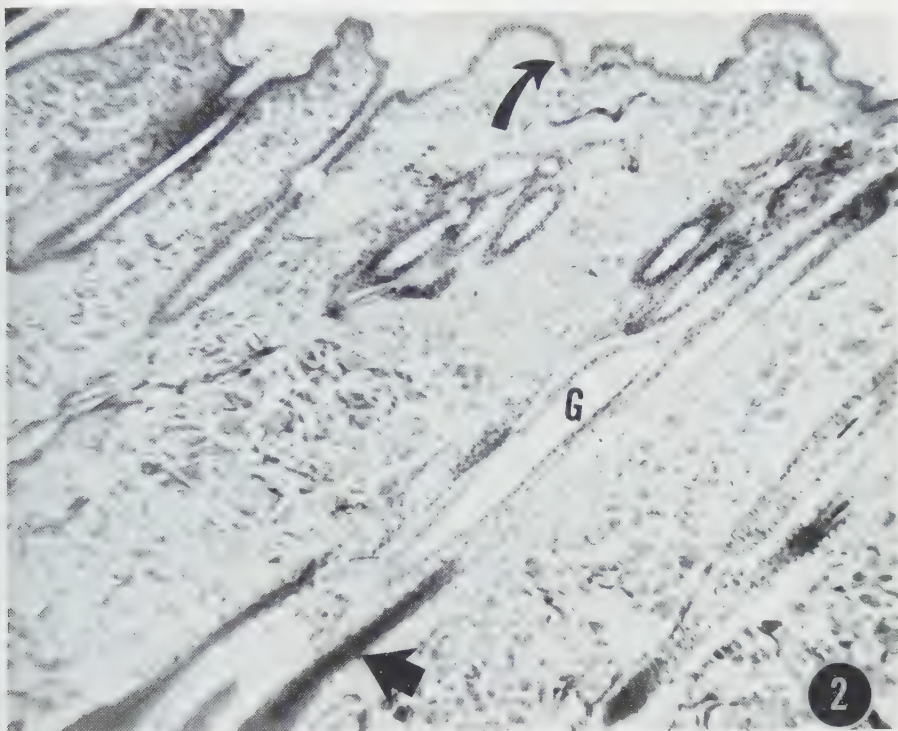




## PLATE 2

### EXPLANATION OF FIGURES

- 2 General view of the skin showing some of its features. The thin epidermis (curved arrow) is only about two cells in thickness. The skin has practically no papillary body and the reticular layer is fibrous and very thick. Contrast the length of the growing hair follicles (heavy, short arrow) with the quiescent ones in the upper part of the figure.
- 3 Phosphatase rich dendritic cells in the epidermis (indicated by bracket) and around the pilary canals.

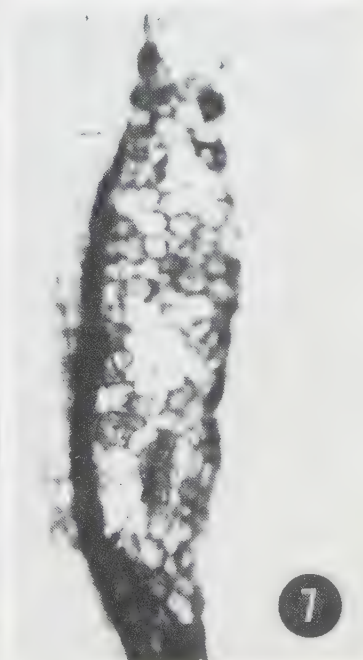
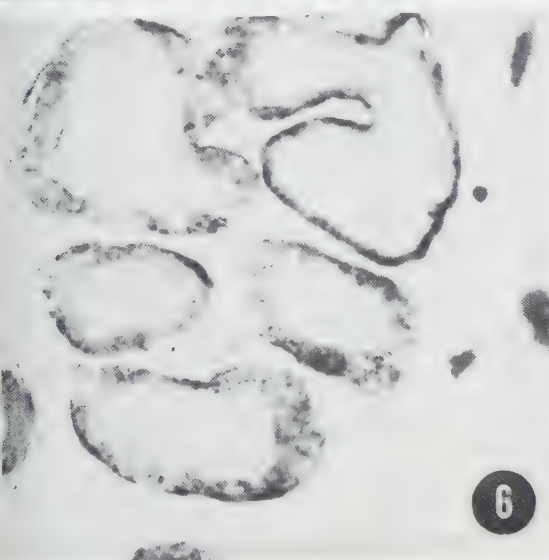
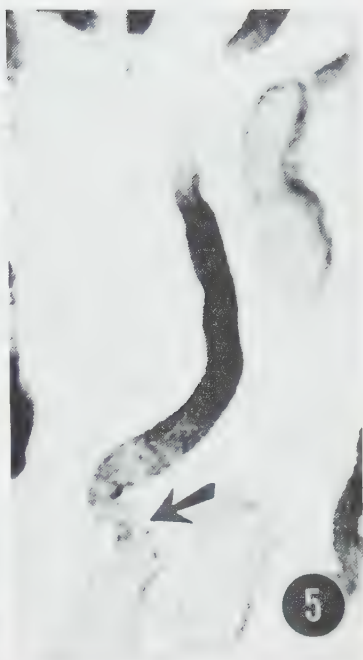
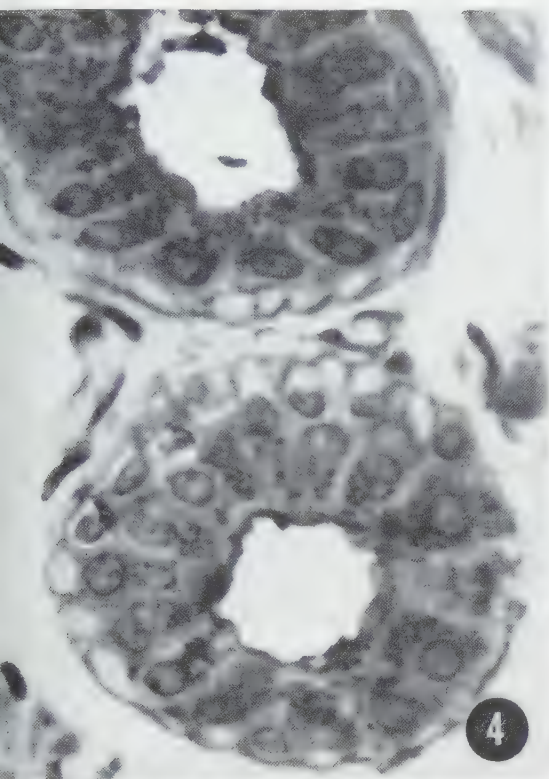


### PLATE 3

#### EXPLANATION OF FIGURES

- 4 Cross sections of apocrine sweat glands from the face showing numerous PAS-reactive granules. This is not glycogen.
- 5 Strongly phosphorylase reactive duct of an apocrine sweat gland. The reaction stops abruptly at the transition of the duct and the secretory segment (arrow).
- 6 Phosphorylase reaction in the myoepithelial cells of the apocrine glands in the inguinal region; the epithelial cells are unreactive.
- 7 Strong alkaline phosphatase activity in the apical border of the cells of the apocrine glands from the lumbar region.

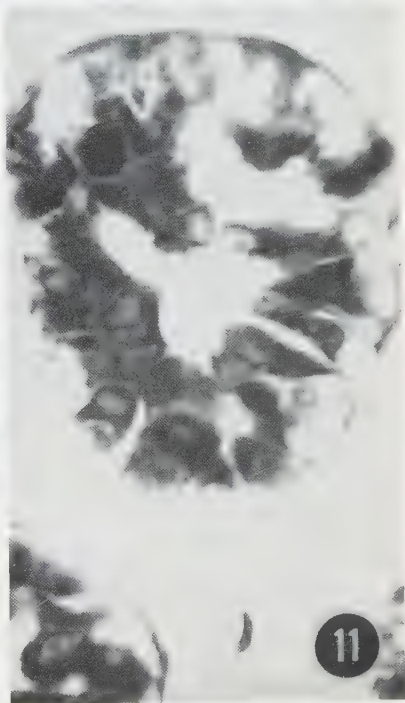
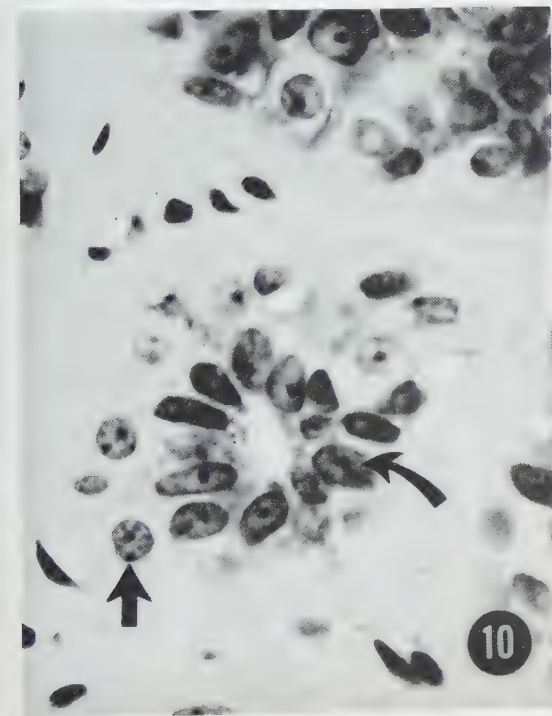
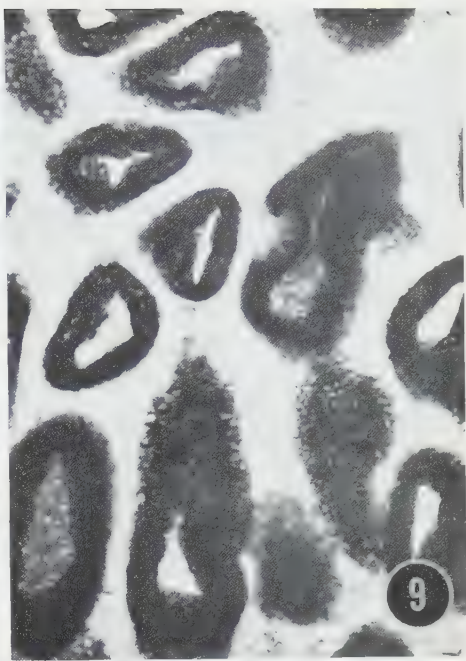
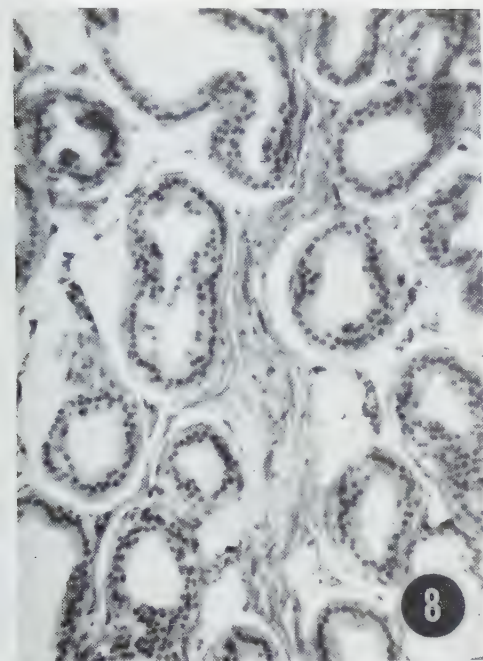




#### PLATE 4

##### EXPLANATION OF FIGURES

- 8 Small section of the inguinal glands showing several glands cut at various planes.
- 9 Strong succinic dehydrogenase activity in the secretory segments of the inguinal glands.
- 10 Gland from the volar side of the palm showing clear and dark cells. The straight arrow points to the nucleus of a clear cell; the curved to the nucleus of a dark cell. Stained with toluidin blue buffered to pH 4.5.
- 11 Glycogen in the cell on the volar side of the palm, largely concentrated in the dark cells.

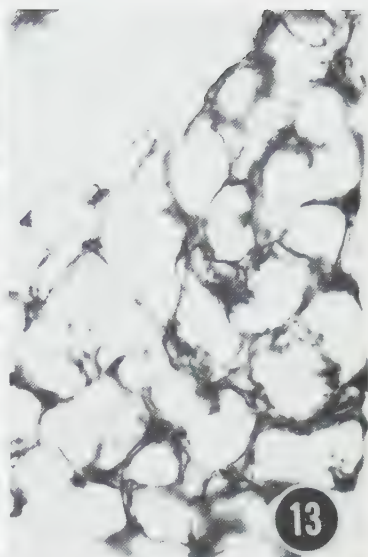
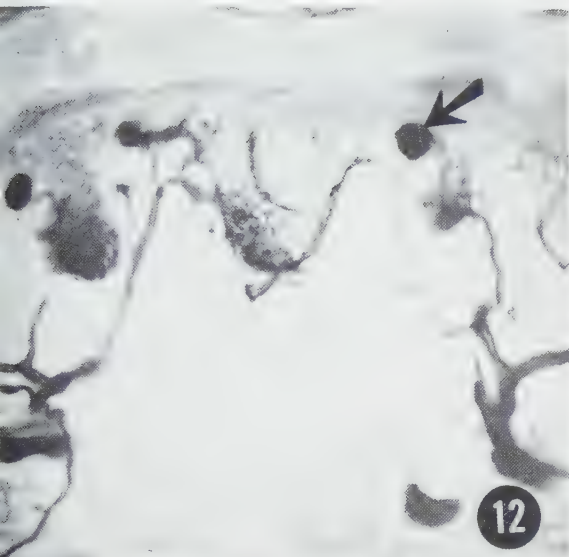


## PLATE 5

### EXPLANATION OF FIGURES

- 12 Cholinesterase in the corpuscles of Meissner (arrow) and in nearly all other cutaneous nerves.
- 13 Cholinesterase-rich nerves around the glands on the volar side of the palm.
- 14 Surface cut of the skin showing a hair group. Arrow points to an apocrine gland. Section treated with the technique for succinic dehydrogenase.
- 15 Phosphorylase activity in the follicles of two adjacent hair groups. Arrows point to active follicles; most of the other follicles are quiescent. Both active and quiescent follicles are rich in phosphorylase activity.





## PLATE 6

### EXPLANATION OF FIGURES

- 16 Phosphorylase activity in a hair follicle during catagen. The hair club has formed at long distance above the base of the follicle. The follicle below the club is degenerating.
- 17 and 18 Two sections of the same follicle in catagen, showing the formation of the club; the follicle below the club is degenerating. The arrow points to an apocrine sweat gland.
- 19 Glycogen in the epithelial capsule of two quiescent hair follicles. Treated with the PAS reaction.
- 20 Enlarged detail of figure 18, showing the "hair germ" and the dermal papilla (arrow).
- 21 Transverse section through a quiescent follicle showing the club hair and epithelial capsule, laden with glycogen.

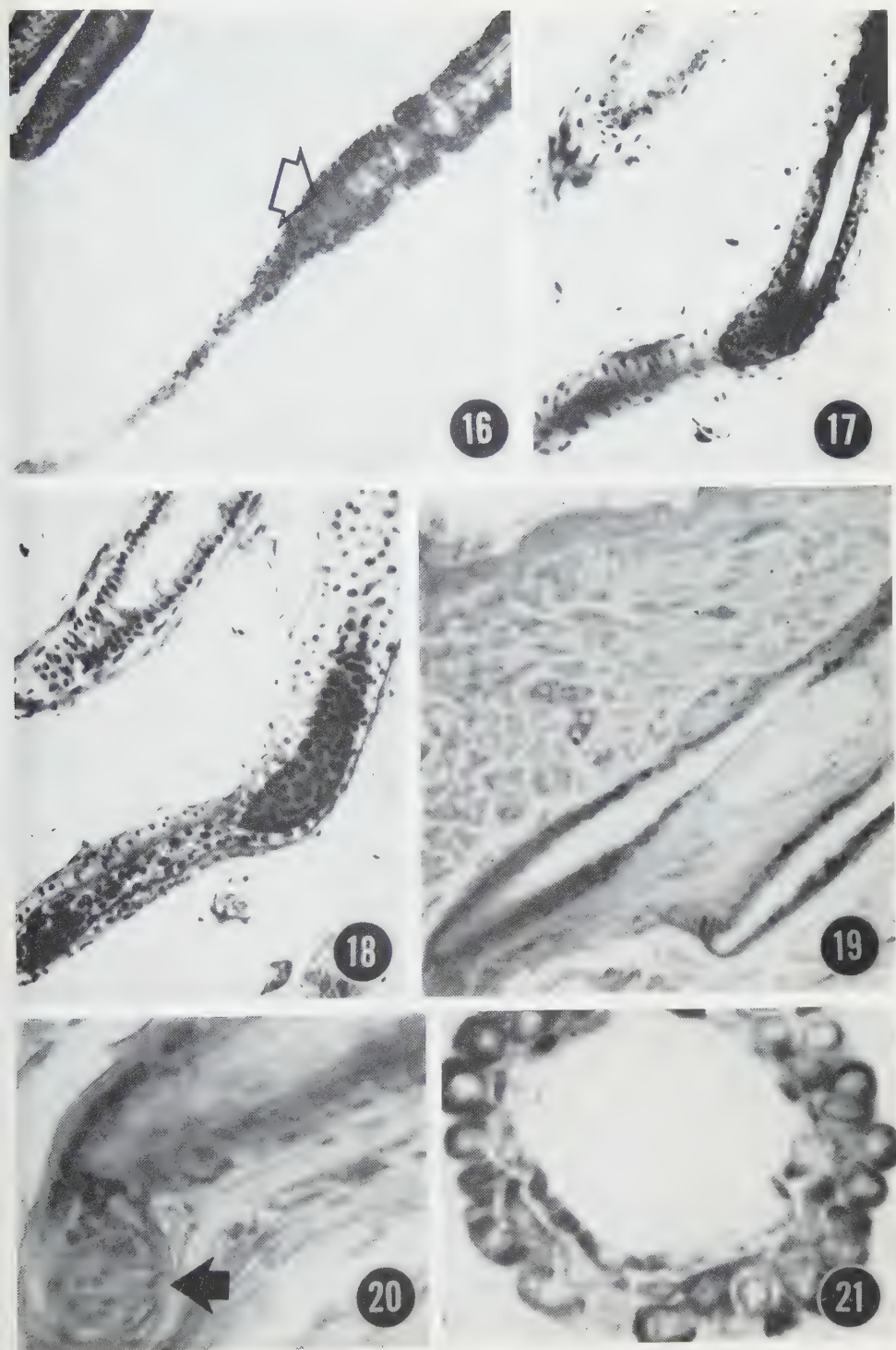
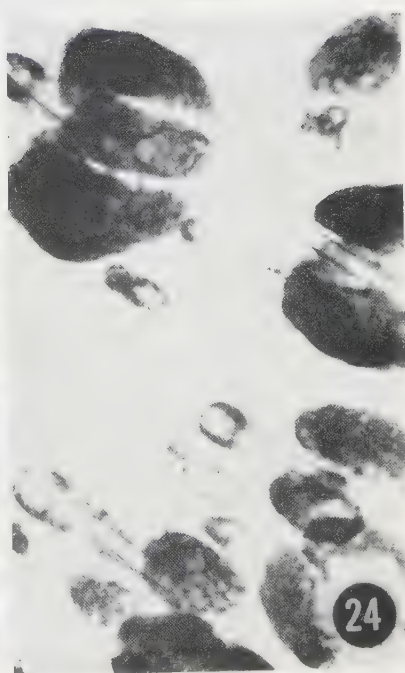


PLATE 7

EXPLANATION OF FIGURES

- 22 Tween esterase activity in hair follicles and in an apocrine sweat gland. Arrow points to the transition between the duct and the secretory part of the gland.
- 23 Glycogen in the undifferentiated and partially differentiated cells of the sebaceous glands of the face. The fully differentiated cells are free of glycogen.
- 24 Phosphorylase activity in the sebaceous glands from the scrotum.







HENRI VICTOR VALLOIS

*Viking Fund Medalist*  
*for 1958*



HENRI VICTOR VALLOIS



## Viking Fund Medalist for 1958



The great musician, Fritz Kreisler, was in his prime as a violinist's violin. This supreme form of tribute has been rendered Professor Henri Victor Vallois through the many recognitions he has received for his work in anatomy and physical anthropology in France during his career of nearly half a century. The value of the products of his labors and the influence of his personality and outlook have moved the American Association of Physical Anthropologists to salute him on tonight as the 1958 Viking Fund Medalist in physical anthropology.

Dr. Vallois is professor of the Muséum national d'Histoire naturelle and director of the Musée de l'Homme in Paris. He became a licentiate in science from Montpellier in 1910 and received the doctorate in medicine from the same institution in 1914. The University of Paris conferred upon him the degree of doctor of natural science in 1921 and he was awarded an honorary doctorate by the University of Mayence in 1925. He joined the faculty of medicine at the University of Toulouse in 1920 and served as its professor of anatomy from 1925 to 1940. At Toulouse he was also director of the laboratory of anthropology at the Ecole pratique des Hautes Etudes. He held a similar post at the University of Paris in 1937. In 1938 he became professor and in 1942 director of the Institut d'Anthropologie humaine. He was made professor in the Ecole d'Anthropologie in 1945 and since 1949 has been in charge of instruction in anthropology on the Faculty of Sciences of the University of Paris.

Dr. Vallois has served in strategic positions in every important international and national congress or conference related to physical anthropology during his career.

In 1934 he was secretary and later president of the International Committee for Standardization of Anthropological Techniques.

He was awarded the Croix de Guerre during World War I and became a Chevalier of the Legion of Honor in 1933 and an Officier in 1957. The Royal Anthropological Institute awarded him the Huxley Memorial Medal in 1951. He has been on 13 scientific missions to foreign parts which have included nearly every country in the world and has been a representative participant in additional international conferences.

Dr. Vallois' scientific publications include 295 titles, which may be roughly classified under 11 separate headings, namely: comparative vertebrate anatomy, comparative primate anatomy, human anatomy, anthropological anatomy, human origins, fossil man, the races of man, the anthropology of France, the anthropology of the Far East, the races of the French Empire and textbook and periodical writings. Twelve well known scientists have completed their doctoral theses in medicine or in science under his direction. Such breadth and depth of background easily justify reference to him as the "compleat physical anthropologist." Those who have had the privilege of visiting the Musée de l'Homme are familiar with the richness of its collections and the hospitality of its director.

Our honoree's achievements stand in a great tradition among his countrymen. Names like Daubenton, Lamarck, Cuvier, Broca, Topinard, Manouvrier and Anthony immediately come to mind. When Broca formed the Société d'Anthropologie in Paris in 1859, he established physical anthropology as a separate branch of science, the centennial of which the A.A.P.A. will cele-

brate at its annual meetings this year. It is not possible to enumerate all the French scientists whose contributions have subsequently enriched our field. We mention only that Dr. Aleš Hrdlička, the founder of the American Association of Physical Anthropologists and of its Journal, studied with Manouvrier and remained throughout his distinguished career of leadership and contributions, strongly influenced by the French school of anthropologists. Our Association is thus happily able to acknowledge a special kinship with Professor Vallois.

Since among scientists there are no boundaries of geography, nationality, culture or descent, it seemed an appropriate

token of fellowship for our Association to present its citation of Dr. Vallois in language rather than ours. Hence the following expression of our sentiments:

The American Association of Physical Anthropologists, avec son estime et ses meilleurs souhaits, a l'honneur de proposer le Professeur Henri Victor VALLOIS pour la médaille Viking Fund d'anthropologie physique pour l'année 1958, en reconnaissance de son influence continue et prolongée en anthropologie physique en France ainsi que pour ses travaux scientifiques et administratifs. La bonne chance pour toute la vie!

W. MONTAGUE COBB, *President*  
American Association of Physical Anthropologists  
— for the Association

*Read at the Thirteenth Annual Dinner of the Wenner-Gren Foundation for Anthropological Research for the presentation of Viking Fund Medals and Awards, Hotel Plaza, New York City, March 6, 1959.*

# The Changing Pattern of Dentine Exposure in Human Tooth Attrition

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From the Piltdown anticlimax arise the questions: What is the human pattern of dentine exposure in occlusal tooth attrition? How may it be distinguished from that of non-human primates, and from dental abrasion, fraudulent or otherwise? The Piltdown jaw Weiner and Oakley ('54) state "... there appeared to be a single feature of the jaw and dentition which could not be said to be definitely ape-like, namely, the wear of the incisors and canine." (cf. fig. 1C). In the detailed anatomical study of the Piltdown teeth Le Gros Clark ('55) presents cumulative evidence for artificial abrasion which must be regarded as conclusive.

The criteria for natural wear, however, are largely subjective. The cited literature lacks any reference to an independent descriptive description. If the pattern of wear is to be elevated to the status of a taxonomic criterion, such a descriptive is desirable.

A first step in providing answers to opening questions the present study describes the changing pattern of dentine exposure in each permanent tooth with increasing tooth attrition, as observed in the Australian aboriginal skull collection. The succeeding study will deal with the degree of difference in dentine exposure between the molar teeth in each jaw, and between corresponding molars of upper and lower jaws.

## MATERIAL AND METHODS

Data were recorded from the large collection of Australian aboriginal skulls held in the Department of Anatomy, University of Adelaide, and in the South Australian Museum.

A preliminary review confirmed Campbell ('25) observation that, with regard to attrition, right and left sides are largely

mirror images of one another. Accordingly records were taken only from the left side, and this side is illustrated throughout to facilitate comparisons.

### *Tooth arch terminology*

In the anatomical position maxillary and mandibular occlusal surfaces are in apposition and must be "opened out" and straightened for descriptive purposes (fig. 1A). This provides the least ambiguous terminology, and one which is widely accepted. It means, however, that the side of the maxillary teeth facing the top of the page is the buccal, while that of the mandibular teeth is the lingual. To avoid possible confusion the orientation is clearly sign-posted in each illustration.

### *Modal forms and variants*

Records were taken from all teeth available in situ without selection of skulls. Thus both sexes and all age groups are included.

While antemortem tooth loss in the material was negligible, an appreciable number of teeth had become lost from the dried sockets. Nevertheless the lowest total incidence was 192, for the maxillary central incisor. The numbers thus appear sufficient to provide a valid study.

Teeth with no exposure of dentine are labeled in the illustrations with the capital letter N. The various patterns of dentine exposure in the others were classified and the incidence of each determined. From this information it was possible to sort out commonly occurring forms from variants.

The modal forms are labeled with a lower case lettering prefix (e.g.: a, b, c) and are arrayed as the top row of each illustration. In a few instances two different forms had an almost equal repre-

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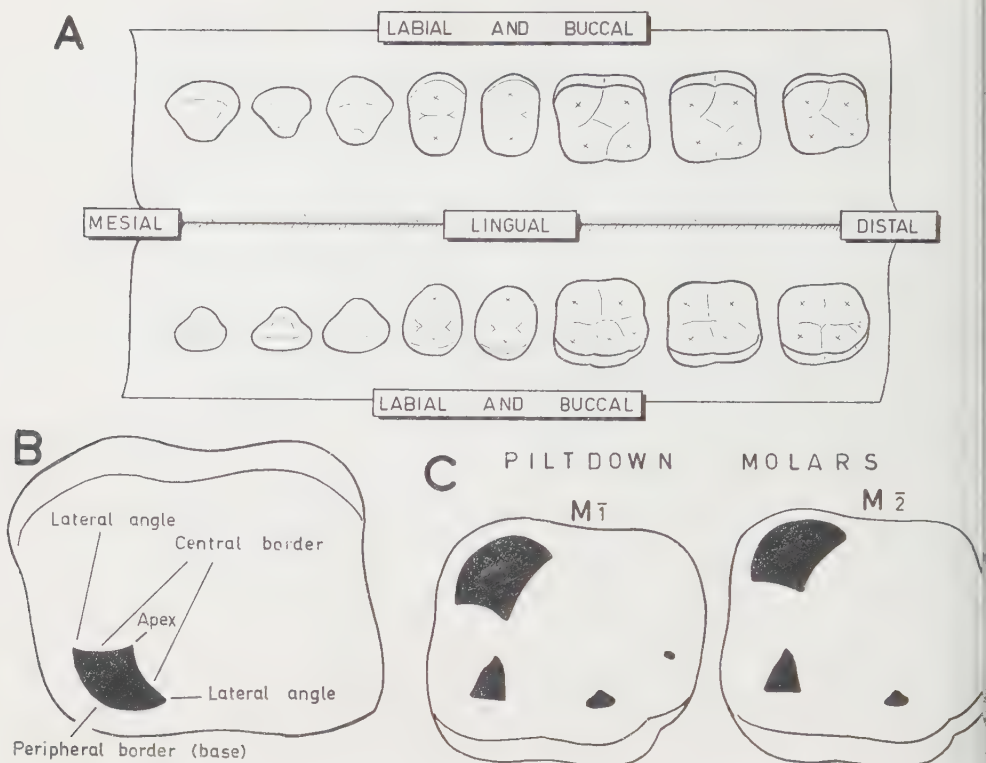


Fig. 1 A, Tooth arch terminology. B, The area of dentine exposure and its terminology. C, Patterns of dentine exposure (shaded) in the Piltdown right mandibular first and second molars. Redrawn from illustrations and reversed to facilitate comparison.

sensation at the same degree of tooth attrition. Here one is prefixed with a single lower case letter and the other a double (e.g.: e and ee).

The variants are distinguished by the addition of a numeral to the corresponding lower case letter (e.g.: a3, b1, c2), and are separated from the modal forms by a broken line. The number observed of each form is indicated in the illustrations by a suffix.

From this it is hoped that a broad picture will emerge, not only of the usual sequence of dentine exposure, but also of the range of variation. The study may also be of ethnic interest to the comparative odontologist.

#### OBSERVATIONS

##### *The dentinal area*

In tooth attrition dentine becomes exposed at the center of an attritional facet.

It thus comes to form the central of a facet, the remainder of which is surrounding enamel.

The dentinal area, as seen for example in the cusps of the molar teeth (fig. 1) is characteristically sector-shaped. It may be described as having a peripheral border or base, which is the arc or circumference of the sector. This border is parallel to the enamel edge which separates the occlusal from the adjoining side surface. The central border is opposite and formed by the two radii of the sector. It is broken at its mid-point by a projected apex, the center of the sector. The two halves of the central border are generally curved. The two borders meet at lateral angles.

In the incisor and canine teeth the labially and lingually disposed borders appear to correspond morphologically to peripheral and central respectively.



### The maxillary teeth

the patterns of exposed dentine (shaded) are illustrated in figures 2 and 3. The incidence of each form is shown in the figures as a suffix.

**Central incisor.** On first exposure of dentine (fig. 2, I<sup>1</sup>, a) labial and lingual borders meet at sharp lateral angles and form a narrow area. The lateral angles are then projected laterally and backwards before the labial and lingual borders become separated, changing the lateral angles to lateral sides (c). The lingual border

develops a smoothly rounded apex, leaving only an enamel rim (d). The enamel rim becomes lost first lingually (e) and finally completely (f). The variant (b1) is really a transition between the modal forms "a" and "b." It shows that the mesial lateral angle becomes projected laterally and backwards before the distal.

**Lateral incisor.** The pattern (fig. 2, I<sup>2</sup>) follows that of the central incisor in its modal forms. The additional variant (b2) shows that occasionally the distal lateral angle becomes projected laterally and backwards before the mesial.

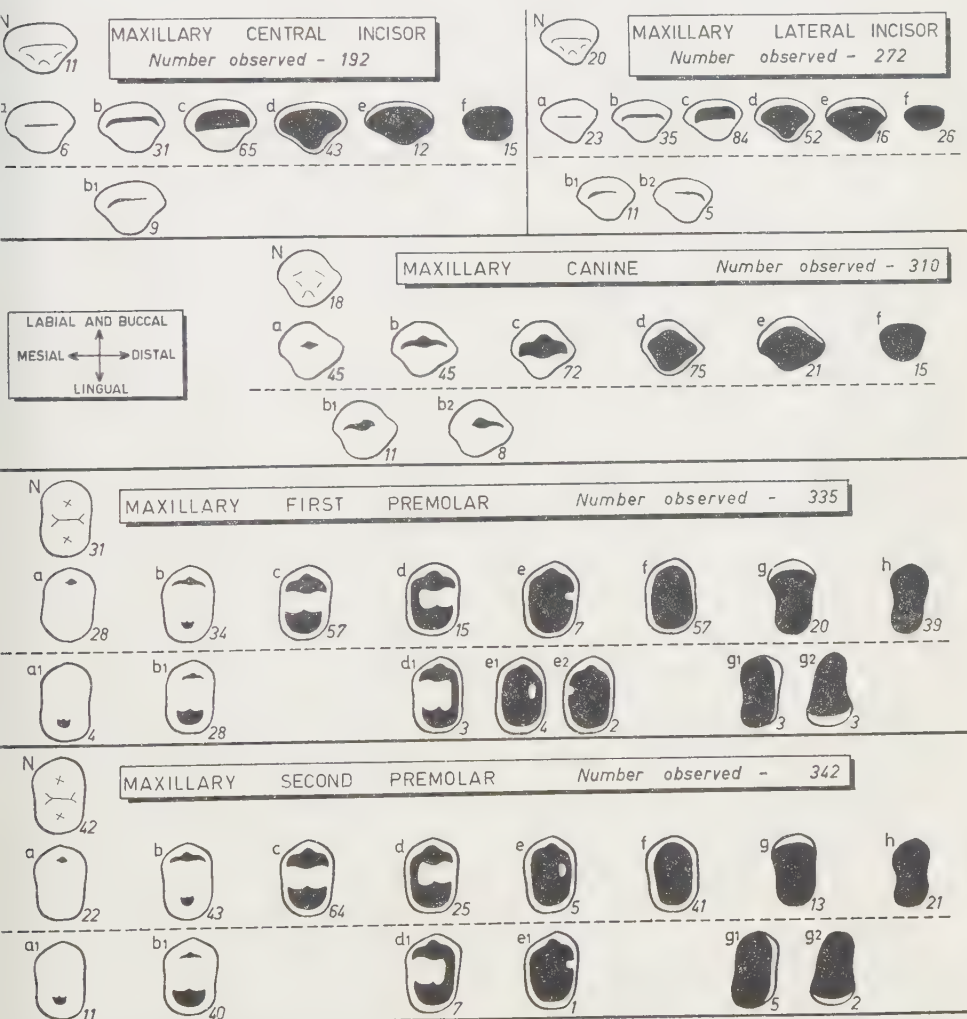


Fig. 2 Patterns of dentine exposure (shaded) in maxillary incisor, canine and premolar teeth. The broken line separates the modal progression (above) from the variants (below). Incidence of each form is shown as a suffix.

*Canine.* At first the dentinal area is diamond-shaped (fig. 2, <sup>c</sup>, a). The lateral angles become projected laterally, the labial border develops a well-defined central projection and the lingual border a more smoothly rounded one (b). At this stage the lateral angles are turned slightly backward, giving the whole area an appearance of a bird in flight. Labial and lingual borders become separated, changing the lateral angles to lateral sides (c), and the hypothetical bird in flight appears more massive but with an unaltered wing span. The lingual border develops an apex, leaving only a rim of enamel, and the central projection on the labial border becomes ill-defined (d). Later this central projection becomes completely smoothed with the loss of the enamel rim lingually (e), and finally the enamel rim becomes completely lost (f).

The variants (b1 and b2) show that in the transition from the modal form "a" to "b" the mesial lateral angle is projected laterally before the distal in most cases, but in an appreciable number the reverse obtains.

*First premolar.* The dentinal area exposed by tooth attrition on the buccal cusp resembles in its first appearance and progressive enlargement that just described for the canine tooth. The area on the lingual cusp is quite different. Here there is a highly-curved peripheral border and a central border which forms approximately a straight line broken by a pointed apex. The lingual area is thus almost a half-circle of small radius.

Dentine is first exposed usually on the buccal cusp (fig. 2, P<sup>1</sup>, a) but occasionally on the lingual (a1). The lingual area, however, enlarges more quickly and at the stage where disparity between the two areas can be appreciated the buccal area exceeds the lingual in 34 cases (b), while in 28 the arrangement is the opposite (b1). Later the two areas are equal in size but each retains its distinctive shape (c).

Buccal and lingual areas coalesce usually by a meeting of the mesial lateral angles (d), but occasionally by a meeting of the distal (d1). The peninsula of enamel so formed is reduced from the center until quite small (e) but sometimes

an island is cut off by a meeting of the distal lateral angles (e1). The variant "e2" is a corresponding progression of "d1." Later only a rim of enamel remains (f) which becomes lost oftenest lingually (g), but sometimes mesially (g1) or sometimes buccally (g2). Finally the enamel rim is completely lost (h).

*Second premolar.* The pattern (fig. 2, P<sup>2</sup>) follows that of the first premolar with two minor differences. The incident peninsula of enamel and cut-off island of enamel becomes transposed (e and e1) and no progression of the variant "e2" was observed.

*The molar teeth.* As previously mentioned the dentinal area exposed by tooth attrition on the molar cusps is characteristically sector-shaped. This shape is achieved very soon after the earliest appearance of the dentine. As the area enlarges the sector changes from being a little more than a quarter to being a little less than half its corresponding circle.

*First molar.* Dentine is first exposed usually on the mesio-lingual cusp (fig. 2, M<sup>1</sup>, a), and appears in sequence on the mesio-buccal (b), disto-buccal (c), and disto-lingual cusps (d). Variants of this pattern (a1, b1, b2, c1) are few. At the four-area stage dentine exposure is usually greatest lingually and mesially (d), and then lingually and distally (d1) and mesially and buccally (d2), and occasionally only buccally (d3).

The first coalescence usually occurs by a meeting of the contiguous lateral angles of the two lingually-disposed areas. On the two free areas the larger is usually the mesio-buccal (e), but sometimes the disto-buccal (e1). Occasionally the contiguous lateral angles of the two mesially-disposed areas meet to form the first coalescence, the larger of the two free areas being the disto-lingual (e2).

The second coalescence usually occurs by the addition of the mesio-buccal area to the main mass by its contiguous lateral angle, leaving the disto-buccal area (f). Sometimes it is the disto-buccal area which joins the main mass by its lateral angle (f1) or by its contiguous lateral angle (f2). Apparently as a progression of the first coalescence the disto-buccal area may join the mesio-

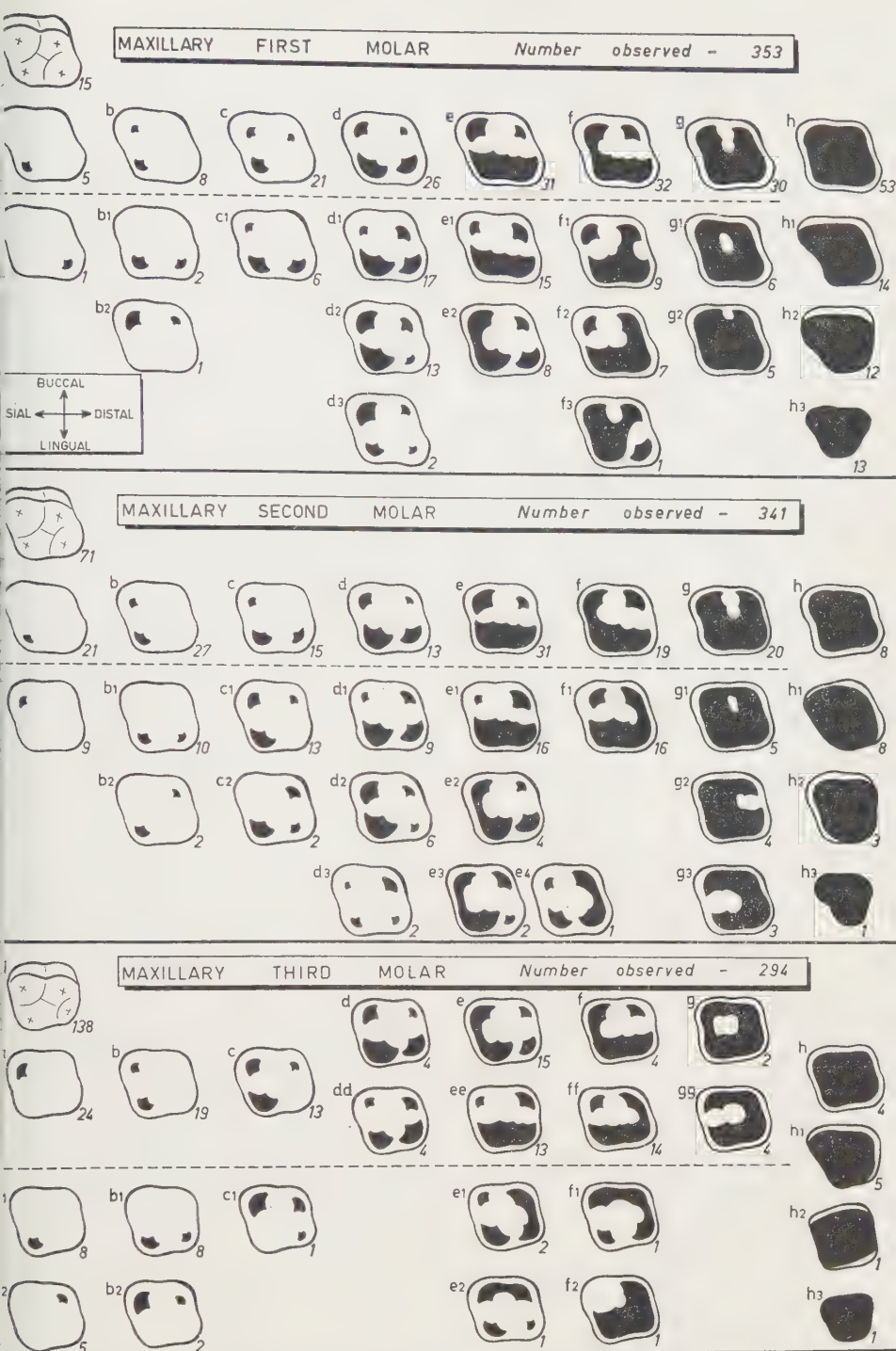


Fig. 3 Patterns of dentine exposure (shaded) in maxillary molar teeth. The broken line separates the modal progression (above) from the variants (below). Incidence of each form is shown as a suffix.



disposed main mass by its apex, leaving the disto-lingual area free (f3).

The third coalescence leaves a peninsula of enamel projecting into the now large dentinal area from the buccal aspect of the tooth (g). This peninsula may be cut off to form an island of enamel (g1), or may be progressively reduced from the center (g2).

Lastly a rim of enamel remains (h) which becomes lost mesially (h1), then lingually and distally (h2), and finally completely (h3).

*Second molar.* Up to the four-area stage the modal progression (fig. 3, M<sup>2</sup>) follows that of the first molar. The variants, however, are slightly different and more numerous (a1, b2, c1, c2).

The first coalescence usually also follows the pattern of the first molar (e, e1, e2) but additional variants were noted. In 2 cases involving coalescence of the two mesially-disposed areas the larger of the two free areas is the disto-buccal (e3). In a single variant the first coalescence involves the two distally disposed areas, the larger of the two free areas being in this case the mesio-lingual (e4).

The second coalescence shows less variation than in the first molar. Most often the mesio-buccal area joins the main mass by its contiguous lateral angle, leaving the disto-buccal area free (f). Less often it is the disto-buccal area which joins the main mass by its contiguous lateral angle (f1).

In the third coalescence the peninsula of enamel projecting from the buccal aspect (g) and the cut-off island of enamel (g1) are similar to those described for the first molar. In addition there are cases with peninsulas projecting from the distal aspect (g2) and from the mesial aspect (g3).

Lastly a rim of enamel remains (h) which becomes lost oftenest mesio-lingually (h1), sometimes disto-lingually (h2) and finally completely (h3).

*Third molar.* A striking difference from the first and second molar teeth is seen in the first exposure of dentine appearing on the mesio-buccal instead of the mesio-lingual cusp (fig. 3, M<sup>3</sup>, a). This has few variants (a1 and a2) and fits the modal progression (a-b-c). There can be little

doubt that it is a significant difference. Mesio-lingual (b), disto-buccal (c) and disto-lingual (d) areas are progressively added. At the four-area stage dentine exposure may be greatest lingually and distally (d) or lingually and distally (e).

The first coalescence may be between the two mesially-disposed areas, with the disto-lingual being the larger of the two free areas (e); or between the two lingually-disposed areas, with the disto-buccal being the larger free area (ee). In some cases the distally-disposed areas show union, with the mesio-lingual the larger free area (e1), and in a single case the mesio-buccally-disposed areas, with the mesio-lingual the larger free area (e2).

In the second coalescence the commonest arrangement shows union of the disto-buccal area with a lingually-disposed area, leaving the mesio-buccal area free (ff). A single variant of this form is the free area (f2). The progression to the commonest earlier form (e), however, is probably a union of the disto-lingual cusp with a mesially-disposed main mass, leaving the disto-buccal cusp free (f). In one case mesio-buccal, disto-buccal and disto-lingual areas show union, leaving the mesio-lingual area free (f1).

The third coalescence may show a peninsula of enamel projecting from the mesial aspect of the tooth (gg), or an island of enamel (g).

Lastly a rim of enamel remains (h) which may become lost mesially (h1), mesially and distally (h2), and finally completely (h3).

#### *The mandibular teeth*

The patterns of exposed dentine (seen in figures 4 and 5) are illustrated in figures 4 and 5. The incidence of each form is shown in the illustrations as a suffix.

*Central incisor.* The pattern (fig. 4, I<sub>1</sub>) so closely simulates that of the maxillary central incisor, both in modal progression and variant, that a separate description is unnecessary.

*Lateral incisor.* Again the pattern (fig. 4, I<sub>2</sub>) follows that of the corresponding maxillary tooth, even to the additional variant (b2).

*Canine.* Here again the pattern of the maxillary canine is closely followed



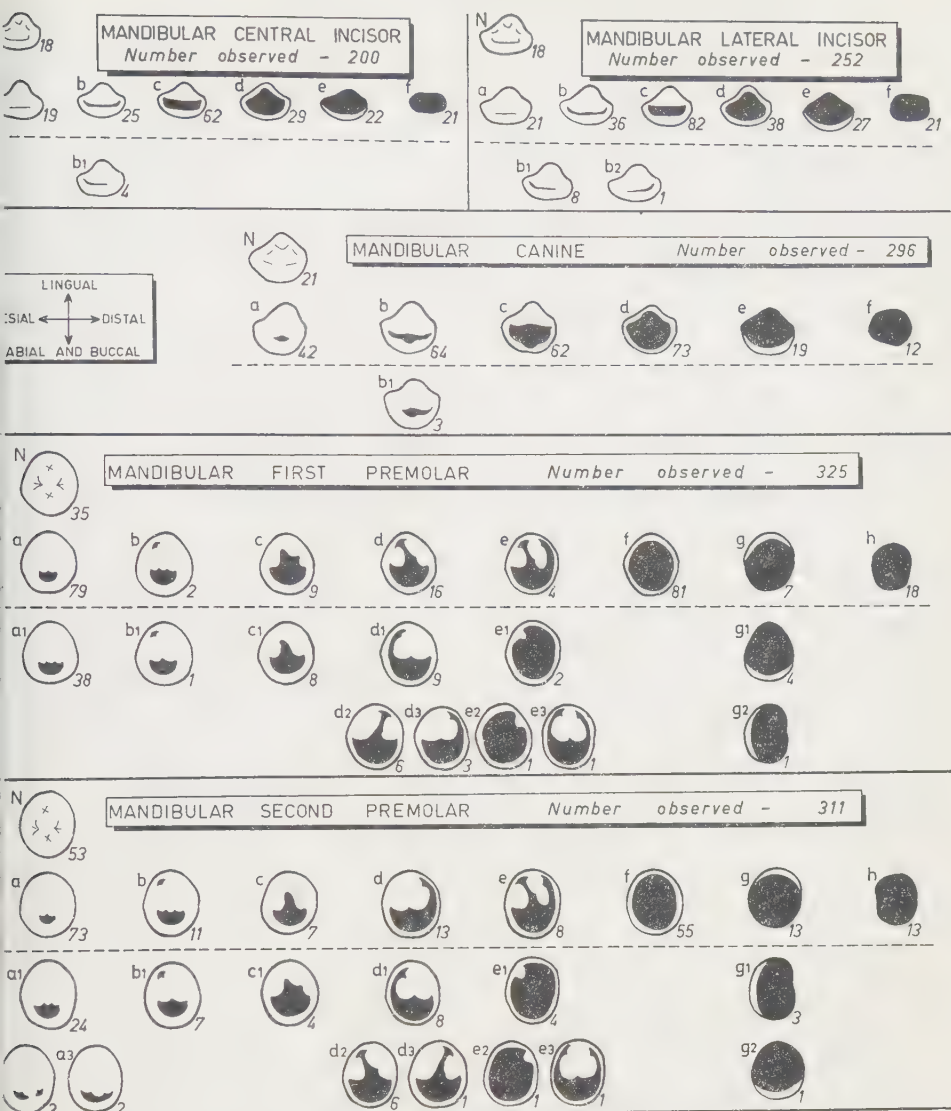


Fig. 4 Patterns of dentine exposure (shaded) in mandibular incisor, canine and premolar teeth. The broken line separates the modal progression (above) from the variants (below). The incidence of each form is shown as a suffix.

transition from form "a" to "b," however, the only variants observed indicate the dentinal area first extending in a distal direction (fig. 4, c, b1).

**First premolar.** The pattern of dentine exposure differs materially from that in the corresponding maxillary tooth. The lingual cusp is very largely involved and the lingual area is only a minor degree. The dentinal area on the buccal

cusp differs both from that of the mandibular canine and that of the maxillary canine. On the contrary it resembles in its earlier stages that of the maxillary canine. On first exposure of dentine the area has a highly-curved peripheral border and central border which forms approximately a straight line broken by a pointed apex (fig. 4, P1, a). It forms a half-sector of a circle with relatively

small radius. In many cases the apex is bifid (a1).

The progression from this stage is so variable that it was not possible to demonstrate a satisfactory modal progression as in the other teeth. Sometimes a lingually-placed second dentinal area appears on the mesial side of the transverse axis of the tooth (b and b1). Sometimes the bifid apex extends in a lingual direction (c), and the single apex may behave similarly (c1).

A projection from the apex of the buccal area may coalesce with the apex of the small lingually-disposed area (d). In other cases these join by extension of the mesially-disposed lateral angles (d1). A similar process occasionally involves the buccal area and a small lingually-disposed area which is distal to the transverse axis of the tooth (d2 and d3).

Further extension may involve coalescence of the buccal area with a second small lingually-disposed area. The two lingual areas may extend to the buccal area by the apex of one and the lateral angle of the other (e), or by the two lateral angles (e3). On the other hand the outlined areas of enamel may reduce progressively from the center leaving a small peninsula of enamel from the mesio-lingual aspect (e1) or from the disto-lingual (e2).

Later a rim of enamel remains (f) which becomes lost oftenest buccally (g) but may be lingually (g1) or distally (g2), and finally completely (h).

*Second premolar.* The pattern (fig. 4, P<sub>2</sub>) follows that of the first premolar with a few minor differences. Occasionally two small buccal areas are exposed (a2) and these may coalesce (a3). These appearances may have some connection with bifidity of the apex. Incidence of bifid and single apices at the "c" stage is transposed, as also is the incidence of the variants at the "g" stage. The incidence of the various forms connecting the large buccal to the small lingual areas differs slightly, and probably insignificantly, from that shown for the first premolar.

*The molar teeth.* In describing the mandibular molar patterns the variable presence of a fifth cusp presents a complication. Its percentage incidence in the

Australian aborigine is stated to be 32.0 and 72.7 in first, second and molars respectively (Campbell, '28). A preliminary review showed that distal exposure on this cusp is in close association with that on the disto-lingual cusp, so that these two areas often show coalescence. Accordingly presence or absence of the fifth cusp was ignored in classifying the patterns. This reduces the number of variants. While the four-area stage includes a number with a small fifth cusp, this in no way affects the decision as to which part of the tooth shows the greatest degree of dentine exposure. Another advantage is that the sequence of stages represented by the lower case letters remains comparable with that of the maxillary molars.

*First molar.* Dentine was first exposed in all cases seen on the mesio-buccal (fig. 5, M<sub>1</sub>, a), and the usual sequence of addition was disto-buccal (b), mesio-lingual (c) and disto-lingual (d). At this stage dentine exposure is usually greatest buccally and distally (d), often buccally and mesially (d1) and rarely mainly distally (d2). A single variant shows the fifth cusp area uniting with the mesio-lingual area instead of the disto-buccal (d3).

The first coalescence, ignoring the fifth cusp, usually occurs by a mesio-buccal of the contiguous lateral angles of the two buccally-disposed areas. Of the two areas the larger is usually the disto-lingual (e), but often the mesio-lingual (e1). Occasionally the contiguous lateral angles of the two distally-disposed areas meet to form the first coalescence, the larger of the two free areas being the mesio-buccal (e2).

The second coalescence usually occurs by the addition of the mesio-lingual area to the main mass by its contiguous lateral angle, leaving the disto-lingual area free (f). In a single variant the fifth cusp area is also free at this stage (f2). Often it is the disto-lingual area which joins the main mass by its lateral angle leaving the mesio-lingual area free (f1).

The third coalescence leaves a small peninsula of enamel projecting into the large dentinal area from the lingual aspect of the tooth (g). This peninsula

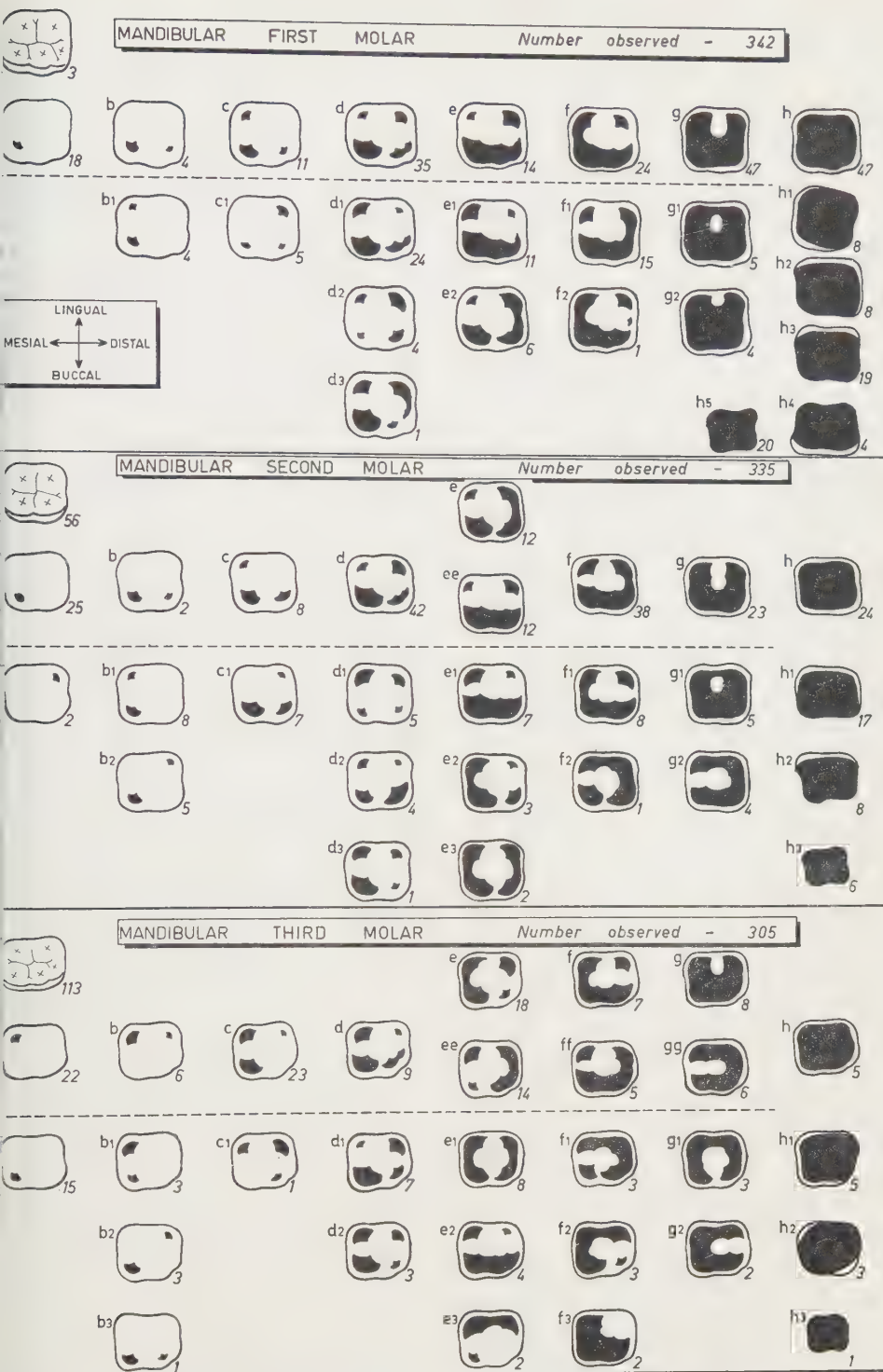


Fig. 5 Patterns of dentine exposure (shaded) in mandibular molar teeth. The broken line separates the modal progression (above) from the variants (below). Incidence of each pattern is shown as a suffix.



be cut off to form an island of enamel (g1), or may be progressively reduced from the center (g2).

Lastly a rim of enamel remains (h) which becomes lost disto-buccally (h1) or mesio-buccally (h2), then all but linguallly (h3), and finally completely (h5). In a few cases the enamel rim is lost all but buccally (h4).

*Second molar.* Up to the four-area stage the modal progression (fig. 5, M<sub>2</sub>) follows that of the first molar. The variants have two additions (a1, b2) and are more numerous.

The first coalescence may follow the pattern of the first molar (ee, e1). Often it is the two distally-disposed areas which show the first coalescence, the larger of the two free areas being the mesio-buccal (e). Occasionally the two mesially-disposed areas coalesce, the larger free area being the disto-buccal (e2). Two cases show separate coalescence of mesially-disposed and distally-disposed areas (e3).

In the second coalescence the incidence of the modal form and first variant seen in the first molar becomes transposed (f, f1). In a single variant the two lingual areas and the disto-buccal show coalescence leaving the mesio-buccal area free (f2).

In the third coalescence the peninsula of enamel projecting from the lingual aspect (g) and the cut-off island of enamel (g1) are similar to those described for the first molar. No instance of the peninsula being reduced from the center was observed. Occasionally a peninsula projects from the mesial aspect of the tooth (g2).

Lastly a rim of enamel remains (h) which becomes lost buccally (h1), then mesially and distally (h2), and finally completely (h3).

*Third molar.* Dentine is first exposed on the mesio-lingual cusp (fig. 5, M<sub>3</sub>, a) in 22 cases against 15 for the mesio-buccal (a1). The difference noted between third molar and first and second in the maxilla is thus paralleled in the mandible, but is less striking. In the modal progression disto-lingual (b), mesio-buccal (c) and disto-buccal (d) areas are progressively added. At the four-area stage dentine exposure may be greatest buccally and mesially

(d), buccally and distally (c) rarely mesially and linguallly (d2).

The first coalescence shows great variation. Union of the two mesially-disposed areas is commonest, with the disto-buccal the larger of the two free areas (e). Sometimes the two distally-disposed areas are involved, with the mesio-lingual the larger free area (ee). Several cases show separate union of mesially-disposed and distally-disposed areas (e1). Sometimes buccally-disposed areas unite leaving the mesio-lingual the larger free area (e2). Rarely the linguallly-disposed areas coalesce, the two instances seen showing an additional dentinal area only on the distal buccal cusp (e3).

In the second coalescence the forms resemble those seen to be commonest in the first molar (f, ff). Two forms resemble the commonest form but with no free area of dentine (f3). In some instances the two lingual areas and the disto-buccal show union, leaving the mesio-buccal area free (f1). In another instance the two lingual areas show union, leaving the mesio-buccal area free (f2).

The third coalescence shows penetration of enamel projecting from the lingual aspect (g), mesial (gg), buccal (g1) and distal aspects of the tooth.

Lastly a rim of enamel remains (h) which becomes lost distally (h1), mesially and distally (h2), and finally completely (h3).

## DISCUSSION

### *The helicoidal occlusal plane*

The plane formed by the contiguous occlusal surfaces of the teeth in advanced attrition has interested several workers. In the first molar region the plane is the lateral slope which faces buccally while in the third molar region the lateral slope faces linguallly.

This condition has been described in Australian aboriginal (Campbell, '29), African bushman (Drennan, '29), Neolithic (Cameron, '34, p. 10), Egyptian (Pleasure and Friedman, '34), Eskimo, Maori and Zulu (Moses, '46). Evidently it occurs in well-used human dentitions. It has also been described in non-human primates (Campbell, '25; Moses, '46).



med "compound attritional" by Bell ('25), "twisted" by Drennan and more recently "helicoidal," a borrowed from the physical sciences, Ackermann ('53), the plane appears to multiple causative factors (Ackermann, '53; de Boer, '57).

The present study demonstrates a difference in which cusp first shows dentine wear on the third molar and the two others on the first and second molars of the lower jaws. This is doubtless associated with the helicoidal occlusal plane and indicates that the causative factors are all operative at this early stage of tooth wear.

### *The Piltdown molars*

While this study is primarily intended to provide an objective record, it may be profitable to re-examine the Piltdown teeth in the light of the present observations. Only the mandibular first and second molars are examined satisfactorily the pattern of the dentine areas in available illustrations. These have been redrawn reversed to facilitate comparison (fig. 1C).

*The dentinal areas.* The shape of the mesio-buccal areas appears to be outside the range of variation presently described. Although sector-shaped, each is only about one-eighth of its corresponding circle. The peripheral border is short and straight, and is not parallel to the enamel margin. The two halves of the central border form an acute angle at the apex. Both halves are straight with some minor projections.

This contrasts with the dentinal areas on the Australian molar cusps. These form sectors which range from about one-quarter to one-half of the corresponding circle. The peripheral border is curved and parallel to the enamel margin separating the mesio-buccal from the adjoining side surface. The two halves of the central border are separated by a gentle curve, and the apical angle is never less than a right angle. Gros Clark ('55) points out the unusual flatness of the areas on the mesio-buccal cusps and their large size relative to the areas on the mesio-buccal cusps. The present study, being two-dimensional, can offer no comment of flatness.

Considering the general shape of these areas the apices are seen to be a little off center. As they closely simulate otherwise the shape produced by natural wear, this evidence is insufficient in itself to place them outside the range of variation. Occasionally (fig. 5; M<sub>1</sub>, d2; M<sub>2</sub>, d1) the mesio-lingual area exceeds in size the mesio-buccal but never to the Piltdown degree.

What is even more striking, however, is that the Piltdown molars, in spite of the large mesio-lingual areas, both lack dentine exposure on the disto-lingual cusps. In the present material the disto-lingual area is more closely in harmony with the mesio-lingual than is the mesio-buccal.

### SUMMARY

1. A record is presented of the changing pattern of dentine exposure in each permanent tooth with increasing tooth attrition, as observed in an Australian aboriginal skull collection.

2. The modal progression is illustrated by an array of commonly occurring forms. The range of variation is indicated by the variants.

3. A comparison is made with the Piltdown teeth redrawn from illustrations.

### ACKNOWLEDGMENTS

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# Differences in Dentine Exposure in Human Molar Tooth Attrition

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In the solution of the Piltdown problem as Clark ('55) raises two dental features to the status of taxonomic criteria. One is, (1) the pattern of dentine exposure with increasing tooth attrition, and the degree of difference in dentine exposure between the molar teeth. In an earlier study (Murphy, '59) records the usual progression and range of variation of dentine exposure in each permanent tooth as observed in an Australian dental skull collection. The purpose of the present investigation is to record the degree of difference in dentine exposure between the molar teeth in each jaw, and between corresponding molar teeth of upper and lower jaws.

## MATERIAL AND METHODS

Teeth were abstracted from the material used in the earlier study. The degree of dentine exposure in each molar tooth was recorded in terms of the previous illustration (Murphy, '59, figs. 3 and 5). The method may be defined briefly as follows:

- tooth at occlusal level but no dentine exposed.
- dentine exposed on one cusp only.
- dentine exposed on two cusps.
- dentine exposed on three cusps.
- dentine exposed on 4 cusps but the dentinal areas still discrete.
- two dentinal areas coalesced, leaving two free areas.
- three dentinal areas coalesced, leaving one free area.
- four dentinal areas coalesced, leaving one free area, but an island or peninsula of enamel occupying part of the occlusal surface.
- enamel rim completely or partially surrounding the dentinal area.
- the variable presence of a fifth cusp ignored in classifying the degree of

dentine exposure in the mandibular molars.

In comparing any two molars the degree of difference or gradient was defined as zero where both matched. A minus sign was given where the second of the compared teeth showed a lesser degree of attrition, and a plus sign where it showed a greater. The gradient was recorded as 1 where the degree of attrition matched the adjoining stage, 2 where it matched the one after, and so on progressively.

This provides the advantages of a system of measurement, although an unavoidable defect is that the class intervals may not precisely correspond.

## RESULTS

### *Intermolar gradients*

The summated data are tabulated in table 1 and histograms based on the percentage incidence are illustrated in figure 1. Zero values are solid black, minus values hatched and plus values dotted.

The mean gradient between two adjoining molars in either jaw is approximately two. This indicates that by and large the more recently erupted of the two will show a lesser degree of dentine exposure by two stages. The range of variation, however, is such that there can be no assurance in any given case that this gradient will in fact be present.

Variation, as indicated by both range and standard deviation, is greater between second and third molars than between first and second in both jaws.

While the mean gradient between first and second molars is -2.2 in the maxilla, it is -1.7 in the mandible. The difference between these means is statistically significant by the "t" test at the level  $P < 0.001$ . This means that in the mandible

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TABLE 1  
Intermolar gradients  
(Difference in degree of dentine exposure as defined in the text)

| Gradient | Maxillary molars                |       |                                 |       |                                 |       | Mandibular molars               |       |                                 |       |                                 |    |
|----------|---------------------------------|-------|---------------------------------|-------|---------------------------------|-------|---------------------------------|-------|---------------------------------|-------|---------------------------------|----|
|          | M <sup>1</sup> → M <sup>2</sup> |       | M <sup>2</sup> → M <sup>3</sup> |       | M <sup>1</sup> → M <sup>3</sup> |       | M <sub>1</sub> → M <sub>2</sub> |       | M <sub>2</sub> → M <sub>3</sub> |       | M <sub>1</sub> → M <sub>3</sub> |    |
|          | No.                             | %     | No.                             | %     | No.                             | %     | No.                             | %     | No.                             | %     | No.                             | %  |
| -8       |                                 |       | 1                               | 0.4   | 14                              | 6.1   |                                 |       |                                 |       |                                 | 9  |
| -7       |                                 |       | 5                               | 2.2   | 17                              | 7.4   |                                 |       | 5                               | 2.2   |                                 | 14 |
| -6       | 1                               | 0.4   | 7                               | 3.0   | 41                              | 17.8  | 4                               | 1.7   | 3                               | 1.3   |                                 | 33 |
| -5       | 11                              | 4.8   | 20                              | 8.7   | 40                              | 17.4  | 0                               | 0.0   | 15                              | 6.5   |                                 | 34 |
| -4       | 15                              | 6.5   | 19                              | 8.3   | 38                              | 16.5  | 13                              | 5.6   | 38                              | 16.4  |                                 | 54 |
| -3       | 58                              | 25.2  | 36                              | 15.7  | 35                              | 15.2  | 42                              | 18.1  | 36                              | 15.5  |                                 | 29 |
| -2       | 78                              | 33.9  | 42                              | 18.3  | 22                              | 9.6   | 64                              | 27.6  | 50                              | 21.5  |                                 | 24 |
| -1       | 48                              | 20.9  | 61                              | 26.5  | 19                              | 8.3   | 63                              | 27.2  | 49                              | 21.1  |                                 | 20 |
| 0        | 16                              | 7.0   | 26                              | 11.3  | 3                               | 1.3   | 42                              | 18.1  | 20                              | 8.6   |                                 | 10 |
| +1       | 3                               | 1.3   | 11                              | 4.8   | 0                               | 0.0   | 3                               | 1.3   | 11                              | 4.7   |                                 | 5  |
| +2       |                                 |       | 1                               | 0.4   | 1                               | 0.4   | 1                               | 0.4   | 5                               | 2.2   |                                 |    |
| +3       |                                 |       | 1                               | 0.4   |                                 |       |                                 |       |                                 |       |                                 |    |
| Totals   | 230                             | 100.0 | 230                             | 100.0 | 230                             | 100.0 | 232                             | 100.0 | 232                             | 100.0 | 232                             |    |
| Mean     | -2.2                            |       | -2.2                            |       | -4.3                            |       | -1.7                            |       | -2.2                            |       |                                 |    |
| Range    | -6::+1                          |       | -8::+3                          |       | -8::+2                          |       | -6::+2                          |       | -7::+2                          |       |                                 |    |
| St. dev. | 1.263                           |       | 1.938                           |       | 2.016                           |       | 1.325                           |       | 1.848                           |       |                                 |    |

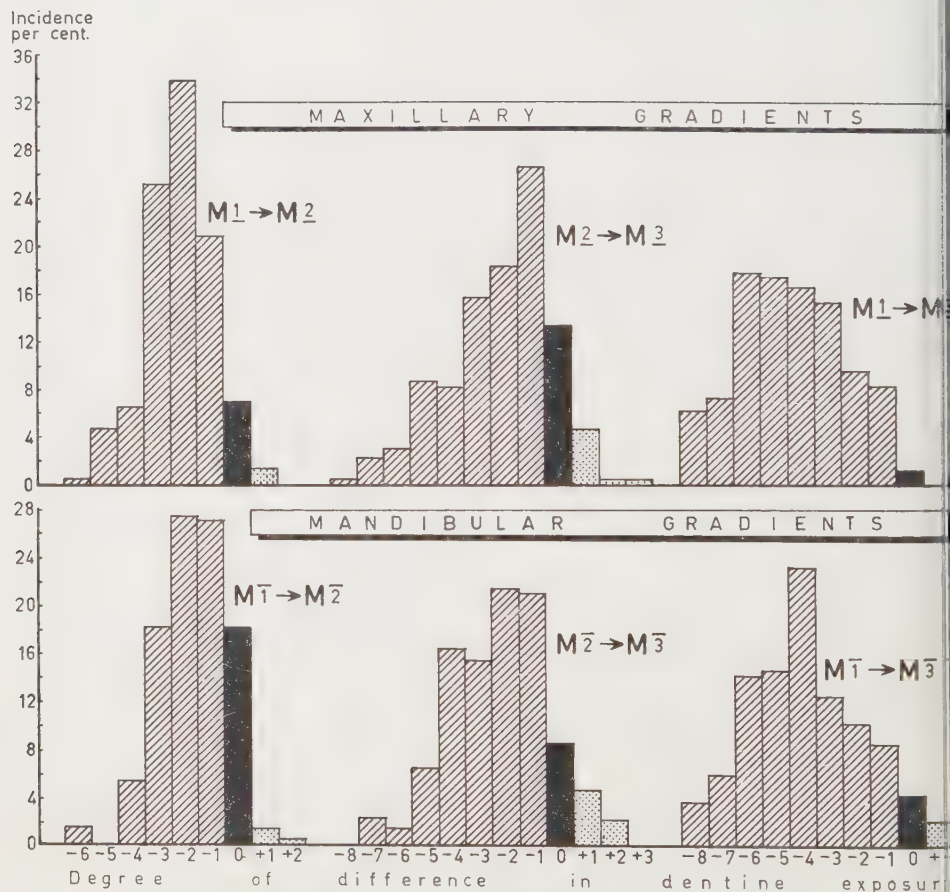


Fig. 1 Intermolar gradients of dentine exposure. Zero values are solid black, minus values hatched and plus values dotted. Data from table 1.



second molar more nearly approaches dentine exposure of the first than is case in the maxilla. This is reflected by the differing incidence of zero and negative values, where the second molar equals or exceeds respectively the degree of dentine exposure of the first. These numbers are 19.8% in the mandible against 8.3% in the maxilla.

The mean gradient between first and second molars is  $-4.3$  in the maxilla and  $-3.7$  in the mandible. This difference is statistically significant by the "t" test, but the greater dispersion reduces the significance level to  $P < 0.05$ .

The mean gradient between second and third molars is  $-2.2$  in both jaws. In all corresponding pairs the variances do not differ significantly when the figures are subjected to the "Z" test.

These facts indicate that the essential difference between the jaws in dentine exposure lies in the gradients between the first and second molar teeth. It seemed worthwhile, therefore, to examine the con-

dition present at the various degrees of dentine exposure.

In studying the analyzed data (table 2 and figure 2) it should be noted that when the first molar is at the "a" stage the gradient cannot exceed  $-1$ , at "b" it cannot exceed  $-2$  and at "c"  $-3$ . In the rise to the peak of the curve the gradient in the mandible is slightly the greater, while

TABLE 2  
Gradient between first and second molar teeth according to degree of dentine exposure in first molar

| Degree of M <sup>1</sup> | Maxilla |               | Mandible |               |
|--------------------------|---------|---------------|----------|---------------|
|                          | No.     | Mean gradient | No.      | Mean gradient |
| a                        | 3       | $-0.7$        | 7        | $-1.0$        |
| b                        | 4       | $-1.5$        | 4        | $-1.8$        |
| c                        | 16      | $-2.6$        | 12       | $-2.9$        |
| d                        | 32      | $-2.9$        | 39       | $-2.4$        |
| e                        | 34      | $-2.5$        | 31       | $-1.5$        |
| f                        | 37      | $-2.2$        | 37       | $-1.7$        |
| g                        | 35      | $-2.1$        | 33       | $-1.7$        |
| h                        | 69      | $-1.9$        | 73       | $-1.5$        |
| Total                    | 230     | $-2.2$        | 232      | $-1.7$        |

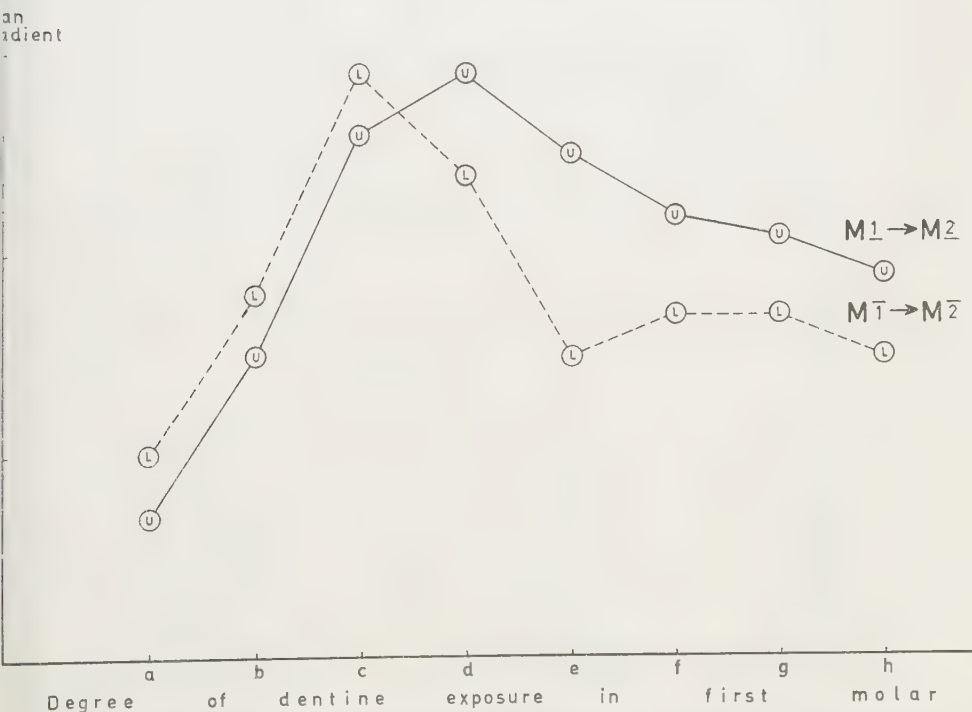


Fig. 2 Mean intermolar gradients analyzed according to degree of dentine exposure. Data from table 2.

in the fall it is considerably the lesser. In early stages of dentine exposure, therefore, the first molar exceeds the second to a slightly greater degree in the mandible than in the maxilla. In later stages of dentine exposure the first molar exceeds the second to a considerably lesser degree in the mandible than in the maxilla.

This indicates also that the significant difference in mean gradients noted in the summated data are due to differences occurring at later stages of dentine exposure.

Interjaw gradients

The degree of dentine exposure in the corresponding molar teeth of upper and lower

TABLE 3  
Interjaw gradients  
(Difference in degree of dentine exposure as defined in the text)

| Gradient | First molars<br>$M_1 \rightarrow M_1$ |       | Second molars<br>$M_2 \rightarrow M_2$ |       | Third molars<br>$M_3 \rightarrow M_3$ |       |
|----------|---------------------------------------|-------|--|-------|---------------------------------------|-------|
|          | No.                                   | %     | No.                                    | %     | No.                                   | %     |
| -6       |                                       |       |  |       | 1                                     | 0.6   |
| -5       |                                       |       |  |       | 0                                     | 0.0   |
| -4       |                                       |       |  |       | 2                                     | 1.1   |
| -3       |                                       |       | 1                                      | 0.4   | 1                                     | 0.6   |
| -2       | 7                                     | 2.6   | 7                                      | 2.7   | 4                                     | 2.3   |
| -1       | 35                                    | 13.1  | 28                                     | 10.7  | 22                                    | 12.7  |
| 0        | 112                                   | 41.8  | 53                                     | 20.3  | 30                                    | 17.4  |
| +1       | 76                                    | 28.3  | 80                                     | 30.6  | 41                                    | 23.7  |
| +2       | 31                                    | 11.6  | 59                                     | 22.6  | 35                                    | 20.2  |
| +3       | 6                                     | 2.2   | 24                                     | 9.2   | 24                                    | 13.9  |
| +4       | 1                                     | 0.4   | 8                                      | 3.1   | 6                                     | 3.5   |
| +5       |                                       |       | 1                                      | 0.4   | 4                                     | 2.3   |
| +6       |                                       |       |  |       | 2                                     | 1.1   |
| +7       |                                       |       |  |       | 1                                     | 0.6   |
| Totals   | 268                                   | 100.0 | 261                                    | 100.0 | 173                                   | 100.0 |
| Mean     | + 0.4                                 |       | + 1.0                                  |       | + 1.1                                 |       |
| Range    | -2::+4                                |       | -3::+5                                 |       | -6::+7                                |       |
| St. dev. | 1.035                                 |       | 1.366                                  |       | 1.875                                 |       |

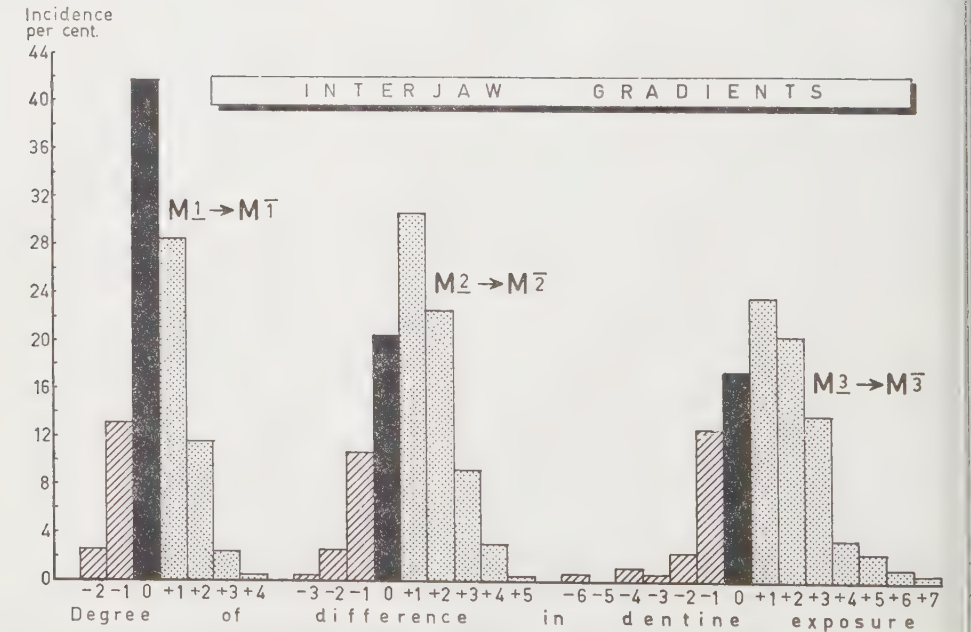


Fig. 3 Interjaw gradients of dentine exposure. Zero values are solid black, minus values hatched and plus values dotted. Data from table 3.

was compared in the manner de-  
scribed for the molars of the same jaw.  
The results are tabulated in table 3.  
Histograms based on the percentage  
exposure are illustrated in figure 3. Again  
minus values are solid black, minus values  
dotted and plus values dotted.

In all three comparisons the mandibular  
molar shows a more advanced degree of  
dentine exposure than the maxillary. The  
gradient in the first molars is small (mean  
+0.4) but is significantly different from  
zero at the level  $P < 0.001$  by the "t" test.  
The gradient is appreciably greater in the

TABLE 4  
*Gradient between corresponding maxillary and mandibular molar teeth according to  
degree of dentine exposure in maxillary molar*

| Degree<br>of M <sup>1</sup> | No. | Mean<br>gradient | Degree<br>of M <sup>2</sup> | No. | Mean<br>gradient | Degree<br>of M <sup>3</sup> | No. | Mean<br>gradient |
|-----------------------------|-----|------------------|-----------------------------|-----|------------------|-----------------------------|-----|------------------|
| N                           | 10  | +1.0             | N                           | 21  | +1.5             | N                           | 35  | +2.1             |
| a                           | 5   | +0.8             | a                           | 28  | +0.9             | a                           | 34  | +1.6             |
| b                           | 10  | +0.6             | b                           | 37  | +1.6             | b                           | 27  | +1.3             |
| c                           | 27  | +0.2             | c                           | 29  | +1.6             | c                           | 14  | +1.5             |
| d                           | 54  | +0.4             | d                           | 27  | +0.7             | d                           | 8   | +0.4             |
| e                           | 52  | +0.8             | e                           | 48  | +1.3             | e                           | 29  | +0.6             |
| f                           | 44  | +0.6             | f                           | 31  | +0.7             | f                           | 16  | +0.3             |
| g                           | 36  | +0.3             | g                           | 28  | -0.1             | g                           | 5   | -1.2             |
| h                           | 30  | -0.4             | h                           | 12  | -0.3             | h                           | 5   | -1.6             |
| Total                       | 268 | +0.4             |                             | 261 | +1.0             |                             | 173 | +1.1             |

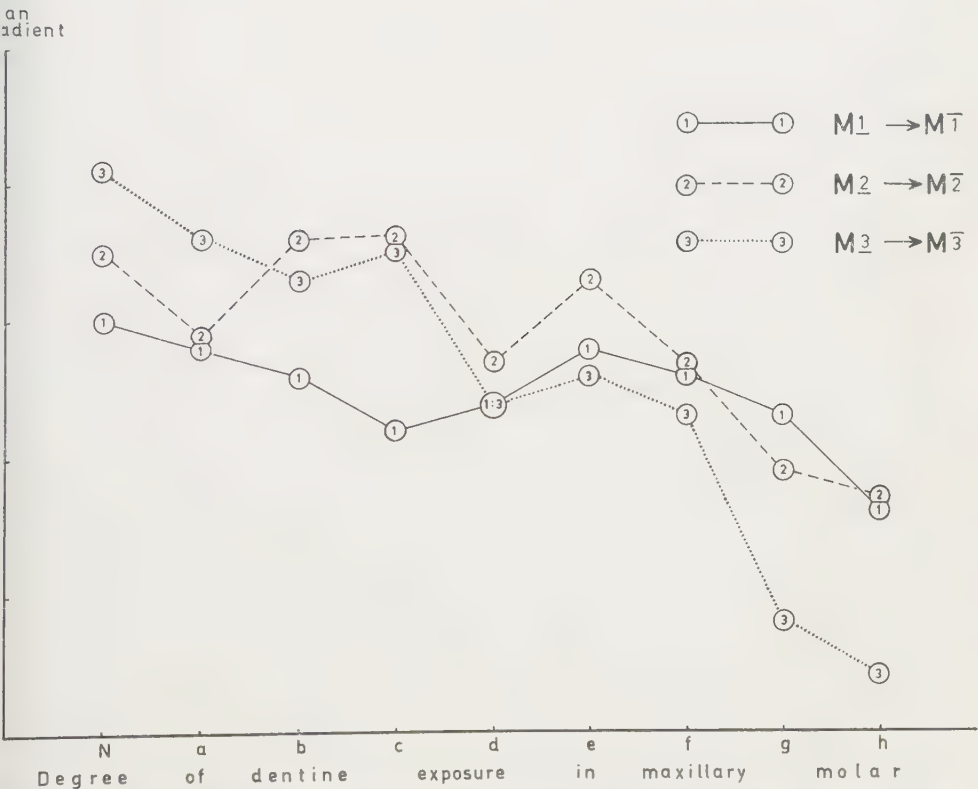


Fig. 4 Mean interjaw gradients analyzed according to degree of dentine exposure. Data from table 4.

second (mean +1.0) and in the third (mean +1.1). The slight difference between these two is statistically insignificant. The difference between the first molar mean and each of the others is significant at the level  $P < 0.001$  by the "t" test.

The data, analyzed according to the degree of dentine exposure (table 4 and figure 4), show that the greatest difference between the mandibular molar and its maxillary counterpart is in the early stages of attrition. When the maxillary first molar is at occlusal level with the enamel covering still complete, the mandibular shows dentine already exposed on one cusp in the average case. The corresponding condition with the third molars shows dentine on two mandibular cusps, while the second molars occupy an intermediate position.

It should be noted that when the maxillary molar is at the "h" stage the gradient cannot be a plus value. Allowing for this defect inherent in the method there is clearly a trend towards equalization of the amount of dentine exposed with increasing tooth attrition.

That the dispersion increases along the molar series is indicated by the increasing range and standard deviation. Variance differences between first and second molar gradients and between second and third are both statistically significant at the level  $P < 0.001$  by the "z" test.

## DISCUSSION

### *The intermolar gradient*

In Marston's ('52) contribution to the Piltdown problem he concludes, from the approximately equal degree of wear in the Piltdown molars, that the second erupted, "... as in the apes, fairly soon after the first, and without the more prolonged time interval of man." Le Gros Clark ('55) states, however, that such cases do occur exceptionally in man and suggests that this may happen "... as the result of some defect in the upper dentition."

As the Piltdown molars both match the lower case letter "c" of the present study, the gradient between them is zero. This gradient, or one in which the second molar shows more advanced dentine exposure than the first, is seen in no less than 19.8%

in the mandibles of the present material (table 1, fig. 1). The corresponding figure in the maxilla is 8.3%. These figures, moreover, were obtained from dentitions which are characterized by a lack of defects. This supports Koski and Garn's contention that the use of the degree of attrition as an indirect indication of eruption sequence is "a very dangerous one."

The analyzed data (table 2, fig. 3), however, show that at stage "c" a gradient is usually present. Reference to the raw data fails to disclose any gradients at this stage. Of the 16 mandibles containing first molars at the stage 13 still retained the second molar also. Of these one had not reached occlusal level, 11 had reached this level but lacked dentine exposure, and 4 showed dentine on a single cusp, the first stage. Examined from the opposite direction, of the 15 mandibles containing second molars at the "c" stage, 13 retained the first molar also. Of these 6 had reached the "d" stage, 2 the "e," and 6 the "f."

These facts suggest that Le Gros Clark's ('55) use of the method with the Piltdown molars is still a valid one.

### *The interjaw gradient*

The fallibility of using the degree of attrition as an indirect indication of eruption sequence is further emphasized by the interjaw gradients of dentine exposure found in the present study (table 3, fig. 2). An a priori assumption that corresponding molars of upper and lower jaws would show the same degree of dentine exposure seems a perfectly reasonable one. Yet the first mandibular molar exceeds the maxillary by a margin which is greater than zero at a high level of significance. In respect the second and third pairs of molars agree with each other, but the gradient in both differs, also at a high level of significance, not only from zero, but from the gradient obtaining in the first molars.

The variances differ significantly all along the cheek teeth series. This is an expression of increasing variability, also obvious in the histograms, and is in accordance with Butler's ('39) "field concept" of molarization.



### *Functional implications*

sky and Fisher ('53, p. 223) state both attrition is "... the resultant of long masticatory function." This raises the question: Can this study of the exposure throw light on the controversial subject of masticatory function? Variation of dentine exposure may be caused from additional factors. These differences in type of food, in timing of eruption, in tooth wear, and in positioning of individual teeth relative to the arch as a whole. Also included may be differences in the thickness of the enamel covering and possibly differences in enamel hardness. Again the labial area is only part of the attritional area which includes the surrounding enamel whose extent of wear is outside the scope of this study.

It seems likely, however, that in a large number of factors other than masticatory function would cancel each other out. Thus the present findings carry the following implications on masticatory function:

In the dynamics of human mastication, attritioning stresses are not uniform as heretofore supposed or tacitly assumed (Ackermann, '53, p. 124).

An equal degree of attritioning stress is shared by the second and third molars in each jaw. With increasing tooth attrition, this degree becomes progressively greater than that borne by the first molar. In the degree of attrition in the molar teeth of each jaw tends to equalize. This is significantly greater in the mandible than in the maxilla.

In corresponding molar teeth of upper and lower jaws the lower is initially subjected to a greater degree of attritioning stress. This occurs to an equal extent in the second and third molar teeth. It occurs to a significantly lesser extent in the first molars. With increasing tooth attrition, this differential attritioning stress between the jaws becomes progressively less. In the degree of attrition in corresponding upper and lower molars tends to equal-

### *Taxonomic considerations*

Garston's ('52) method of distinguishing human from anthropoid tooth wear is

based on differences in the architecture of the temporomandibular joints and in the transgressions of the lower teeth across the upper in chewing. These premises are themselves highly controversial. The more recent comparative work of Ashton and Zuckerman ('54) and Mills ('55) respectively suggests that the differences are of degree only and are less fundamental than formerly supposed.

A more direct method is to investigate anthropoid dentine exposure in a collection extensive enough to match the present studies. From the two there might emerge a tool useful in primate taxonomy.

### SUMMARY

1. Degrees of dentine exposure were recorded from the molar teeth of an Australian aboriginal skull collection.

2. A comparison is made of the degree of difference in dentine exposure between the molar teeth in each jaw—the intermolar gradient.

3. A comparison is made of the degree of difference in dentine exposure between corresponding molars of upper and lower jaws—the interjaw gradient.

4. The observations and their implications are discussed.

### ACKNOWLEDGMENTS

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# Blood Groups of Alaskan Eskimos and Indians

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During the course of recent field work in Alaska, blood specimens were collected from Eskimos and Indians for blood group and other investigations. This paper presents the results of blood group tests and provides a basis for comparison with findings in other groups of indigenous Americans. Other investigations of genetically determined metabolic traits carried out in the same populations included hemoglobin and haptoglobin analyses (Blum et al., '59), red-cell enzymes (Keller et al., '59), urinary amino acid excretion patterns (Allison et al., '59), and testing with phenylthiocarbamide (Allison and Blumberg, '59). A full discussion of the anthropological significance of these findings will be presented later.

## *Populations from which samples were taken*

The Eskimos were all northern dialect (Inupik) speakers (fig. 1). The first group consisted of 111 people out of 220 living in Wainwright; the second of 64 not-closely-related inhabitants of the large town of Barrow; the third of 55 people out of a total of approximately 70 now living near Summit Lake in Anaktuvuk Pass in the Brooks Range. Of the 70, approximately 10 were three years or younger from whom no attempt was made to remove blood. The fourth group consisted of 11 full-blooded Eskimos derived from the

<sup>1</sup> Supported in part by grant G-3524 from the National Institutes of Health.



Fig. 1 Outline map of Alaska showing the locations at which blood collections were made.

Arctic slope now living in the mixed Eskimo-Indian community of Beaver on the Yukon River.

The northern Athabascan speaking Indians included 78 out of a total of approximately 110 from Arctic Village, Brooks Range, 110 from Fort Yukon and 18 from Beaver. The majority of the Aborigines tested were recorded as full blooded in the census records, and were regarded as being so in their communities. However, in Wainwright and Fort Yukon approximately 15% were listed as more than half, but less than full blooded. Tlingits were obtained from Mt. Edgecombe and Sitka, all but 5 of them being recorded as full blooded and the remainder as 3/4 or 7/8 Indian.

In some of the communities (those of Wainwright, Anaktuvuk Pass, Arctic Village and Beaver) a large proportion of the population was sampled, and the results include several members of the same sibship. Exogamy is common, and only one instance of a first-cousin marriage appeared in kinships from Wainwright, Anaktuvuk, Arctic Village, and Beaver. The findings in these various villages are sufficiently different one from another that it was considered advisable to list them separately (tables 1-4).

## METHODS OF STUDY

Blood specimens were collected by venipuncture using Vacutainers without anticoagulant (Becton, Dickinson and Company, Rutherford, N. J.). Specimens were kept at 4°C and dispatched to the Blood Grouping Laboratory, Boston, within 48 hours of collection. Travelling time from Alaska to Boston was approximately 48 hours for each lot except for the first samples from the Tlingits which were delayed in transit. All samples except those of 49 of the Tlingits arrived in Boston in excellent condition. Those 49 Tlingit bloods were in relatively poor condition and gave unsatisfactory results with the A and Lewis serums.

Reagents and the methods of their use are outlined in table 5. Anti-A<sub>1</sub> was used only on A and AB bloods. Anti-D plus anti-G were used only on the D-negative. Anti-rh<sub>1</sub> was used only with C-positives, anti-k with K-positives. Tests were deemed satisfactory with the exception of the tests for P and Lewis in the first Tlingits. The tests for P and Lewis on Beavers were omitted because of lack of time. Anti-Vel was tested only with the A since the serum was from a type O donor.

TABLE 1  
Phenotype and gene frequencies—A-B-O groups of Alaskans

|                   | Alaskan Eskimos |           |                |          | Alaskan Indians |            |           |          |
|-------------------|-----------------|-----------|----------------|----------|-----------------|------------|-----------|----------|
|                   | Wainwright      | Barrow    | Anaktuvuk Pass | Beaver   | Arctic Village  | Fort Yukon | Beaver    | Tlingits |
| <b>Phenotypes</b> |                 |           |                |          |                 |            |           |          |
| O                 | 33<br>.30       | 17<br>.27 | 9<br>.16       | 6<br>.55 | 74<br>.95       | 92<br>.84  | 15<br>.83 | 63       |
| A <sub>1</sub>    | 58<br>.52       | 42<br>.66 | 29<br>.53      | 5<br>.45 | 4<br>.05        | 16<br>.14  | 3<br>.17  | 11       |
| A <sub>2</sub>    | 1<br>.01        | 1<br>.02  | 0              | 0        | 0               | 2<br>.02   | 0         | 0        |
| B                 | 13<br>.12       | 4<br>.06  | 12<br>.22      | 0        | 0               | 0          | 0         | 4        |
| A <sub>1</sub> B  | 6<br>.05        | 0         | 5<br>.09       | 0        | 0               | 0          | 0         | 1        |
| Total             | 111             | 64        | 55             | 11       | 78              | 110        | 18        | 79       |
| <b>Genes</b>      |                 |           |                |          |                 |            |           |          |
| O                 | .55             | .54       | .44            | —        | .97             | .915       | —         | —        |
| A <sub>1</sub>    | .35             | .41       | .39            | —        | .03             | .075       | —         | —        |
| A <sub>2</sub>    | .005            | .02       | .00            | —        | .00             | .01        | —         | —        |
| B                 | .09             | .03       | .17            | —        | .00             | .00        | —         | —        |



TABLE 2  
Phenotype and gene frequencies—Rh groups of Alaskans

|     | Alaskan Eskimos |           |                |          | Alaskan Indians |                  |           |           |
|-----|-----------------|-----------|----------------|----------|-----------------|------------------|-----------|-----------|
|     | Wainwright      | Barrow    | Anaktuvuk Pass | Beaver   | Arctic Village  | Fort Yukon       | Beaver    | Tlingit   |
| pes | 1<br>.01        | 3<br>.05  | 0              | 1<br>.09 | 7<br>.09        | 11<br>.10        | 0         | 6<br>.08  |
|     | 24<br>.22       | 19<br>.30 | 15<br>.27      | 4<br>.36 | 23<br>.295      | 44<br>.40        | 6<br>.33  | 37<br>.47 |
|     | 65<br>.59       | 28<br>.44 | 30<br>.55      | 4<br>.36 | 34<br>.44       | 38<br>.345       | 10<br>.56 | 23<br>.29 |
|     | 20<br>.18       | 11<br>.17 | 10<br>.18      | 2<br>.18 | 7<br>.09        | 9<br>.08         | 1<br>.06  | 7<br>.09  |
|     | 1<br>.01        | 3<br>.05  | 0              | 0        | 1<br>.01        | 6<br>.055        | 0         | 3<br>.04  |
|     | 0               | 0         | 0              | 0        | 3<br>.04        | 0                | 1<br>.06  | 2<br>.03  |
|     | 0               | 0         | 0              | 0        | 2<br>.03        | 1<br>.01         | 0         | 0         |
|     | 0               | 0         | 0              | 0        | 1<br>.01        | 0                | 0         | 0         |
|     | 0               | 0         | 0              | 0        | 0               | 0                | 0         | 1<br>.01  |
| rh  | 0               | 0         | 0              | 0        | 0               | 1<br>.01         | 0         | 0         |
|     | 111             | 64        | 55             | 11       | 78              | 110              | 18        | 79        |
|     | .51             | .54       | .55            | —        | .58             | .62              | —         | .60       |
|     | .48             | .41       | .45            | —        | .33             | .29 <sup>1</sup> | —         | .25       |
|     | .01             | .05       | .00            | —        | .06             | .08              | —         | .063      |
|     | .00             | .00       | .00            | —        | .03             | .0045            | —         | .013      |
|     | .00             | .00       | .00            | —        | .00             | .00              | —         | .066      |

udes the one known D<sup>u</sup> variant.

TABLE 3  
Phenotype and gene frequencies—M-N-S groups of Alaskans

|     | Alaskan Eskimos |           |                |          | Alaskan Indians |            |           |           |
|-----|-----------------|-----------|----------------|----------|-----------------|------------|-----------|-----------|
|     | Wainwright      | Barrow    | Anaktuvuk Pass | Beaver   | Arctic Village  | Fort Yukon | Beaver    | Tlingit   |
| pes | 49<br>.44       | 25<br>.39 | 42<br>.76      | 5<br>.45 | 56<br>.72       | 47<br>.43  | 6<br>.33  | 42<br>.53 |
|     | 24<br>.22       | 14<br>.22 | 1<br>.02       | 1<br>.09 | 16<br>.205      | 23<br>.21  | 10<br>.56 | 15<br>.19 |
|     | 29<br>.26       | 19<br>.30 | 10<br>.18      | 3<br>.27 | 5<br>.06        | 18<br>.16  | 0         | 15<br>.19 |
|     | 8<br>.07        | 5<br>.08  | 2<br>.04       | 1<br>.09 | 1<br>.01        | 22<br>.20  | 1<br>.06  | 7<br>.09  |
|     | 1<br>.01        | 1<br>.02  | 0              | 0        | 0               | 0          | 0         | 0         |
|     | 0               | 0         | 0              | 1<br>.09 | 0               | 0          | 1<br>.06  | 0         |
|     | 111             | 64        | 55             | 11       | 78              | 110        | 18        | 79        |
|     | .82             | .80       | .89            | —        | .96             | .82        | —         | .86       |
|     | .18             | .20       | .11            | —        | .038            | .18        | —         | .14       |
|     | .16             | .16       | .03            | —        | .12             | .23        | —         | .15       |
|     | .66             | .63       | .87            | —        | .85             | .65        | —         | .73       |
|     | .16             | .17       | .02            | —        | .115            | .16        | —         | .13       |
|     | .18             | .20       | .10            | —        | .037            | .115       | —         | .12       |
|     | .00             | .00       | .01            | Present  | .001            | .067       | Present   | .02       |

*Calculations of gene frequencies*

O was obtained from the square root of the frequency of type O. Similarly, the frequencies for *M<sub>s</sub>* were obtained by taking the square root of the frequency of phenotype *M<sub>s</sub>S-*. Frequencies for all the Rh genes, and for *M*, *N*, and the genes of the Kell system were obtained by direct count. For this purpose individuals of phenotype *Rh<sub>2</sub>Rh<sub>2</sub>*, for example, were considered to be of genotype *R<sup>2</sup>R<sup>2</sup>*, etc. Since

no *R<sup>0</sup>*, *r'*, or *r<sup>y</sup>* genes were identified, impossible to guess how many (if any) the supposed *r*, *R<sup>1</sup>*, and *R<sup>2</sup>* genes were *R<sup>0</sup>*, *r'*, or *r<sup>y</sup>*. It is certain that none of these genes are common in this population.

Frequencies for *A*, *A<sub>1</sub>*, *B*, *S*, *Fy<sup>a</sup>*, *k<sup>a</sup>*, and *Di<sup>a</sup>* were obtained from the formula

$$\text{Frequency } X = 1 - \sqrt{\text{frequency of } X\text{-negative}}$$

The frequency of *MS* was obtained by subtracting *M<sub>s</sub>* from *M*. The frequency of

TABLE 4  
*Phenotype and gene frequencies—other blood groups in Alaskans*

|                   | Alaskan Eskimos |            |                |            | Alaskan Indians |             |            |
|-------------------|-----------------|------------|----------------|------------|-----------------|-------------|------------|
|                   | Wainwright      | Barrow     | Anaktuvuk Pass | Beaver     | Arctic Village  | Fort Yukon  | Beaver     |
| <b>Phenotypes</b> |                 |            |                |            |                 |             |            |
| Fy(a+)            | 106<br>.955     | 64<br>1.00 | 55<br>1.00     | 11<br>1.00 | 77<br>.99       | 110<br>1.00 | 18<br>1.00 |
| Fy(a-)            | 5<br>.045       | 0          | 0              | 0          | 1<br>.013       | 0           | 0          |
| Jk(a+)            | 78<br>.70       | 43<br>.67  | 39<br>.71      | 10<br>.91  | 60<br>.77       | 79<br>.72   | 14<br>.78  |
| Jk(a-)            | 33<br>.30       | 21<br>.33  | 16<br>.29      | 1<br>.09   | 18<br>.23       | 31<br>.28   | 4<br>.22   |
| P+                | 19<br>.17       | 24<br>.38  | 20<br>.36      | *          | 14<br>.18       | 33<br>.33   | *          |
| P-                | 92<br>.83       | 40<br>.62  | 35<br>.64      | *          | 63<br>.82       | 66<br>.67   | *          |
| Le(a+b-)          | 0               | 0          | 0              | *          | 0               | 0           | *          |
| Le(a-b+)          | 109<br>.98      | 61<br>.95  | 55<br>1.00     | *          | 73<br>.95       | 94<br>.95   | *          |
| Le(a-b-)          | 2<br>.02        | 3<br>.05   | 0              | *          | 4<br>.052       | 5<br>.05    | *          |
| K+Kp(a-)          | 0               | 0          | 0              | 0          | 0               | 1<br>.009   | 0          |
| K-Kp(a+)          | 1<br>.01        | 0          | 0              | 0          | 0               | 0           | 0          |
| K-Kp(a-)          | 110<br>.99      | 64<br>1.00 | 55<br>1.00     | 11<br>1.00 | 78<br>1.00      | 109<br>.99  | 18<br>1.00 |
| Di(a+)            | 2<br>.02        | 0          | 0              | 0          | 0               | 1<br>.009   | 0          |
| Di(a-)            | 109<br>.98      | 64<br>1.00 | 55<br>1.00     | 11<br>1.00 | 78<br>1.00      | 109<br>.99  | 18<br>1.00 |
| <b>Genes</b>      |                 |            |                |            |                 |             |            |
| Fy <sup>a</sup>   | .79             | 1.00       | 1.00           | —          | .89             | 1.00        | —          |
| Jk <sup>a</sup>   | .46             | .43        | .46            | —          | .52             | .47         | —          |
| P                 | .09             | .21        | .20            | —          | .10             | .18         | —          |
| K <sup>b</sup>    | .00             | .00        | .00            | —          | .00             | .004        | —          |
| k <sup>a</sup>    | .004            | .00        | .00            | —          | .00             | .00         | —          |
| k <sup>b</sup>    | .996            | 1.00       | 1.00           | —          | 1.00            | .996        | —          |
| Di <sup>a</sup>   | .01             | .00        | .00            | —          | .00             | .004        | —          |

\* Not done

TABLE 5  
Reagents used in testing Alaskans

| Lot no.  | Source           | Method of use        |
|----------|------------------|----------------------|
| SA 127   | BGL              | Saline tube test     |
| SB 116   | BGL              | Saline tube test     |
| SAA 56   | BGL              | Saline tube test     |
| Ulex 38  | BGL              | Saline tube test     |
| SRC 77   | BGL              | Saline tube test     |
| ARCW 67  | BGL              | Albumin tube test    |
| ARD 38   | BGL              | Albumin tube test    |
| ARE 117  | BGL              | Albumin tube test    |
| —        | T. J. Greenwalt  | Indirect Coombs test |
| AHC 67   | BGL              | Albumin tube test    |
| AHE 67   | BGL              | Albumin tube test    |
| CRDU 68  | BGL              | Indirect Coombs test |
| ARDC 68  | BGL              | Albumin tube test    |
| Cl.      | R. E. Rosenfield | Ficin technique      |
| Bau      | R. E. Rosenfield | Ficin technique      |
| SM 117   | BGL              | Saline tube test     |
| N15      | Ortho            | Saline tube test     |
| SFA 237  | R. E. Rosenfield | Saline tube test     |
| SMG 97   | BGL              | Saline tube test     |
| SVW 58   | BGL              | Saline tube test     |
| UB 4     | J. F. Mohn       | Saline tube test     |
| CK 117   | BGL              | Indirect Coombs test |
| M.N.     | P. J. Schmidt    | Indirect Coombs test |
| CKPA 97B | BGL              | Indirect Coombs test |
| CKPB 116 | BGL              | Indirect Coombs test |
| CFYA 97B | BGL              | Indirect Coombs test |
| CJKA 48  | BGL              | Indirect Coombs test |
| Mc C.    | BGL              | Indirect Coombs test |
| Daw.     | BGL              | Saline (cold)        |
| SP 27    | BGL              | Saline (cold)        |
| SLEA 47  | BGL              | Saline (cold)        |
| Mc G. 56 | BGL              | Saline (cold)        |
| Gin.     | BGL              | Saline tube test     |
| Woj.     | J. F. Mohn       | Indirect Coombs test |
| —        | M. Layrisse      | Indirect Coombs test |
| SWRA 18B | BGL              | Saline tube test     |
| —        | K. Stern         | Indirect Coombs test |
| —        | J. J. van Loghem | Saline tube test     |
| —        | R. E. Rosenfield | Indirect Coombs test |

Rosenfield, R. E., '58.

<sup>2</sup> See Giblett, E. R., '58.

obtained by subtracting  $MS$  from  $S$ .  
rly,  $N_s = N - NS$ . Expected phenofrequencies, calculated from the gene frequencies as shown in the tables, fitted by the observed frequencies. Gene frequencies for  $A$ ,  $B$ , and  $O$  were corrected, if necessary, using Bernstein's simplification. In the Tlingit group, the frequency of  $r''$  was derived from the formula  $\sqrt{r^2}$  plus observed frequency type  $rh'' - r$ ,

resulted in a calculated frequency of 0.066, indicating that about 9 of 105 supposed  $R^2$  genes in this population actually be  $r''$ . The frequency of  $r''$  counted was therefore reduced accordingly.

## RESULTS

Most of the data are contained in tables 1-4. In addition 239 Eskimos and 255 Indians were tested with anti-Mg, all with negative results. 241 Eskimos and 255 Indians were all negative with anti-Lu<sup>a</sup>. 241 Eskimos and 223 Indians were all positive with anti-Kp<sup>b</sup>. 241 Eskimos and 255 Indians were all negative with anti-C<sup>w</sup>, anti-Wr<sup>a</sup>, anti-Be<sup>a</sup>, anti-Vw, anti-E<sup>w</sup>, anti-Mi<sup>a</sup>, and anti-Js, and all 309 type O's were Vel-positive.

## COMMENT

The very low incidence of  $Di^a$  in the Alaskan Indians was the most interesting finding. This is the only American Indian

population reported to date that has not had at least five per cent *Di*<sup>a</sup>-positive. The northern Athabascans are thought to have been among the last of the Indians to arrive in America from Asia, some authorities dating their arrival after that of some of the Eskimo groups (Jenness, '41). The highest incidence of *Di*<sup>a</sup> so far reported from America has been from South American Indians (Levine et al., '56) with lower frequencies in North American Indians (Lewis, Chown and Kaita, '56; Lewis et al., '56; Chown et al., '58; Allen et al., '58). Diego-positives are found also, though not in high frequency, in Chinese (Layrisse and Arends, '56) and Japanese (Lewis, Ayukawa, Chown and Levine, '56). The gene is also found in Burmese and Dyaks of Sarawak (Colbourne et al., '58), but not in Micronesians (Sussman et al., '58), Polynesians (Simmons, '57), New Zealand Maoris (Lehmann, '58) or Australian Aborigines (Simmons, '57). It was also not found in Europeans (Levine et al., '56) nor Negroes (Junqueira and Wishart, '57). The present finding is consistent with the suggestion that the incidence is highest in Indians who have been separated from Asia for the longest time (Colbourne et al., '58). Further understanding of this problem must await studies on South American Indians and in particular on contemporary Asian groups with whom American Indians may have affinities. The low incidence in Alaskan Eskimos shown in the present study and the complete absence in Eastern Canadian Eskimos (Lewis, Chown and Kaita, '56) is also consistent with this explanation. The observed cline in Diego blood group incidence, if substantiated by subsequent studies, can be explained by one of the following: (a) separate origins for each of the groups, (b) varying degrees of intermixture with other populations, and (c) the operation of selection at different intensity in the different populations. There is not sufficient information to decide which of these explanations, if any, is applicable.

The absence of *Js*-positives indicates at least no large admixture of Negro genes which could conceivably have been introduced by the crews of New England whaling ships.

The results on the Anaktuvuk *P*<sup>a</sup> *ki*mos agree with those reported for MN, and Rh by Laughlin ('57) in his blood group studies in the field. The exception of the MN system, the frequencies of the Anaktuvuk group similar to those of the coastal Eskimos, suggesting closer genetic relation to them than to the Indian groups.

The results for both the Eskimo and Indian groups suggest that admixture with Europeans has not been extensive. This is indicated by the low frequency of *Fy*<sup>b</sup> and the high incidence of *Fy*<sup>a</sup> in both the Indians and Eskimos. Further comment should be postponed until publication of other studies from North America, particularly those of Steinberg and Chown and Lewis.

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# Diego Factor among Asiatic Indians, Apaches and West African Negroes; Blood Types of Asiatic Indians and Apaches<sup>1</sup>

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The apparent restriction of the Diego factor to the Mongoloid peoples (Leyland and Arends, '56) has provided the population biologist with a tool of great potential usefulness in the evaluation of populations of mixed origin. In order to use this tool to its full advantage, it is necessary to have considerable information on groups of known origin can with considerable certainty be labelled "mongolian" or "non-mongolian." The present study, undertaken as a contribution towards expanding the knowledge of the distribution of the Diego factor, is concerned with the findings in 108 Asiatic Indians, 108 American Apaches, and 775 West African Negroes.

## MATERIALS AND METHODS

**Asiatic Indians.** All the Indians were obtained at the University of Michigan at Ann Arbor as part of the study. Forty-four came from the state of Bombay, the remaining 64 came from other provinces, as indicated on the map (fig. 1). All typing was performed within 24-hours of collection of the blood.

**American Apaches.** Specimens were obtained from 108 persons requesting outpatient treatment for a wide variety of ailments at the United States Public Health Indian Hospital at Mescalero, New Mexico, and shipped by air mail to Ann Arbor. Bloods were tested within 4 to 9 days of collection.

All persons bled were presumably all members of the Mescalero Apache Indian reservation. The total sample, however, was heterogeneous as to the tribal origins of these persons; that is, on the basis of reports made at the time the blood was collected, there were 47 Mescalero, 23 Chiricahua, three Mescalero-Chiricahua, 15 were the offspring of marriages between Mescaleros and other Indians (among them the "other Indian" spouses num-

bered three Pima, one Navajo, one Pueblo, one Comanche, 6 listed as Mexican, and two unknown), 11 pure blooded Indians of other tribes (two Choctaw, three San Carlos, one Kiowa, two Lipan, and three listed merely as Apache) and 9 persons the offspring of Indian-Caucasian marriages. The entire group will be referred to as Mescalero Reservation Indians.

**Negroes.** All African bloods were collected as part of a comprehensive survey in which the bloods were examined for sickling, hemoglobin, haptoglobin, and red blood cell antigen types. This report is concerned with only the tests for the Diego antigen on these bloods. The complete results of the survey will be presented elsewhere.

Two hundred and sixty-two oxalated specimens were received from the Institute of Hygiene, Abijan, Ivory Coast. A little less than half of the total came from 5 tribes: Mossi, 36; Kru, 36; Guéré, 21; Malinké, 18; and Bété, 12.

The second source from which 513 samples were received was the Institute of the American Foundation for Tropical Medicine, Harbell, Liberia. The numbers of samples from tribes from which more than 20 specimens were received were: Webbo, 66; Kpelle, 65; Kru, 43; Loma, 35; Bassa, 30; Gebo, 27; and Gio, 26. One hundred and thirty-eight samples were unidentified as to tribal affiliation.

All specimens were air shipped to the United States in ice packed thermos containers and were tested within 4 to 7 days after collection.

**Serums.** The anti-Diego serum used was kindly supplied by Dr. M. Layrisse. All other typing serums were obtained from commercial sources, the methods of test-

<sup>1</sup> This investigation was supported in part by a grant from the United States Atomic Energy Commission (project AT(11-1)-405).

ing being as described by the vendor of each particular serum. All tests were performed in tubes with washed 2% red blood cell suspensions, using the antiglobulin method to detect reactions with *all* non-saline-agglutinating antibodies.

In order to conserve serum, a two stage screening procedure, in which only those bloods positive for certain reactions were then tested with other reagents, was used as follows:

| Blood positive for | Then tested for                                      |
|--------------------|--|
| A                  | A <sub>1</sub>                                       |
| C                  | C <sup>w</sup> , c                                   |
| E                  | e (e negatives were retested with trypsinized blood) |
| K                  | k  |

Screening for D<sup>u</sup> was performed by using all bloods negative to saline with a potent incomplete anti-D by antiglobulin method.

RESULTS

*Diego antigen.* The frequencies of Diego antigen among the populations reported are listed in table 1. The 4 positive Apache bloods came from the Mescalero and one "Apache" (tribe unknown). Since the two tribes (Mescalero and Chiricahua) did not differ significantly in the frequency of the Diego antigen ( $P = 0.28$ , by the exact  $2 \times 2$  test), results for these two tribes as well as the three Mescalero-Chiricahuas were pooled to yield a frequency of positive reactions for the Diego antigen of 4.1%.



Fig. 1 Birthplaces of 75 Indian students at the University of Michigan.



of the African bloods from the tribe gave a weak Diego reaction which was interpreted as either a false

TABLE 1

*Frequency of the Diego antigen*

|                                  | Number | Di <sup>a</sup> positive |
|----------------------------------|--------|--------------------------|
| ns                               | 775    | 0 (1 <sup>1</sup> )      |
| Mescalero Reservation<br>Indians | 108    | 4 (3.7%)                 |
| es <sup>2</sup>                  | 73     | 3 (4.1%)                 |
| Indians                          | 75     | 0                        |

The Diego character of one blood was not resolved—see text.

includes 47 Mescaleros, 23 Chiricahuas, and Mescalero-Chiricahuas. The 4 Di<sup>a</sup> positive were from three Mescaleros and one "he" (no tribe indicated).

positive or a true variant, for in an absorption experiment, this blood failed to remove the anti-Diego activity of the serum when tested against a known positive reactor. The possibility remains that this single positive reaction may have indicated a mutation at the Di<sup>a</sup> locus, but because the blood failed to absorb anti-Diego completely, it was scored as negative.

*Other blood groups.* Both to gauge the representativeness of this material and to extend knowledge on the serotypes of man, other blood groupings were also performed. A comparison of the observed blood group frequencies with those published in Mourant's "The Distribution of the Human Blood Groups" ('54) indicated that these two groups (Asiatic and American Indi-

TABLE 2

*Blood group frequencies among American Indians from the Mescalero Reservation, New Mexico, and Asiatic Indians*

|                  | Gujarati<br>Indians | Total<br>Asiatic<br>Indians | Apaches <sup>1</sup> | Mescalero<br>Reservation<br>Indians <sup>2</sup> |
|------------------|---------------------|-----------------------------|----------------------|--|
| 1                | .225                | .213                        | .466                 | .444   |
| 2                | .050                | .040                        | .014                 | .009   |
|                  | .350                | .373                        | 0                    | .019   |
|                  | .350                | .347                        | .521                 | .509   |
| 4B               | .025                | .027                        | 0                    | .019   |
|                  | N = 40              | N = 75                      | N = 73               | N = 108  |
| IM               | .475                | .467                        | .699                 | .704   |
| IN               | .325                | .347                        | .274                 | .278   |
| IN               | .200                | .186                        | .027                 | .018   |
|                  | N = 40              | N = 75                      | N = 73               | N = 108  |
| +                | .800/40             | .787/75                     | .647/34              | .574/61  |
| +                | .025/40             | .013/75                     | 0/73                 | 0/108  |
| y <sup>a</sup> + | .775/40             | .827/75                     | .593/54              | .690/84  |
| e(a-b+)          | .850/40             | .760/75                     | .742/31              | .796/49  |
| e(a+b-)          | .100/40             | .200/75                     | 0/31                 | 0/49   |
| e(a-b-)          | .050/40             | .040/75                     | .258/31              | .204/49  |
| CDEe             | 0                   | 0                           | .014                 | .029   |
| CDDe             | .300                | .307                        | .225                 | .229   |
| wCDDe            | 0                   | .013                        | 0                    | 0  |
| cDDe             | .300                | .307                        | .042                 | .048   |
| cdee             | .050                | .027                        | 0                    | 0  |
| cDEE             | 0                   | 0                           | .028                 | .019   |
| cDDe             | .075                | .133                        | .423                 | .429   |
| cDEE             | .025                | .013                        | .183                 | .162   |
| cDEe             | .075                | .093                        | .070                 | .076   |
| cDDe             | .025                | .013                        | .014                 | .009   |
| cdee             | .150                | .093                        | 0                    | 0  |
|                  | N = 40              | N = 75                      | N = 71               | N = 105  |

<sup>1</sup> Pooled Mescaleros, Chiricahuas, and Mescalero-Chiricahuas.

<sup>2</sup> Among the Mescalero Reservation Indians, 72 of the 79 C positive bloods were tested for C<sup>w</sup> and found negative.

ans) were acceptable as representative samples. Table 2 presents the findings in the other blood group systems studied.

Of the Asiatic Indians, 40 persons whose parents came from the province of Gujarat to the north of Bombay, were analyzed separately as the closest approximation to a homogeneous group. The majority of the students listing their birthplace as Bombay were Gujaratis so that the Gujarati and Bombay phenotype frequencies will be closely similar. Since information as to caste was not obtained, and it is known that even within the same caste significant differences exist between endogamous groups (Sanghvi and Khanolkar, '50) it was not expected that the sample would be homogeneous; chi squares, however, for the comparison between observed and expected frequencies for the Gujarati, were not significant (ABO, 1.45; MN, 3.52, for both d.f.=1).

Blood group frequencies for the Apaches were determined from a sample made by pooling Mescalero, Chiricahua, and Mescalero-Chiricahua Indians. The chi squares obtained by the  $2 \times 2$  contingency tables did not indicate between tribe heterogeneity for the ABO, Fy, P, and Rh systems. Chi square for the MN system was significant (6.2, d.f.=1). The significance to be attached to this observation is not clear and the data are nevertheless presented in the pooled form. The column headed Mescalero Reservation Indians pre-

sents the blood group frequencies for the entire group as representative of the Mescalero Reservation area.

Some caution must be exercised in interpretation of the Lewis blood type for the Mescalero Reservation Indians in the actual presence and size of the (a-b-) class may be an artifact caused by the age of the samples at testing.

Blood group gene frequencies for selected groups, as calculated by methods described by Mourant ('54), are presented in table 3.

# DISCUSSION

*Diego.* The findings reported here confirm the reports that the Diego antigen is lacking among Negroes (see Layrisse for a review); however, this is the first extensive report on Africans. Layrisse and Arends ('57) had examined the blood of 107 Africans distributed among 5 tribes with negative results. Of the 775 Africans tested in the present study, 10 tribes were represented by at least 20 members. Possibly, a large proportion of the 138 persons unidentified as to tribal origin were members of the same 10 tribes, so that each tribe actually is represented by a greater minimum number than that recorded. Be that as it may, the size of the total sample tested here makes it certain that the Diego antigen does not occur among native West Africans with a reasonably detectable frequency.

TABLE 3

*Blood group gene frequencies among Apaches of the Mescalero Apache Reservation and Gujarati of India*

| System | Gene            | Gujarati | Apache    |            |                    |
|--------|-----------------|----------|-----------|------------|--------------------|
|        |                 |          | Mescalero | Chiricahua | Total <sup>1</sup> |
| ABO    | p <sub>1</sub>  | .153     | .301      | .166       | .269               |
|        | p <sub>2</sub>  | .040     | .014      | 0          | .009               |
|        | q               | .237     | 0         | 0          | 0                  |
|        | r               | .571     | .684      | .834       | .731               |
| MN     | M               | .639     | .894      | .740       | .836               |
|        | N               | .362     | .106      | .260       | .164               |
| P      | p               | .447     | .632      | .603       | .594               |
| K      | k               | .987     |           |            |                    |
| Fy     | Fy <sup>b</sup> | .474     | .675      | .577       | .638               |
| Rh     | CDE             | 0        | .025      | .046       | .015               |
|        | CDe             | .443     | .442      | .454       | .471               |
|        | cDE             | .100     | .453      | .411       | .450               |
|        | cDe             | .029     | .080      | .089       | .064               |
|        | Cde             | .070     | 0         | 0          | 0                  |
|        | cde             | .359     | 0         | 0          | 0                  |

<sup>1</sup> Includes Mescaleros, Chiricahuas, and Mescalero-Chiricahuas.

ce no positive reactions were found g the 75 Asiatic Indian students, it e safely assumed that the Diego an- at most exists with a very low fre- y among Indians in the Bombay area. ong the Mescaleros, the Diego anti- as a frequency of 3/47 or 6.4%. Be- the numbers are small, the Chirica- were pooled with the Mescalero to a frequency of 3/73 or 4.1%. None e 23 Chiricahau were Di<sup>a</sup> positive, e actual status of the antigen among members of this tribe cannot be es- hed until a larger sample is secured. er blood groups. Since Sanghvi and olkar have shown that heterogeneity between endogamous groups within ame caste, the blood group frequen- presented here for the Gujarati have limited significance; they have been ated to demonstrate the general agree- with those already on record for In- populations.

e Mescalero Apaches (including the alero and Chiricahua) conform to eneral blood group frequencies of the -American Indians described by Mou- ('54), i.e., a high frequency of O ) and M (.836) a relatively high fre- y of cDE (.450) and the absence e.<sup>2</sup> Unlike the Fort Apache Indians, ver, among whom the CDE frequency eported to be .125 (Kraus and White, the Mescalero Apache CDE frequency 5.

TABLE 4

erved and expected Rh zygote frequencies among 71 Mescalero Apaches<sup>1</sup>

|    | Observed | Expected |
|----|----------|----------|
| EE | .000     | .0002    |
| Ee | .014     | .014     |
| ee | .225     | .222     |
| EE | .042     | .060     |
| Ee | .423     | .426     |
| EE | .028     | .013     |
| EE | .183     | .203     |
| Ee | .070     | .058     |
| ee | .014     | .004     |
|    | .999     | 1.0002   |

cluding 45 Mescaleros, 23 Chiricahuas, and Mescalero-Chiricahuas. Chi squares with egree of freedom are:

in tribe—Mescalero, 1.63; Chiricahua, 1.21. een tribe—Mescalero-Chiricahua, 2.84. l for the figures reported in this table, 2.98.

There is good agreement between the observed and expected zygote proportion (table 4). A significant difference between the observed and expected zygote proportions among the Fort Apache Indians was taken as evidence for selection, but the Kraus and White Rh typings have been criticized on technical grounds (Chown, '57).

## SUMMARY

The Diego antigen was not found among 75 Asiatic Indians or among 775 West African Negroes. The antigen was found, however, on the red blood cells of 4 of 108 Indians from the Mescalero Apache Reservation, New Mexico.

Blood grouping of the Asiatic Indians and Apaches were performed for the ABO, MN, Rh, P, Kell, Duffy, and Lewis. Only limited information can be drawn from the blood groupings of the Asiatic Indians, due to their diverse origins.

The Mescalero-Chiricahua combined gene frequencies were in general similar to those previously reported for American Indians as demonstrated by high frequencies for the genes responsible for the O (.731) and M (.836) reactions, relatively high cDE gene frequency (.450) and absence of the cde gene-complex.

## ACKNOWLEDGMENTS

The anti-Diego serum used in this study was very generously supplied by Dr. M. Layrisse. The Liberian blood samples were drawn under the supervision of Dr. Frank Livingstone, and the Ivory Coast samples under that of Dr. G. Binson. Mr. Peter Kunstadter and Dr. H. E. Sutton cooperated in making the Apache bloods available for this study.

<sup>2</sup> Brown et al. ('58) have reported gene frequencies which differ slightly from those reported here on the basis of these very data. The discrepancy lies in the facts that two samples were discovered to be repeats, bringing the total from 110 to 108 and that more complete tribe information became available enabling the gene frequency calculations to be made for a reasonably pure Apache group, whereas the gene frequencies reported by Brown et al. were computed for the entire group.

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# Transplantation Studies of Factors in Skeletal Organogenesis

## THE SUBCUTANEOUSLY IMPLANTED IMMATURE LONG-BONE OF THE RAT AND MOUSE<sup>1</sup>

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The purpose of this paper is twofold: to illustrate the application of a transplantation technique to the study of certain processes in the development of skeletal organs of the mouse and rat; and, secondly, to discuss some observations and conclusions that have bearing upon the general anthropologist's problem of interpreting skeletal form and function. We have used subcutaneous whole-bone transplants not only in the study of skeletogenesis *per se*, but also in the investigation of problems in tissue storage and the fate of skeletal tissue grafts or transplants. The culture, growing whole-bone is an interesting and profitable substitute for simple bone grafts, for deviations from normal skeletogenesis can be used as dynamic factors for tissue survival and behavior in the evaluation of storage methods (Felts, 1957) or host reaction (Felts, '57b). It is an illuminating divergency, as well as the mere existence of the postnatal organ on a non-functional site, that have resulted in our present discussion of factors in skeletal organogenesis. The incompleteness of the picture is due largely to the factor of time rather than that of degree. The experiments discussed here are of a continuing series. At the present time a study is being made of the mouse femur grown under controlled longitudinal compression and the behavior of embryonic and postembryonic mandibular implant is under investigation. This study should serve to establish certain bases on which these and subsequent observations will be made.

In the late nineteenth century there was established a concept of skeletal plasticity

and dependency of organization upon the functional environment. A review of this historical development is not warranted here (see Murray, '36 and Evans, '57), but the concept is best expressed in Wolff's Law (1892) which states simply that a given bone is organized to meet a particular functional circumstance and that if the functional circumstance is altered, then the bone's structure will be altered. Conversely, the pathologically altered bone will reorganize to meet again the functional milieu. Although there has been considerable controversy about certain details, the basic tenet is acceptable today, especially as it applies to the advanced or mature skeletal organ.

Almost from the beginning, however, the idea of dependency upon the environment was applied rather in excess to the process of skeletogenesis. It required the development of a new technique—tissue and organ culture—to establish that the differentiation and early development of the skeleton is dependent as much, if not more, upon an inherent pattern than upon functional circumstances. Since the 1920's, Fell and her associates (see summary review in Fell, '56) have studied the skeletal elements of the chick, *in vitro*. It has been shown that any cultured long-bone primordium will exhibit a high degree of developmental autonomy, producing the cartilage model from preskeletal limb-bud mesenchyme. The same is true of any

<sup>1</sup> This investigation is being carried out under grants from the National Institute for Arthritis and Metabolic Diseases, NIH, PHS (A-1104) and the Medical Research Fund, Graduate School, University of Minnesota.

cartilage-preceded skeletal unit. Similar cultures have shown that joints will differentiate in isolation. More recently, mammalian skeletal primordia have been cultured *in vitro* with comparable results (Chen, '52; Ray et al., '54). Long-bone primordia (chick) also have been cultured in the richly vascular chorio-allantoic membranes of the chicken egg (Murray and Huxley, '25; and Murray and Selby, '30) and (rat primordia) in the adult rat brain (Willis, '36).

These studies have led to the conclusion that the general form of embryonic long-bones is the expression of the inherent pattern of development of the skeletogenic tissue. It may be concluded too that the fine features, superimposed on the basic form, are dependent upon the concomitant differentiation of the functional limb. Murray has summarized these findings as follows: "... the gross form of . . . (the) parts of the limb skeleton is developed by self-differentiation, that is, under the direction of factors intrinsic in each developing element. These factors are not, however, sufficient for the production of a functional skeleton. In the early stages, when the development of gross form is progressing, it is doubtless essential that extrinsic forces . . . shall not deviate far from the normal conditions; it would be absurd to suggest that the intrinsic factors could produce a normal skeleton however unfavorable the extrinsic factors might be. In the early stages the intrinsic factors are determinative, the extrinsic factors only important in providing conditions in which the intrinsic factors can act. In later stages, when the gross model is being refined and perfected the importance of extrinsic factors increases." ('36, pp. 19-20).

When one turns from the isolated embryonic rudiment to the postembryonic skeletal organ, he is faced with the rather unexplored problem of the relationship of the inherent, intrinsic and the functional, extrinsic factors in more advanced development. There are several major questions concerning the relative importance of these two groups of factors in the period between the cartilage model, just ossifying, and the mechanically orientated and adaptive calcium storehouse that is the adult bone.

For example: Once ossification has begun, how much is morphogenesis dependent upon, or—indeed—limited by, the forces within the limb? To what degree is the overall growth process dependent upon specific pattern and level of blood supply? How rigid is the pattern of ossification? the sequence of primary and secondary centers of the organ existing outside the system? Will articular surfaces hold their acquired form in the absence of reciprocal joint relationships? At a nonpathological whole-organ level, we have little information upon which to base answers to these and many other questions. By the present technique, however, it is possible to approach answers to at least some of these questions.

Because of the nutritional and technical limitations inherent in the techniques of organ culture (*in vitro*) and chorio-allantoic grafting are practical for only the very shortest-term experiments with small volumes of tissue. The long-term experiment with skeletal organs requires a suitable site within the body of a host capable of surviving at least as long as the duration of the most active phase of growth of the implant. As may be seen in the following survey, many different sites in a variety of laboratory animals have been used by previous investigators.

The brief literature on postnatal organ or major tissue-blocks implanted in mature or adult hosts is colored by the fact that only the autologous or isologous implant will succeed for more than a few weeks. In the currently accepted terminology (Transpl. Bull., '55), organ plants or grafts fall into the following categories: *autologous*, within a single individual; *isologous*, between individuals of the same inbred strain; *homologous*, between members of the same species (strains differing); *heterologous*, between individuals of differing species. In experiment with the mouse, the implants are isologous, the strain (BALB/c) being inbred. The rat implants are also homologous, the animals not being inbred by current standards. However, in the case of phalanx implants, between litter-mates with parents mated siblings, evidence is seen of none of the characteristics of the reaction seen with our later frank homologous

mouse (Felts, '57b). In current and past studies, however, only the inbred strain would be used.

Simon and Simon ('23, and Simon and Simon '25) implanted long bones (and parts thereof) of the guinea-pig fetus, juveniles and adults of that species. They found essentially normal short-term survival of whole bones and longer survival of cartilages only—essentially the findings of recent studies of homologous mouse bones (Bacish and Wyburn, '55; Felts, '57). Barnicot ('41), interested primarily in the genetics of a strain in which resorption is sometimes lacking, carried out (isolated, subcutaneous) implantation of rib segments and parts of tibiae between littermates. A similar study has been made by Lettelle *et al.* ('57). Rib segments and halves (rat) have been implanted in the rat (Letellier '54) in order to evaluate the effect of certain female hormones upon the growth of the symphysis. Like Barnicot, he demonstrated cartilage growth and the progress of ossification in ribs and, further, illustrated basically normal morphogenesis in the pelvis. The study of Willis ('36) demonstrates that the embryonic rat long bone will develop to postnatal levels in the strain of adults.

At least two studies of isolated cartilage have been of relation to our chief interest. Dumas ('41) investigated the rates of growth of autologous and homologous cartilage (costal, cubed) implanted subcutaneously in the rabbit. He demonstrated that cartilage retains a growth rate directly comparable to that expected for site of origin and age of donor. In a series of papers culled together in a monograph, Lacroix ('51) has only documented the growth behavior of epiphyseal cartilage (rabbit) in the renal capsule and brain, but also presented evidence of the ability of growth-cartilage to precede (rather than simply precede) osteogenesis. This aspect is related directly to our own experiments and we will attempt to elucidate its role in whole-organ development.

#### MATERIALS AND METHODS

The experiments reported here consist essentially of the removal of a whole-bone from the embryonic, neonatal, or immature mouse or rat and its implantation,

intact or in pieces, under the skin of either a litter-mate or an adult where it grows and develops. Unless otherwise indicated, these implants or transplants (the former term best suits the non-functional circumstance and unnatural position) are of the *isologous* type.

The site for implantation, generally termed subcutaneous but actually subpannicular, has been used simply for ease of approach and because, after early trials, no real value could be seen in the use of such relatively difficult sites as the renal capsule or the brain. The immature donor is sacrificed with ether, washed in 70% alcohol and placed under a compound dissecting microscope. The bone is removed with iridectomy scissors and fine forceps and placed in isotonic saline or Ringer's solution while the rest of the group is collected. Although most of the adherent muscle tissue is removed, cleaning is stopped short of possible derangement of the periosteum or perichondrium. The host is ether-anesthetized and a small area on the dorsal lumbar region is cleared of hair and scrubbed with alcohol. From a short midline incision in this area, small scissors are passed in laterally under the pannicular layer to create pockets into which the bones are placed. Care is taken that the implants are as far from the incision as possible and that, if more than one bone is placed on a side, they are not in contact. The incision is closed with sutures and a covering of collodion. By this technique, some 600 implants of long-bones and certain others of the skull and thorax have been made with only about 5% loss due to infection or (homografts excepted) vascular failure. Only in the case of postnatal mandible implants was it necessary to add wide-spectrum antibiotics to the saline to combat bacteria (a frequently unsuccessful measure).

When removed from the host, implants are fixed in formalin or Zenker's solution, then either processed for sectioning or cleared in KOH (with alizarin red S) and glycerin for gross study. This is a modification of the technique of Noback ('44). Photographs for drawings and measurements are made with an Exacta 35 mm camera (72 mm microtessar lens) on a Varex macrophotographic apparatus with



which single bones are now being studied in serial photographs taken via skin windows (Felts and McCarthy, '58). Other measurements are made on the fresh or cleared specimen with a Zeiss ocular micrometer (vernier dial) mounted on a dissecting microscope.

Because this paper represents a selective summation of results from a variety of experiments, some indication of the numbers of implants and controls is required. Figures 1, 2, and 3 represent results of a long-term study of the rat phalanx implanted in litter-mates at 5 days after birth. Points on the graph (fig. 1) represent the mean of 4, and in two cases, three individual implants or controls, for a total of 160 specimens. The inked frontal views in figures 2 and 3 are average or composite outlines of all such implants or controls for the given date. Figure 5 is again a composite series of drawings of humeral implants, placed in adult hosts at two days after birth. Each represents three implants for a total of 33 in that particular experimental series. In figure 6, the two-day and 30-day normals (a and b) were drawn

from single typical specimens from very homogeneous population while implants (c and d) of differing experimental treatment were drawn as a composite of 5 specimens each. Finally, figure 7, A and B, the 30-day experimental results were constructed from both dimensions and outlines of 5 implants each, from one of the 6 experimental series of this type we have made. In regard to all these experiments, it has been our consistent attitude that the illustrative techniques are sufficient for the level of discussion and that statistical treatment neither warranted or desirable for present purposes.

#### OBSERVATIONS

To test the ability of a small, simple bone to grow and develop and be maintained indefinitely in the subcutaneous site, the phalanx (proximal, second hind-foot) of the 5-day rat was placed in litter-mates. Results are illustrated in figures 1, 2, and 3. This particular bone is the type having a secondary center at the end (proximal) only, and at 5 days it

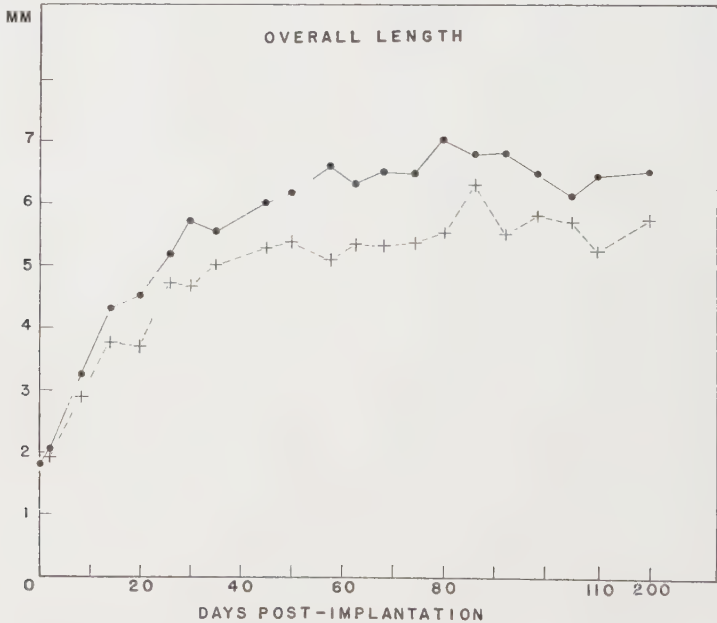


Fig. 1 Growth in length of implanted (broken line) and control (solid line) rat phalanges. Implantation at 5 days postnatal into littermate hosts. Points represent means of 4 cases each, except for those of 85 and 200 days which are based on only three cases. Note compression of horizontal axis between 110 and 200 days.



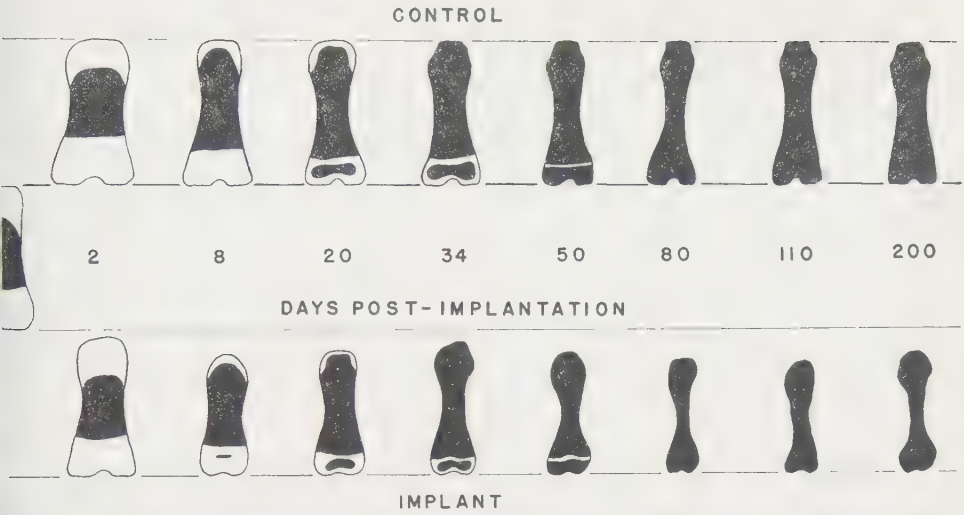


Fig. 2 Comparison of morphogenesis of implanted and control rat phalanges. Implantation (0) at 5 days postnatal. Shaping and the implant/control growth difference emphasized by holding the initial (far left) and all controls to constant height and the implants to correct relative size. Articular cartilage not shown when it cannot be depicted in scale thickness.

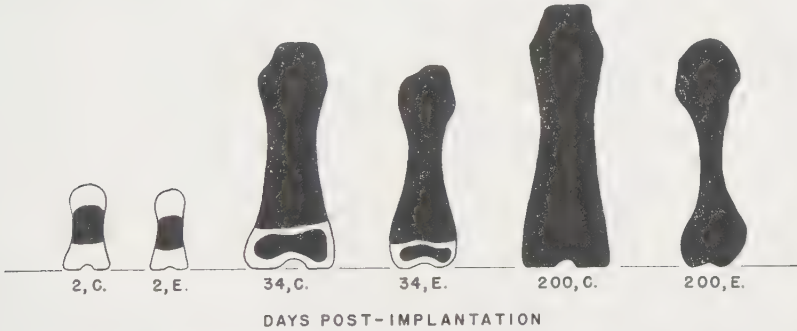


Fig. 3 Comparison of experimental (E) and control (C) rat phalanges at three post-implantation intervals. Articular cartilage not shown where it cannot be depicted in scale thickness. Approximately  $5\times$  natural size.

nately 2 mm in length. Figure 1 de-  
the growth in length of both implants  
en line) and controls (solid line).  
a slight retardation in rate, the curve  
plants still approximates that of the  
ls. Final length attainment,  $3\times$  the  
, compares well with that of the con-  
 $3.5\times$ . Overall length is, of course,  
unction of longitudinal proliferation  
tilage alone. Morphogenesis is an-  
and more complex matter.

Figure 2 illustrates comparative morpho-  
ls drawn to common length. In such  
nat, implants appear progressively

shorter because of the lessened rate of  
growth. Three stages of this series are  
drawn to scale in figure 3. Relative to  
these illustrations, the following comments  
seem pertinent: (1) Maturation, as indi-  
cated by the progress of ossification (pro-  
portion of ossified shaft to cartilage, size  
and shape of secondary center), is the  
same in the two series. (2) The phalanx  
normally becomes more slender with time.  
The ratio of proximal and distal diameters  
to overall length progresses about the same  
in the two series. Because the experimental  
becomes flattened in prolonged implanta-  
tion, the anteroposterior and transverse

diameters had to be averaged to show this. (3) The implant, especially after maturity is reached, undergoes a notable relative and, in many cases, an absolute reduction in mid-shaft diameter. (4) There is a progressive loss of specific or normal details of articular surfaces and shaft form.

Similar results are seen with implantation of young mouse humeri in adult hosts. Figure 6a illustrates the normal two-day humerus, b the normal 30-day, and c the humerus implanted at two days and removed 28 days later. Size attainment of the implant is excellent and maturation is identical with the normal. With implantation in an adult host, the humerus does not revascularize as rapidly as the phalanx does in the litter-mate, and, therefore, lags a bit during the first two weeks. The proximal and distal center normally appear, nearly together, at 5 or 6 days post-natal. In the implant (fig. 5), the distal center only is visible by about 10 days. By 15 days (fig. 5) the implant is essentially normal in ossification. Thereafter the condition of the primary and secondary centers is normal and all subsequent fusions are on schedule. The total development of the humeral implant is shown in figure 5. This series exhibits a loss of small surface features similar to that seen in the phalanx. Note too that the humerus, like the phalanx, has a diminution of shaft diameter after growth is completed.

*The environment of the implanted skeletal organ.* The environment into which the subcutaneous implant is introduced may be discussed under two headings: nutritional and mechanical. The first involves the re-establishment of a blood-supply to the implant and because of the intricate relationship of this event to growth and development, it is worth detailed scrutiny. The second is relatively simple and rather justifies use of the term "non-functional site."

In its normal position, the skeletal organ is integrated with a specific vascular pattern that differentiated with it (Harris, '28; Weinmann and Sicher, '47; Streeter, '49). In a very real sense, much of the structure of the normal skeletal organ (e.g., marrow-cavity, nutrient foramina, Haversian systems, cartilage canals, and even the organization of the epiphyseal plate) is

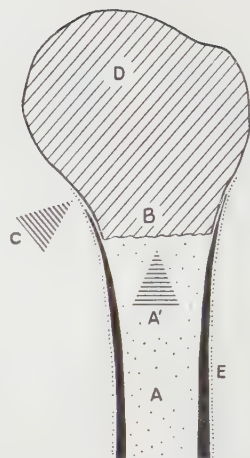


Fig. 4 Diagrammatic representation of events and events in the revascularization of implanted mouse humeri. See details in text.

directed toward a fine dependent relationship with the vascular system (Harris, '56). It is hardly conceivable that the intrinsic vascular pattern, even in the simplest developing long bone, would connect end-on with the non-specific external pattern of the subcutaneous site. The process of revascularization is thus one of adaptation of a pre-existing osteogenic mechanism to a new vascular pattern. Figure 4 illustrates the sequence of events that they would occur in the proximal end of the humerus with the ingrowth of new vessels. The description is based on the blood vessel pattern observed at implant removal, and on a correlated analysis of the gross and microscopic distribution of vessels in implants. Cartilage, with its high metabolic demands (Laskin and Spector, '53), survives transplantation better than the other skeletal tissues. With minimal nutrient supply this tissue block will continue transverse and longitudinal growth (Felts, '57a). Elongation adds to the pressure of hypertrophy of the growth cartilage (fig. 4, B) which is due to be replaced by bone and marrow. If marrow (A) survives the vascular disruption, its osteogenic cartilage-erosive component (A') will invade that zone (B). However, if revascularization is tardy or the marrow for other reason fails to survive, then vascularized connective tissue (C) peripheral to the implant will invade the cartilage. This process occurs in nearly all implants, in im-

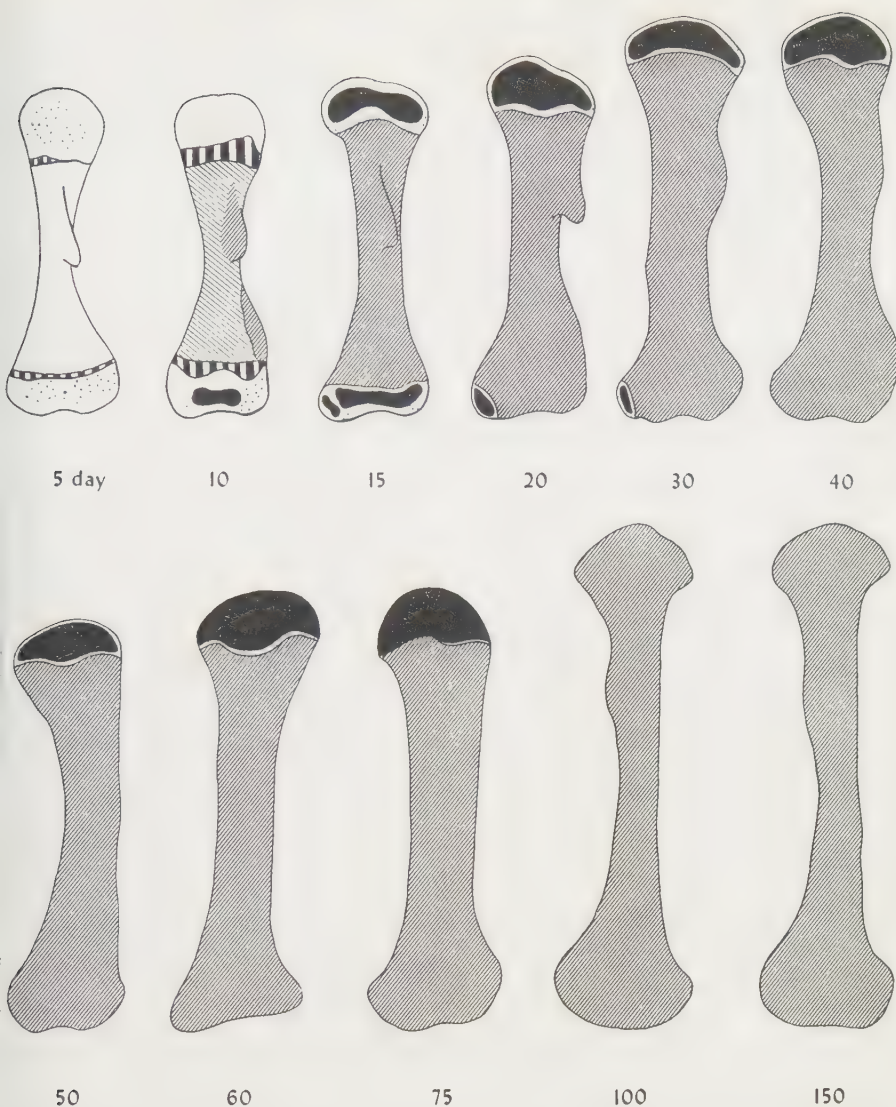


Fig. 5 A series of mouse humeri, implanted at two days postnatal and recovered at indicated intervals. Code as follows: cartilage, stipple; unfused secondary centers, black; original bony shaft, white; light deposition of periosteal bone, light diagonal; heavy deposition, dark diagonal. Broad vertical lines indicate region of peripheral invasion of cartilage in early stages. Articular cartilage not shown where it cannot be depicted in scale (thickness,  $8 \times$  natural size).

tion to the activity of the original y. The result, as it usually appears in preparations, is seen in the 5- and implants in figure 5, at either end of shaft (heavy vertical lines), and in 3 in histological section. After about 3 these regions are masked by the deposition of periosteal bone (see

rest of series in fig. 5, and in fig. 9). The most obvious and complete result of invasion from the periphery is seen in figure 6 (d) under circumstances which will be described in the next section. The invasive process just discussed is virtually identical with the intrusion of osteogenetic vascular mesenchyme into the central zone of cel-



lular degeneration and matrix calcification in the early cartilage model (Barnicot, '41 and Streeter, '49).

Two other events in the process of revascularization are of importance to the morphogenesis of the implanted long bone. The first postimplantation activity of the periosteum (fig. 4, E) is the production of a layer of cartilage, not bone. This thin cartilage, invisible in cleared specimens but obvious in histological section (fig. 10), is subsequently replaced by a layer of bone trabeculae which are observable (fig. 5, 10-day and fig. 11) as a light deposit of new bone. Thereafter, bone deposition progresses on the trabeculae but the cortex is thus spongy—like a fracture callus—for a considerable period. The final result is a heavy, uniform layer of bone (fig. 5, 19-day and beyond and fig. 12). The entire sequence is comparable to callus formation in experimental fractures (Ham and Harris, '56) and is indicative of the bipotency of skeletogenetic cells under trauma or vascular disruption (Lacroix, '51). Lastly (fig. 4, D and fig. 11), the secondary centers in long-bone implants may arise from invasions in through the articular surface. Such centers (whether originating along one or the other line) may ultimately increase in size until areas of articular surface are replaced.

The importance of revascularization timing, and—indirectly—the role of vascularity in skeletogenesis, is shown vividly in an incidental experiment in which a needle was inserted under the skin and passed around one end of a humerus one week after implantation. At the end of a month, the one end of the humerus had the expected maturity while the other, with disrupted revascularization, was two weeks retarded. Similarly, the age of the host—as related to general connective tissue activity and blood-vessel growth—is an important factor in revascularization. The phalanges grown in litter-mates evidence little or no retardation in maturation (fig. 2) while humeri implanted in adult hosts lag during the first two weeks but finally compare well with controls by one month (fig. 6, b and c). In this regard, the most favorable environment into which we have placed mouse long bones is the highly

vascular chorio-allantoic membranes of the chick egg. Such implants, practical cause of the immunological immaturity of the chick embryo, show no lag in maturation.

In its position between deep muscle and overlying panniculus and skin, the implant is subject to a certain undetermined amount of compression. This is manifested in the anteroposterior flattening of the advanced phalanges and in humeri implanted over 40–50 days. Early in the investigation it was considered that development of a thin connective tissue sheath or capsule about the implant might be an expected result of the healing process, might act to retard elongation. In view of the relatively tremendous compressive stress we now know to be required to distort the cartilage masses of the humeri implant (current studies of Monsieul, Felts, abstracted, '58), this view has been rejected.

*The behavior of isolated components of long bones.* We have examined the growth and development of the implanted bone and discussed the relationships to a new blood supply to the several component tissues. The fact that cartilage, bone, and their germinative tissues occur at different physiological levels and precise spatial relationships permits us to go a step further and separate the growth components from one another. We thus visualize more clearly the interrelation of the tissues in the developing organ.

Figure 6 illustrates 4 humeri of a mouse. Specimen a is a normal two-day humerus, b is a normal 30-day humerus, c is also of 30 days but spent 28 days with a subcutaneous implant in the adult mouse. Stated earlier, its developmental level is basically that of the normal but is smaller. Specimen d is the same age but after the donor was sacrificed 28 days, the body was stored at 24° for 28 hours before the humerus was implanted for the standard 28 days. This temperature and duration of storage has been found to be sufficient to permit only a slight lag to survive (Felts, '57a). Bone, periosteum, and marrow, with higher metabolic demands, were subtracted from the growth pattern. The cartilage grew and its ex-



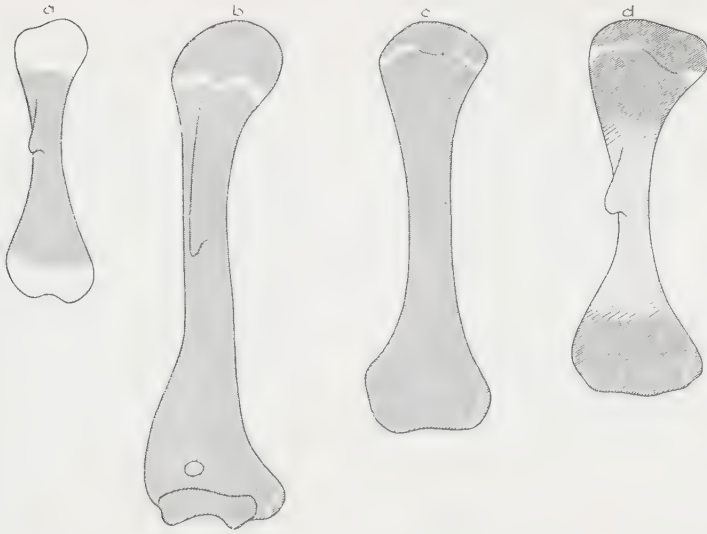


Fig. 6 Comparative series of control and experimental mouse humeri. Specimen a is a normal two-day postnatal; b is a normal 30-day. Specimen c is an implant of the same age (two days plus 28 days implantation). Humerus d is of the same timing as c, but was stored for 24 hours at 24°C. Code: cartilage, white; all vital bone, dark diagonal; dead bone, stipple; composite on d, overlap of vital on dead bone. Articular cartilage not shown where it cannot be depicted in scale thickness. Approximately 8 × natural size.

was replaced by bone and marrow derived from the invasion of peripheral vascular connective tissue. The result is a complete marrow-filled metaphyseal ossification attached to either end of a (two-day) shaft (fig. 13). At the distal end, metaphyseal closure is complete while, at the proximal end, cartilage is reduced to an epiphyseal line capped by a secondary center covered with articular cartilage. The functional status is thus wholly comparable with that of the control implant and normal. Actually, the stored humerus is shorter across the ends relative to length than is the normal. It has been a consistent observation that with complete lack of the intramedullary ossification route, ossification is slower but, because of the late peripheral circulation, considerable transverse growth occurs before ossification.

The same basic process is seen in the following experiments in which the cartilage and bone portions of a long-bone were separated separately in the subcutaneous site. In the first (fig. 7,A), the two-day humerus was sectioned at the proximal chondro-osseous junction and the major and minor

parts were implanted on opposite sides of the adult host. After a month, the isolated proximal cartilage is seen to have a normal secondary center and to have elongated. The replacement of this elongation by bone has created an upper shaft segment of essentially the shape and size one would find in the intact implant of the same age. The rest of the humerus fared as well. The distal secondary center appeared and then, as expected, fused with the shaft. Before this transpired, however, cartilage elongation added to the length of the segment. New bone also was deposited on the shaft. It should be observed that, while the original shaft and marrow is absent, the cut surface of the proximal cartilage (i.e., the two-day "epiphyseal line") is directly exposed to vascular connective tissue. This contrasts with the condition just described for the stored humerus, and the severed cartilage mass therefore produces a more normal shape than does the cartilage mass isolated atop a dead shaft.

In the second experiment, both cartilages were freed from the shaft (fig. 7,B). The proximal segment fared as before. The distal is seen to have produced a bit of

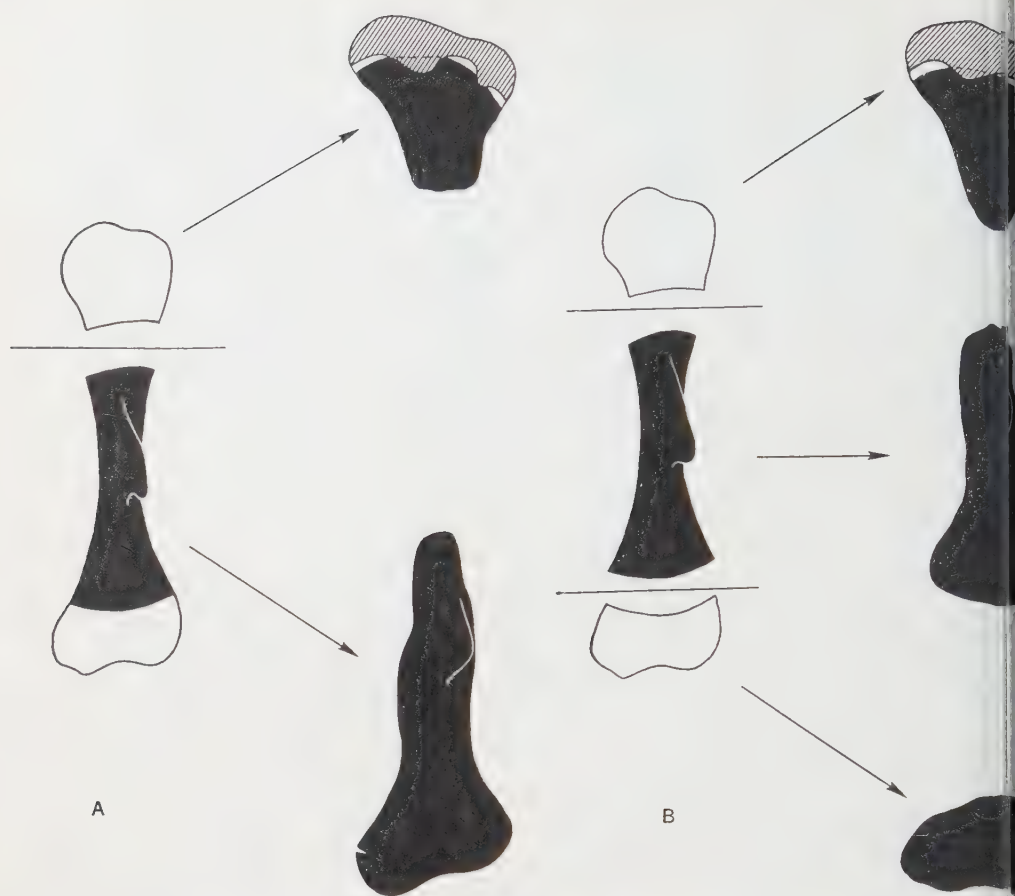


Fig. 7 Results of separate implantation of one cartilage and the rest of the humerus (A) and both cartilage and the isolated shaft (B). Implantation at two days, recovery after 28 days. Articular cartilage not shown where it cannot be depicted in scale thickness.  $11\times$  natural size.

shaft and then the secondary center and the new shaft rudiment fused. Except for a thin layer of bone replacing the narrow zone of cartilage left with the shaft when cut, the middle segment shows no length increase. Diameter was augmented by new periosteal bone.

#### DISCUSSION

The present experiments clearly illustrate the utility of whole-bone transplantation in the analysis and more lucid visualization of some of the basic skeletogenetic processes. Subcutaneous implantation of immature long-bones is relatively easy, and the host environment is generally adequate for the physiology of the organ and its

components. Although the degree of nutrition does not approach that obtained *in vitro*, the implant is effectively removed from the level of forces encountered in an active limb. Actually, the immature bone is as isolated as its relative size permits, and its cellular composition permits, and its integration into the circulatory system of the host permits a vastly greater approximation of normal growth rate than does the nutrient level of *in vitro* culture media. At the tissue level, our findings are in keeping with the basic observations of earlier (C) investigators in bone and cartilage transplantation behavior.

The long-term study of the rat phalanx and the mouse humerus establishes the

ideal circumstances of revascularization the immature long-bone will con-grow and development. It appears the disruption of the original blood and the lapse of time before com-revascularization are enough to ac-for the observable growth insuff-y. The absence of limb conditions, all that this implies, seems therefore of insignificant consequence to gross organogenesis of the postembryonic long-bone. If one sums up this finding with of Fell ('56) on development of the age model *in vitro*, it is obvious that—without development—the general fea-of long-bone size and shape exhibit a of dependency on the mechanical nment.

ough general size and shape develop-in the implant compares so well with ormal, the contrary is true for the pment and maintenance of small tional characteristics." In the pha-normal flatness of the posterior aspect ie sharp concavity of the proximal ar surface soon disappear (figs. 2, the humerus, the spine and details articular surfaces are soon absent, ie lateral (supraepicondylar) ridge e olecranon foramen fail to appear (5, 6). These highly specific char-istics of the individual long-bone are sort that fail to appear in *in vitro* es and chorio-allantoic grafts (Mur-36, summarizing the early work of d colleagues and his own work with y and others). In our subcutaneous nts (humerus), a comparable in-is the absence of the olecranon en. Normally appearing in the first eeks after birth, the aperture is re-to the development of full range of a in the elbow joint. In similar n, the ridge above the lateral epi-le (fig. 6,b) is not yet formed at two and, in the absence of the muscles ing to it, does not develop in the im- However, many of the surface de-are already established in cartilage e at the time of implantation. The cation or disappearance of these re-another explanation.

plants removed at close intervals (as are 5 and in current work with daily

photographs) show that the disappearance of protuberances, ridges, and depressions is solely the function of the normal incremental processes of cartilage and bone. The precise features of the original shaft are literally submerged in new periosteal bone, much as the exact form of a figure is lost as it is dipped many times in par-affin (observe the spine across series in figure 5). On the cartilage surfaces, the concomitant loss of characteristic detail must be attributed to the multiplication of cells in the absence of those articular-surface relationships that normally modulate a well controlled, but gross, volumetric increase. There is no evidence, in histological sections, that a germinative perichondrium forms on these surfaces (where it would not normally be present), causing submergence of surface detail.

The gradual loss of characteristic surface detail during active growth is to be contrasted with the fate of attained (implant) form after full maturation is reached. The first we have attributed to the addition of substance without specific functional directive, and resorption is not held to be a factor. However, attention has been drawn twice (phalanx and humerus) to reduction in shaft diameter as a feature of fully grown implants. The developmental end-point is essentially the same in control and in implant, yet reduction of diameter is seen only in the latter. Reduction of shaft diameter is a relative thing. In some humeral implants this dimension is greater than in controls of the same age, and a high degree of individual variation is seen in any series (fig. 5, 5 through 75 days). Such variation is definitely related to the timing of revascularization and, quite likely, to lack of control in the absence of normally circum-jacent muscles. Diameter may, incidentally, appear larger in frontal view of specimens that are somewhat flattened antero-posteriorly. The fact remains, however, that many of the humeri are narrower in midshaft after epiphyseal union than are specimens collected earlier (regardless of whether earlier humeri are thicker than normal) and a number of these are narrower than the initial two-day implant. Recently we have observed narrowing of



individual implants followed serially over long periods in skin-window photographs. Finally, fully grown phalangeal implants are almost invariably narrower than were the original 5-day implants. Clearly, this phenomenon requires further study. From the information at hand, however, it might be theorized that after completion of growth, skeletal organ form is maintained in balance with postural and muscular forces, and that absence of these forces in the case of the implant removes the causative factor in form maintenance. One would hesitate to term this "disuse atrophy" because of the long delay and apparently precise onset, and because of the absence of general rarefaction of bone.

We are in agreement then with Murray's contention that the gross form of long-bones is determined by intrinsic factors while specific details and precise relationships with other skeletal units are determined largely by active and passive components of the normal limb. However, we would extend the period of development encompassed by Murray to include the total organogenesis of the long-bone. Further, we would suggest the possibility of a need for the functional environment to maintain that which, ontogenetically, may be produced in comparative disregard of it. There remains but to define, in terms of these results and the available literature, the nature and extent of the intrinsic factor.

The intrinsic factor responsible for the high autonomy of long-bone development is obviously the nature of the cartilage model. In the general literature, "cartilage model" usually implies simply a miniature of the future "bone," differentiated from mesenchyme and not yet subjected to osseous replacement. In terms of total development—as analyzed by *in vitro* cultures, chorio-allantoic grafts and *in vivo* implants—the cartilage model may be defined more broadly as a pattern or format which expands by its own means and upon which the total organ is constructed. Transplantation studies demonstrate that long-bone development is more than a series of coincidental temporal and spatial relationships of cartilage and bone. Lacroix ('51) implanted the isolated central portions of epiphyseal cartilages into the

brain and renal capsules. These implants were free of perichondrium, periosteum, and marrow, yet endochondral ossification was re-established and bone formed at periphery, just as the edge of the model shaft constantly overlies a portion of growth cartilage. Lacroix interprets this result to mean that cartilage induced differentiation of bone *de novo*. In implants of whole isolated proximal and distal humeral cartilages, we have seen bone replace cartilage where and when it was not present in the intact organ. With only minor differences, the same process occurs in the cartilage of stored humeri. As suggested as we are in morphogenesis rather than cell differentiation, it is not immediately pertinent whether the osteogenic tissue arose from the perichondrium of implanted cartilages or from stimulated osteogenetic-potential cells in the host. Lacroix's implants and these cartilage models point to a process by which growth and maturation in the cartilage model control osteogenesis in the skeletal organ. The autonomy of development in the cartilage model preceded skeletal organ is, therefore, an expression of the pattern of differentiation of growth and maturation rates and conditions established at the differentiation of cartilage from limb-bud mesenchyme. At the same time, local compressions and tensions set up in the limb environment are reflected in the development and maintenance of surface detail. This pattern is operable in the highly resilient cartilage model and, with ossification, in all the divisions of the model, until static bone and articular cartilage alone remains. Ossification is but a continual process of substitution of highly plastic osseous tissue into an expanding pattern derived from the embryonic cartilage model. This cartilage model is the determinate of size, gross shape, and maturation. Within broad limits of mechanical, nutritional and hormonal influences it is essentially self-governing.

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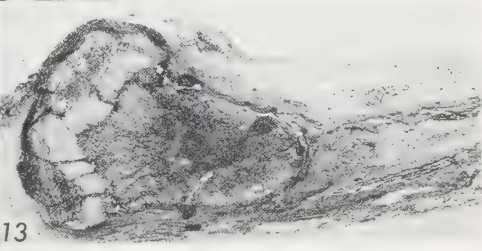
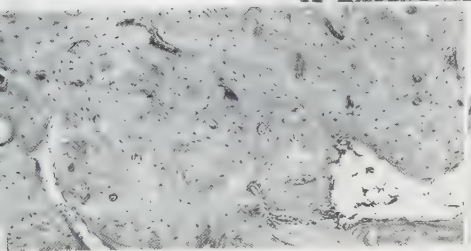
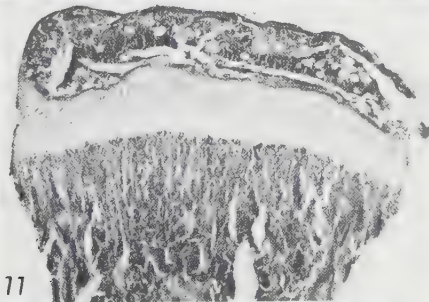
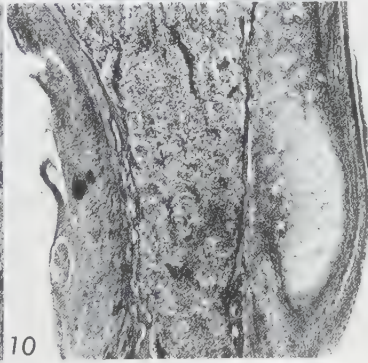
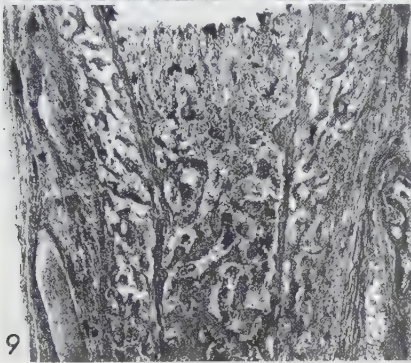
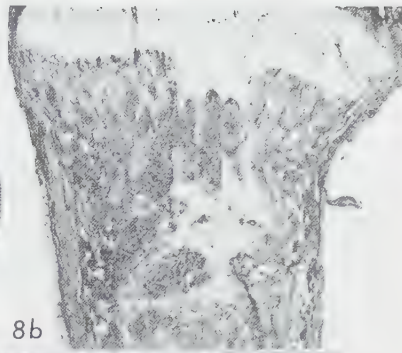
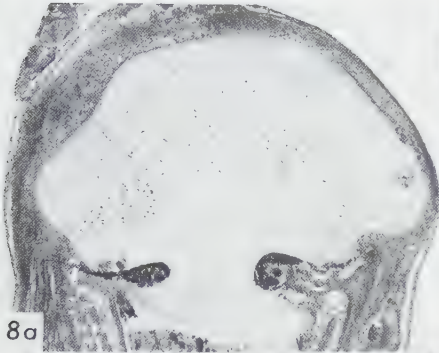


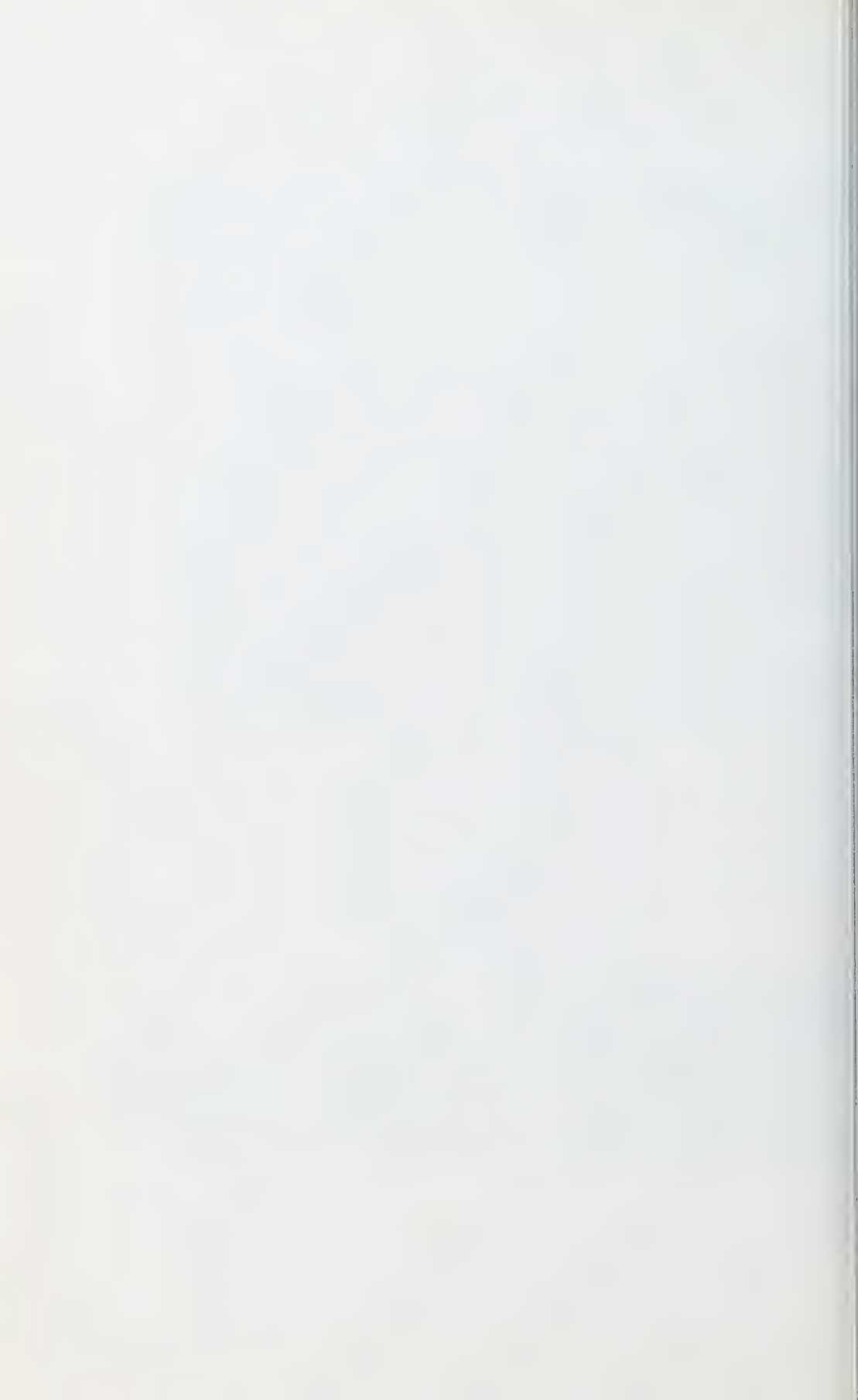
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## PLATE 1

### EXPLANATION OF FIGURES

- 8a and 8b Two photomicrographs illustrating early (a) and advanced (b) stages of endochondral ossification in the proximal end of the implanted mouse humerus. Implantation at two days postnatal, removal at 7 and 14 days respectively. Note early invasion of cartilage, by-passing the original ossification front. In later specimen, a basically normal epiphyseal growth zone is established. Original cartilage front and an island of earlier cartilage remain and are partially covered by bone.  $\times 28$ .
- 9 Photomicrograph of upper half of ossified shaft of 16-day implanted mouse humerus, illustrating uniform deposition of trabecular bone resulting from replacement of early post-implantation formation of cartilage by periosteum. Some atypical endomedullary trabeculae are also visible.  $\times 28$ .
- 10 Section through upper half of ossified shaft of 6-day implanted mouse humerus, illustrating formation of cartilage by periosteum of humeral spine. Note invasion of cartilage and partial replacement by bone and marrow.  $\times 28$ .
- 11 Section through proximal end of implanted humerus of 21 days. Epiphyseal growth cartilage is of normal appearance. Secondary center is well-developed but articular cartilage is generally absent.  $\times 34$ .
- 12 Higher magnification ( $\times$  approx. 100) view of compact bone eventually formed by deposition on the trabeculae seen in figure 9. Section is oblique through upper shaft.
- 13 Photomicrograph of frontal section through proximal half of mouse humerus stored 24 hours at  $24^{\circ}$  C before implantation. Initial age, two days; duration of implantation, 28 days. Original cartilage front represented by transverse bony plate. Marrow to left is as expected; to right it is replaced by fibrous tissue. Diaphysis to right is acellular. Condition of epiphyseal plate is as in unstored implants of same age.  $\times 11$ .







# Metric and Non-Metric Features of the Clavicle in the Australian Aboriginal

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ough the craniology of the Australian-aboriginal has been extensively studied, there has been little reference to the remainder of the skeleton. This study with the clavicle and the material obtained was from the Murray Black Collection in the University of Melbourne. The collection was obtained from a relatively localized area in the South East of Australia and the bones used were of both sexes.

## MATERIAL AND METHODS

From the series available for study, no selection was made other than the state of the bone itself. Those bones which showed any evidence of pathology, trauma or otherwise, were excluded, and also those which were unduly damaged.

In all, 292 clavicles were examined, of which 170 were male and 122 were female. The total number there were 65 pairs of males and 45 pairs of females.

The technique used for measuring the clavicle was based largely on that adopted by Parsons ('16), but his methods were not used exclusively. Each bone was traced on the dioptograph, so that a tracing was obtained from above and from the front, two tracings being as near as possible at right angles to each other (figs. 1 and 2). From the dioptographic tracings, the necessary measurements were made.

The maximum length of the clavicle was measured as follows:

The distance between the mid-point of the sternal and the acromial end of the bone.

(Y) The angulation of the clavicle. The angle of the inner and outer ends and the total angulation of the clavicle were

obtained according to the method outlined by Parsons.

(3,4,5) The length of the segments. The lengths of the three segments were recorded as by Parsons.

(6) The width of the inner end.

(7) The width at the inner angle.

(8) The minimum width and

(9) The distance of this point from the mid-point of the inner end.

(10) The width at the conoid.

(11) The maximum width of the outer end.

(12) The height of the inner end.

(13) The height at the mid-point of the bone.

(14) The height at the conoid.

(15) The minimum height of the outer end.

Several of the above measurements could also be made directly on the bone, such as: The maximum length, the width of the inner end, the minimum width, the width at the conoid, the width of the outer end, and the 4 measurements of the vertical height. As was to be expected, there was, on occasions, a minor discrepancy between the measurements made on the tracing and those made directly on the bone. In all cases where such a discrepancy existed, the direct measurements were repeated and adopted in preference to those made on the tracing. In addition, the circumference of the clavicle at its mid-point was directly measured with a steel tape.

In the majority of cases, the corresponding humerus was also available for measurement. The maximum length of this bone was recorded and the claviculo-hu-

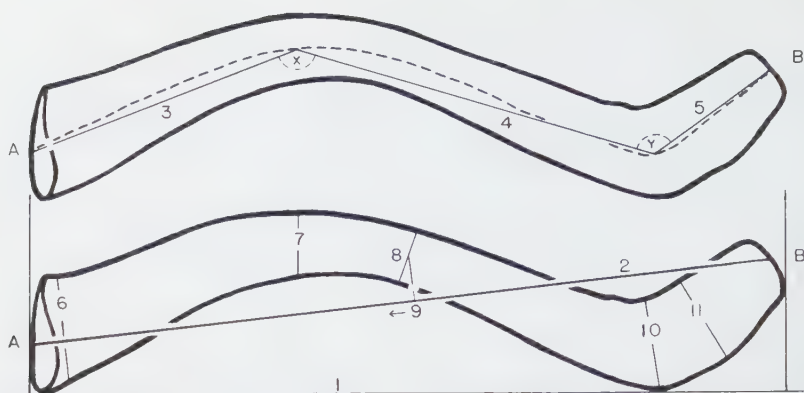


Fig. 1 The measurements as taken on the diptographic tracings (vertical view).

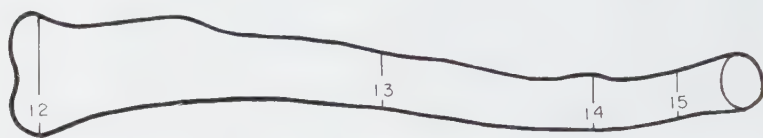


Fig. 2 The measurements as taken on the diptographic tracings (horizontal view).

meral index thus obtained. Other clavicular indices obtained were:

- (i) the thickness index =  $\frac{\text{circumference at mid-point}}{\text{maximum length}} \times 100$
- (ii) the index of the outer end =  $\frac{\text{width of outer end}}{\text{maximum length}} \times 100$  and
- (iii) the index of the inner end =  $\frac{\text{width of inner end} + \text{height of inner end}}{\text{width of inner end} + \text{height of inner end}}$ .

#### RESULTS

In some clavicles it was not possible to obtain all measurements so that the number of specimens for each measurement varied between 75 and 85 for the male right, 65–75 for the male left, 59–64 for the female right and 54–57 for the female left. This range applied also for the indices except the claviculo-humeral index where the figures were 69, 57, 52 and 46 respectively. The results obtained are summarized in table 1.

#### Discussion metrical features

*Maximum length.* In the male the left clavicle was, in the mean, just over 1 mm longer than the right while in the female this difference was just under 2 mm. While the difference is not great, it is interesting to note that in the English the

male left clavicle exceeded the right 2 mm whereas the figures for the two sides in the female were identical. In the American Negro, (Terry, '32) the male left clavicle exceeded the right by about 1.5 mm while in the female the difference was just under 1 mm in favor of the left side. For the English, the mean, the left clavicle is, therefore, either the same length as or greater than the right in the Australian Aboriginal as well as in the American Negro and the English. On both sides the mean for the male is considerably greater than for the female. There was, however, a considerable overlap as may be seen in the frequency distribution graphs shown in figures 3 and 4. In comparison with the English, the American and the American Negro clavicle of the Australian is shorter than between 1 and 2 cms.

*The distance between the mid-point of the sternal and acromial ends.* There was only a slight difference between the two sides in the male with the left being in excess of the right. In the female the same could be noted but to a greater degree. The mean for both sides in the male was greater than in the female with a greater range in the male, the lower being, however, the same in both sexes.

TABLE 1  
*The metrical features of the Australian clavicle*

| Measurement                 | Male    |       |      |         | Female |      |         |       |         |       |      |
|-----------------------------|---------|-------|------|---------|--------|------|---------|-------|---------|-------|------|
|                             | Right   |       | Left |         | Right  |      | Left    |       |         |       |      |
|                             | Range   | Mean  | S.D. |         | Range  | Mean | S.D.    |       |         |       |      |
| Maximum length              | 114-158 | 139.5 | 9.3  | 109-160 | 140.7  | 9.3  | 106-139 | 123.5 | 109-140 | 125.1 | 7.1  |
| Sternal-acromial distance   | 106-154 | 135.2 | 9.6  | 108-158 | 136.0  | 10.2 | 106-138 | 120.6 | 108-140 | 123.2 | 7.2  |
| Inner angle                 | 139-162 | 149.9 | 6.7  | 137-161 | 149.0  | 4.5  | 138-158 | 149.3 | 142-160 | 150.8 | 4.4  |
| Outer angle                 | 120-155 | 140.8 | 7.0  | 123-159 | 143.8  | 6.7  | 122-160 | 140.8 | 128-166 | 142.8 | 8.2  |
| Total angle                 | 264-313 | 295.5 | 8.5  | 262-315 | 293.0  | 9.2  | 266-314 | 290.0 | 270-316 | 293.7 | 10.6 |
| Inner segment               | 30-55   | 43.5  | 6.0  | 29-55   | 43.5   | 6.1  | 26-48   | 36.1  | 22-49   | 38.1  | 5.7  |
| Middle segment              | 50-89   | 70.0  | 7.0  | 52-86   | 68.8   | 6.1  | 49-75   | 63.7  | 54-78   | 63.7  | 4.9  |
| Outer segment               | 20-39   | 27.9  | 3.7  | 20-40   | 30.6   | 4.3  | 21-35   | 26.6  | 20-37   | 27.2  | 3.4  |
| Circumference               | 30-48   | 38.5  | 3.9  | 30-46   | 37.1   | 3.3  | 28-40   | 32.1  | 26-41   | 32.2  | 3.4  |
| Inner end width             | 15-28   | 22.1  | 2.4  | 16-29   | 21.4   | 2.4  | 13-28   | 18.6  | 12-28   | 18.2  | 2.6  |
| Inner angle width           | 9-19    | 13.5  | 1.7  | 9-17    | 12.9   | 1.5  | 8-15    | 10.9  | 8-13    | 10.6  | 1.2  |
| Minimum width               | 9-16    | 12.2  | 1.3  | 9-15    | 11.8   | 1.1  | 8-13    | 9.8   | 7-12    | 9.7   | 1.2  |
| Distance                    | 14-88   | 54.1  | 19.2 | 18-87   | 54.2   | 18.9 | 17-81   | 30.9  | 16-74   | 43.2  | 16.3 |
| Width at conoid             | 10-22   | 16.6  | 2.4  | 11-20   | 15.4   | 2.0  | 10-20   | 14.0  | 9-20    | 13.7  | 2.4  |
| Outer end width             | 13-30   | 21.1  | 2.9  | 15-27   | 20.4   | 2.9  | 13-28   | 18.1  | 12-27   | 17.8  | 2.7  |
| Inner end height            | 17-28   | 22.7  | 2.5  | 15-30   | 23.1   | 3.0  | 15-27   | 20.4  | 15-25   | 20.6  | 2.4  |
| Height at mid-point         | 9-15    | 11.4  | 1.4  | 7-14    | 10.6   | 1.4  | 7-17    | 10.1  | 7-19    | 9.9   | 2.8  |
| Height at conoid            | 8-15    | 11.3  | 1.6  | 8-16    | 11.1   | 1.6  | 8-14    | 9.9   | 7-14    | 10.2  | 1.5  |
| Minimum height at outer end | 7-12    | 9.2   | 1.2  | 6-12    | 8.6    | 1.3  | 5-10    | 7.6   | 6-9     | 7.3   | 0.9  |
| Claviculo-humeral index     | 36-46   | 42.4  | 2.2  | 37-48   | 43.2   | 2.0  | 36-46   | 40.4  | 38-47   | 41.8  | 1.8  |
| Thickness index             | 21-39   | 27.7  | 3.0  | 21-32   | 26.4   | 2.4  | 22-31   | 26.0  | 20-34   | 25.8  | 3.1  |
| Index outer end             | 10-22   | 15.2  | 2.2  | 10-20   | 14.7   | 2.2  | 10-21   | 14.8  | 9-27    | 14.6  | 3.4  |
| Index inner end             | 35-54   | 44.9  | 3.8  | 35-57   | 44.8   | 4.7  | 30-51   | 38.8  | 28-49   | 38.5  | 4.0  |

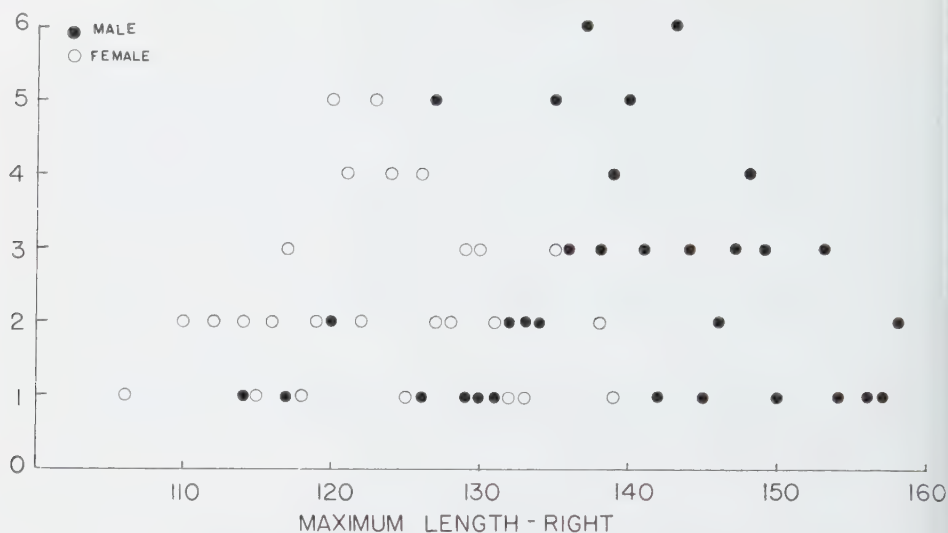


Fig. 3 Frequency distribution of the maximum length (right side).

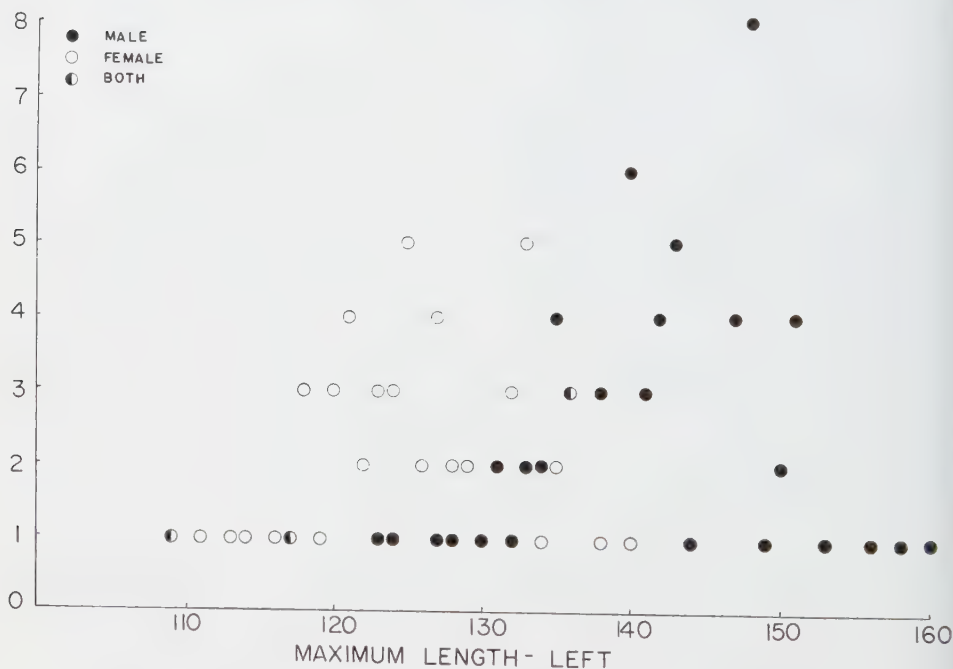


Fig. 4 Frequency distribution of the maximum length (left side).

*Degrees of curvature.* There is little real difference in the total degree of angulation on the two sides in both the male and the female and little difference between the sexes. The angulation is very close to that found in the English, the American White and the American Negro.

*Lengths of segments.* The length of the segments in the male exceeded the corresponding measurements in the female. In comparison with the English clavicle the lengths of all segments are less in the Australian, a feature more obvious in the inner and outer segments.



*circumference at mid-point.* In the male the circumference at the mid-point of the right clavicle was almost 1.5 mm less than that of the left, while in the female there was virtually no difference. For both sides the male clavicle was larger than the left. Racially the Australian clavicle was a little less in circumference than those examined by Parson and Terry.

*Relative widths of the clavicle.* In the male the width of the clavicle at all points was greater, in the mean, on the right side. With one exception, however, this difference was less than 1 mm and in the exception the figure was just over 1 mm (at the acromionoid). In the female the same feature in favor of the right side was shown in all instances the difference was less than 0.5 mm.

*Distance of the minimum width from the sternal end on the two sides.* The difference of only 0.1 mm in the male and 2.3 mm in the female. In both cases the distance was greater on the left side. In all instances the figures for the males were higher than for the females. Usually the figures for the Australian were higher than those of the English except in the male where the Australian is greater at the inner angle and in the minimum width. This difference, however, did not exceed 1.5 mm.

*Relative heights of the clavicle.* The 4 measurements taken for the heights of the clavicle are, for all practical purposes, the same for each side in both the male and female and in all 4 measurements the male bones were larger than the female. Usually the heights in the Australian are greater at all points than in the English clav-

lowest claviculo-humeral index of all the races studied. As he admits, his series was small (only 7 cases and of unknown sex) and his index was 43 in comparison with other races which varied between 46 and 49. In the present series the index found is even lower, so that the Australian (from the South Eastern part of the continent at least), must be regarded as having a very low claviculo-humeral index,

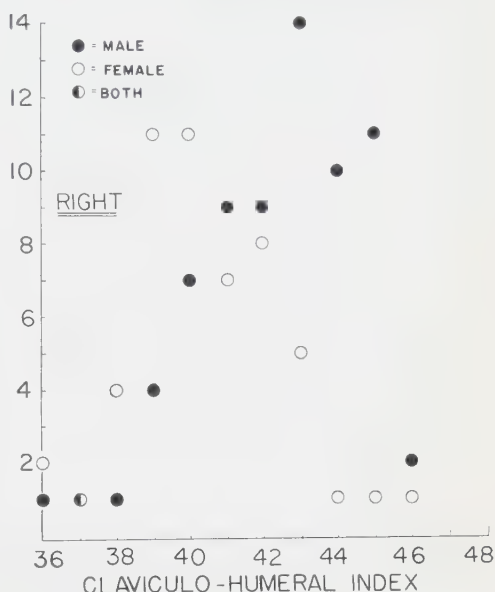


Fig. 5 Frequency distribution of the claviculo-humeral index (right side).

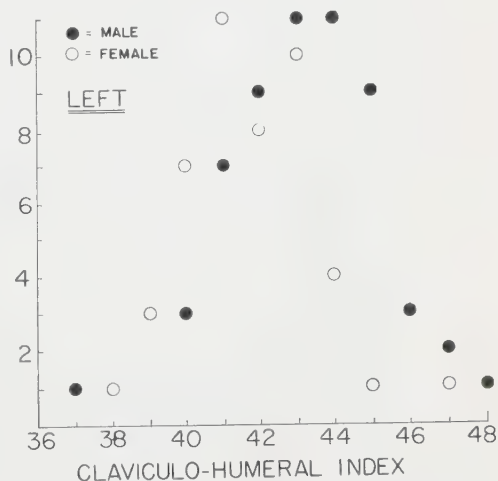


Fig. 6 Frequency distribution of the claviculo-humeral index (left side).

### Indices

*Claviculo-humeral index.* In the male the index for the left side was slightly greater than on the right and even more so for the female. On both sides the index for the male was greater than in the female (figs. 5 and 6). This is in accordance with Schultz ('37) who found a similar sex difference in all races examined. Indeed, in almost all the primates he examined. Schultz was quite impressed by the fact that the Australian possessed the

this being of the same order of magnitude as Schultz found for the chimpanzee.

*The thickness index.* There was, in both the male and the female, a slightly greater thickness index on the right side and a difference between the sexes of about the same degree. The racial difference showed the Australian to have a slightly greater thickness index than either the White American or the American Negro.

*The index of the outer end.* Again there was in both male and female, a slightly higher index for the right side than for the left although this difference was small. Similarly the difference between the sexes showed the male to have the higher index but by only a very small margin. Racially the results show very little difference.

*The index of the inner end.* There was very little difference between right and left sides in both the male and the female but a definite difference in favor of the male, between the sexes. Racially the Australian has a lower index than in the English clavicle.

*Non-metrical features*

In addition to the metrical assessment of the clavicle, an attempt was made to analyze the range of variations of the non-metrical features. It is, of course, obvious that such an assessment is a purely subjective one, but as best as possible, various criteria were applied to each of the non-metrical features studied, and every attempt was made to keep to these standards.

*The type of the clavicle.* Some clavicles are long and slender, while others are short, thick and generally robust. This difference was a striking non-metrical feature. In between these two extremes, there are, of course, many which are not outstanding, but it was felt that an arbitrary division of the bones observed into the two categories was justified, even though its significance may well be doubtful. In the male 96 clavicles (60%) were classified as long and slender, while 65 (40%) were grouped as short and robust. The corresponding figures for the female were 68 (56%) and 54 (44%) respectively.

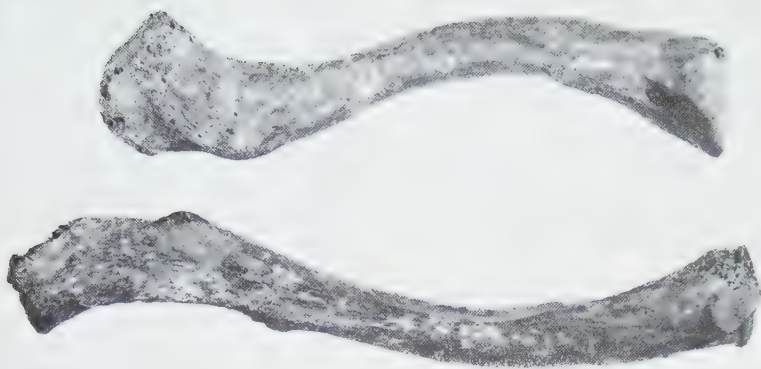
*The nutrient foramen.* This presented a rather difficult problem, for, although the standard texts in anatomy state that "a nutrient foramen is found in the lateral end of the (sub-clavian) groove" (Gray, '44) this was by no means always the case. A single nutrient foramen was not the rule, and its position by no means constant. In this study, an attempt was made to indicate not only the number of the nutrient foramina, but also their position. The foramina were, therefore, grouped into large, medium and small, and the number indicated by corresponding symbols. In a few cases there was no definite nutrient foramen but merely a series of small apertures indicating that the nutrient artery entered the bone as a series of small branches.

|            | 1  | 2  | 3  | 4 | Multiple |
|------------|----|----|----|---|----------|
| Male       |    |    |    |   |          |
| Number     | 70 | 69 | 14 | 3 | 5        |
| Percentage | 43 | 43 | 9  | 2 | 3        |
| Female     |    |    |    |   |          |
| Number     | 45 | 62 | 10 | 0 | 5        |
| Percentage | 37 | 51 | 8  | 0 | 4        |

When the nutrient foramen was single, its size was indicated according to the following table.

|            | Large | Medium | Small |
|------------|-------|--------|-------|
| Male       |       |        |       |
| Number     | 43    | 22     | 1     |
| Percentage | 61    | 32     | 1     |
| Female     |       |        |       |
| Number     | 19    | 21     | 1     |
| Percentage | 42    | 47     | 1     |

*Perforations.* Situated as it is in a superficial position in the body, the clavicle is crossed by various structures such as the supraclavicular nerves and the communication between the cephalic and external jugular veins. Perforations were stated to exist with relative frequency in the clavicle, but in the present series of 6 cases were observed of which 5 occurred in the male and one in the female. The position of the perforations in all the above cases was on the postero-superior edge of the bone at about its mid-point or in



7 Two male clavicles. The difference in form is particularly evident in the lateral third.

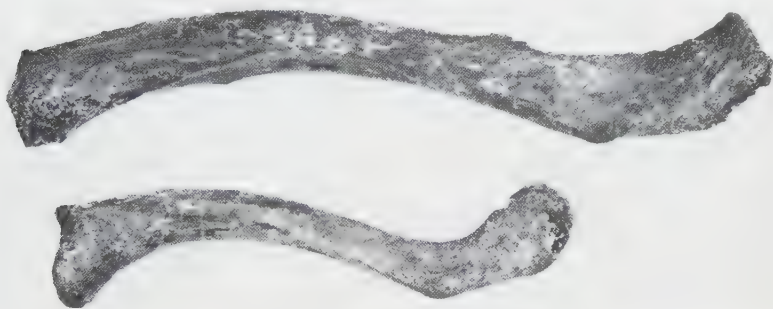


Fig. 8 The longest and shortest male clavicles.

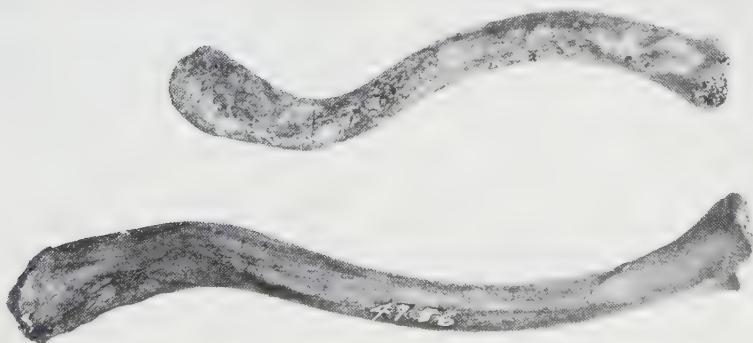


Fig. 9 The longest and shortest female clavicles.

lateral third. The perforations always extended from above downwards and were probably vascular in origin.

*The shape of the inner end.* The statement in standard texts that "the sternal surface is quadrangular, sometimes triangular, in form" (Gray) is an over simplification but is undoubtedly adequate for normal teaching purposes. In the present study, however, this triangular or quadrangular form was far from satisfactory. The attempt was made to group the shape of the sternal surface of the clavicles into 4 groups, namely, round, oval, reniform and irregular, but it must be pointed out that this classification is purely arbitrary and should not be over-analyzed. Accepting the necessity for such an attempt, however, the results may be summarized as follows:

|        | Round       | Oval        | Reniform    | Irregular   |
|--------|-------------|-------------|-------------|-------------|
| Male   | 20<br>(12%) | 31<br>(19%) | 54<br>(34%) | 56<br>(35%) |
| Female | 18<br>(15%) | 40<br>(33%) | 34<br>(28%) | 30<br>(24%) |

*Impression for first rib.* The extent to which the first costal cartilage enters into the formation of the sterno-clavicular joint is a variable feature. The range of variation in the development of this facet was substantial. Again purely arbitrary criteria were adopted in defining the size of this facet which was recorded as absent, small, medium and large. The results are as follows:

|        | Absent      | Small       | Medium      | Large     |
|--------|-------------|-------------|-------------|-----------|
| Male   | 74<br>(46%) | 47<br>(29%) | 31<br>(19%) | 9<br>(6%) |
| Female | 68<br>(56%) | 34<br>(28%) | 14<br>(11%) | 6<br>(5%) |

*Rhomboid impression.* The essential role of the rhomboid (costo-clavicular) ligament in maintaining the stability of the sterno-clavicular joint is well recognized, and it may well be expected that the size of the rhomboid impression on the under surface of the clavicle would be marked in the male, and perhaps not so well marked in the female. The extent and shape of this impression was naturally variable, and the depth was also liable

to considerable variation. In many cases the area for the attachment of this ligament was shown by a roughening on the bone, often more readily perceived by touch than by sight. In other cases there was a definite impression and in some again this area was characterized by the formation of a well-defined pit. Assessment under such circumstances of the actual strength of the ligament is naturally fraught with considerable difficulty, but it may well be indicated if the actual area of attachment is considered, rather than the depth of the pit, if present. This rhomboid impression was, therefore, assessed in area as absent, small, medium or large and the results are shown:

|        | Absent    | Small       | Medium      | Large        |
|--------|-----------|-------------|-------------|--------------|
| Male   | nil       | 10<br>(6%)  | 40<br>(25%) | 118<br>(74%) |
| Female | 4<br>(3%) | 29<br>(24%) | 60<br>(49%) | 117<br>(95%) |

*Impression for sterno-mastoid.* The impression left on the clavicle by the sterno-mastoid muscle was extensive and obvious in some cases, faint and even lacking in others.

|        | Absent      | Small       | Medium      | Large        |
|--------|-------------|-------------|-------------|--------------|
| Male   | 18<br>(11%) | 62<br>(39%) | 47<br>(29%) | 83<br>(51%)  |
| Female | 36<br>(29%) | 48<br>(39%) | 25<br>(21%) | 111<br>(90%) |

*Impression for pectoralis major.* The clavicular head of the pectoralis major muscle constantly leaves a well-defined impression on the anterior surface of the medial half to two-thirds of the clavicle. In this attempt to assess the degree of osseous marking, the impressions were grouped as

|        | Absent | Small       | Medium      | Large        |
|--------|--------|-------------|-------------|--------------|
| Male   | nil    | 2<br>(1%)   | 41<br>(26%) | 117<br>(73%) |
| Female | nil    | 45<br>(37%) | 57<br>(47%) | 118<br>(96%) |

*Impression for deltoid.* The extent of the deltoid impression is usually considered in a linear fashion, but the degree of roughening on the bone and the over-



the superior surface are subject to a considerable degree of variation. The analysis of this roughening and overlap was, therefore, attempted in a subjective fashion and graded as absent, small, medium and large.

|      | Absent | Small       | Medium      | Large       |
|------|--------|-------------|-------------|-------------|
|      | nil    | 29<br>(18%) | 85<br>(53%) | 47<br>(29%) |
| Male | 1      | 63<br>(52%) | 52<br>(42%) | 6<br>(5%)   |

**Subclavian groove.** In a well-marked clavicle the subclavian groove is sharply defined and is an obvious structure on the superior surface of the bone. It appears towards the medial end, gradually deepens and becomes well formed medial to the conoid tubercle, at which level it may terminate, or else pass for a short distance lateral and anterior to it. Even in cases where the subclavian groove is not readily visible, it is usually palpable, but in the male and female specimens it could neither be seen nor felt.

|      | Absent      | Small       | Medium      | Large       |
|------|-------------|-------------|-------------|-------------|
|      | 3<br>(2%)   | 28<br>(17%) | 61<br>(38%) | 69<br>(43%) |
| Male | 12<br>(10%) | 51<br>(42%) | 37<br>(30%) | 22<br>(18%) |

**Conoid tubercle.** The conoid tubercle presented the classical forms of "a prominent tubercle" in most specimens but in one there was no tubercle to mark the point of attachment of the conoid ligament. One pair of clavicles the conoid tubercle showed characteristics indicating that it had been developed in association with a corresponding marking on the coracoid process of the scapula, thus taking part in the formation of a coraco-clavicular joint. This arrangement has been described in detail elsewhere (Ray, '59).

|      | Absent    | Small       | Medium      | Large       |
|------|-----------|-------------|-------------|-------------|
|      | 1<br>(1%) | 40<br>(25%) | 72<br>(44%) | 48<br>(30%) |
| Male | nil       | 45<br>(37%) | 55<br>(45%) | 22<br>(18%) |

**Impression for trapezoid ligament.** The great variability in the shape of the mark-

ing for the trapezoid ligament, which ranged from linear to ovoid was a characteristic feature. An attempt was made, by visual means only, to assess the size of the marking with the following results.

|        | Absent | Small       | Medium      | Large       |
|--------|--------|-------------|-------------|-------------|
| Male   | nil    | 54<br>(33%) | 82<br>(51%) | 25<br>(16%) |
| Female | nil    | 76<br>(62%) | 43<br>(35%) | 3<br>(3%)   |

**Additional facets.** No additional articular facets were observed.

#### SUMMARY

The clavicle of the Australian Aboriginal is definitely shorter in both sexes than in those other races for which figures are available. This fact alone would not be of interest as it might well be a reflection of the general size of the individuals. However, when we consider the other measurements of the clavicle, it can be seen that the circumference of the bone at its mid-point, while less in the Australian is not reduced to the same degree as is the length, so that the thickness index is actually greater in the Australian than in other races. If we consider the minimum width of the bone it is apparent that the Australian exceeds the English in the male and there is little difference in the female. Most other measurements, however, show that the Australian clavicle is smaller than in other races.

Perhaps the most interesting feature is the claviculo-humeral index, which, showing as it does that the figures for the Australian are very low, indicates that the size of the clavicle proportional to the humerus is reduced.

The non-metrical features indicate that, in general, Australian aboriginal bones are well marked by attachments, particularly in the male as in all cases the extent of muscular and ligamentous markings are greater in that sex.

#### ACKNOWLEDGMENTS

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# Male Reproductive Organs in Certain Gibbons (Hylobatidae)

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The morphology of the reproductive organs in the various species of gibbons (Hylobatidae) is not adequately known. For some species virtually nothing is recorded, and the remainder are known from data on relatively few individuals. Wislocki (1958) remarked on the fragmentary nature of current accounts of the external genitalia of gibbons. Moreover, the data available indicate considerable variation, at least as regards the external genitalia, the variation possibly being due to age. Matthews (1958) stresses the undesirability of generalizations based on limited numbers, and used his data on three individuals for comparative purposes when further material became available. A review of present knowledge on the external organs is given by Hill ('58).

The purpose of the present communication is to present data on the external and internal male organs of a series of gibbons representative of three recognized groups in the family, with particular reference to the forms not hitherto, or but slightly, referred to in the previous literature.

We have therefore concerned ourselves particularly with the siamang (*Symphalangus syndactylus*), the hoolock (*Hylobates hoolock*) and the concolor gibbon (*Hylobates [Nomascus] concolor*). As the various forms of *H. lar* and its near relatives *H. moloch* and *H. agilis* (by some regarded merely as races of *lar*) are more recently referred to in the literature, they are hereafter merely mentioned in passing.

## MATERIAL

The following material has been examined:

- A. Siamang (*Symphalangus syndactylus* (Desmarest))
  - K.1 Juvenile, total length (vertex-ischium) 285 mm.
  - PA 30 Immature, total length (vertex-ischium) 346 mm.
  - 357/54 Subadult, total length (vertex-ischium) 380 mm, weight 1785 gm.
- B. Hoolock (*Hylobates hoolock* (Harlan))
  - K.2 Juvenile, total length (vertex-ischium) 300 mm.
  - PA 26 Subadult, total length (vertex-ischium) 365 mm, weight 2350 gm.
- C. Concolor gibbon (*Hylobates [Nomascus] concolor leucogenys* (Ogilby))
  - PE 80 Newborn, total length (vertex-ischium) 180 mm, weight 394 gm.
  - 286/55 Adult, total length (vertex-ischium) 433 mm, weight 3429.2 gm. (7 yrs, 10 mos. old)

In most cases the external organs have been measured and examined upon the freshly dead cadaver, and the data subsequently confirmed and supplemented after embalming. The internal organs have been studied after embalming and subsequent removal and immersion in a bath of 10% formalin or, in certain cases, after removal of the organs from the fresh body and subsequent formalin fixation.

Histological preparations were made from sections cut at 10  $\mu$  thickness and stained in hematoxylin and eosin.

## External genital organs

### *Symphalangus syndactylus*

**Genital hair.** In contrast to the hoolock, the hair of the genital region is less densely planted, permitting the penis to remain

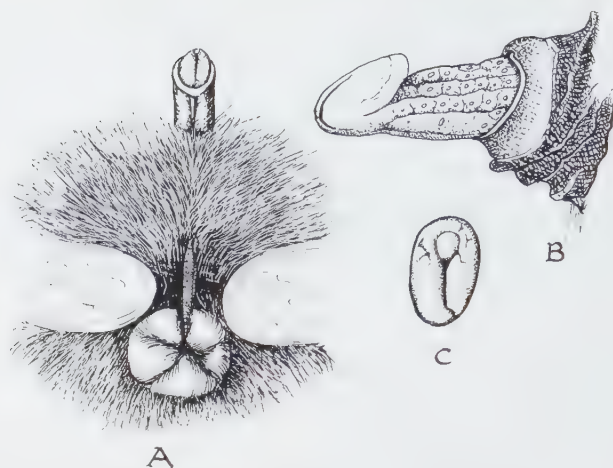


Fig. 1 *Symphalangus syndactylus* ♂ 357/54. External genitalia. A, General view from the perineal aspect. B, Left lateral view of penis, enlarged. C, Apical view of glans penis.

visible although the scrotal area is partially obscured. The genital tuft is not contrastingly colored, but completely black as on adjacent regions. It is longest over the scrotal area, attaining a maximum length of 5 cm. The genital tuft is sharply defined dorsally, ceasing abruptly on the perineal body. Laterally it merges with the hair on perineum and thighs. Over the body of the penis the hair is shorter and distally ceases abruptly some 2 mm proximad of the free margin of the prepuce. Circumanal hairs and those immediately lateral to the perineal raphe are extremely short and very sparsely planted, rendering the parts virtually naked on superficial inspection. No particular direction can be assigned to the hairs in the grown animal, all of them standing out at right angles to the skin surface. The skin itself is not particularly heavily pigmented, even on the naked area of the anal hillock, while the median raphe connecting the scrotal area with the anal opening, traversing the anal hillock in its course, is quite pallid.

**Scrotal area.** There is no distinct scrotal sac in any of the specimens examined, but merely a prominent area of wrinkled skin some 22 mm across, situated partly parapenially and partly postpenially, with the testes located in the parapenial portion of the structure. There is no indication of bilateral division by a median sulcus; but in the largest animal there is a

distinct U-shaped depression between base of the penis and the scrotal skin, another more peripherally, so that a shaped fold of scrotum encircles the anal root laterally and around the penis side. This is not so clearly indicated on PA 30, where the scrotal area is somewhat paler and less rugose. A median raphe crosses the angle between the root of the penis and the scrotal area, dividing the U-shaped groove at its apex. The raphe is eventually continued, as already mentioned, to the anal margin, crossing the ventral part of the anal hillock to the margin of the orifice (fig. 1.)

**Penis.** The general form of the penis closely recalls that of *Hylobates agilis* described and depicted by Matthews.

The organ projects forward from the symphysis in a well-developed pig-like prepuce which, however, fails to cover the glans. The free margin of the prepuce extends to the junction of the middle and distal thirds of the pars libera, the third and glans remaining naked. The glans is fairly deeply pigmented, but the collum penis and pars intrapreputia are the pars libera less markedly so. The penis shows less lateral compression than in *hoolock*, but this is noticeable in 357/54 though not in K.1 or PA 30. The corpus (or exposed part of the corpus adjacent to the glans, which is constricted compared with the rest of the corpus) is the glans, covered with an epithelium



ch presents transverse rows of minute utinized spicules, smaller than those in *oolock*.

he button-like glans is sharply demar-d from the collum on dorsum and s by a well-marked corona, but the -coronal sulcus slopes obliquely down-ds and distad from the dorsum, the na becoming less distinct towards perineal aspect of the penis. This ar-gement is much as in other gibbons, ng the organ an appearance reminis-t of its female homologue. The apical our of the glans, however, is more vex than in the typical gibbons of the us *Hylobates*, its true apex being slight-earer the dorsum and above the ure-al slit. Beneath the prominence of the x is a Y-shaped groove, the stem of hch is bounded by the lips of the urinary utus. The stem of the Y incises the in-or margin of the glans adding still her to the clitoris-like appearance and arting a lobate character to the in-or extremity of the meatal lips.

he frenulum praeputii is a very short, i fold commencing well proximal to corona glandis, but connected there-by an exceedingly faint ridge which ome apparent only when the prepuce ully retracted.

rectile tissues and baculum. The site attachment of the root of the penis to

the pelvis is of some significance in estimating the systematic position of the gibbons. The question is considered below under "Discussion," but for the moment the facts regarding *Symphalangus* may be stated.

The pars libera of the penis in *Symphalangus* leaves the parietes at the caudal end of the symphysis pubis; the distance from its dorsum to the cranial edge of the symphysis being 21.5 mm. This contrasts with the normal arrangement in catar-rhine monkeys, where the corpus penis travels forwards along the ventral aspect of the symphysis before becoming "free" at its cranial edge.

The crura penis are relatively shorter, and more flattened cranio-caudally, than in *Hylobates hoolock*. They present a fusi-form outline and extend over the proximal 14.5 mm of the penial root. The bulb of the corpus spongiosum is relatively poorly developed.

The baculum is small, rod-like, but narrowing gradually to its tip, and surrounded by dense periosteum derived from the corpus fibrosum. Gerhardt ('10) recorded a baculum 14.5 mm long with proximal end 2.5 mm thick in a presumed adult siamang. He noted a much smaller structure in a younger specimen.

### *Hylobates hoolock*

*Genital hair.* Hair surrounding the genitalia is of a darker hue than on the adjacent part of the thighs, being jet-black without any brownish wash. The pelage here forms a distinct genital tuft similar to that in the female (Pocock, '27; Matthews, '46; Kanagasuntheram, '54) being somewhat longer than on adjacent areas. The longest hairs measure 25 mm.

On each side of the base of the penis the hairs are densely planted, completely hiding the scrotal area. They are more sparsely planted above the dorsum of the penis, so that the distal part of the corpus penis and the entire glans are visible without disturbance of the pelage (cf. Goss, '47, *Gorilla*; Hill and Matthews, '49). Nevertheless, it is difficult to determine the sex from external inspection of the living animal short of manual assistance, for the grooved glans penis bears, as in *Symphalangus*, a striking resemblance to

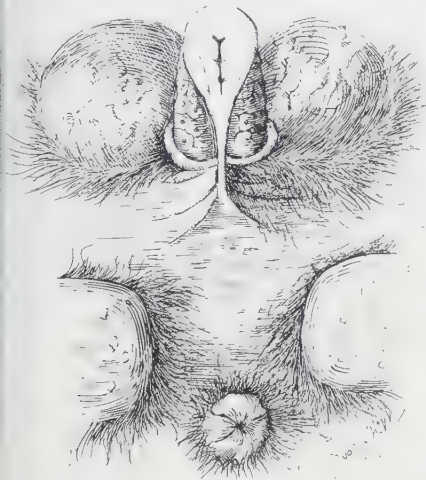


Fig. 2 *Hylobates hoolock* ♂ PA.26. External genitalia; general view from the perineal aspect. The prepenial site of scrotal swellings.

a hypertrophied clitoris. On either side of the penis, particularly towards the anal hillock, the dark genital tuft is interspersed with a few lighter hairs ranging from dark brown to gray. Hair tracts are continued around the periphery of the naked callosities and at their lateral extremities the hair is of slightly lighter hue. The hair immediately around the root of the penis is also lighter and shorter in some individuals.

Hairs of the genital tuft contrast with the general body pelage in being relatively straighter and less woolly in texture. The woolly body hairs when artificially extended exceed the genital hairs in length. Hairs on the penial skin are much shorter, and decrease in length distally. They are also much finer than those of the genital tuft. Hairs cease abruptly some 3 mm proximal to the free edge of the prepuce. All the penial hairs present a distad trend.

On the perineum the hairs point medially as in *Gorilla*, but those ventral to the anal hillock are directed towards the scrotal area.

*Scrotal area.* Ovoid swellings 20 mm sagittally and 12 mm in transverse diameter are located prepenially with their caudal ends level with the root of the penis. These are produced by the subcutaneously placed testes. The overlying skin is not specially modified. This anterior portion of the scrotal area is demarcated by a transverse groove from a postpenial area which is homologous with the true scrotal sacs of most eutherian mammals. This hinder region of the scrotal area is quite empty. It is traversed in the median line by the peno-scrotal raphé which is continuous anteriorly with a penial raphé and dorsally with the perineal raphé, which terminates at the anal margin. The skin over the postpenial scrotal area is more wrinkled than that over the testes, which is quite smooth. Both areas are fully haired and obscured.

*Penis.* Relatively small in proportion, the pars libera projects forth from the surface of the body at a level some 25 mm caudal to the cranial edge of the symphysis pubis. The organ is covered by a pigmented cutaneous prepuce over its proximal 9 mm, the remainder, which includes part of the body and the whole of the glans

being uncovered. The unclothed part as heavily pigmented as the prepuce. The exposed part of the collum and the intra-preputial part of the pars libera are bedecked with horny spicules. These are implanted with their bases (some 0.5 mm diameter) forming a sauc pattern in the surface epithelium. Their apices form nodular or slightly longitudinally-ridged elevations without but very slight proximad trend. Some of the nodules these apices are marked by concentrations of pigment, others the bases are encircled by pigment concentrations. Intervening epithelium not evenly pigmented when viewed microscopically, the granules being deposited in wavy lines resembling watermarks on paper or silk.

In the fresh state the collum and prepuce are marked by a median scrotal sulcus.

The glans is compressed transversely and of approximately conical form. It is strongly demarcated from the collum by a corona and retrocoronal sulcus. There is a strong lateral concavity on the proximal border of the corona, but the proximal extent is approximately equal on the dorsal and perineal aspects, though the inferior lappet is narrower transversely than the broad dorsal flange. From the edge of the inferior lappet a median elevation or keel continues proximad to become the raphé on the prepuce, and thenceforth the peno-scrotal raphé as already mentioned.

The distal surface of the glans is marked by a deep median groove extending to the dorsum, from a point mid-way between the corona and the apex, continuing to the apex and on to the inferior surface as far as the corona. The urinary meatus is in the depths of the inferior half of the groove, thus contrasting with its site in for example, *Macaca sinica* where it is in the dorsal part of the groove.

*Erectile tissues and baculum.* The tip of the penis emerges from the body at approximately the same position as *Symphalangus*. Proximal to this the two components of the radix penis resemble those of *H. concolor* described below, the crura appear to be more cylindrical

though not demonstrated radiographically (cf. Hill and Matthews, '49, pl. IV, F), there is a well-ossified baculum in specimens we have studied microscopically. In K.2 the baculum is 3 mm long. Microscopically the bone shows structural similarities with a typical long bone, having a central medullary cavity containing bone-marrow, surrounded by compacta exhibiting typical Haversian systems. Peripheral to this is a region of ossification in which Haversian systems are not yet made their appearance. External to this zone, the connective tissue has undergone a hyaline change with the retained corpuscles taking on the character of osteoblasts. Under high power (especially with oil immersion) the processes of the osteocytes can readily be seen forming a network of interlacing strands (fig. 11). The presence of Haversian systems in the baculum contradicts the accepted view that these develop only where there is great tensile stress.

#### *Hylobates concolor*

**Genital hair.** In the fetus this is entirely lacking, the whole genital area being hairless except for a very fine coat of white, lanugo hairs covering the scrotum, visible only on high magnification. These hairs are close-fitting and exhibit a convergent trend towards the median raphé in the direction of the fundus of the sac. On the raphé itself they are directed sagittally. No similar hairs occur on the penis, prepuce, pubic region or perineum.

In the adult the whole genital region is clothed in a dense tuft of black hairs which completely obscure the genitalia. On the scrotal area these attain a length of over 15 mm. They are shorter around the root of the penis and on the perineum and therefore present an exaggerated pic-

ture of the state in the fetus with the added feature of deep pigmentation.

**Scrotal area.** In both fetus and adult there is a definite scrotal sac. It is particularly well defined in the fetus, where it constitutes a pear-shaped dependent integumental bag without external indication of its paired character beyond the presence of a well-defined raised median keel-like raphé which extends from the peno-scrotal angle to the perineo-scrotal angle. On the posterior wall of the scrotum the raphé becomes more accentuated and at the perineo-scrotal angle it presents a triangular surface each side (see fig. 3). Dorsally the raphé is continued as a feeble fold over the short (9 mm) perineum to the anal margin. Ventrally it is continued as the median raphé of the prepuce.

Dimensions of the sac are given in table 1; it may be added that in the fetus the transverse diameter at the neck is 10 mm, the height of the sac from the femoro-scrotal groove to the fundus 11.0 mm, and its dorso-ventral diameter 20 mm. The adult scrotum is more globular and sessile, its antero-posterior and transverse diameters being approximately equal, but the dorso-ventral dimension only half as much. The scrotal integument is darkly pigmented. Dorsally, the scrotal wall impinges on the ventral margins of the callosities which just meet in the mid-line where their junction affects the whole depth of the short perineum from perineo-scrotal angle to the ventral edge of the anal hillock. The distance from peno-scrotal angle to center of anus is 25 mm.

**Penis.** In the fetus the pars libera is clothed by a thick but short prepuce which is unpigmented and virtually hairless, but the laterally compressed glans projects freely and contrasts in its deep pigmentation, this being the only obviously pigmented structure on the body surface at this stage. The prepuce is finely wrinkled and towards its free end narrows abruptly, the rolled free margin being crenulated. The corpus and glans are not sharply demarcated, the whole structure taking the form of a laterally compressed cylinder with smoothly rounded distal profile. A few faint longitudinal grooves mark its side, but no spicules are yet developed. The elongated meatal slit is directed in-

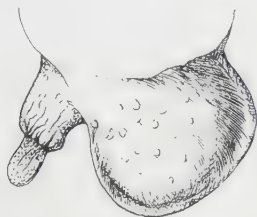


Fig. 3 *Hylobates concolor leucogenys* ♂ new-born, PE.80. Left lateral view of external genitalia.



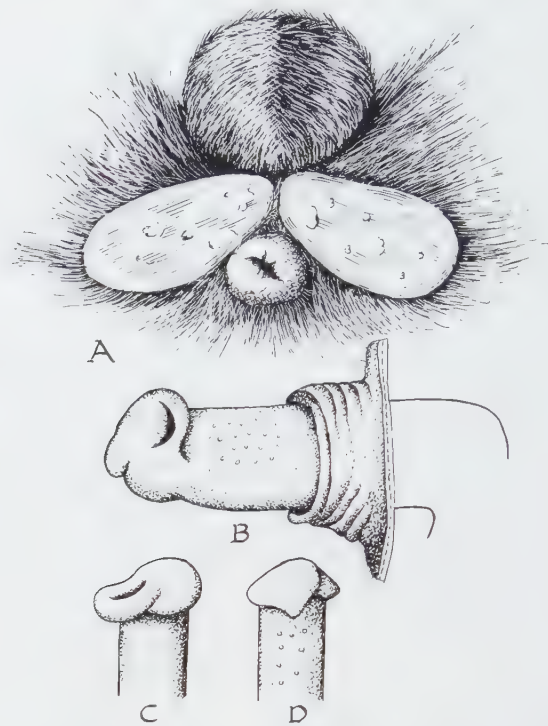


Fig. 4 *Hylobates concolor leucogenys* ♂ adult, 286/55. External genitalia. A, General view from the perineal aspect (penis entirely hidden by hairy scrotum). B, Left lateral aspect of penis, enlarged. C, Dorsal aspect of pars libera of penis. D, Ventral aspect of same.

TABLE 1  
Measurements of the external genitalia

|  | Siamang |     |       | Hoolock |       | Concolor |      |
|--|---------|-----|-------|---------|-------|----------|------|
|  | 357/54  | K.1 | PA 30 | K.2     | PA 26 | PE 80    | 288  |
|  | mm      | mm  | mm    | mm      | mm    | mm       | mm   |
| Length of pars libera                    | 18      | 17  | 18    | 15      | 17.2  | 11.4     | 11.4 |
| Tr. diameter of pars libera (at root)    | 6.6     | 7   | 7.5   | 7.5     | 7.2   | 5.6      | 5.6  |
| Tr. diameter of collum penis             | 3.7     | 4   | 3.5   | 3.5     | 3.2   | 1        | 1    |
| Proximo-distal length of glans           | 4       | 3   | 3.5   | 4       | 3     | 3.7      | 3.7  |
| Trans. diameter of glans                 | 4       | 4.5 | 5.5   | 4       | 3.8   | 1        | 1    |
| Sagittal diameter of glans               | 7       | —   | 5.6   | —       | 5.3   | 2.5      | 2.5  |
| L. of pars intrapreputialis corpus penis | 8.2     | 2.5 | 6.5   | 2       | 4.7   | 1.5      | 1.5  |
| L. of meatus urinarius externus          | 3       | 2.5 | 3.5   | 4       | 2     | 3        | 3    |
| Trans. diameter scrotal area             | 22      | —   | 21    | —       | 23.5  | 13.5     | 13.5 |
| Sagittal diameter scrotal area           | 16      | —   | 17.8  | —       | 26.3  | 17.4     | 17.4 |
| Baculum length                           | 3.5     | 2.5 | —     | 3       | —     | —        | —    |



ly on the distal part of the glans. The organ thus recalls that of the adult gibbon. The adult penis differs considerably from that of the newborn. The distinctions are (1) the increased pigmentation which now affects all parts of the external genitalia, i.e., prepuce as well as glans and scrotum, (2) the marked delimitation of the glans from body by the growth of a coronglandis, (3) the alteration in shape of the glans, which has approached that of the other adult gibbons herein described, (4) the development of keratinized spicules on the pars intrapreputialis. As regards finer details, it is difficult to be absolutely dogmatic from the material available. The only adult male available was not examined in the fresh state and the foregoing remarks are therefore based on examination of the embalmed cadaver after further detailed study after removal of the parts. The glans shows such marked asymmetry that it may be argued that fixation distortion has occurred; on the other hand it must be stated that the glans was almost entirely embedded by the prepuce and was thereby protected. Further protection was provided by the hairs of the dense genital skin. The details affected can be best understood by inspection of the accompanying figures. It will be noted that there is a well-marked corona glandis, except inferiorly on the left, where the distal surface of the glans passes almost imperceptibly on to the collum. Immediately above, however, the corona is better developed than elsewhere, forming a lobate eminence projecting proximad over the collum. This lobate appearance is enhanced by virtue of a slight groove concurred from the corona on to the upper part of the distal surface of the glans over the presumed median line. To the right the corona is more normal. On the perineal aspect the corona provides a slight edge-shaped proximal extension in the median line. The urinary meatus is a slit-like opening with its concave superolateral lip lying parallel with the margin of the lobate portion of the corona some 2 mm distant therefrom. On the sides of the pars intrapreputialis a reticular pattern is produced by the

bases of the keratinized spicules whose sharp apices are directed proximally. A few scattered more papilliform spicules adorn the perineal aspect of the corpus. They are lacking from the glans and from the dorsum of the penis.

*Erectile tissue and baculum.* In the adult the body of the penis leaves the pubic region some 18 mm posterior to the anterior edge of the symphysis. Here the erectile cylinder undergoes a sharp bend some 16 mm proximal to the free tip of the penis. Less than half the length of the corpus penis therefore is pars libera, the remaining 28 mm being buried in the tissues of the body wall.

The baculum was not demonstrated in the fetus. In the adult it is better developed than in any of the other gibbons examined. It attains a length of 9 mm, i.e., thrice the length of the average of the other specimens. It also differs in shape, being dorso-ventrally compressed (1.0 mm thick) but transversely expanded (2.5 mm) at its base, yet narrowing uniformly distally. It therefore presents in dorsal view the outline of an isosceles triangle.

#### *Internal genital organs*

The three species may be conveniently considered together and their differences compared in passing, since these are less than in the external organs.

*Testes.* Measurements are given in table 2; and their location has already been considered in dealing with the scrotum.

Each gland may be described as ovoid, with cranial and caudal poles and three principal surfaces, antero-medial, antero-lateral and posterior, separated by three borders, anterior, postero-lateral and postero-medial. The epididymis is applied to the lateral side of the back of the testis.

In the adult *H. concolor*, which is sexually the most mature of the whole series, there is a distinct narrowing of the cranial pole of the testis, whereas the caudal pole is distinctly more rounded. The ductus deferens lies along the posterior border, with the sinus of the epididymis directed anteriorly and laterally in all three species. The body of the epididymis lies dorsal to the ductus along the postero-lateral surface of the testis. The cauda epididymis is broadly adherent to the tunica vaginalis

TABLE 2  
Measurements of the internal genitalia

|                                  | Siamang |     |       | Hoolock |       |
|----------------------------------|---------|-----|-------|---------|-------|
|                                  | 357/54  | K.1 | PA 30 | K.2     | PA 26 |
|                                  | mm      | mm  | mm    | mm      | mm    |
| <i>Testis</i>                    |         |     |       |         |       |
| Length                           | 10.2    | 9.0 | 12.7  | 9.0     | 9.8   |
| Trans. diameter                  | 5.2     | 3.5 | 3.7   | 3.5     | 5.8   |
| Dorso-vent. diam.                | 7.0     | 6.0 | 8.5   | 5.0     | 7.8   |
| <i>Spermatic cord</i>            |         |     |       |         |       |
| Length to apex of ext. abd. ring | 24      |     | 15.8  |         | 24.5  |
| Diam. max. (near testis)         | 6.8     |     | 6.9   |         | 6.3   |
| Diam. min.                       |         |     | 4.7   |         | 4.9   |
| <i>Ductus deferens</i>           |         |     |       |         |       |
| Length                           | 123.5   | 100 |       |         |       |
| <i>Ext. abdominal ring</i>       |         |     |       |         |       |
| Medial pillar                    | 10.5    |     | 12    |         | 9.0   |
| Lateral pillar                   | 9.8     |     | 8.8   |         | 10.5  |
| Base                             | 3.0     |     | 7.0   |         | 5.0   |
| Between right and left ring      | —       |     | 7.6   |         | 8.8   |
| <i>Urethra</i>                   |         |     |       |         |       |
| Prostatic portion, l.            | 13.5    | 10  | 10    | 9.5     | 9.0   |
| Membranous portion, l.           | 5.5     | 4   | 5.5   | 3.5     | 4.5   |
| Penile portion, l.               | 32.5    | 19  | 24    | 18.5    | 18.5  |
| <i>Vesicula seminalis</i> l.     | 11.2    | 15  | 6.1   | 5       | —     |

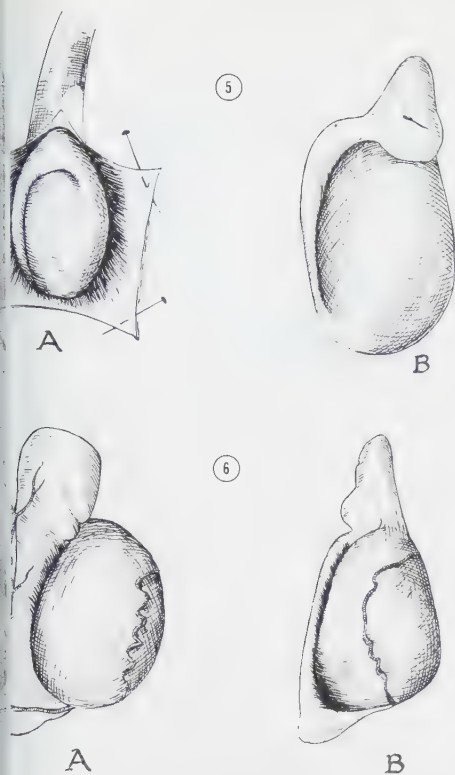
at the fundus of the scrotal sac, especially in *Symphalangus* and *H. concolor*. The caput epididymis is of compressed pyramidal form and projects into the proximal extension of the tunica vaginalis. In the adult *H. concolor* it resembles a cocked hat, rising an additional 10 mm above the cranial pole of the testis. It is laterally compressed, and its ventral border presents, near the cranial pole of the testis, some minor crenations, one of which serves as a vascular hilum since a branch of the spermatic artery enters the caput here. A thick fold of tunica vaginalis connects the ventro-medial part of the caput with the cranial pole of the testis.

In the epididymis of the hoolock, the epithelium is of the simple cuboidal type, without cilia: the lumen contains a colloid-like substance. In the siamang, the epithelium tends to be stratified columnar, with cilia.

In the hoolock (K.2), which is about two years old (corresponding to an 8-year-old human), the seminiferous tubules do not show active signs of spermatogenesis.

There are 2-3 strata of cells between basement membrane and the lumen of the tubule. Relatively more layers of cells are found in a 4-year-old child, but a characteristic feature of the seminiferous tubule in *Hylobates* is the presence of a number of very large cells with eosinophilic cytoplasm. These are not uniformly distributed throughout the tubules; some tubules possess several, others have none. When present, these cells tend to lie near the basement membrane, while a few occur closer to or even inside the lumen. Some of these cells are multinucleated; in such instances they are found to lie closer to the lumen. The multinucleated cells show two or three nuclei without a mitotic spindle. The human testis presents nothing homologous to these multinucleated cells. In the hoolock they are probably primordial sex cells. They are present, though less evident, in the siamang.

*Tunica vaginalis and spermatic cord*  
Testis and spermatic cord are provided with the usual coverings, i.e., external cremasteric, and internal spermatic f.



5 *Symphalangus syndactylus* ♂ 357/54. Testis. A, Lateral view with envelopes. B, Same removed from its envelopes and slightly further enlarged.

6 *Hylobates concolor leucogenys* ♂ 286/17. Right testis. A, Lateral view; B, anterior

The cremasteric layer is fleshy, consisting of loops of pale muscular fibers held apart with intervening areolar tissue. These are traceable back to the paragon on the dorsal side, as found by Miller in *Hylobates* ? sp. No essential difference was found between the three species studied. Cremasteric fibers are derived in part from that portion of the internal oblique muscle arising from the lateral fourth of the inguinal ligament. They are reinforced by fibers arising from the medial half of the inguinal ligament. The sperm cord, of flattened cylindrical form, uniform in diameter (6.8 mm) throughout *Symphalangus*, but narrowed at its distal end in *H. concolor* and *H. hoolock*, has a length of 18–21 mm between the upper pole of the testis and the base of the external abdominal ring (level of cranial border of symphysis pubis).

The tunica vaginalis is an elongated ovoid sac enclosing the testis and epididymis and extending some 12.5 mm proximad within the spermatic cord, where it usually ends blindly, as in man. In one hoolock (K.2) the saccus vaginalis communicated freely proximally with the peritoneal cavity. A sinus or digital fossa some 5 mm deep, with the serous layer of tunica dipping into it, occurs between the testis and the corpus epididymis, facing laterally.

**Inguinal canal.** The cord enters the abdominal parietes at the external abdominal ring. This is a deficiency in the external oblique aponeurosis, shaped like a scalene triangle, with its apex directed cranially and somewhat laterally. In the largest *Symphalangus* the lateral pillar is 9.8 mm long, the medial pillar 10.5 mm and the short base 3 mm only. Medial pillars of the two sides are 8.5 mm apart—rather more in *H. concolor*, where the apices are 28.5 mm apart. There are well-developed intercrural fibers, as also recorded by Miller ('47).

Constituents of the cord travel obliquely along the inguinal canal from external to internal abdominal ring. As found by Miller, the internal oblique and transversalis fibers in the caudo-medial part of the abdominal wall are poorly developed on account of their contribution to the cremasteric fascia. Their fibers arch (tendo conjunctivus, falx inguinalis), being inserted on the ventral wall of the rectus sheath well cranial to the pubis. This results in a relatively large internal abdominal ring.

The length of the inguinal canal is 11.6 mm in the larger *Symphalangus*, 11.0 mm in *H. hoolock* (PA 26), and 11.3 mm in the adult *H. concolor*.

**Ductus deferens.** Sections of the ductus deferens adjacent to the testes exhibit a stratified columnar epithelium without cilia in the hoolock. The muscular coat consists predominantly of circular fibers with a few longitudinal fibers interspersed. In *Symphalangus*, the epithelium is stratified columnar, with cilia. The longitudinal muscle bundles are more distinct than in the hoolock. Macroscopically, in all three species, the ductus exhibits the characteristic whipcordlike texture due to its thick wall and relatively small lumen.



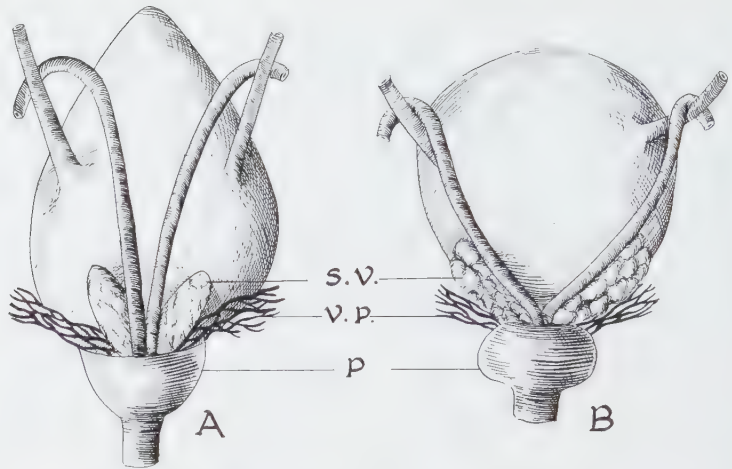


Fig. 7 Internal genital organs and bladder in: A, *Symphalangus*; B, *Hylobates concolor*. P, prostate; S.V., seminal vesicle; V.P., venous plexus.

*Vesicula seminalis*. This is a short body of fusiform outline with slightly nodular surface; but the lobules are much compacted and the whole surrounded by dense connective tissue, related postero-dorsally to a close-meshed venous plexus. Antero-medially the organ is closely related to the terminal portion of the ductus deferens. Its duct enters the prostate and unites with the ductus deferens to form the ejaculatory duct, which opens into the prostatic urethra.

In size, the vesicles are somewhat variable (see table 2) both in length and width, the variations being doubtless due partly to age and partly to physiological condition. Thus, the smaller *Symphalangus* has vesicles 15 mm long and 2 mm wide; whereas those of the larger animal are only 11.2 mm long and 3.2 mm wide, with their apices 18 mm apart. In the *hoolock* (K.2), the vesicles were only 5 mm long, but 2 mm broad opposite the middle of the organ, which tapers gradually towards both ends. Histologically, the glands present a close resemblance in structure to that of the ductus deferens. The mucous membrane does not present the intricate system of branching which is characteristic of the human seminal vesicle. However, the single tube is folded on itself so that it is cut 4 or 5 times in a single section. In the *siamang*, the branching of the mucous membrane is more evi-

dent, but the tube is not so markedly folded on itself.

The ejaculatory duct (4 mm long in K.2) opens into the urethra, but exhibits a well-marked dilatation near the opening.

*Prostate*. This is a thick, rounded, ramified structure with the apex directed caudad. Its base is apposed to the neck of the bladder in *Hylobates*; but in *Symphalangus* the bladder forms a narrow isthmus proximal to the base of the gland, so that the trigone is not in direct apposition therewith. In *H. hoolock* (K.2), the prostate has a transverse diameter at its base of 11.0 mm and a sagittal dimension of 10.5 mm. The bulk of the gland lies dorsal and lateral to the prostatic urethra, but a stratum of tissue forms an isthmus between the pubic aspect of the urinary passage and the prostate. In *H. hoolock* a shelf of prostatic tissue projects cranially and dorsally around the neck of the bladder, lying lateral to the common ejaculatory ducts. This takes the form of paired lappets passing dorsally over the bladder and lateral to the common ejaculatory duct each side. The prostatic isthmus consists mainly of smooth muscle fibers: in *Symphalangus* it contains no glandular tissue, but in *H. hoolock* glandular tissue extends more ventrally towards the isthmus.

*Bulbo-urethral glands*. These are small, paired, lobulated bodies, some 3 mm in diameter, lying on either side of the



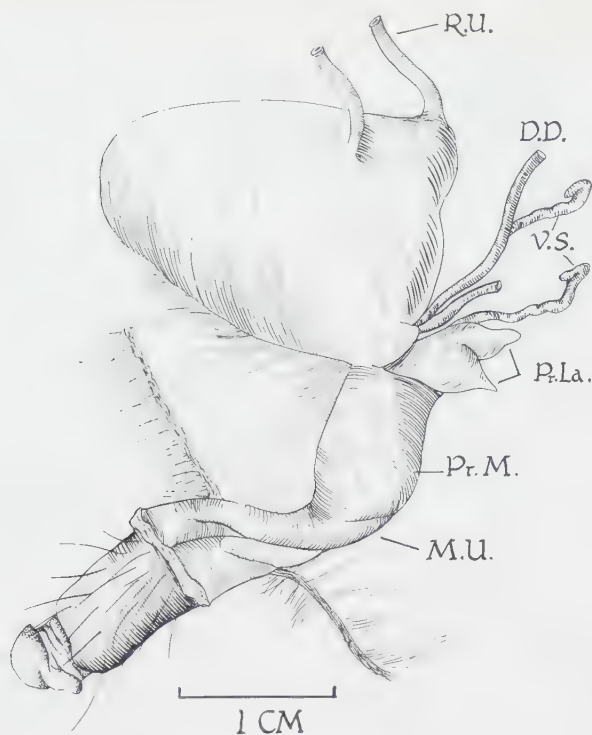


Fig. 8 *Hylobates hooock*. Dissection of the urogenital tract from the left side.  $\times 2$ . D.D., Ductus deferens (right); M.U., membranous urethra; Pr.La., lateral lobes of prostate; Pr.M., median lobe of prostate; R.U., right ureter; V.S., vesiculae seminales.

us urethra just proximal to the bulb urethra. Their ducts run ventrally into the bulbar part of the penile urethra and are about 10 mm long.

thra. This shows the usual subdivision into prostatic, membranous, and portions. Their dimensions are in table 2.

in man, the prostatic urethra is the section of the tube. Its dorsal wall is a globular swelling, the colliculus salis or verumontanum, some 2 mm in diameter, sharply defined around its except in the median line, where a longitudinal fold proceeds distally in species, with an additional proximal in *Hylobates concolor* (fig. 8, B). In *hyalanguis* the proximal ridge is lacking but the distal one is flanked by paired median ridges, separated from the in fold by deep sulci (fig. 8, A). The culus is more circular in circumference in *Symphalangus*, but pyriform (with arrow end at the neck of the bladder) in *concolor* and *H. hooock*.

The summit of the colliculus bears, in all specimens of *Symphalangus*, a Y-shaped depression leading to the opening of the utriculus prostaticus, but the openings of the ejaculatory ducts are barely visible to the naked eye. They lie immediately laterad of the prostatic utricle. On the other hand, the openings of the ducts from the prostatic glands are well seen in the groove (sinus prostaticus) to the side of the base of the colliculus. In *H. concolor* the utriculus presents a rounded opening. In *H. hooock* (K.2) more of the openings were visible to the naked eye, but in PA 26 the utriculus opens by a 1.0 mm long slit on the summit of the 3 mm long oval colliculus. Even under high magnification the ejaculatory ducts could not be distinguished.

The membranous urethra in all three species is relatively elongated, with a thick wall, caused by the amount of striated muscle fibers constituting the compressor urethrae (fig. 9).

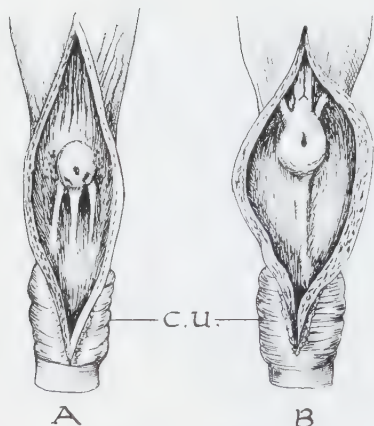


Fig. 9 Interior of prostatic urethra (opened from ventral side) in: A, *Symphalangus*; B, *Hylobates concolor leucogenys*. C.U., compressor urethrae muscle.

The penile urethra is slightly dilated in the bulb, but elsewhere is collapsed, with a stellate lumen in section, except in the glans, where there is a marked dilatation (fossa navicularis) of fusiform outline. Minute blind pockets or urethral lacunae, with distally directed mouths, occur as in the human urethra. The submucosa contains numbers of mucous glands whose ducts penetrate the mucous membrane, except in *Symphalangus*.

In both siamang and hoolock the prostatic urethra is lined by a transitional epithelium of three to 6 layers of cells. Where the lining consists of three layers of cells, those adjacent to the lumen are flattened.

The penile urethra in *H. hoolock* exhibits a lining of transitional epithelium, but in the glans this changes to a stratified squamous epithelium. There are several glandular outgrowth from both penile and glandic portions of the urethra. In the siamang the penile urethra is lined by transitional epithelium, which is without glandular outgrowths.

#### DISCUSSION

One of the most controversial matters in the genital anatomy of gibbons is the presence or absence of a scrotum or a scrotal anlage. Wislocki ('36), considering the earlier literature, indicated that his own conclusions were at variance with previous statements. As far as gibbons are concerned, Wislocki believed that, as

with catarrhine monkeys, a scrotal anlage is developed in fetal life and persists until that permanent descent of the testes does not occur until some time during the juvenile period. He noted that the majority of gibbons were unique among World primates in the location of the testes, i.e., bilaterally parapenial or prepenial rather than postpenial, but *Symphalangus* and *Hylobates concolor* differed from other gibbons in having a postpenial scrotum. Sonntag ('24), on the other hand, declared the scrotum to be lacking in gibbons, and Pocock ('25) of the same opinion. Welch ('11) however, described a conspicuous non-pendulous scrotum in a *H. hainanensis* (= *H. concolor*), which was attained to maturity during captivity, in contrast to *H. leuciscus* (= *H. moloch*) which failed to develop scrotal sacs during the period examined. Welch reached the conclusion that scrotal development and testicular descent in all gibbons was relatively retarded compared with other Primates. His observations were confined to living animals and museum skins. In *Symphalangus*, Selenka ('03) found a pendulous scrotum in the fetus; and Mijsberg ('31) mentions one in an older animal; while in fetal specimens of *H. concolor*, the senior author found the scrotum in two apenial halves. Matthews ('46) found small parapenial sacs in a *H. agilis* which reached maturity during captivity; the writer reviewed the earlier literature, finding that much inconsistency still existed (see also Hill, '58).

Summarizing the findings in the present series, it is to be noted that in none of the siamangs examined was there a scrotal sac, the testes remaining in parapenial pouches. Possibly none was old enough to have reverted to the fetal condition; but by Selenka and attained in the adult, as examined by Mijsberg.

The large pendulous scrotum of the *H. concolor* examined by us conforms to that of Selenka's fetal siamang and differs from that of his fetal *H. concolor*. The existence of a globular, subpendulous, densely-haired, postpenial scrotum in adult *H. concolor* is confirmed.

No previous records relating to *H. hoolock* are available. In our material

unately subadult, the testes lie subally in a prepenial position. Possibly, advancing age, further scrotal development may occur in this species.

the whole, our material confirms the thesis of retarded descent of the testes in species of gibbons, and supports the view that postpenial scrotal sacs appear during fetal life; that the testes are there withdrawn only to return, if at all, to the perineal site during late adolescence, remaining pre- or parapenial for a matter of 6 years. Only in *H. concolor* does the scrotum become densely haired.

The genital tuft is well developed in *H. concolor* and *H. hoolock*, obscuring the external genitalia and contrastingly colored to the latter. In *Symphalangus* the genital hairs are lengthened, not contrasted in color, but more sparsely planted than in *Hylobates*.

The penis is short and inconspicuous in the forms examined. The glans is, in anatomical terms, similar in its truncated shape and obliquity of its distal surface, as is also its proximal extent on the periphery of the corpus penis. This feature, together with its small size, gives it a clitoris-like effect, especially with the left-like appearance of the meatus urethralis, continued inferiorly almost to the corona—an appearance which Kohlmeier (1892) likened to hypospadias. It is the similarity between the genitalia of the two sexes which led Harlan (1826) to describe the type female specimen of *H. concolor* as a "hermaphrodite siamang." All species agree in the presence of horny spicules on the pars intrapreputialis. *Symphalangus* and *H. hoolock* differ in the demarcation of polygonal areas around the bases of the spicules. The glans is deeply pigmented in all species examined, even the fetus of *H. concolor*, which thus differs from the *H. concolor* described by Welch, in which the glans was dull red, contrasting with the unpigmented prepuce.

The attachment of the penis to the pelvic structures is similar in all. The level at which the pars libera emerges from the body wall is at the hinder edge of the symphysis in *Symphalangus* and *H. hoolock*, a shade more anteriorly in *H. concolor*. These positions align the gibbons

with man rather than with the catarrhine monkeys, though *H. concolor* approaches the arrangement seen in *Cebus* as depicted by Mijsberg's figure 6 (p. 17). Mijsberg regards the caudal position of penial emergence as the more primitive, the cranial migration along the ventral surface of the pubis in cynomorphs being a specialization.

The presence of a small os penis in all the species examined confirms previous reports of its existence in the family. It is best developed in *H. concolor*, but is not ossified in the full-term fetus. Yet de Beaux ('17) recorded one 2.7 mm long in a very young specimen. Gerhardt ('10) had reported a small baculum previously in *Symphalangus*, and larger bones in *H. agilis* and *H. leuciscus* (= *H. moloch*). Matthews depicts the bone in *H. agilis* as taking up the major part of the glans dorsal to the urethra.

Little for comment emerges from our observations on the internal organs. Notable are the variable site of the testes—already discussed in dealing with the scrotum—the elongated form of the caput epididymis and the broad adhesion of the cauda epididymis to the fundus of the sacculus vaginalis. The variable nature of the epithelial lining of the canal of the epididymis is to be noted, and it is unfortunate that the material was insufficiently well fixed for comparison to be made with the accurate findings of Heidenhain and Werner ('24) on the human epididymis. Possibly the variations we have observed between *Symphalangus* and *Hylobates hoolock* in this feature are to be related to different physiological phases of activity.

Our observations on the cremaster muscle confirm the findings of Miller ('47); but we have not been convinced that Mijsberg's statement that the caudal fibers are derived solely from the transversus abdominis is consistently applicable. Mijsberg maintained that *Hylobates* differed in this respect from *Macaca* and *Semnopithecus*, in which caudal fibers of the cremaster are derived from the obliquus internus.

Peritoneal relations, as Mijsberg found, are exactly as in man, and *Pan*. There is no serosa in the funiculus, no mesoepididymis, while the greater part of the epididy-



mis and the whole of the ductus deferens are retroperitoneal.

Our observations on the seminal vesicles and prostate agree well with those of Mijsberg and Matthews, but we note the difference between *Symphalangus* and *Hylobates* in the relation of the prostate to the neck of the bladder—not referred to by Mijsberg (cf. his fig. 18). We also indicate some differences in the histological nature of the prostatic isthmus on the pubic side of the urethra.

In the urethra, some specific variations are noted in the region of the colliculus seminalis.

#### ACKNOWLEDGMENTS

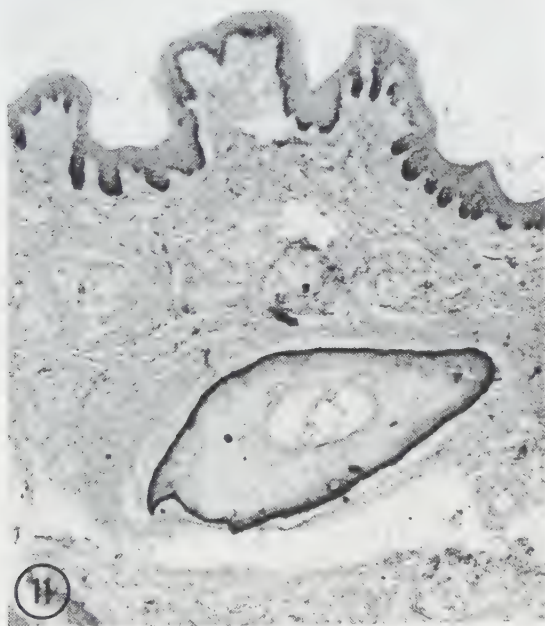
We tender our best thanks to Major A. N. Weinman, O.B.E., for material from the Dehiwela Zoological Gardens, Colombo, and to Professor P. K. Channugam of the Medical Faculty, University of Ceylon, in whose department part of the work was done. We also acknowledge our thanks to the Council of the Zoological Society of London for facilities and material, and to the Royal Zoological Society of Scotland for material received by one of us (W. C. O. H.) while working in Edinburgh.

We have further to express our gratitude to Miss Bessie Whitely, Guy's Hospital, for the photograph in Plate I (fig. 10) and to Mr. D. Stevenson Clark of Messrs. Ilford Limited, for the photomicrograph (fig. 11).

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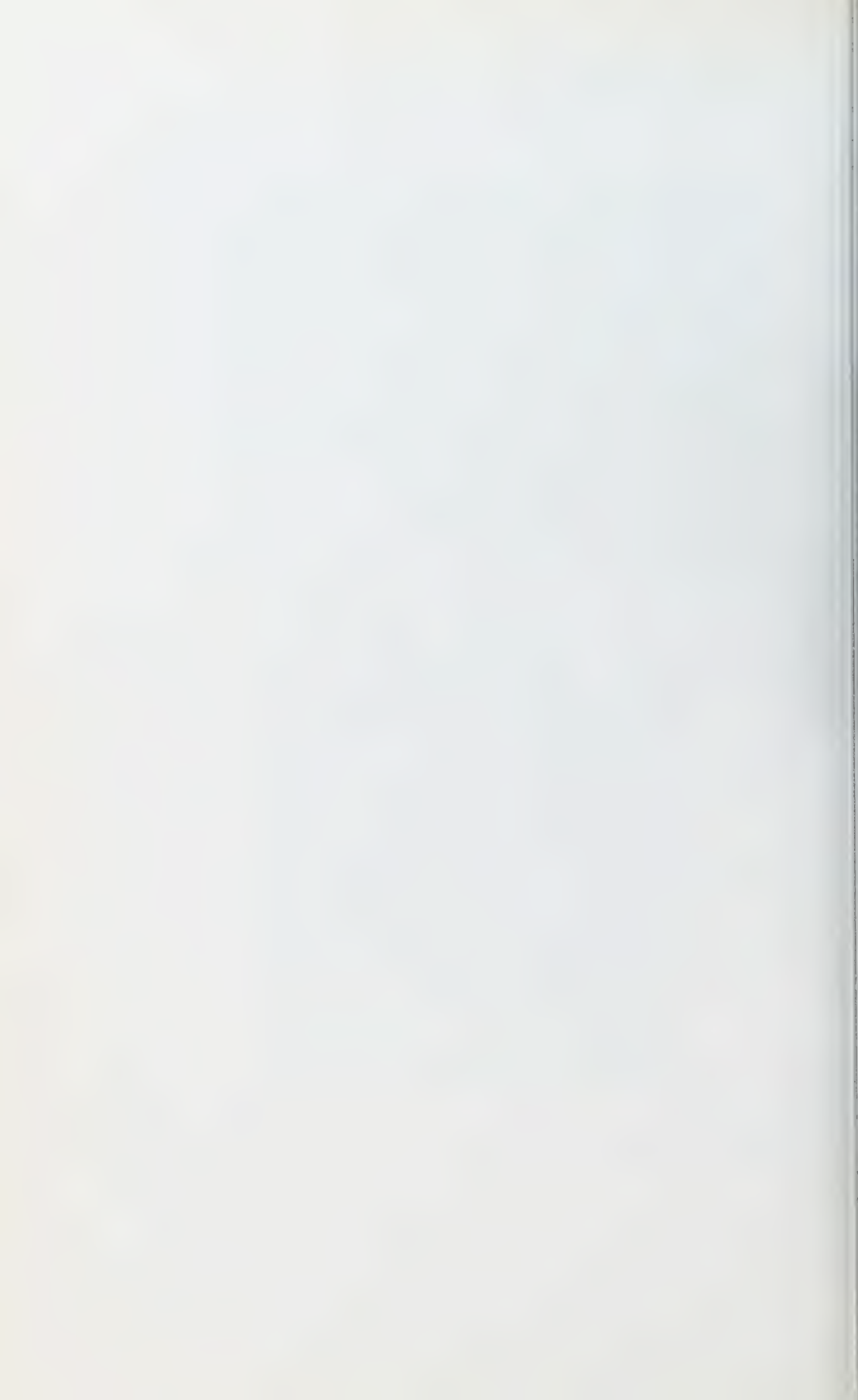
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10 *Hylobates concolor leucogenys* ♂ newborn.

11 *Hylobates hoolock* ♂ (K.2). Photomicrograph of section through glans penis showing baculum in transverse section.  $\times 50$ .



## Wenner-Gren Foundation Announcement



Wartenstein Castle, European Headquarters of the Wenner-Gren Foundation for Anthropological Research, New York.

The Wenner-Gren Foundation announces that its European Headquarters at Wartenstein, N. Oe., Austria is in operation and that 5 conferences were held during the summer of 1959. Dr. Paul S. Sherris, Director of Research, invites suggestions for future symposia and conferences.

Symposia held since the official opening of the Headquarters on August 17, 1958

*Current Anthropology*. Dr. Sol Tax, Organizing Chairman, August 18-24, 1958.

Austrian Symposium, No. 1. *Beitrag Österreichs zur Erforschung der Urgeschichte und Kulturgeschichte der Menschheit*. Prof. Richard Pittioni, Organizing Chairman, September 8-12, 1958.

*Social Life of Early Man*. Dr. Sherwood Washburn, Organizing Chairman, September 22-30, 1959.

4. *Application of Quantitative Methods in Archaeology*. Dr. Robert Heizer, Organizing Chairman, July 1-9, 1959.

5. *Rural Peoples of the Mediterranean*. Dr. Julian Pitt-Rivers, Organizing Chairman, July 26-August 1, 1959.

6. *Stability and Change in Thai Culture*. Dr. Lauriston Sharp, Organizing Chairman, August 9-16, 1959.

7. Austrian Symposium, No. 2 *Theorie und Praxis der Zusammenarbeit zwischen den Anthropologischen Disziplinen*. Prof. Richard Pittioni, Organizing Chairman, September 6-13, 1959.

Some of the symposia contemplated to take place at Burg Wartenstein during the next two or three summers are listed below, some with tentative titles:

1. *Pleistocene Stratigraphy and Early Man in the Circum-Mediterranean Regions*. Drs. F. C. Howell and A. C. Blanc, Organizing Chairmen, July, 1960.

2. *Comparative Aspects of Human Communication*. Dr. G. Arnold, Organizing Chairman, September 4-10, 1960.

3. *From 15,000 B.C. to the Thresholds of Urban Civilizations—A World-wide Consideration of the Cultural Alternatives*. Drs. R. Braidwood and G. Willey, Organizing Chairmen, Summer, 1960.

4. *Economics and Anthropology*. Drs. B. Hoselitz, R. Firth and S. Tax, Organizing Chairmen, July or August, 1960.

5. *Teaching of Anthropology*. Dr. D. G. Mandelbaum, Organizing Chairman, August, 1960.

6. *Problems in the Evolution and Taxonomy of Higher Primates*. Dr. F. C. Howell, Organizing Chairman, 1961.

7. *African Ecology and Human Evolution*. Drs. F. Bourlière and F. C. Howell, Organizing Chairmen, 1961.

8. *Ceramics and Man*. Dr. F. Matson, Organizing Chairman, 1961.

#### FOUNDATION POLICIES FOR CON- SIDERATION OF PROPOSALS OF CONFERENCES AND SYMPOSIA

TO BE HELD AT  
EUROPEAN HEADQUARTERS  
OF THE  
WENNER-GREN FOUNDATION FOR  
ANTHROPOLOGICAL RESEARCH,  
BURG WARTENSTEIN, AUSTRIA

I. *Theme*. Theme should preferably be along inter-disciplinary lines within anthropology and/or its related sciences: Under this is meant that most or all of the classic subdisciplines (ethnology, archeology, physical anthropology, etc.) should be represented in the conference by subject and/or participating personnel. This policy, however, is not intended to eliminate the single subdiscipline provided the aim of the symposium has a valid inventory or stock-taking purpose. Undesirable are subjects which are termed isolationary on account of high specialization, narrow geographical or chronological limitation. It is a top desideratum that conferences should be of service and interest to the anthropological profession as a whole. Conference themes need not be restricted solely to the anthropological disciplines provided they have the potentiality and

prospect of substantially contributing to anthropology. They can be based on any of the classical disciplines, or any of the exact or natural sciences. (Mathematics, for example, could be a valid subject which deals with statistics for anthropology.)

II. *Program*. No conference program can be entertained for approval upon application only. A tentative outline of program should contain suggested titles, the number of sessions contemplated, as well as the possible dates.

III. *Date*. Careful consideration of the available timing in scheduling date and the timing time of conference should be made. It is recommended that proposals for international symposia be submitted for preliminary approval at least 12 to 18 months in advance of proposed date. That, if accepted, ample time would be allowed for invitations to be made and preparations to be carried out.

IV. *Participants*. Since the Foundation does not believe that any one nation has a monopoly on brains or intellectual capacity, the list of participants should be selected internationally and not restricted in total or in large part to a single country. Please note that Burg Wartenstein serves as European Headquarters of the Foundation; therefore conferences which have a majority of United States participants should, for financial reasons, be planned to meet in the United States unless the travel costs to Vienna can be obtained from the Organizing Chairman from outside agencies.

V. *Papers*. It is recommended that conferences should be planned to exclude the reading of contributed papers during sessions. Instead, papers should preferably be written, duplicated, and distributed among the participants in ample time before the actual conference so that participants have the opportunity to read and study them before the meetings begin. The conference time, then, would be principally used for discussion only. Abstracts, if needed, can always be read by the authors during the symposium sessions to refresh the audience's memory.

VI. *Publication*. It is recommended that papers and results of discussion be considered for publication.

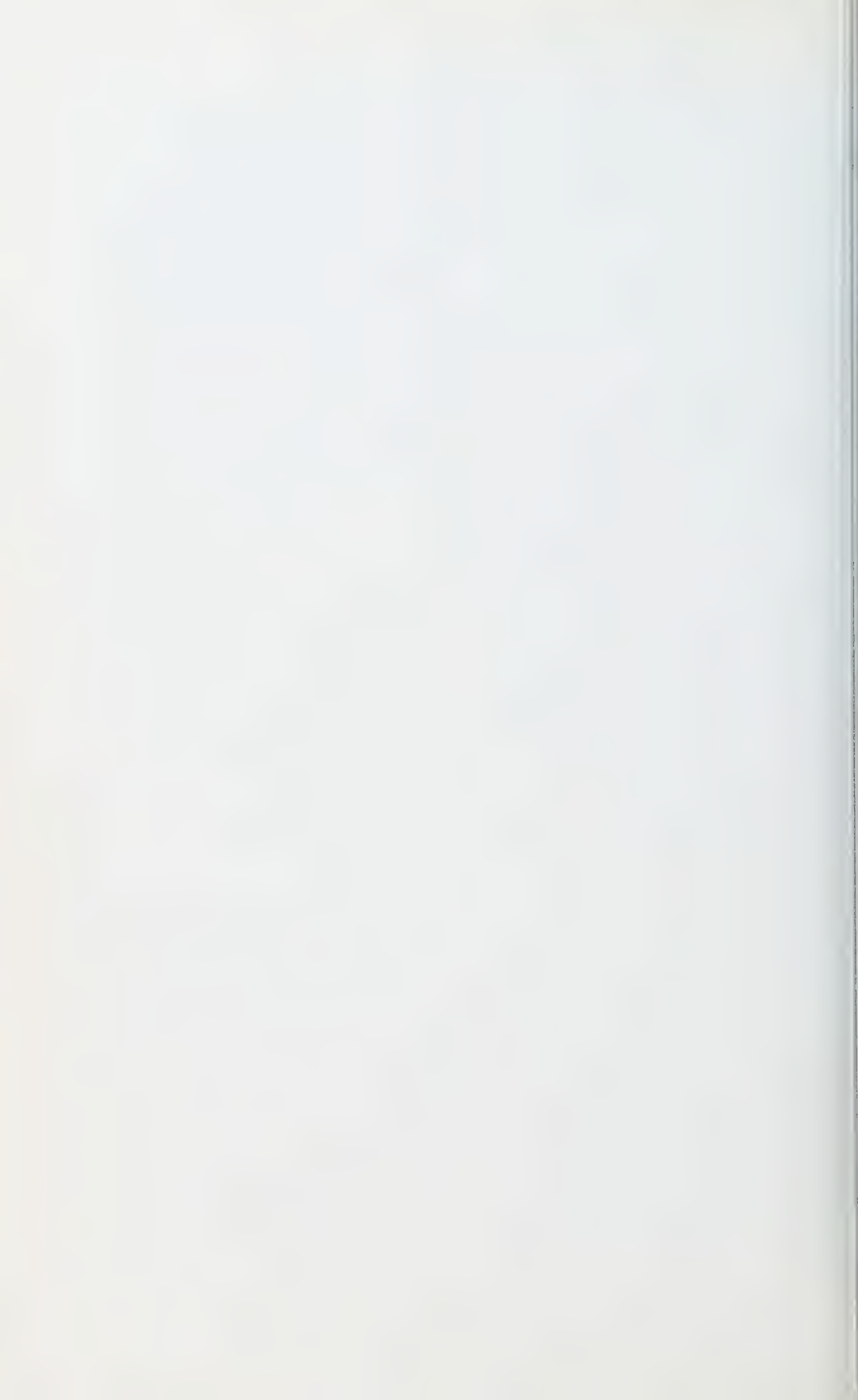


*I. Cost.* An approximate and reasonable estimate of expenses involved for participants and prime costs of publication so needed. Please note the travel costs at all times to be based upon the standard trip economy or tourist air fare of participant.

Suggested sources from which partial support for travel and publication can be obtained, and an indication by the Organ-Chairman of the aid he can render

in this respect should be included in the proposal.

*VIII. Approval.* Please bear in mind that the submission of a conference proposal does not automatically mean that the Board of Directors will approve it. For this reason, only tentative invitation to the participants can be made by the Conference Organizer. Upon approval by the Board of Directors, the Foundation itself will issue the final invitations.



## Book Reviews

PREHISTORIC MAN AND THE PRIMATES. By William E. Scheele. \$4.95.  
World Publishing Co., Cleveland and New York, 1957.

*Prehistoric Man and the Primates* by William E. Scheele, the Director of the Cleveland Museum of Natural History, presents a picture of primate evolution, with an emphasis on hominids, in three major portions: fossil considerations, modern primates, and fossil and modern racial evidence for human evolution. Designed for interested students and high school students, the book is written simply, with a minimum of technical terminology, but without much "writing down."

Although the book exhibits a number of interpretive fallacies, most of these are also found in many of the writings of professional zoologists and physical anthropologists, as in the tendency to assume that most fossil forms must lie precisely along phylogenetic lines. There is also an implicit acceptance of orthogenesis for *Homo*. Weidenreich's convergent evolutionist interpretations. Certain conclusions, such as specialization (pp. 16, 19, 88), have been very distorted, while other interpretations, such as a southeast or northern Indian center of dispersal for man (pp. 84-85), seem less probable than alternatives. There are,

furthermore, quite a few invalid statements, such as the restriction of reason to *Homo sapiens* (p. 18) and the assertion that the Piltdown assemblage "conveniently rounded out part of the human evolution story" (p. 28). Scheele's terminology is generally satisfactory, with unfortunately some notable exceptions (pp. 72, 78, 113). In general, the abundant illustrations are excellent, but too many are misleading (p. 12), non-representative (pp. 61, 117), at least in part erroneous (pp. 24, 76, 77, 80, 92, 116), mislabeled (pp. 91, 100), or almost completely fanciful (pp. 29, 76, 81, 88). Many direct copies of drawings and photographs in previously published works are not credited.

But most of the book, written by a semi-professional, is fundamentally sound. It will undoubtedly stimulate laymen and students to greater interest in primatology. Any future edition should be edited, however, by a professional physical anthropologist, and the price should, if possible, be reduced.

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THE TRANSVAAL APE-MAN-BEARING CAVE DEPOSITS. By C. K. Brain.  
130 pp. Transvaal Museum Memoir No. 11, Pretoria, 1958.

Remains of the South African ape man, found in caves of the Precambrian Transvaal dolostone, have been studied (Dart, 1924; Robinson et al., 1924-1956). The geological aspects have been somewhat neglected. This environmental study was initiated by Robinson.

The first part (48 pages) deals with the probable origin and development of the bone accumulations and fillings, with methods for angularity measure-

ments of sand and for studies of cave breccias and climatic deductions from the deposits. In the second part are treated the findings at Sterkfontein, Makapan Limeworks, Swartkrans and Kromdraai. Climatic placement is attempted, based on faunal evidence given by Ewer (1956). A detailed faunal list follows the report.

Cave fillings are grouped in travertine, residual earth, Phase One and Phase Two materials. The residual earth represents

the insoluble fraction of the dolomite left behind when the cave was dissolved out. Phase One material contains excessively angular residual grains and worn grains from the surface. Phase Two material consists of surface material, brought in when the entrance to the cave was large. Only Phase Two materials are used for climatic deductions. The rate of accumulation of the material has influenced the carbonate content of the final breccia. This fact is taken as an indication that the lime was supplied by percolating solutions and not from the surface as dust. Sand grain measurements based on the porosity of compacted samples of 35–60 mesh sand are described. The angularity serves to distinguish Phase One and Phase Two materials. Reproducibility of porosity figures is claimed to be within 0.4%. Chert grains are strictly of dolomitic origin, while quartz grains are wind-blown. The chert-quartz ratio thus indicates the climate, assuming that increasing quartz abundance indicates greater aridity. Hence fossil dolostone soil should indicate the climate of the time of its formation. It is admitted that no other dating technique than the interpretation of fossil fauna can be employed. Work on fossils led to age determinations ranging between upper Pliocene and upper Pleistocene (Broom). Robinson (1952) presented faunal evidence that the deposits were formed in a series in time and suggested upper Pliocene as most logical. Oakley (1954) placed the sequence in the Pleistocene Kageran-Kamasian interpluvial. Ewer (1955) supported this conclusion. On account of fossil fauna he established the age sequence Sterkfontein - Limeworks - Swartkrans-Kromdraai. The climatic evidence established in the present paper is superimposed upon this sequence.

The author suggests that the deposits of Sterkfontein, Limeworks and Swartkrans belong to the First Interpluvial, while Kromdraai dates to somewhere in the Second Pluvial of Pleistocene time. The evidence is in part controversial. Kromdraai is placed in a wet period although the chert-quartz ratio indicates a trend towards drier conditions, which is not borne out by the porosity measurements. Climatic instability is given for explanation,

but Ewer's suggestion of a climate wetter than the modern one at Kromdraai is probably considered. It is not explained why the age of this site then is placed in the Second Pluvial.

Several stones with apparent artificial fracture have been found. The author states that the damaged stones are safely determined artefacts. His photographs show only one view of the stones so that the profile cannot be judged. He used these controversial objects as endorsement of an age younger than Kageran of the deposits, though it is not apparent why. No trace of stone culture exists in the lower levels of the deposits where most bones including Australopithecines were accumulated. An artefact-bearing horizon with occasional ape-man remains overlies the main fossil bearing levels at Sterkfontein and Limeworks. The author believes that the caves may represent primitive human occupation sites and that the ape-man did not produce tools, but rather formed the diet of primitive men. Further research is felt to be necessary on this suggestion. For the supposedly arid Limeworks deposits bones of Hippopotamus are known. The author states that these bones need not throw some doubt on the suggestion that permanent water was absent from the immediate vicinity of Limeworks, "as the bones might have belonged to an unfortunate wandering individual that came to grief while searching for a suitable place to drink." It appears that Ewer's suggested Kageran-Pluvial age for Limeworks should not be discarded too hastily, and that the "chiefly interpluvial age of some aridity" for Limeworks could be questioned.

No considerations of the paleontological differences between the ape-man species of different deposits are given in this paper. Yet the studies of Robinson and others point out that a time gap exists between the Australopithecus deposits of Sterkfontein and Limeworks and the young Paranthropus deposits of Swartkrans and Kromdraai. There are marked differences between the two species, and different food habits have been suggested. Fractured rocks were found only on the surface of Australopithecus bearing deposits and are not reported from the Paranthropus



Therefore paleontological reasons indicate a grouping Sterkfontein-Lime- and Swartkrans-Kromdraai. Since evidence of extreme aridity at Lime- is weakened by the Hippopotamus the humid climate indicated for Krom- rests on controversial sedimentological evidence, the placement of the three in the First Interpluvial and of Krom- in the Second Pluvial must be considered highly tentative.

The paper gives a very thorough comparison on the origin and development of Transvaal caves and their deposits.

The techniques of investigation are carefully explained, yet not necessarily free of pitfalls in their application. Controversial evidence has not been exhausted sufficiently, and the correlation between faunal age and climate is not the only one possible. The author treated the geological aspects of the problem admirably. Perhaps other means should also have been employed besides sedimentological techniques, as there are pollen and trace element studies.

The paper is highly recommended.

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THE DENTITION OF THE GROWING CHILD: A Longitudinal Study of Dental Development Between 3 and 18 Years of Age. By Coenraad F. A. Moorrees. vi + 245 pp. \$5.50. Harvard University Press, Cambridge, 1959.

This treatise represents the second contribution to be published by this author within the space of two years, and its predecessor, "The Aleut Dentition," found a wide anthropological audience. Though of prime interest to the orthodontist, those concerned with the growth and development of children will also find this volume to be of singular value. This book demonstrates not only an intelligent approach to the study of growth and development of the dentition, but a refreshingly new awareness of many of the limitations inherent in the material. The presentation is straight-forward and concise. In contrast to the earlier work, Moorrees placed the figures in context with the discussion.

The material studied consisted of the dental casts of the dentitions of 184 North American White children. The investigation was intentionally restricted to measurement of growth changes in the dentition only. Casts were taken yearly, or in some cases every six months, from the ages of 3 to three years to 16 or 18 years. However, it is regrettable that gaps of at least one year occurred in most of the series between the ages of 12 and 16 years. These were obtained as the result of the limited support and efforts of several organizations. The majority (132 children) obtained from the longitudinal stud-

ies of child health and development of H. C. Stuart, Department of Maternal and Child Health, School of Public Health, Harvard University, assisted by F. G. Allen, J. M. Gable, and P. Williams. The remainder were collected in a Wilmington, Delaware, school by R. H. Stucklen.

The stated objective of this study was to emphasize "growth changes in each individual child in order to gather basic information applicable to clinical diagnosis and prognosis in dental practice." A longitudinal approach was utilized since this method "affords an opportunity to study the development of individual children, because it recognizes the individual as the primary unit of study." However, the author states, "Nevertheless, longitudinal studies may yield no more profitable data in terms of the individual than cross-sectional studies unless specific methods are employed to determine the individual patterns of, and variations in, developmental progress."

Preceding 11 chapters of this work is a 39-page review of the literature which traces the "... close chronologic parallelism ..." from the realization that the subject of orthodontics, as 'straightening of the teeth' its now generally called, is inseparably linked with growth and development." Although the literature cited is not claimed to be complete, several im-

portant references, such as Jørgensen's work on the deciduous dentition, Schour and Massler's developmental studies, Garn's eruption investigations, Sakai's dental arch studies of the Japanese, and Krogman and Sassouni's syllabus, were conspicuously absent from references cited.

Of interest is a unique "composite graph" designed to provide a graphic record of the various observations on tooth position and occlusion for each child. Features are recorded in three planes. Horizontally recorded data concerns interdental spacing, shape of the maxillary and mandibular dental arch, and molar or premolar crossbite. Observations which are recorded in the sagittal plane include occlusion of deciduous second molars, permanent first molars, and distance between maxillary and mandibular incisors, as well as plots of occlusal plane of incisors, molar, and premolar groups. Vertical overbite was recorded in the vertical plane. Sexual differentiation in age of tooth emergence necessitated separate composite charts for males and females.

Other chapters deal with methods for determining norms of dental development, dental arch size, mesiodistal crown diameters, spacing and crowding, and overbite. Predicting dental development, dental development in the individual child, the value of longitudinal data for orthodontic

prognosis, and some clinical applications of the findings will be of particular interest to those engaged in clinical aspects. A detailed appendix enumerates crown length, arch breadth, and available space data upon which the conclusions are based, and an index is also given.

There are many limitations to this study but as the author has pointed out, it would take at least 15 years to gather a body of data more satisfactory. The author conscientiously attempts to point out weaknesses and unsatisfactory aspects involved in this investigation and does not attempt to extrapolate or stretch his data. So one may question the validity of the measurements which were taken on casts made by different methods, different investigators, and over a considerable period of time. However, the dental anthropologists will applaud the careful definition of terms encountered and explicitly used by the author, such as crown length and breadth, which have unfortunately been used ambiguously in many past investigations.

Moorrees has made an important contribution to dental research. This work will form a valuable supplement to current data in child growth and dental anthropology as well as being of particular benefit to orthodontists and other clinicians.

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# Sprengel's Deformity and Club-Foot: Anthropological Interpretation

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## INTRODUCTION

The following theory on the anthropological significance of Sprengel's deformity club-foot rests on the evidence of human embryology, on the comparative anatomy of human races and of the anthropoid

Diagnosis of talipes equino varus as a result of arrested normal development of the foot has been long recognized by clinicians; its interpretation as a survival of his simian ancestors' anatomy and the more recent concept of anthropology. As to Sprengel's deformity, although the high level of origin of the shoulder-blade in the human embryo has been frequently described, its bearing on Sprengel's deformity and on the emergence of club-foot in man, seem to have aroused little interest.

In the course of many years, theories on the origin of these deformities have been read in my lectures on anatomy to medical students in Washington University. On February 25, 1952, my paper on "The anthropological significance of Sprengel's deformity and club-foot" was read before the St. Louis Anthropological Society. During the years following, further observation and study have increased my confidence in the theory that these two hereditary deformities are reversions to ancestral states and have urged its publication.

## REVIEW OF THE LITERATURE

### *Sprengel's deformity and talipes equino varus*

The pathological condition named "congenital high shoulders" in English medical literature was described by Dr. Otto Gerhardt Karl Sprengel (1891). Briefly stated, in 1891 there were 4 children, a girl and three boys, in all of whom the deformity was on the left side only. The author wrote that

he was unable to find previous reference in the literature to this congenital defect. Following Sprengel's publication, other cases were discovered by clinicians in their practice that confirmed Sprengel's description and added new features observed to the picture he had drawn (figs. 1, 2, 3, 4).

Cases of the deformity affecting both shoulders have been reported, as those of Sick ('02), Horwitz ('08), Blair and Wells ('59, see fig. 5), and others. In these cases a forward projection of the shoulders has been noted. The neck appears unnaturally short on the affected side in unilateral cases; symmetrically short, *breve collis* (Greig, '24), when Sprengel's deformity is bilateral. The clavicle is inclined upward from its sternal articulation to meet the acromion, whereas its position in the normal adult is nearly horizontal. It has been described as stouter and shorter in contrast to its typical form. The scapula on the affected side in a case of Sprengel's deformity is smaller than its fellow. Its position is higher than normal, the acromion, coracoid process and the larger part of the glenoid fossa standing above the level of the first rib. The scapula is rotated on a superior-inferior axis so that its lateral margin looks anteriorly. The bone is also turned, bringing its inferior angle nearer to the vertebral column. The form of the body of the scapula is altered by the reversal of the ratio of its sagittal length and its transverse breadth: the former being shorter than the latter in the Sprengel's deformity scapula. Furthermore, the supraspinous part of the body is bent anteriorly, fitting the convex wall of the thorax. A large bony or cartilaginous strip or triangular plate (the omovertebral bone) is often present; it articulates with the vertebral margin of the scapula and with the laminae or spi-

nous processes of lower cervical vertebrae, and in some cases also with the first thoracic vertebra. The occurrence of this appendage is in about half of the reported instances of Sprengel's deformity (Batesman, '55). Its nature has been the subject of much discussion.

Shortening and altered direction of muscles attached to the scapula are recorded. The sternocleidomastoid muscle may be short, associated with torticollis (Horwitz, '08). That the general somatic growth of individuals with Sprengel's deformity tends to be below the average



Figs. 1, 2, 3 Sprengel's (1891) original cases.



Fig. 4 Sprengel's deformity in a boy of 7 years, after Mercer ('50).

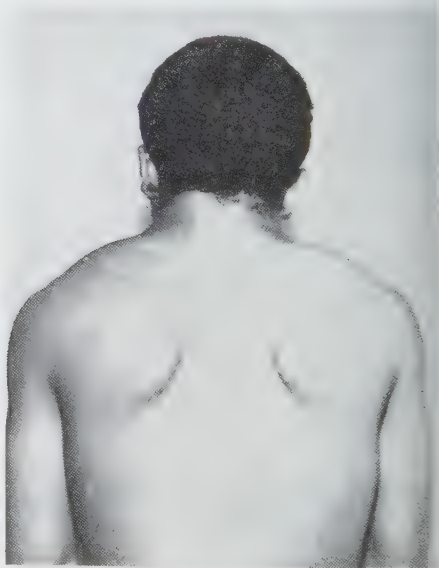


Fig. 5 A bilateral case of Sprengel's deformity after Blair and Wells, '59. (U. S. Army photograph).



observed by Greig ('24). There is to be no predilection of the deformity for race or sex. Regarding inheritance, Hof ('13), has reported 7 cases in one family; Sick ('02), cited two sisters with bilateral high scapulae. As to the incidence of Sprengel's deformity, estimates are based chiefly on clinical cases which are not uncommon; slight degrees, how-

ever, are unlikely to be included in an estimate of the frequency of this condition.

Putti ('08) recognized the deformity as an arrested stage in normal development resulting from the interruption of the physiological descent of the shoulder-girdle from its high level of origin in the embryo. This migration of the girdle has been carefully studied by Noback ('44). Interruption of the normal migration occurs in connection with the extensive deformations of the fetus so often described, affecting vertebrae, ribs, muscles and other parts, attributed to be the result of infectious disease of the mother during pregnancy (Mercer, '50; Brailsford, '53). In the absence of such an event, however, the normal descent of the shoulder girdle may be interrupted by causes unknown, resulting after birth, in its high position, malformations and functional disturbances that constitute Sprengel's syndrome.

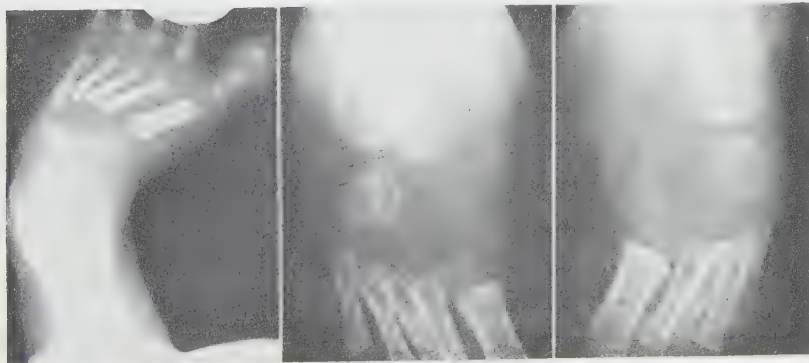
The name club-foot has been given to those deformities characterized by a twisted position of the foot, congenital or acquired. Of the 4 varieties of the former class recognized by the surgeon, that named talipes equino varus is of interest for the anthropologist (figs. 6, 7, Howorth, '52). With this deformity the patient's heel is elevated and the sole is turned inward, that is, toward the median sagittal plane of the body. In consequence, the patient in standing, rests upon the lateral margin of the foot, the heel more or less raised. Walking is difficult and when the deformity is extreme, reduced to a hobble. Talipes equino varus is rarely seen in the adult in the western world today, the de-



Fig. 6 Congenital talipes equino varus, right Howorth ('52).



Fig. 7 Bilateral talipes equino varus. Howorth



Figs. 8, 8A, 9 X-rays of talipes equino varus, from Steindler ('50).

formity having been recognized and corrected early in life.

Concerning the cause of congenital talipes equino varus several explanations have been proposed by surgeons who have had extensive experience in observing and treating this defect. Fechter ('21), quoted by Steindler ('50), noted its hereditary and familial tendencies, recording as many as 5 successive generations afflicted, the hereditary mechanism being of the recessive type. Dittrich ('30) writing on the pathogenesis of congenital club-foot cited its frequent association with spina bifida occulta, its hereditary tendency, and that pes equinovarus is of more frequent occurrence than other types of congenital defects of the foot. Dittrich concluded that club-foot was of neurogenic origin resulting in muscular imbalance in the foot. This author had the opportunity of dissecting an 8-month-old still-born infant having the deformity. Browne ('34) claimed that talipes equino varus is the result of uterine pressure. Raybuck and Manter ('56) reported a case of congenital club-foot in an adult negro.

The following is a brief recapitulation of the important embryological investigation of the human foot by Böhm ('29) taken from Blount's ('29) translation from the German. The foot in 5 stages of its normal development was studied from sections and from wax-plate reconstructions of the skeleton by Born's method.

*First stage.* Embryo 18 mm C.R. Skeleton cartilaginous, excepting mesenchymal metatarsal I; the small calcaneus is at the lateral side of the talus, both obliquely placed in a direction from proximal-lateral to distal-medial; the navicular juts medially from the margin of the foot; the cuboid lies nearly in line with the fibula; the cuneiforms are progressively larger toward the fibular side of the foot; metatarsals V-II diverge from one another; metatarsal I diverges from the medial side of cuneiform I. The entire foot is flat, is in marked equinus with 90° plantar flexion and in adduction due to the medial inclination of the calcaneus and talus.

*Second stage.* Embryo 20 mm C.R. The dorsum of the foot is convex, the plantar surface concave; equinus, 90°; the foot is in marked supination; abduction of meta-

atarsal I, and to a less extent of the metatarsals, is maintained.

*Third stage.* Embryo 35 mm C.R. The foot is rotated 90° in supination and dorsiflexed; both the talus and the calcaneus are still in slight equinus; the transverse arching dorsally of the talus and metatarsus with corresponding plantar concavity.

*Fourth stage.* Embryo V, 57 mm C.R. at the end of the third month. The metatarsals are approximated, the soles in contact in the mid-sagittal plane of the body, in slight equinus and still in mid-supination; metatarsal I and the big toe are abducted from the mid-axis of the foot. *Embryo VI*, 7 weeks older than embryo V, represents a new stage. The bones are showing similarity to those of the adult; the talus is not much altered. The tarsus is further narrowed anteriorly by approximation of the medial and lateral margins and deepening the plantar hollow of the foot of the foot transversely; the plantar cavity of the metatarsus is narrower and deeper; a line through the heads of the metatarsals inclines cranially from lateral to the medial side at an angle to the horizontal of 45°. The foot is inclining toward pronation, a process identified with the fourth stage, which continues through fetal life and early infancy.

Böhm concludes: "... a severe club-foot resembles an embryonic foot at the beginning of the second month. The explanation for the great majority of cases of congenital club-foot is the theory of primary endogenous disturbance of the embryo, an arrest of development." Böhm noted that it is hereditary, 4 times more frequent in males than in females.

Lazarus (1896) and Weidenreich ('37) observed the likeness of the crouching climbing primate foot to the form and position of the embryonic human foot. Changes of the latter in form and position during its development were considered in phases in the transformation of a climbing, primate foot into the characteristic human standing and running foot.

## II. The great apes

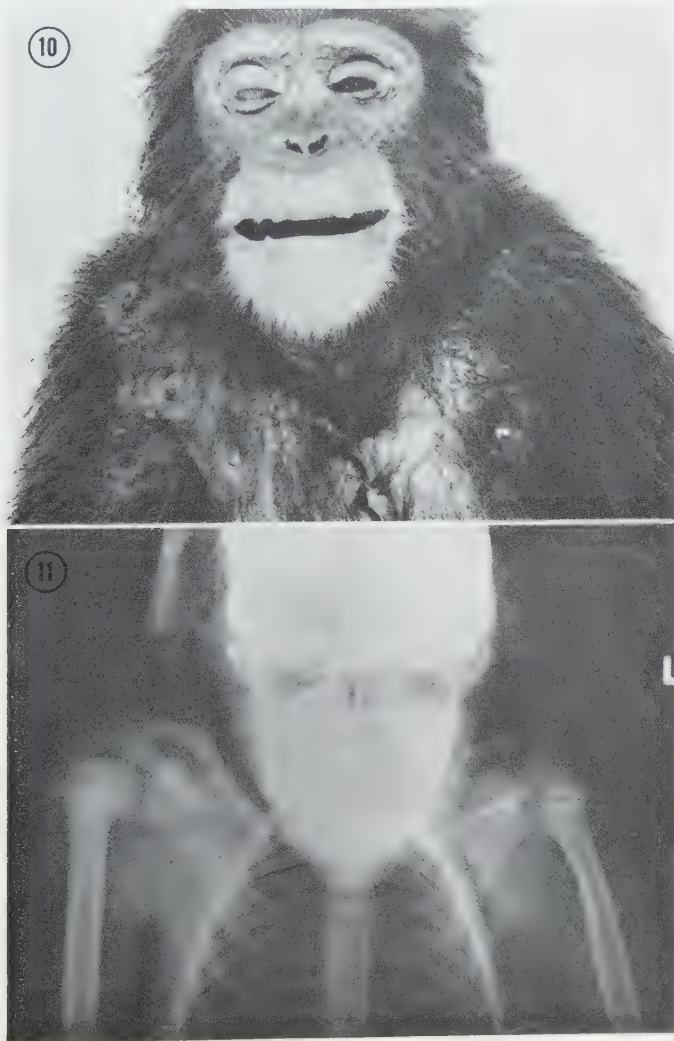
Bodily proportions of the great apes contrasted with those of man reveal at a glance a short neck, high level and

inclination of the shoulders, long limbs, long trunk and short lower limbs. A short thumb is appposable to the fingers from which it is widely separated; the 4 lateral toes are long; the thumb participates in the prehensile function of the inverted foot (fig. 16).

*Neck and shoulders* (figs. 10-16). Searching for records of measurements of length, both of man and of the gorilla, I have found very little in the available literature. Yerkes ('29, p. 389), has said with regard to the neck of the gorilla there is "no obvious line of demarca-

tion between head and trunk." In Raven's *Anatomy of the Gorilla* (Gregory, '50), a neck-length of 221 mm is given. According to Keith ('29), the length of the male cervical spine in percentage of the length of the spinal column is: in orang 26, human infant 25, chimpanzee 23, gorilla 23, gibbon 20, adult man 22. These skeletal dimensions are quite different from the surface measurements of the length of the neck.

The surface appearance of a short neck in gorilla was noted by Raven and is shown in plates 7 and 29 in his monograph. The



Figs. 10 and 11 Photograph and x-ray of the head and shoulders of the cadaver of a chimpanzee 18 months old (Terry).





Figs. 12 and 13 Photograph and x-ray of head and shoulders of the cadaver of a young adult gibbon (Terry).

apparent shortness, or absence of a neck in a front view, is characteristic of gorilla, chimpanzee, orang and gibbon. This state in the apes is not comparable to that in cetaceans, in which mammals fundamental changes in the cervical spine, musculature and viscera are presented, resulting in a real reduction in length of this region.

In the apparent short neck of the great apes the sternocleidomastoid muscle holds a position approaching the horizontal in contrast to its obliquity in man. Regarding the origin of this muscle, Schultz, referred to by Robinson ('50), observed the variability of the mastoid process in pongids in which it is absent in the infants, but sometimes present in adults, especially in the aged.

Additional data on the shoulder-girdle of the great apes are included in section IV, Personal Observations.

*The foot* (figs. 6-9). Martin (p. 1171) points out the contrast in the calcaneus and talus between man and the apes in adaptation in the former, their function of supporting the weight of the body in standing on the ground in the latter, to the function of climbing in the trees. The sustentaculum tali is large in the apes, compared to its size in man, the size being in direct relation to the variation in the size of the angle of the collum tali. This, in turn, depends upon the direction of the long axis of the big toe. In adult man, generally, this axis of the big toe is nearly parallel to that

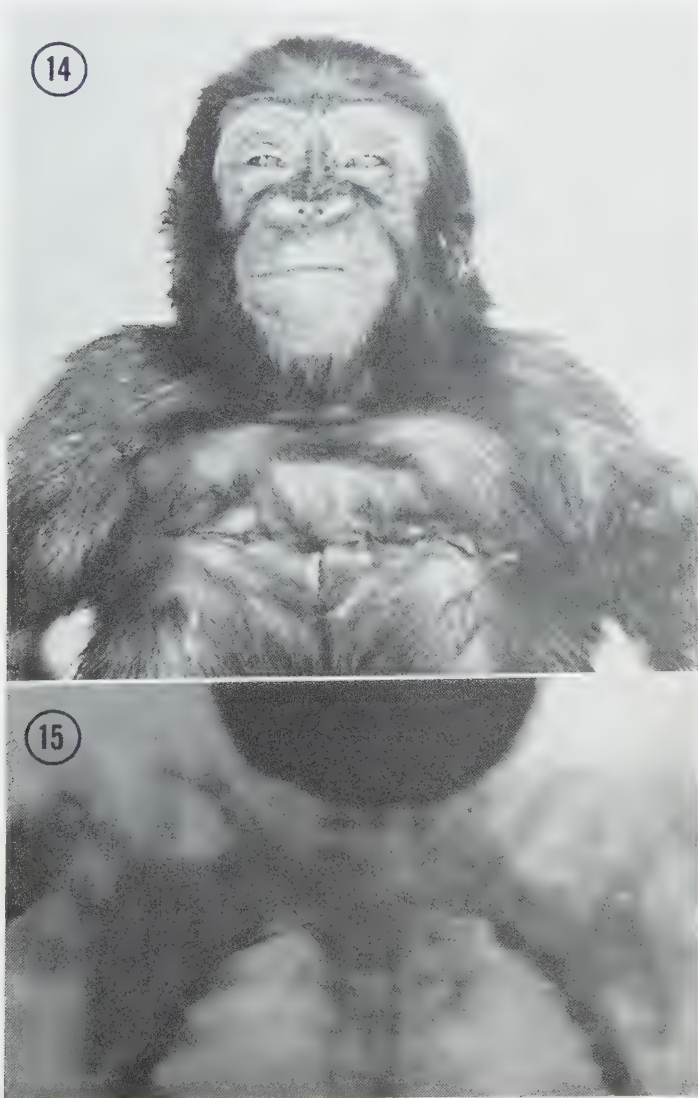


other toes, whereas in the apes it diverges at a large angle.

The scansorial foot of the ape contrasts with the plantigrade human foot in the greater degree of its medial rotation on a longitudinal axis. This fact has been expressed by the results of measurement of the angle made by the long axis of the outer calcanei with the vertical. The angle is between  $+14^\circ$  and  $+30^\circ$  in apes; in human races,  $+18^\circ$ , in Wedda;  $-16^\circ$ , in

Melanesian;  $-6^\circ$ , in European (Martin, op. cit.).

An important difference between the foot of Hominidae and of Anthropomorphidae, cited by Poniatowski ('13) (Martin, op. cit.), is in the size of the angle made by the neck of the talus, which is directed medially from the body of the bone. It measures in *Hylobates*  $31.3^\circ$ ; in *Gorilla*  $34^\circ$ ; German  $20.8^\circ$ ; Maori  $23.2^\circ$ ; Australian  $25^\circ$ . According to Volkof (Mar-



Figs. 14 and 15 Photograph and x-ray of head and shoulders of the cadaver of a young gorilla (Terry).

tin, op. cit.), the angle measures in the newborn European  $25^{\circ}$  to  $35^{\circ}$ , interpreted as a pithecoïd condition. The same investigator found the torsion angle of the head of the talus small in Anthropoids (*Gorilla*  $18.7^{\circ}$ ), in contrast to adult man ( $40^{\circ}$  in Europeans), but in newborn Europeans the angle measured only  $16.5^{\circ}$ , interpreted by the author as evidence of ontogenetic recapitulation of primitive conditions. The talus of apes is a depressed, somewhat flat bone, in contrast to the human talus. The long axis of its trochlear surface is nearly parallel to the sagittal plane, whereas the long axis of the calcaneus, produced anteriorly, passes through the third toe and is, therefore, the long axis of the foot. Wells ('31) has shown that if these two axes intersect behind the foot, the angle made by them is plus in sign and the axis of the foot is directed outward from the sagittal plane; if they intersect in front of the foot, the angle is negative and the axis of the foot is directed inward. Wells found the angle to be negative in all primates excepting man.

Discussing the relative lengths of the cuneiform bones, Wells questions the prevalent assumption of the second cuneiform being shortened and suggests that it is the lengthening of the first and third cuneiform bones that has created this impression. Recession of cuneiform II is, as Wells shows, a character widely and apparently irregularly distributed among the primates.

Concerning the divergent hallux of the great apes, Morton ('37) refers to the measurements made by Schultz ('34) on the feet of the chimpanzee, the lowland and mountain gorilla, as showing "a progressive reduction in the angle of abduction."

*Climbing and walking.* That walking in a more or less erect posture is practiced in the trees by the anthropoids is well known. But in this form of arboreal locomotion the balance is assured through the grasping of branches by the feet as well as by the hands. Writing of the chimpanzee on the ground, Wood-Jones ('26) records its ability to walk erect for short distances, but that generally it walks on hands and feet. Yerkes (op. cit.) quoting Schlegel and Müller with reference to the orang-utan's walking on the ground on

all fours, says in conclusion, "Preference for the upright position . . . is wholly and entirely lacking."

Morton ('37) writing on the adoption of a terrestrial habitat by the anthropoid describes how the changes in locomotion affected the arboreal usages of hands and feet: a change from locomotion by arms and hands to one by legs and feet, from a vertically suspended posture to vertical supported posture; placing heel to ground and ending of the foot's grasping function. The weight of the body falls upon the inner border and the foot is flattened.

### III. Recent man

Among the contrasts between the figure of man and that of an anthropoid appears the difference in the level of the shoulders. In the ape they stand so high as to give the appearance, when viewed from in front, of the head sunken down between them, the neck concealed. In adult man the head is elevated well above the shoulder level revealing the neck. The acromial height in the anthropoid ape is a greater percentage of the total stature than it is in man. The lower acromial level in adult man has been reached by the descent of the shoulder-girdle from its original high position in the embryo. A result of this descent is the exposure of the neck (figs. 17, 18). The neck is a conspicuous, measurable feature, presenting one of the most striking contrasts between man and ape and deserving of taxonomic recognition. See fig. 16, Wilder, 1922.

Anthropologists have met with difficulties in measuring the neck, as one who understands who tries the operation by three methods adopted (Martin, op. cit., p. 158), viz., numbers 29, 29(2) and 30 for neck length. Measurement number 29 is the neck length between the level of spine of the 7th cervical vertebra and theinion. In adult Europeans, Oeder, quoted by Martin (op. cit., p. 383), gives for well proportioned men, lengths more than 12 cm.

The neck of the newborn white infant in repose is not visible from in front. The descent of the shoulders has not reached at the time of birth a level that would expose the neck anteriorly. The photographs of young children in Gesell's tri-



Fig. 16 Orang-utan (From Wilder, '26).



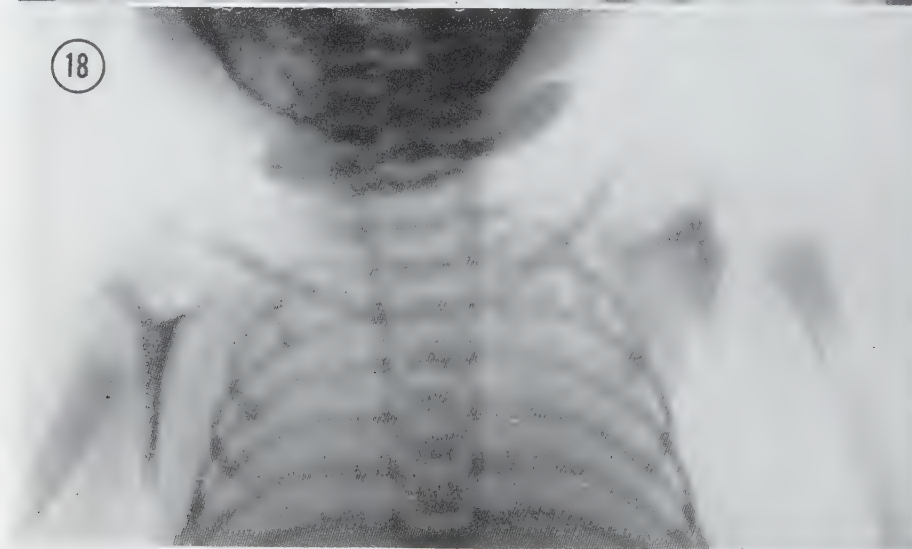
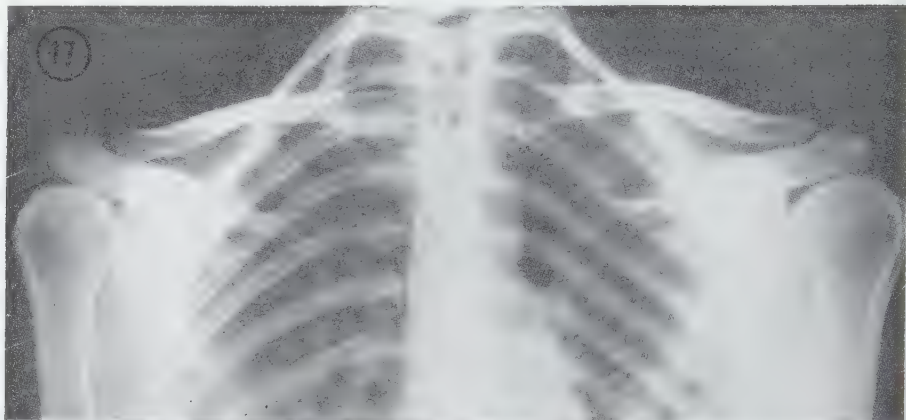


Fig. 17 X-ray of the neck and shoulders of a normal adult white male; from Meschan ('59).  
 Fig. 18 X-ray of the same regions in a normal newborn white female (Terry).

tise ('34, vol. II, p. 677) reveal the shortness of the emerging neck.

Since at the time of birth the neck is concealed from in front by the jaws, my application of the measurement No. 2, 3 (1), suprasternale-gnathion (Martin, op. cit., p. 158), resulted in a negative value. It is during the first year, when the infant is beginning to raise its head, that the neck is first revealed from in front. The progress of downward migration of the shoulder-girdle continues until about the age of 4 years. This figure is based on too few observations and is to be understood as tentative awaiting opportunity for

investigation. However, even in my limited number of subjects, it was observed that there was variation in the levels of descent ultimately reached by the shoulder-girdle and confirmed by measurement of the variation of the angle made by the clavicle with the horizontal plane, such as would account for the familiar differences observed in neck-length. What other factors there may be that determine neck-length in man, the range of shoulder-girdle migration is in the first rank of importance and of unique significance in human evolution. See figs. 17, Meschen, '59, and 1





Fig. 19 Neck as depicted in the Madonna by Raphael.

the head resting between the shoulders, characteristic of the infant, has been faithfully recorded in the paintings of some of the great artists of the Renaissance as well as in the works of modern artists. The shoulder level of infants and the shoulders of adults are portrayed, for example, in Raphael's Madonna and Caravaggio's Mussel Gatherers (figs. 19 and 20). If we can accept the accuracy of

the sculptor of the head and neck of the Egyptian Queen Nefertiti, 18th Dynasty, a long range of descent of the shoulder-girdle may be inferred to have produced the extreme length of her neck. On the contrary, the migration may be interpreted as having been interrupted in the case of the Sioux Indian Chieftain named "No-Neck," referred to in Stanley Vestal's "Sitting Bull"; and, from Shakespeare's de-



Fig. 20 Neck as shown in the "Mussel Gatherers" by Renoir. Courtesy of the Durand-Ruel Gallery, New York.

scription, also, in the English King Richard the Third: "Deformed, unfinished, sent before my time into this breathing world"; two leaders in their time and place, both probably subjects of Sprengel's deformity.<sup>1</sup> Many photographs of American Indians show a short neck. As a part of the picture of this interesting condition is the extreme obliquity, approaching the horizontal, of the sternocleidomastoid muscle

and the rudimentary state of the mastoid process, both suggestive of simian anatomy (see in this connection Schultz, '34).

<sup>1</sup> Sir Thomas More's description of King Richard the Third (1543) is quoted by Kendall ('56), Appendix II: "little of stature, ill-featured of limbs, crook backed, his left shoulder much higher than his right, hard favored visage . . . ; he came into the world feet forward . . . and (as the fame runneth) also unt toothed."





Fig. 21 Normal inversion of the feet in the infant, from Gesell ('34).

the omohyoid muscle in the neck and older of man, poses questions concerning its phylogeny, innervation and function, of interest to the anthropologist as well as to the student of comparative anatomy. However, as pertaining to these fields of inquiry, my review of the literature of the shoulders and my personal observa-

tions of cases seem to offer little to record and discuss at this time.

In section II certain features of the shape and structure of the human foot have been compared or contrasted with those of the foot of the great apes. In the present section some of the published observations and conclusions on the human foot in its functions of climbing and walking will be reviewed. Fig. 22, Keith, '29.

Weidenreich ('22) writes that it is now generally accepted that the human foot is a climbing foot with the characteristics, more or less, shown by the living Primates. This conclusion emphasizes especially the hallux of man, its form and the position of its skeletal elements, its musculature, its original plan of an apposable toe (figs. 21 and 22). From the climbing arboreal beast, has come the erect standing, walking and running terrestrial man. In walking, the typical climbing beast holds a squatting posture that favors the spring when climbing begins. (How like the position of the athlete at the start of his fifty yard dash!) In summary, Weidenreich concludes that man's standing foot has evolved from a climbing foot.

Straus ('27, p. 132) wrote of the structural resemblance of the foot of the human



Fig. 22 Footprints, adult white males, from Keith ('29); a, normal (high arch); b, normal (moderate arch); c, low arch; d, flat-foot.

fetus to that of adult arboreal apes as suggesting "a recapitulation of ancestral conditions."

Wood-Jones, in his book, *Arboreal Man* ('26), a work rich in observations, compares the climbing of the higher apes and of primitive man (p. 66): both using the outer side of the foot and the big toe to clasp the branch, the foot inverted. Concerning arboreal activities of modern man, this author cites (p. 207) the white man's practice of shinning up a pole, of the use of climbing irons; (p. 208) how "unbooted races" have learned mechanical ways of assisting the foot-grasp by using a hoop encircling the tree and the man's waist, compensating for the shortness of his arms (figs. 23 and 24, from Johnston). Wendt ('56), shows in his plate 27, South Sea Islanders walking up a palm tree to "smoke out" a bee's nest and cites a similar method practiced at the present time

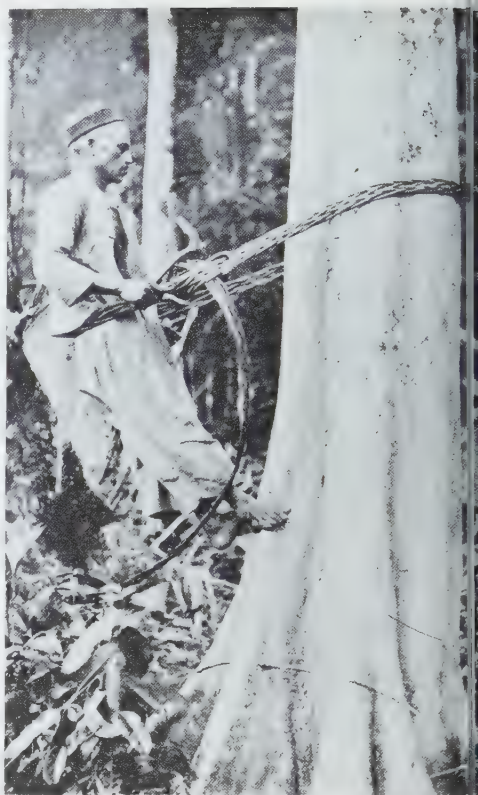


Fig. 24 Padung Malay, Sumatra, climbing tree by the aid of a rattan rope (tali ambak). This figure and figure 23 both from Sir H. Johnston, "Living Races of Mankind."



Fig. 23 "Tree climbing in Australia by a 'Black Fellow.'"

by honey gatherers in the Province of Valencia, Spain.

Inversion of the foot is a normal anatomical characteristic of the newborn European. Wood-Jones (op. cit., p. 205), writing on the normal inversion of the infant feet, tells how the soles can be pressed against each other; that when walking begins, it is upon the lateral side of the soles; to this inherited position is due the earlier wearing-out of the lateral part of the sole of the shoe.

An important contribution to our knowledge of human locomotion was made by Hrdlička in his book "Children who Run on all Fours" ('31). One subject, a grown woman, is quoted as having practiced quadrupedism since childhood. This woman, with knees straight, could turn her feet inward and place their soles in contact.



collard ('38), as recorded by Gates (p. 142), reached the conclusion that modern type of man was present in early Pleistocene.

#### IV. Personal observations

*Shoulder-girdle and neck in man.* In Atlas of Thomas Dwight (1881), "Ten Sections of a Child" (Whose age said to be three years), there is shown plate II the acromion and clavicle, part of the body of thoracic vertebra I and the body of thoracic vertebra II; also parts of I and II, the body of the scapula and apices of the lungs, but no trace of manubrium sterni; all of these parts giving evidence of a higher level of the shoulder-girdle in this child than obtains usually in the adult.

Radiographs of 10 still-born white infants and two fetuses from the Department of Anatomy, Washington University Medical School, showed high levels of the shoulder-girdle in all, the clavicles inclined upward and laterally at varying angles to the horizontal plane (fig. 18).

I endeavor to measure and record the progress of the downward migration of the shoulder-girdle and the time of its termination, revealing the neck in its ultimate extent, was interrupted and at this present I can present evidence of the phenomenon only by reference to the posture of the neck as shown in the paintings of the two great artists mentioned before (figs. 19 and 20).

*Shoulder-girdle and neck in the great apes.* I am indebted to the St. Louis Zoological Garden for the opportunity of studying the following three specimens of great apes after autopsies had been performed in the Garden: Gorilla (*Gorilla gorilla*), male, about two years; Chimpanzee (*Pan troglodytes*), male, 18 months; Gibbon (*Haplorhina lar*), female young adult.

In the preparation for making photographs and roentgenograms the subjects were posed on their backs, arms at the sides of the trunk, face looking forward. Referring to figures 10-16, it will be seen that the high level of the shoulders and the apparent reduction in the length of the neck in these apes is in striking contrast to these features in man. The x-ray has recorded the inclination of the clavicle from its sternal articulation laterad and cephalad, as Hill ('57) has observed. The level reached at its acromial articulation, varying somewhat in the pictures between the right and left sides, is, in contrast to its level in man, high above the plane of its sternal articulation. The clavicle of the gibbon is robust and markedly curved, a true "collar bone;" that of the chimpanzee slender and sigmoidally curved; of gorilla, short and thick. The body of the scapula in the three apes holds a high position on the back commensurate with that of its acromion. The place occupied by the human shoulder blade is upon the dorsal wall of the thorax between the levels of the second and seventh ribs; the cephalic (upper) margin of the body of the scapula in the three apes under consideration is well above (cephalad) the first rib. The level of the shoulder joint is higher in these apes than it is in adult man, thus contributing to the range of their upward reach, an obvious advantage in climbing.

*Sprengel's deformity and club-foot, concomitant.* The occurrence of Sprengel's deformity and congenital talipes equino varus in the same individual must be very rare in the practice of orthopedic surgeons. My search for this possible association, both in the literature and through inquiry of practitioners has met with no success, with one exception. This experience is strange in view of the fact that

TABLE 1

*Angle of the cephalo-lateral inclination of the clavicle made with the transverse plane (Apes and Man)*

|            | Age           | Sex    | Right | Left |
|------------|---------------|--------|-------|------|
| Gorilla    | Young         | Female | 48°   | 40°  |
| Chimpanzee | 18 months     | Male   | 32°   | 30°  |
| Gibbon     | Young adult   | Female | 52°   | 40°  |
| Man        | Newborn white | Female | 47°   | 30°  |

high shoulders and inverted feet are normal concomitant features of the fetus and the infant.

The single example found in my search for a case presenting a picture of these embryonic rests, at an age later than infancy, was that of a 5-year-old white boy. He had been brought to the St. Louis Shriner's Hospital in the fall of 1955 for treatment of a unilateral Sprengel's deformity. He was well nourished and responsive. Inspection revealed asymmetry in shoulder levels, the left higher than the right. By palpation the left scapula was found to be 1.5 inches above the level of the right. X-ray revealed the left scapula smaller than its mate, its position nearer to the vertebral column and rotated on a vertical axis. The patient, placed on his back, his feet bare, presented a quite marked talipes equino varus of the left foot.

#### SUMMARY AND COMPARISON

The text of this paper to the present point has been a descriptive review chiefly of the anatomical characteristics of the shoulders and neck in Sprengel's deformity, and of the feet in talipes equino varus, derived from the literature of these subjects; and a review, likewise, of descriptions of the anatomy of the shoulders, neck and feet in the great apes. Some observations of the author on the same subjects are recorded. It is proposed now to compare these deformities in man with the normal constitution of the homologous parts in the apes.

*Sprengel's deformity.* Inspection of figures 1-5, presenting typical cases of Sprengel's deformity, and of figures 10-16, the normal shoulder-level in the 4 great apes, shows the conditions strikingly alike in several respects. Bilateral Sprengel's deformity, it has been noted, is not rare in its occurrence. The forward projection of the shoulders in these cases is a simian characteristic. Concomitant apparent shortness of the neck, dependent upon high shoulder level, obtains in the deformity as it does normally in the ape. In the uncomplicated Sprengel's deformity, as in the ape, there is no real shortening of the cervical spine. As revealed by x-ray, the level of the shoulder-girdle in the deformed

subject is high above its normal position, the acromioclavicular articulation well above the level of the first rib. The clavicle holds an oblique position, as in the ape, in contrast to its normal nearly horizontal state. These observations concerning the position of the shoulder-girdle in cases of Sprengel's deformity match those made on gorilla, chimpanzee and gibbon. Evidence of the deformity being an arrested stage in human ontogeny is given by the high position of the shoulder-girdle as proved by x-ray in the normal human fetus and newborn infant, 12 in number, and in a child of three years (recorded in section IV). Of possible significance is the shortness of the clavicle observed in some cases of Sprengel's deformity, recalling the relative shortness of the collar bone of the gorilla. Reversal of the long axis of the body of the Sprengel scapula, changing its shape to one comparable with that of the anthropoid, is an impressive feature of the deformity. Inheritance of Sprengel's deformity in several families is recorded.

*Talipes equino varus.* That the normal human foot presents in its structure many of the characteristics of the foot of the anthropoid ape has long been recognized. If the likeness is masked or manifested only in a moderate degree in the normal foot, its true nature is revealed in talipes equino varus. In review of the distinctive makeup of this form of club-foot and of that of the normal foot of the great apes, the following features may be compared. The sustentaculum tali, both in the skeleton of the ape's foot and in that of a case of talipes equino varus, is large in contrast to its size in a normal man, although the talus itself is small and narrow in the club foot. In the deformed foot the head of the talus is directed medially at a greater angle than normal, and can be regarded as a pithecoïd characteristic. In talipes equino varus the foot is in marked supination as it is normally in the ape. The big toe of the human embryo, in an early stage of development, is abducted to a degree approximating that in the adult ape. It may be recalled here that inversion of the foot is present as a normal state in the human infant and that the footprint of the adult European still indicates a degree

ersion (fig. 22). The persistence of  
ty and natural inclination of man to  
b can be credited to a heritage of the  
ts of his arboreal ancestors. Lastly,  
fact of a hereditary tendency of this  
rmity, club-foot, is well established.  
ne incidence of Sprengel's deformity  
talipes equino varus in Europeans and  
h African tribes awaits investigation.  
on stature in the same categories is  
n to be desired.

### CONCLUSION

#### *Theory on the emergence of Homo*

is postulated, (a) that the descent of  
shoulder-girdle, which occurs normal-  
the human embryo and infant, began  
mutation in the embryos of some of  
s arboreal, simian ancestors, male and  
ale; (b) that the mutation appeared  
e Pliocene; (c) that it became estab-  
d as a dominant hereditary trait. The  
lder-girdle of the great apes does not  
ergo this migration and retains the  
level of a fetal state.

s a result of the mutation, the range  
e affected ape's arm-reach above the  
was shortened by a distance equal to  
of the downward migration of the  
lder-girdle. Also, the range of adduc-  
of the elevated arms was lessened by  
e encounter with the head. These, and  
ably other changes from the normal  
ditary pattern, were followed by fatal  
sequences to skill in climbing and to  
rtunity for obtaining food in the trees.  
ape, affected by this mutation, could  
compete successfully with his fellows  
suffered hunger. It was more difficult  
him, so deformed, to defend himself  
nst his natural enemies, or to escape  
n them, than it was for his unaffected  
nal companions. The deformity put  
to disadvantage in finding a mate.  
affected beast found himself ostrac-  
d, pestered and eventually subjected  
ttacks by his fellows, true to immemor-  
custom. Starving and life threatened,  
abandoned his arboreal home and  
ght sustenance and safety on the  
nd.

ooton ('46) presents another reason  
the ape's exodus from the trees. "Let  
suppose," that man's ancestors chose

to live on the ground, having taken the  
chance of finding a fuller and a better diet;  
"they wanted to live their lives more  
abundantly."

Variation and natural selection changed  
the arboreal pongid, subject of the muta-  
tion, into terrestrial *Homo*. Evolution of  
the erect posture, already well advanced  
in his arboreal environment, continued  
toward perfection in adaptation to the  
physical features encountered when stand-  
ing and walking on the ground. The  
curves of the spine and the backward  
growth of the skull are responses to the  
needs for balance.

"If it be an advantage to man to stand  
firmly on his feet," wrote Darwin (1871),  
"and to have his hands and arms free, of  
which, from his pre-eminent success in  
the battle of life, there can be no doubt,  
then I can see no reason why it should  
not have been advantageous to the pro-  
genitors of man to have become more and  
more bipedal. They would have been bet-  
ter able to defend themselves with stones  
or clubs, to attack their prey, or otherwise  
to obtain food. The best built individuals  
in the long run would have succeeded best  
and would have survived in larger num-  
bers."

The neck of the mutant, now free from  
the restraint imposed by a high shoulder-  
girdle, enjoyed a larger range of movement  
in which the sternocleidomastoid muscle  
participated (the mastoid process develop-  
ing concomitantly), so providing for a  
wider area of vision. Keener twilight per-  
ception of dangers on the ground that are  
not encountered in the trees was impera-  
tive. The larynx, located in the head of  
the ape, as it is in the human embryo,  
eventually descended into the neck, estab-  
lishing new relations with the tongue, a  
possible advance toward articulate com-  
munication. The teeth underwent a modi-  
fication of the crown in adaptation to the  
greater diversity of food yielded by the  
earth than was offered by the trees: of  
vegetable, animal, mineral in abundance.  
Escape from attack by beasts on the ground  
was insured by swift return to the trees,  
the recourse still practiced today by primi-  
tive man and his civilized brothers. The  
brain began its marvelous growth and evo-



lution, stimulated by and in response to environmental dangers, opportunities and rewards.

"As the progenitors of man became more and more erect, with their hands and arms more and more modified for prehension and other purposes, with their feet and legs at the same time transformed for firm support and progression, endless other changes of structure would have become necessary. The pelvis would have to be broadened, the spine peculiarly curved, and the head fixed in an altered position, all of which changes have been attained by man." Darwin (1871).

Dart's momentous discovery ('25a) of the man-ape, named by him *Australopithecus africanus*, was made in 1924, at Taungus, Betuanaland in the Kalahari Desert. It was a child's skull which he found embedded in limestone, probably of the Pliocene Epoch, and because, chiefly, of its greater cranial capacity, 500 cm<sup>3</sup>, and of its reduced prognathism, it has been truly judged primitive *Homo*. Dart's discovery urged him on to indefatigable labors that have brought their rich rewards. His work has revealed the structure of one of the primordial ancestors of *Homo*, evidence of his predatory habits, the use of weapons and other implements for obtaining food. From the site at which the relics were found, the edge of the Kalahari Desert, Dart inferred that the Australopithecinae lived in an unforested region much as it remains today.

Following Dart's fundamental discoveries came those of Broom and Schepers ('46), also in the South African region, of like fossils, named by them, *A. transvaalensis*. These ancient types were short in stature in contrast to early *Homo*, as found in *Pithecanthropus* by Dubois (1894) and in *Sinanthropus* (*Pithecanthropus pekinensis*) discovered by Black ('34). This increase in stature over a long period is in accord, with some exceptions, with the history of vertebrate evolution.

The theory here offered on the origin of a part of present day mankind assumes that the occasion of the shoulder-descent-mutation occurred many times in steps over a long period down to the present. The mutation took place in several of the

regions where pithecoïd remains have been discovered; I may cite the *Adapithecus* of the Eocene, in North America and Europe; the *Tarsiidae*, Recent, in the East Indies; the *Papio*, Pleistocene, in Africa (Romer, '36).

It will have been noted that the hypothesis of the mutation of shoulder-descent has been limited in its application to some of the simian ancestors of *Homo*, and that it assumes these ancestors were arboreal apes. The discoveries in Africa by Dart ('25a), '25b), Dreyer ('32), Leakey ('33), '34), Wells ('37) and of others, present evidence, difficult at the moment of gaining a general agreement in interpretation, of the descent of the prehistoric natives of South Africa from a terrestrial anthropoid ancestry. The contrast in pattern of the foot of the Bantu, Bushman and Hottentot with that of a climbing ape has been clearly demonstrated by Wells.

Weidenreich ('22) found little similarity between the foot of the anthropoid and that of the Negro, Australian, Hottentot, Vedda and Sunda Islander. He considered that the standing foot of *Homo* has been derived from a climbing foot, its form having been changed by pressure on the ground, a process that may lead eventually to a flat foot. Wells ('31) has described in detail the characters of foot form that mark the Bantu, Bushman and Hottentot, among them a divergent toe, that contrast with those of the European. Concerning African pygmies, Quatrefages (1895) found the feet flat-soled and the heels projecting "a little much." It would be of interest to know whether these native Africans are in some instances deformed by congenital club foot as are members of the white race.

The photographs of two African pygmies, reproduced in Quatrefages (1895) page 176, reveal high shoulders, short necks and clavicles inclined upward and outward. Schebesta ('33), in his description of Congo pygmies, noted a short neck, broad short nose, arms abnormally long, feet frequently introverted. The average stature of Bambuti males was 4 feet 6 inches; females, 4 feet 4 inches. The author regarded the Bambuti as Bushman with some Boskop ancestry. Pygmies of this day, form a not inconsiderable part of the



ulation of the Eastern Hemisphere; racial affinities differ, and some owe dwarfed stature to pathological processes. Excluding the last, their stature and certain anatomical features, such as are cited above, argue in favor of these people being representatives of a stage in the evolution of the genus *Homo*. This interpretation Sprengel's deformity may be assessed as an atavistic phenomenon.

### SUMMARY

This paper presents evidence in support of the theories: (1) that the two deformities of man, viz., Sprengel's high shoulders and club-foot, are atavistic of normal conditions present in man's simian ancestors; (2) that normal descent of the shoulder-girdle, exposing the neck in human origin, began as a mutation in one branch of man's arboreal ape-progenitors, causing them to abandon his arboreal life for a terrestrial habitat; and (3) that the reactions of variation and environment changed the ancestral pongid, subject of this mutation, into the terrestrial *Homo*, an experience memorialized by the scars of Sprengel's deformity and club-foot.

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Courtesy of Charles C Thomas, Publisher, Springfield, Illinois; from Steindler, A., Post Graduate Lectures on Orthopedic Diagnosis and Indications, 1956, Figs. 8, 8A, 9; Henry Holt and Company, Inc., Fig. 16; Yale University Press, Fig. 21; The Journal of Bone and Joint Surgery, Fig. 22; Appleton-Century-Crofts, Inc., Figs. 23, 24.

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# The Determination from the Internal Structure of the Humerus

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The possibility of determining age from the internal structure of the humerus was covered long ago by experts in legal medicine in Europe but appears not to be known to American anthropologists (Wart and Trotter, '54). In view of this I have undertaken to summarize briefly the work that has been done in this

Wachholz (1894) was the first to carry out investigations on physiological changes in the humerus throughout the life span. He studied sections of fresh bone in 230 humeri ranging in age from 8 to 54 years. His findings are the following:

1. Osseous union of the proximal epiphysis with the diaphysis begins at 14 years in Viennese girls and at 16 years in Viennese boys.

2. Complete union, i.e., the disappearance of the metaphyseal cartilage, occurs at 17-18 in Viennese women and at 19 years in Viennese men; at 19 years in Slavonian women and at 23 years in Slavonian men.

3. By the 30th year the linea epiphysaria (sutura diaphysaria) has mostly disappeared. In other words, this line can be seen at the surface of a fresh bone but it is sawed longitudinally through the tuberculum majus.

4. Shortly after 28 years in females, and about 30-35 years in males, the cone of the medullary cavity reaches the collum humerale.

5. Shortly after 35 years in males, and somewhat earlier in females, the medullary cavity reaches the zone of ossification (sutura diaphysaria).

6. Poirier ('31) also was of the opinion that the linea epiphysaria ultimately disappears and that at an advanced age the medullary cavity of the diaphysis communicates with the caput humeri.

More recently it has become evident that the conclusions of Wachholz and of Poirier are not correct in all respects. It was for this reason that I took up the study of this subject. Working in the Institute of Forensic Medicine of the University in Budapest, mainly in the years 1927-1933, I examined a series of 674 humeri ranging in age from newborn to 106 years. My conclusions are as follow:

1. When fresh bone is sawed and washed, the delicate internal structure cannot immediately be judged with accuracy.

2. The interepiphyseal line can always be detected in macerated humeri regardless of the degree of advanced age.

3. This line is not perforated by the medullary cavity although the latter advances from the diaphysis toward the epiphysis as age advances.

4. In old age an independent medullary cavity forms on the inside of the tuberculum majus proximal to the interepiphyseal line.

As indicated in the first of the foregoing conclusions, I got a far better and more accurate picture of the internal bone structure when the bones had been thoroughly macerated before sawing rather than when the bones were sawed before maceration. No real damage is done to the internal structure during maceration when the bones are intact.

Bruno ('34) reported similar results on macerated and sawed humeri. His roentgenological results are similar also. In his opinion the metaphysis remains visible throughout life but becomes spongy; lacunae are formed in the proximal epiphysis of the humerus after 40.

Berndt ('47) was the next one to consider this matter. He was concerned about the time required for maceration and he

hoped by the aid of radiograms to speed the interpretation of the structural qualities of the bone. His work was carried out in the Institute of Forensic Medicine at Halle and was based on 85 humeri, mainly males, ranging in age from 16 to 89 years. The results of this work confirm and extend my own, giving a more precise determination of age.

I would point out that Berndt's roentgenographs are not quite identical with the appearance of the sectioned humerus. This is due, of course, to the fact that in roentgenograms the entire thickness of the bone is projected on the film (figs. 1 and 2). Comparisons of x-ray pictures with sectioned bones are only grossly similar, the fine structure being divergent. Therefore, age determinations from x-ray pictures are less exact than those from sectioned bones.

In view of the foregoing, radiography of the upper end of the humerus should be used as a method of age determination only when the bones are too valuable to be sectioned. Among very ancient finds it

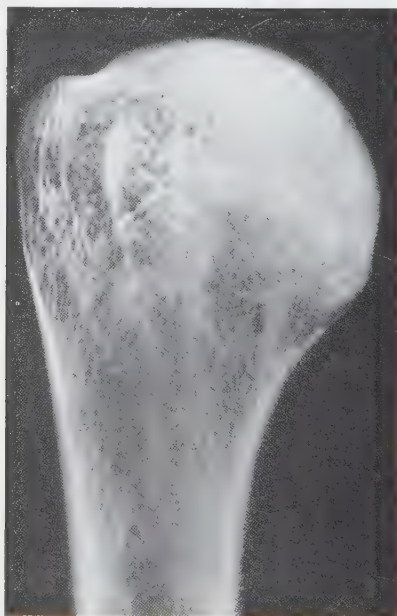


Fig. 1 Roentgenogram of proximal humerus from the Eneolithic period, Tiszapolgár, Hungary (from the anthropological collection of the Natural History Museum of Budapest). The projection of the tuberculum minus disturbs the view. Approximate age 26–30 years.



Fig. 2 Roentgenogram of proximal humerus from the Eneolithic period, Tiszapolgár, Hungary (from the anthropological collection of the Natural History Museum of Budapest). The projection of the tuberculum minus disturbs the view. Approximate age 51–60 years.

sometimes happens that the intertrabecular lacunae are filled with grains of fat, and, hence, yield indistinct radiographs. In such cases sectioning of the bone is indispensable to further exact investigations.

In 1953, as a result of the most recent investigation of this subject, Hansen, of the Institute of Forensic Medicine at Humboldt University, Berlin, published a monograph based on 250 macerated humeri, ranging in age from 15 to 85 years. His experience and illustrations support the findings summarized above.

All of these findings may now be stated in a chronological arrangement:

15–16 years. The metaphysis is cartilaginous.

17–18 years. Incipient osseous union is taking place between epiphysis and metaphysis (fig. 3). The diaphyseal intertrabecular structure is for the most part oval.

19–20 years. Epiphysis and diaphysis are nearly united. The internal structure of the epiphysis is on the whole radi-

anged, that of the diaphysis is more oval.

21-22 years. The epiphyseal line is completely ossified. Here and there on the internal surface traces of cartilage indicate the last points of coalescence (fig. 4). The internal structure of the epiphysis is radially arranged, that of the diaphysis is the most part ogival.



Fig. 3 Proximal epiphysis of macerated humerus from a male, 18 years of age (contemporary cadaver). Epiphysis and diaphysis not yet fused.

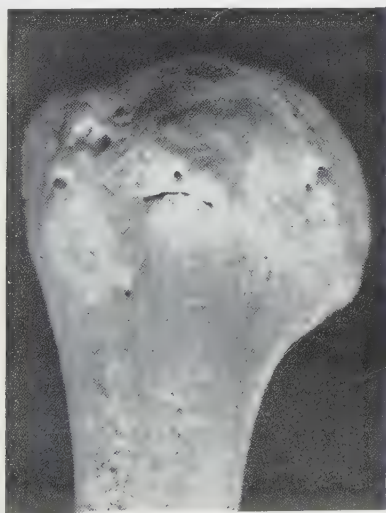


Fig. 4 Proximal epiphysis of macerated humerus from a male, 21 years of age (contemporary cadaver). Remnant of epiphyseal closure in region of the tuberculum minus.

23-25 years. The development of the metaphysis is accomplished. The internal structure of the epiphysis is no longer quite radial, that of the diaphysis is ogival. The medullary cavity is far from the collum chirurgicum.

26-30 years. The radial arrangement of the internal structure of the epiphysis is fading. The internal structure of the diaphysis is ogival. The medullary cavity has not yet reached the collum chirurgicum.

31-40 years. The internal structure of the epiphysis has lost its earlier characteristic appearance. The internal structure of the diaphysis is more columniform. The most superior parts of the medullary cavity may approach the collum chirurgicum (fig. 5).

41-50 years. The columniform structure of the diaphysis is discontinuous. The cone of the medullary cavity has reached the collum chirurgicum. Between the cone

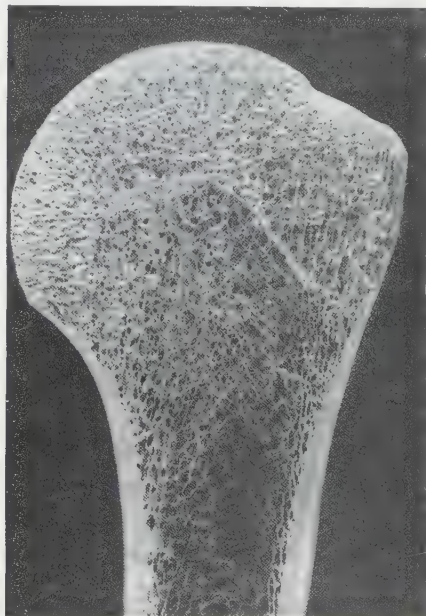


Fig. 5 Proximal epiphysis of macerated and sectioned humerus from a male, 35 years of age (contemporary cadaver). The internal structure of the epiphysis is no longer characteristic; that of the diaphysis is rearranged from pointed to columniform. The cone of the medullary cavity is approaching the collum chirurgicum.



and the epiphyseal line lacunae may be in evidence.

*51–60 years.* Pea-sized lacunae appear in the tuberculum majus.

*61–74 years.* The outer surface of the bone is rough and the cortex is thin. The diaphyseal structure lacks characteristic features. The medullary cavity has reached the epiphyseal line. Bean-sized, or even larger, lacunae are present in the tuberculum majus (fig. 6). X-ray pictures of the caput show increased transparency.

*Over 75 years.* The external surface of the bone is rough. The tuberculum majus has lost its prominence (fig. 7). The cortex is thin. Very little spongy tissue remains in the medullary cavity. In the gross specimen the epiphysis is very fragile. X-ray pictures of the caput show an increased transparency.

In evaluating the above age changes it is important to know whether the bones are male or female because the changes occur at different times in the two sexes. The difference, which favors the female, amounts to two years at puberty, 5 years



Fig. 6 Proximal epiphysis of macerated and sectioned humerus from a male, 64 years of age (contemporary cadaver). The medullary cavity reaches the metaphysis. There is an independent cavity in the tuberculum majus.



Fig. 7 Macerated proximal humerus from female, 97 years of age (contemporary cadaver). The tuberculum majus is already atrophied and hence scarcely protuberant.

at maturity, and 7–10 years in seniority. It should be noted too that Hansen attributes to a later period than I do the expansion of the cone of the medullary cavity and the consequent rarefaction. This is accounted for by the fact that Hansen recorded only the level of the cone of the medullary cavity whereas I have added to this observation the rarefactions extending beyond the cone. However, it should not be expected that the characteristics enumerated above for each age level occur with literal exactness, especially in the middle-aged group. Nevertheless, such variation, and especially the absence of certain expected changes, do not diminish the value of the metamorphosis for purposes of age determination. Atypical cases are few, but, for example, minor lacunae and rarefactions do occur in the tuberculum majus at the age of 30 and, on the other hand, they are not always in the metaphysis at the age of 70. According to Bruno, the earliest appearance of rarefactions is around age 40. In all such atypical cases other criteria will indicate the true age. In work of this sort, of course, the reliability of the age determinations depends mainly on the experience and practice of the observer. It has



so to make all of the observations and comparisons at one time.

Although this method of determining age from the humerus is intended primarily for use in connection with current problems, the question arises as to the remains of ancient man. Obviously, in the latter case there is no way of checking on the reliability of the determinations. Also, of course, chronological and physiologicological ages are not identical. This difference may increase as we go back in time, just as today it varies among peoples living under different circumstances.

Finally, I should mention that Hansen deals not only with humerus but also with the femur. Of the latter he examined 250 cases. Jacqueline and Veraguth ('54) also studied the internal structure of the femur, at least in persons older than 60 years. According to these studies the femur does not show such distinctive age changes as does the humerus. Obviously, however, more is likely to be learned by studying the two bones together than either one alone.

#### SUMMARY

The history of studies relating to age changes in the internal structure of the humerus is outlined. From these studies

is assembled a list of characteristic changes to be found at successive age periods from 15-16 years to over 75 years.

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## Fusion of Triquetral and Lunate Bones Shown in Serial Radiographs

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The apparent fusion of triquetral and lunate bones has only once been illustrated, as far as we know. Silverman ('55) gave reproductions of radiographs of the hand of a negro child at the ages of 6 and 8 years. At 6 years, the two bones were to be separate: at 7 years they overlapped and at 8 years, fusion is complete, with a dense line at the distal edge of the combined bone, and no notch or groove visible at the place where union of bone occurred. The radiographs were taken because the child had sickle cell anemia, and the discovery of the fusion was accidental. Over the last 8 years, we have been following the growth, and the bony development, of boys at a large school for African children not far from Kampala, and we have found 5 instances of triquetral-lunate fusion in the radiographs of children aged 6 to 16 years, an incidence of 2.1%: and we are reproducing radiographs that show the fusion as a continuous process. The 5 children were of the Bantu tribe, the Baganda, who inhabit that part of Uganda around Kampala. They are a tribe showing much admixture (Oschinsky, '54): they are shorter stature, and less dark of skin, than the other tribes of Uganda, and shorter of stature and stockier but usually darker skin, than the tribes usually known as Hamitic.

The radiographs were all taken with a distance between anode and film of 91.5 cm (36 in.). "Ilfex" non-screen film was used, in cardboard exposure holders. The current was 60 ma at 43 kv and the time exposure 0.6 to 0.8 second.

### RESULTS

Figures 1 to 3 illustrate various phases of the fusion completed to approximately

the same extent at chronological ages of 11 years and two months (fig. 1, c, 11 years, three months (fig. 2) and 11 years and 7 months (fig. 3, c). In figure 4, the fusion is not so advanced even at the age of 17 years, one month. The age of development of the whole hand (the "bone age") according to the standards of Greulich and Pyle ('50) is given with each chronological age. The bone ages corresponding to the chronological ages just mentioned are 10 years, 6 months (for figs. 1, c and fig. 2); 11 years, 7 months (for fig. 3, c) and 15 years (for fig. 4, f).

The figures show two points of special interest. The triquetral portion is more elongated and waisted than it is in the normal American boy and the outline of the lunate shows that there must be considerable rotation of the joined bones about an arcuate axis more or less parallel to the radio-ulnar joint. The rotation shows most clearly in the 3 pictures in figure 3, but it can also be traced in figures 1 and 4. We are satisfied that the changes of outline are not artefacts due to faulty positioning of the hands, but must represent a true rotation.

Although the figures show only the left hand of each child, the right hands were also examined radiologically, and all showed fusion. All the 4 children were right-handed.

The coarse trabeculation of the bone that shows in all the photographs is an African characteristic for which we have at the moment no explanation. One child (the one whose hand appears on fig. 2) had the sickle cell trait, but did not have sickle cell anemia.

Our fifth example of fusion, which is not illustrated here, shows fusion approximately the same as in figure 1, d, at a

chronological age of 15 years 2 months and a bone age of 14 years.

#### DISCUSSION

Summaries of the appropriate literature can be read in Smitham ('48) and Minnaar ('52). Triquetral-lunate fusion seems to be more common in negroes than in white people, and most of the reported cases are in the right hand; it is not certain, however, to what extent this is because only the right hand was examined in many of the subjects. It may be present in more than one member of the same family and it is not associated with comparable abnormalities of the feet. The largest series of negroes in whom it has been sought is that of Smitham ('48) who found it in 37 of 600 adults (6.2%) and in three of 80 children (3.8%). Obviously it may not have been complete in some of the children when they were examined. The adults and the children were of mixed tribes thought to be mostly Nilotic in origin. It is often bilateral, and Minnaar ('52) showed it in three forms: as incomplete, but with close apposition of the adjacent surfaces, resembling a pseudoarthrosis; as a fusion with a notch at the join on the distal side, and as a fusion with no mark of its origin. The fusion was bilateral in 4 out of the 5 of our children: the fifth child had left school when we were preparing this paper, and could not be examined again. Our examples show Minnaar's three forms, but we cannot say if they represent the final stages of the fusion. It is probable that in most cases, the fusion proceeds until the line of fusion is obliterated, but our figure 4 may possibly indicate the formation of a pseudoarthrosis. We have a series of radiographs of the hand of the brother of the child shown in figure 2, but he does not have the fusion.

The elongation of the triquetral bone to which we have drawn attention is not usually found in African children, but seems to be related to the fusion. Drawings of a fused bone, and of normally separate bones, are given by McConnell ('07) and the fused triquetral part is much more elongated than the separate triquetral. The same elongation of the triquetral element is shown in the hand of a man de-

scribed by Curr ('46-47): in his example, in a woman, the shape is normal, but it is elongated in Silverman ('55) case.

Curr ('46-47) and Smitham ('48) knew that the function of the wrist is not affected by the fusion. We decided to compare the function in our 4 available children with that of 4 other children whose triquetral and lunate bones were not fused who were of the same chronological age and approximately the same bone age, and who were all right-handed. Although we knew that in some movements of the hand the normally separate triquetral and lunate bones move as one, we expected to find a limitation that could be related to the fusion. We measured first dorsiflexion starting with the arm and hand flat on an angle board, and forcibly flexing the hand until the wrist began to lift off the board; the angle between the palmar surface of the board was then recorded. There was no consistent difference of the angle between the two groups of children, and the x-ray appearances of the bones in full dorsiflexion were essentially the same in both groups. Palmar flexion was not measured because it was difficult to devise a satisfactory test that could be followed radiologically. The deviation of the radius and ulna on the carpus was estimated with the arm and hand flat, and the hand held firmly in place. The arm was then moved from side to side without any rise from the board, to the limits of deviation. These limits were much easier to establish than the limits of flexion. Radiographs appeared to show greater deviation in children with the fused bones than in other children, and this impression was the whole confirmed by measurements. In all the hands had been positioned in exactly the same way, and as the taking of the radiographs was standardized, the film of each "fused" child could be placed that of his "control" so that the outlines of the second and third metacarpals of two hands coincided, to see how far the radius and ulna had moved. It was also possible to draw lines through the long axes of the second metacarpal and the radius, and to project these lines and measure the angle they enclosed. The results of inspection and measurement



reed: deviation to the radial side was greater in all the 4 "fused" children than the controls, and deviation to the ulna was greater in three of the 4. The child who was the exception is that whose hand is shown in figure 4. He was the eldest of the "fused" children, and it is possible that the extent of deviation in which children bears some relation to age. We intend to examine this possibility when other examples of fusion are found in older children or in adults.

Various suggestions have been made to explain how the fusion occurs. The most plausible is that one mass of cartilage presents the two bones, without the normal joint space and synovial membranes between them, and that ossification begins from two centers, in the way commonly seen in other bones, such as the epiphysis at the head of the ulna (see fig. 4, a). Proof is unlikely to be obtainable by biopsy at autopsy, and the best method seems to be section of unossified cartilage of children who are still-born or die at birth, and the demonstration of an absence of mesoderm differentiated for the normal joint. Minnaar ('52) thought that the fusion might be an indication of primitivity. The phylogenetic evidence seems to be against him (Wood Jones, '49). The proximal row of carpal bones probably represent the primitive radiale, intermedium and ulnare, which are not fused. If we wanted to find primitive characteristics in the hand of the Negro, we would look for an *os centrale*, which is a separate bone in *Tarsius speciosus* and even in the orang utan, or for two bones under the bases of the 4th and 5th metacarpals, which are represented by a single bone in all living mammals. The

Negro has neither the one bone nor the two.

#### SUMMARY

Five examples of triquetral-lunate fusion have been found in serial radiographs of the hands of Bantu children, and the process of fusion is illustrated.

The triquetral part of the conjoined bone is usually more elongated than a separate triquetral bone.

In young children with the fused bones, the extent of deviation of the radius and ulna on the carpal bones may be greater than it is in children of the same age in whom the bones are not fused.

The fusion is probably the result of ossification from two centers in a single mass of cartilage. It is not a primitive characteristic.

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## PLATE 1

### EXPLANATION OF FIGURES

- 1 a Chronological age 8 years, 9 months; bone age (see text) 7 years, 6 months. Triquetral and lunate parts separate. The triquetral is very elongated.
- 1 b C. A., 10 yr. 2 mos., B. A. 9 yr. 3 mos. Joint line for hamate and capitate established, but apparently discontinuous.
- 1 c C.A. 11 yr. 2 mos., B.A. 10 yr. 6 mos. Fusion now complete, with only a slight indentation on the proximal border at the place of fusion.
- 1 d C.A. 12 yr. 2 mos., B.A. 12 yr. Inferior surface now completely smooth. The bone is more rotated (on an axis parallel to the radio-ulnar joint) than in (c) and the surface for articulation with the capitate shows as a large wing.



## PLATE 2

### EXPLANATION OF FIGURES

- 2 C.A. 11 yr. 3 mos., B.A. 10 yr. 6 mos. Fusion complete, but line of fusion just visible and proximal surface indented. Rotation less than in figures 1, d and 3, c. The triquetral portion seems to be very elongated.
- 3 a C.A. 9 yr. 7 mos., B.A. 10 yr. The elongated triquetral appears to be touching the lunate.
- 3 b C.A. 10 yr. 7 mos., B.A. 10 yr. 7 mos. The bones have reached the stage of fusion shown in figure 1, b, but the joint line for hamate and capitate cannot be clearly seen.
- 3 c C.A. 11 yr. 7 mos., B.A. 11 yr. 7 mos. Fusion corresponds to that in figure 1, d but the line of fusion is still visible and the proximal surface is slightly indented. The rotation is slightly more than in figure 1, d.



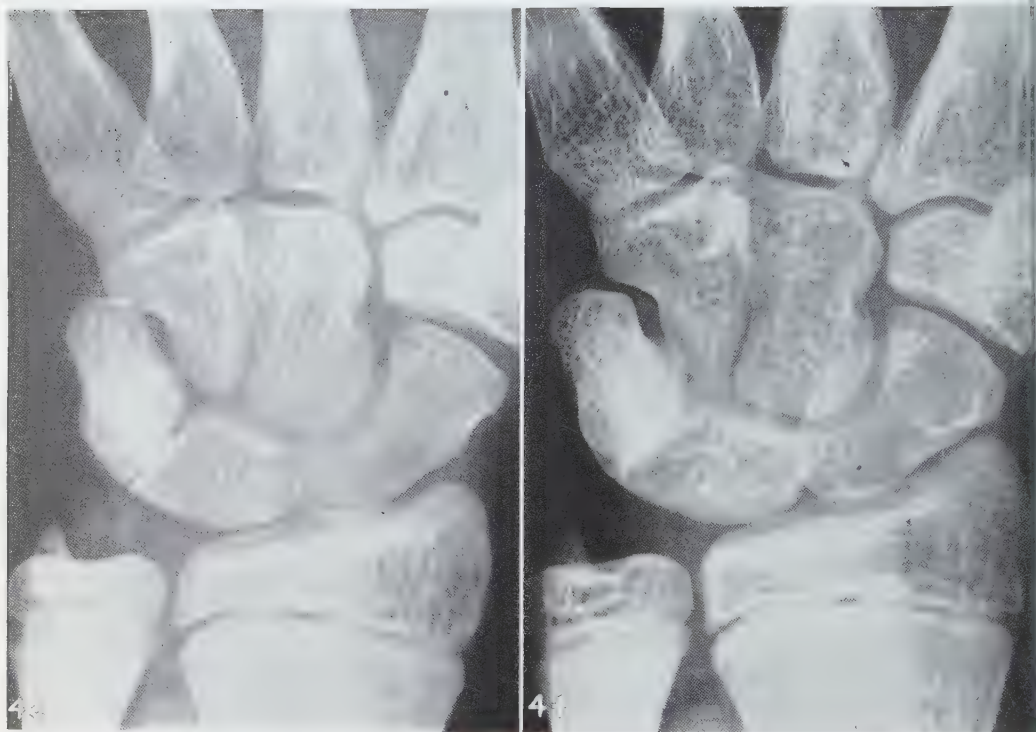


### PLATE 3

#### EXPLANATION OF FIGURES

- 4 a C.A. 10 yr. 4 mos., B.A. 8 yr. 6 mos. The triquetral has a normal outline except for a slightly protruding corner on the proximal surface.
- 4 b C.A. 12 yr. 11 mos., B.A. 10 yr. 6 mos. The elongation of triquetral is made more obvious by an extension of the protruding corner. The joint line for hamate and capitate can be traced on triquetral and lunate.
- 4 c C.A. 13 yr. 7 mos., B.A. 11 yr. 3 mos. The bones are almost touching.
- 4 d C.A. 15 yr., B.A. 12 yr. 6 mos. Fusion appears to have taken place in the mid-parts of the opposed surfaces, but there are deep notches distally and proximally.





- 4 e C.A. 16 yr. 1 mo., B.A. 13 yr. 9 mos. Fusion is more complete, but the deep notches persist.
- 4 f C.A. 17 yr. 1 mo., B.A. 15 yr. The line of fusion, although partly obscured by the pisiform, can still be traced, and there is a small proximal notch and a large distal one. The surface for articulation with the ulna and radius is smooth. The rotation is approximately the same as in figure 3, c.



# The Anthropometry of the Manual Work Space for the Seated Subject<sup>1</sup>

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This paper is an exploration of the space-geometry of hand motions as they relate to young men in the seated posture. It is primarily a presentation in functional anthropometry, but the information detailed should have practical use in improving the design of work areas. The pilot of an airplane, the driver of an automobile, the assembly worker or the machine operator all perform critical tasks with their hands. Controls and switches or objects on which work is to be done must not merely be within reach, they should also be placed in the best possible spatial position relative to the operator. This ideal position has not yet been prescribed.

A detailed job analysis of a specific manual operation should of course improve the work area materially, but this information has little transfer value to another situation. Our work is concerned with the general range of hand motion, and we have attempted to find principles applicable to work situations involving the seated position.

The approach is anthropometric—but in an entirely different sense from traditional anthropometry. Our measurements of the space within reach of the seated subject for all possible upper limb postures represent an approach to a dynamic anthropometry. The method of measurement is direct, and it involves a certain novelty in anthropometric procedure; this shows especially in the methods of gathering raw data, of making measurements, and of treating data.

A study of the functional-anatomical background for limb motion paralleled this study. Relevant work (Dempster, '55a, '55b, '56) involved a study of the motions of the major limb joints and a clarification of the characteristics of the *link* mechan-

isms involved. (A link is the straight line or core line through a body segment between adjacent joint hinge points; it is the mechanical unit of body motion.) Older sources that cannot be ignored in a functional-anatomical-anthropometric study of this type are: Fischer ('07), Fick ('11), Strasser ('17), Braus ('21), Lanz and Wachsmuth ('35), and Mollier ('38). The Albert-Strasser globographic technique (Albert, 1876; Dempster, '56) for demonstrating the range of individual joint movement has provided useful background material. Equivalent work on living subjects is not available. Joint range studies on living subjects are typified by papers by Gilliland ('21), Sinelnikoff and Grigorovitsch ('31), Glanville and Kreezer ('37), Dempster ('55a) and Barter, Emanuel and Truett ('57). These studies are rather incomplete for certain joints and are not wholly satisfactory. Further work relating to age, sex, race, and occupation is warranted.

During the past decade or so, various authors have touched on aspects of the work place. Motion and time study workers (Barnes, '49; Branson, '52), psychologists (Chapanis, Garner and Morgan, '49; Hick and Bates, '50; McFarland, '53), engineers (Wallichs and Hulverscheidt, '35; Davis, '49; MacNeil, '54), and physiologists (Taylor and Blaschke, '51) have directed attention to spatial aspects of hand action. Dynamometric studies on hand forces by Hugh-Jones ('45) and by Darcus

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('51), Salter and Darcus ('52), Darcus and Salter ('53), Gaughran and Dempster ('55), Whitney ('58), and Dempster ('58) are relevant also. Randall, Damon et al. ('46) and especially King, Morrow and Vollmer ('47), King ('48, '52), McFarland et al. ('53) and McFarland, Damon and Stout ('55, '58) have considered dimensional problems of the seated subject. Commonly these references relate to empirical studies designed to meet specific problems of military and industrial personnel.

### *Orientation*

If a part of the body such as the feet or buttocks is placed motionless on a supporting surface, the relative range of motion of some more mobile part, as the hand, may be defined in terms of a space geometry related to the immovable region. In effect, the body can be regarded as if it were within a three-dimensional Cartesian coordinate system which has its origin at a point on the supporting surface; the potential motion of any moving point—for instance some point on the hand—may be located within a region or space envelope with boundaries that may be scaled off relative to the  $x$ ,  $y$ , and  $z$  coordinates. In the present study, the subjects were always seated. The envelope has a specific size, shape, and relation to the seat, trunk and legs; like the atom or solar system, however, it is bounded by an intangible surface which in this instance represents the extreme range of motion of the reference point of the hand in different directions. The space itself is merely the region of potential position of the hand point. In order to visualize the space envelope and its modifications for different conditions, both the seat and the hand reference points must be clearly identified.

For the seated subject the most stable body region is that part touching the seat. In our procedures the subject's head lay in contact with a dental head rest, the back was against the wooden seat back, the buttocks were well back on the seat, and the thighs were directed forward; thus the trunk was relatively stable for all hand positions. We have selected the mid-sagittal point of the junction of the seat and back as a reference ("R") point. This,

in effect, is the zero point on an  $x$ ,  $y$ , coordinate system, to which are related the variable distance and angulation of the hand point as it travels in its outermost range over the surface of the space envelope.

The hand is a complex anatomical structure; if a part of it is to be a reference point, a precise posture must be assumed. Our approach starts with the recognition that the hand in a position of rest reflects a balanced system of minimal forces. This is a sort of mean hand posture from which other postures, including those involving finger activities, are deviations. Of course there is no one rest position; it varies with how the hand rests with relation to the forearm and with the degrees of wrist flexion or extension. When, however, the hand and forearm lie passively and supine on a horizontal support with the wrist straight, the rest position is fairly average. This rest posture is approximately the same as when the hand lightly grips a cylindrical rod of about 30 mm (1 1/8") diameter. In our work the gripping of a standard rod proved an easy way of getting the hand to assume a position comparable to the mean rest posture. Now, when the hand gripped such a rod and the hand and forearm hung inert and vertically, the grip angle for 40 men relative to the long axis of the forearm was found to be  $102^\circ \pm 3^\circ$  (obtuse angle on the radial side). (The grip axis was also about  $14^\circ$  more supine than a line between the radial and ulnar styloids in the semi-prone position [Dempster, '55a].) The grip angulation increased as rods of notably larger diameters were grasped, but it was little changed for subjects down to the size of a pencil.

In this study the axis of the grip was regarded as the core line of a 30 mm rod held in the hand. The thumb, or radial end of the rod, was used as a pole of reference. A point on the axis of the rod at the level of the third finger was arbitrarily taken as the hand point or grip center hereafter called "grip point."

It is the outermost range of this grip point which, according to our usage, constitutes the surface of the space envelope. At the surface of the space envelope defined by the grip point, the fingers or any other part of the hand may momenta-

lie exterior to the grip point and to this extent they will project outside of the space envelope; similarly, the subject's elbow, and parts of the arm in certain positions, lies outside. Since the position of the hand is a generalized mean posture, the space envelope for the index finger tip of the pointing hand, or for the middle position of the fingers, can be defined by adding appropriate dimensions to the front surface of the space envelope of the resting hand (and subtracting from the rear surface).

The hand mass of the seated subject may be moved in either of two ways; it may move by *rotation* or by *translation*. In rotational or angular movement, the hand may be turned or twisted so that the angle of the grip axis is changed relative to the Cartesian system (or the floor or walls of a room). In *translational* movements, however, the grip angle remains constant; the hand in translational movement may be moved in a straight or curved line in any direction, but there is no angular change of the grip axis relative to the room. The hand may move through three degrees of freedom for translational movement—up and down, right and left, forward and backward. It may also move through three additional degrees of rotational freedom—rotation in the sagittal plane, rotation in the coronal plane, or rotation in a transverse plane; but it has only these 6 degrees of freedom for potential movements. In our approach, translational movements of the hand, it will be seen, will receive primary attention.

When the hand, as the end member of a chain of extremity links, is kept in a constant angular orientation relative to a fixed "R" point, movements at the wrist, elbow and shoulder permit an extensive range of translational hand movement. The space envelope enclosing the total range of such translational movements is a distinctive shape, which results from the unique combination of both the range and freedom of joint rotation by all of the upper limb joints and the limitation imposed by the constant orientation of the hand. The relative dimensions of the limb segments in different people, of course, may have a determinant relation to the shape but this effect is small.

We employ the term *kinetosphere*, from *kineto*, movement, plus *sphere*, region of, as an aid in analyzing the work area. The term applies to the total range of translational movement of the end member of a series of links relative to an "R" point; moreover it applies only to the arbitrary situation in which the end member is continually maintained in a constant angular orientation relative to a system of reference coordinates. In this study the hand was always directed straight forward; other kinetospheres could be defined for study with the hand pointing up, to the left, or down.

One should appreciate the nominal character of the kinetosphere. It is not merely another word for the work area but a concept which defines the space-shape which encloses a specific class of hand motion, translational movement only, so that it can be analyzed. The dimensions of such an envelope may be measured, and its shape may be reconstructed. The boundary outlines of sections through the kinetospheres can be grouped and compared, and similar kinetospheres from different individuals can be combined and averaged. The variability from subject to subject can be treated statistically. But kinetospheres have no existence apart from a rigidly imposed set of conditions, which limit the hand to purely translatory types of motion. The value of the concept is both in its use as an analytical tool for exploring body motion and in its ability for defining the space requirements for types of hand motion. The designer of planned work areas should thus be aided practically.

Each arbitrary hand orientation has only one kinetosphere. When a group of kinetospheres representing a related series of hand orientations, i.e., different classes of grip rotation for the same direction of hand pointing (viz., forward), are added to one another, we call the cumulative pattern a *strophosphere* from *strophe*, a turning or twisting, plus *sphere*, region of. The related series of hand grip orientations may represent a series of discrete wrist tilts in the sagittal plane (relative to the room); the series may involve a prone to supine twist about an antero-posterior hand axis (again relative to the room), or a wrist movement may be con-



cerned with side-to-side hand postures about a vertical axis. The term applies to the space envelope, which permits three degrees of translational freedom for the hand plus one degree of rotational freedom relative to fixed coordinates. (Other strophospheres, not studied, can be visualized—the hand and forearm pointing up, in, out, or down, in each instance including a systematic grouping of rotational motions.) When one or two additional degrees of rotational freedom are included, the complexity increases, since the sequence of adding involves 6 possibilities of combinations; thus the value of the analysis breaks down.

Through kinetospheres and strophospheres, however, one may dissect the work area in terms of the hand positions which are possible in different regions of the total space within reach.

A more extensive term *ergosphere*, from *ergo*, work, plus *sphere*, region of, or work area, may apply to the total range of possible hand positions relative to an "R" point; we would apply the term *ergosphere* to the hand space representing wholly unrestricted movement for any and all hand and forearm orientations—the only condition would be that the subject's back and buttocks had the constant relationship to the "R" point.

#### METHODS

Twenty-two male college students (ages 17–33) formed the study sample. In build they ranged from median to muscular; rotund and thin types were excluded. The more significant mean dimensions were: stature— $175.7 \pm 4.5$  cm (69.4 in.); sitting height— $91.5 \pm 3.2$  cm (36.1 in.); acromial height (sitting)— $61.3 \pm 3.2$  cm (24.2 in.); and upper limb length (acromion to dactylion III—arm straight, horizontal, and forward)— $72.8 \pm 2.9$  cm (28.7 in.).

Our primary records (fig. 1) were a series of photographic negatives—time exposures in the dark—which showed the path of movement of a small light at the hand (i.e., over the "grip point") as it was moved by the subject. The subject sat facing the camera in a special seat and, for the first record, he moved his hand over a wire screen at arm's length so that

it described a circuit at the extreme limit of movement. In his hand he held an appliance consisting of a screen grid and an adjustable hand grip (referred to later) which assured that the grip axis was maintained in some standard orientation throughout the circuit. Then the seat and subject were advanced a measured distance relative to the plane of the screen and a new hand circuit was photographed. The subject was moved forward progressively in this step-wise fashion and additional hand circuits were recorded for each position of the seat and subject until each level of the whole kinetosphere had been photographed. The photographic records provided a group of equally spaced frontal serial sections through the kinetosphere. From these, a variety of data could be derived, including three-dimensional models.

The seat on which the subject sat was of wood, and it had a back and a headrest. The basic plan was that of the Air Force fighter cockpit of the early 1950's. The seat was 15 in. deep and 11 in. wide; because of the narrow seat back, the subject's elbows and shoulders were unimpeded, thus the posterior range of the grip point was not artificially reduced. The seat was tilted  $6^\circ$  to the horizontal. The back was 26 in. high, and it tilted back  $17^\circ$  from the vertical. At the top of the seat back, an adjustable dental headrest was mounted. The position of the foot rest and foot platform relative to the seat could be adjusted by oblique upward and forward movements as in the airplane cockpit, so that individuals of different heights could be accommodated comfortably. Through adjustments of the height of the foot platform, the vertical distance between eye level and heel was made a standard 39.5 in. for each subject.

As shown in figure 1, several wire grids ( $1 \times 2$  in. mesh) were suspended from an overhead horizontal bar parallel to the picture plane of the camera to provide a frontal reference plane behind which the hand could move. A large  $5 \times 7$  ft. mirror set at  $45^\circ$ —on the subject's left—showed a side view of the grid, subject and seat.

The hand appliance (fig. 2), which was constructed for the left hand only, con-



ed of a handle—a 30 mm aluminum—  
—and a small rectangular orientation  
l. Scotch-lite reflective tape was added  
he vertical midline and the horizontal  
ders of the grid to enhance visibility  
he photographs. Dental moulding com-  
nd was shaped about the aluminum  
to provide a good fit for the thumb,  
n and fingers of the left hand; thus  
handle could be grasped in only one  
7. An aluminum ball at the thumb end  
he grip had a series of threaded taps  
which the small rectangular grid could

be attached at one or another of a number  
of selected angulations relative to the hand  
grip. When the grip was held firmly in  
the subject's left hand and the forearm,  
wrist and grip were aimed straight ahead,  
with the grip vertical, the grid was initially  
set upright in the frontal plane (i.e.,  $0^\circ$ ).  
After this initial adjustment, 7 other grid  
positions (fig. 2) were obtained by screw-  
ing the grid to the ball at other standard  
angulations. In each position the grid  
and the grip were oriented at a specific an-  
gulation to one another. When the grid

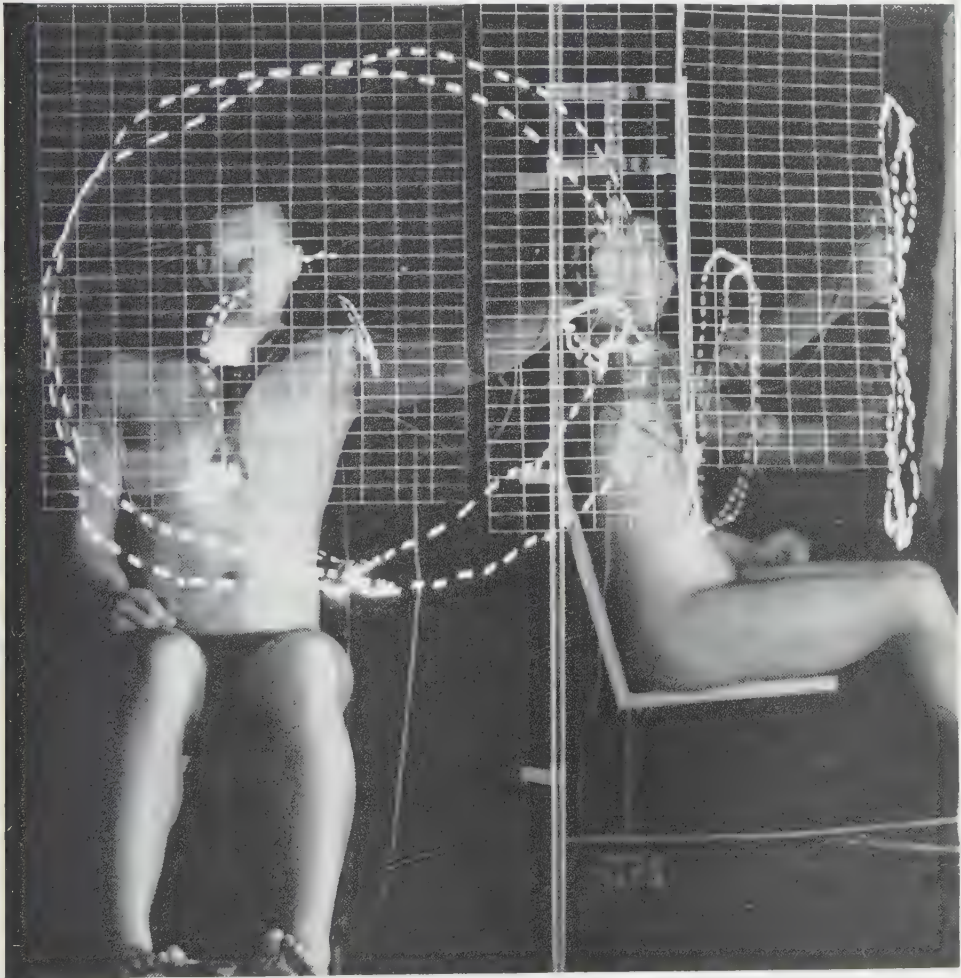


Fig. 1 Method for recording extreme movements of the "grip point" of the hand in the plane of wire screens. The figure at the right is a 45° mirror image of the subject shown front view. During a time exposure a flashing light at the level of the grip point marks out a path of movement. The grid assures a vertical hand grip orientation. Fixed reference lights lie over the sternum; other moving lights are attached to the shoulder and elbow.

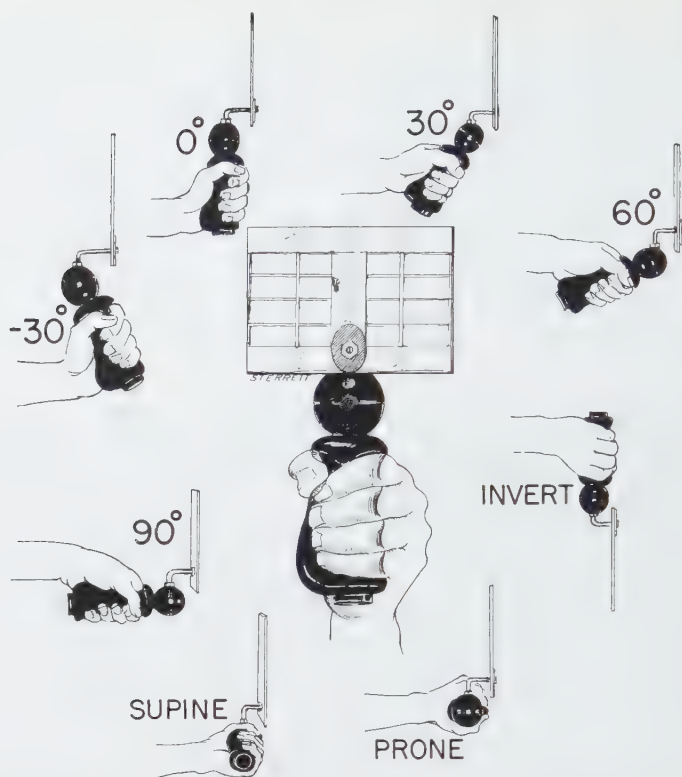


Fig. 2 Hand grip and reference grid designed to keep the hand in a fixed orientation while hand movements were recorded. The 8 standard hand orientations studied are illustrated.

was held upright in the frontal plane (for instance, behind and parallel to the hanging grids of figure 1), the hand grip axis of necessity assumed some fixed angulation to the vertical. Five grip orientations in the sagittal plane (relative to the room) were permitted and these were:  $30^\circ$  back (i.e.,  $-30^\circ$ ; upper end of the grip directed backward and upward),  $0^\circ$  vertical,  $30^\circ$  forward,  $60^\circ$  forward and  $90^\circ$  forward (i.e., the grip was horizontal and directed forward). In addition to the  $0^\circ$  position, three other positions in the coronal plane were permitted by the ball at the hand grip. These were the prone and supine positions and a vertical position with the thumb downward—the invert or  $180^\circ$  position.

A  $1/25$  watt neon glow lamp was adjusted to the hand grid, so that its position in photographic records lay at or near the center of the grip, i.e., the "grip point." Sometimes other lamps also were fitted

over the shoulder and elbow joints. A flashing rate of 6 per second, controlled by an electronic system, allowed easy differentiation of traces when more than one appeared on the film. A Graflex camera at 15 ft. distance from the reference plane of the suspended wire grids included a filter like figure 1. A red lens-filter transparent to neon light was fitted to the camera, and a low-intensity blue light that did not record through the filter was used for general illumination of the otherwise darkened room. Dark backgrounds were provided for both the direct and mirror-image views.

For the record-taking, the subject lay squarely against the seat back and he rested, and he directed his arm straight forward with the shoulder protruded and the grid of the hand grip held behind and parallel to the suspended reference grids. While the arm was in this position, the seat was moved forward or back until the

ance between the grid plane and the point of the seat was as short as possible—a three-inch or less clearance was permitted for the movements of the hand. The actual seat-to-grid distance was measured and recorded. When this adjustment was made, the reference grid at hand was 3 in. or closer to the zero s.

The first photo record was taken with neon lights flashing as the subject slowly moved his hand clockwise in the vertical plane—then counterclockwise—through the widest possible complete circle. The hand grid was to be kept constantly in its upright orientation just behind the reference grid; this was critical to a constant grip orientation was essential if the hand motion were to be purely translational. In the faint blue light of the room, the camera operator could see both the direct and mirror-view of the subject; the mirror-view showed the reference grid edge-on, and any deviations in the frontal plane could be seen. Any tilting of the grid could be seen directly. If any significant deviations were noted, the camera operator could direct the subject to repeat the record. After practice runs in both the clockwise and counterclockwise directions, the camera was readied and the path of both circuits of the neon lamp was recorded. At some time during the movement, a speed-lamp (=strobe) flash was set off to illuminate the side view including an instantaneous image of both the direct and side views of the subject (fig. 1).

When a new film (super XX, film pack) was in place for a second exposure, the subject and subject were moved a fixed stand-distance (three or 6 in.) closer to the reference grid, and a new pattern of hand movement was photographed. After each exposure, the seat and subject were adjusted by the standard distance until the subject no longer had space to move his hand between the reference grids and his body. One or another panel of the grid in front of the subject was then raised or lowered, touched him, removed. Movements of the hand at the side of or behind the body were traced over a single grid at the end of the body.

Since the records were intended to show the range of hand motion, it was important that adventitious trunk movements did not augment the motion. Figure 1 shows a yoke of sheet lead lying on the sternum with flashing neon lights over the manubrium and xyphoid. Where the photo negatives showed that the lights had moved as much as 1.5 in. during the clockwise and counterclockwise movements of the hand, the record was later repeated.

The photo negatives for each subject-grid distance were projected in an enlarger to exactly  $1/5$  natural size. Tracings of each negative were made on paper separately, including neon light paths as well as orienting landmarks and dimension marks in the background. Next, as a separate operation, a mean circuit was drawn in between the tracings of the clockwise and counterclockwise circuits of the neon light; this mean circuit was thereafter taken arbitrarily as the definitive record of "extreme" hand movement in the plane of reference.

Planimeter measurements of the area of each mean circuit were then made from the tracings. These areas were next plotted as ordinates on graph paper (fig. 5) with a spacing along the abscissa comparable to the distance between sections; the location of the "R" point and reference grid was also drawn on the graph. Two corrections were necessary before the graph was strictly accurate; first, the mean antero-posterior distance between the hand light and the reference grids (as seen side view, fig. 1) had to be measured; secondly, the distance between the light (or reference grid) and the grip point—different for each grip orientation—had to be added. After these corrections had been plotted relative to the reference grid position, the plot was properly related to the grip point. An accurate area-to-distance curve could now be constructed (fig. 5). The area under the curve, as measured by planimeter, corresponded (at one-fifth scale) to the volume of the kinetosphere.

In addition, through a treatment of moments along an antero-posterior axis (i.e., areas of sections  $\times$  distances from a zero point) the fore-to-back distance of the centroid, or center of gravity, of the kineto-



sphere could be calculated and located relative to the grid plane and to the "R" point of the seat.

Next through the suspension of cardboard cutouts, the locations of the centers of gravity were found for two sections—that just directly ahead of and that immediately behind the kinetosphere centroid. The centers of gravity of the two sections were located relative to a vertical coordinate corresponding to the mid-sagittal plane of the subject and a standard transverse coordinate near the shoulder level; then tracings of the two sections were superimposed and, by appropriate "weighting," a new "mean" section contour with its center of gravity was drawn in for the correct antero-posterior distance of the kinetosphere centroid. We assumed that this section-centroid would have vertical and horizontal coordinates comparable to those of the whole kinetosphere. With this approximation, it became possible to relocate the centroid of the whole kinetosphere relative to the "R" point of the seat—antero-posteriorly, laterally, and vertically.

A convenient way of comparing kinetospheres involved the use of sagittal, frontal, and horizontal sections through the centroid. This required a reconstruction of contours from measurements derived from the sections. The technique involved first the marking of the horizontal fore-and-aft projection of the centroid of a kinetosphere upon each tracing in a series of sections. Then, at points directly above and below the centroid on each section, the distances to the section outline were measured. These measurements were next plotted as ordinates on graph paper (above and below a horizontal line indicating centroid level); the horizontal spacing was comparable to that between sections. When the points were connected, the plot represented a sagittal section through a kinetosphere at centroid level. The "R" point, kinetosphere centroid, and other points were added also. Comparable plots showing transverse measurements at centroid level permitted the development of a horizontal section through the kinetosphere. A coronal section through centroid level was determined, as mentioned above (previous paragraph), by a "weight-

ing" of the contours of two section cutouts—the ones just anterior to and posterior to the centroid.

The three sections cutting the kinetosphere in mutually perpendicular planes that intersected the centroid could be interpreted as easily as three-dimensional plastic reconstructions; the shapes could be compared, by superimposing section outlines; the contours could be superimposed and summated to give generalized patterns for a group of individuals, or they could be grouped to show strophospheric patterns.

Actual three-dimensional reconstructions were made from tracings through representative kinetospheres. Much like the wax plate reconstructions of embryologists, these models were made from slices of styrofoam plastic ( $12 \times 12 \times 0.6$  or  $1$  in.); the thicknesses of the plates of foamed plastic were just  $1/5$  of the three or six inch distance that the subject was moved between records.

In the initial photography, each of the 22 subjects went through the procedure 8 times; each time represented a different hand orientation and hence a different kinetosphere. From each group of records (i.e., one kinetosphere for one subject) we had as working data: (1) a group of 7 or 8 (or more) frontal tracings of each hand range having a known antero-posterior spacing, (2) plots representing sagittal, frontal and transverse sections through the kinetosphere at centroid level, and (3) area and volume data based on planimetric measurements.

Since the data relating to a single individual were of limited practical interest, our data were typically summated and averaged in some way. For numerical data, such as planimeter measurements of sections, kinetosphere volumes, and distances, individual records were simply averaged. Where shapes were involved, composite mean section contours were necessary. The sagittal, horizontal, or frontal plots (through a centroid) of different individuals, as mentioned above, were superimposed relative to the seat coordinates (and other landmarks in the original photographs) and traced in different colors to avoid confusion, on tracing paper or translucent plastic; centroid location



re indicated also. The mean centroid  
ation for all of the similar kinetospheres  
ether could be found through a con-  
eration of their moments. After this  
nt had been located, 8-10 lines were  
wn radiating out from the common cen-  
d to the contours of the superimposed  
ored tracings. Along each of the radi-  
ng lines, measurements were made of  
distance from the centroid to each of  
intersecting contours. These distances  
e then averaged to give a mean dis-  
ce, and this was marked as a point on  
radiating line. A mean contour could  
n be drawn through the points. In ad-  
on to the average contour, the distances  
he individual contours from the aver-  
were measured along the radii, and  
mean deviation was calculated. It  
uld be noted that the plus and minus

mean deviation rather than standard de-  
viation has been used as a simple measure  
to compare the variability of one region  
of the space-shape with another;  $M.D. = \Sigma(D/n)$ .

In gathering and processing the data,  
utmost attention was paid to obtaining cor-  
rect orientations and dimensions. For this  
reason, the results obtained from the ma-  
terial at one-fifth scale could be projected  
back to natural size with no appreciable  
loss of accuracy.

All our records were taken from the left  
hand. Since the two hands should have  
about the same range of movement, the  
mirror image is roughly comparable to a  
direct record. Thus, when right-hand ki-  
netospheres are implied, they are simply  
mirror images.



Fig. 3 Seven contour outlines show the range of movement of the prone hand over a series of  
al planes spaced at 6-inch intervals. The relative position of the seat and subject are shown also;  
ights attached to the yoke over the sternum indicated whether or not trunk movement contributed  
nd range.

## RESULTS

*Section contours and kinetosphere shapes*

Since the basic data for this study consisted of a group of mean tracings of frontal-plane serial sections through each of the 8 kinetospheres of 22 different men, the general character and implications of the sections themselves must be appreciated. The sections illustrated (fig. 3) represent the range of motion of the grip joint of the prone hand directed forward for one of our subjects.

*Superimposition of sections*

The sections were superimposed relative to both the midline of the seat and a transverse line (near shoulder level) through a landmark at camera height in the background of the original photo. One sees a series of 7 rounded or irregular closed outlines which varied in size and orientation relative to the subject's body. The contours in this instance represented sections at a 6-inch spacing through the kinetosphere. The first section, that farthest forward from the body, was ordinarily roughly circular. If the subject could scarcely reach the grid, the diameter was small; in a larger contour, the first section could, as shown, have an irregular lower boundary over the knees. The limits of forward movement for the maximally protruded shoulder were determined by the fact that the hand, because of the obliquity of the arm in a wider circuit, would move away from the plane of the hanging reference grids.

As the subject-to-grid distance was shortened, the size of the circuits increased—particularly in the upper range. As the seat-grid distance was further reduced, ligamentous restraints to wrist abduction on the test-limb side and limits to adduction on the far side defined medial and lateral boundaries for movements of the prone hand. At the same time, a lower limit was imposed by contact with the knees or thighs. An upper limit was determined by maximum shoulder elevation and by the tendency of the raised limb to retract from the grid plane. The limitations mentioned restricted movements to broad, elliptical contours, except where

knee and thigh contact intruded. The highest contour came to lie above the shoulder. At the next section, there was not room for forearm and wrist movement between the body and the reference plane. This limitation to hand movement produced a definite posterior surface to a portion of the kinetosphere in front of the trunk region. Until the trunk hindered movement, knee and thigh contact and the maximum amount of abduction, flexion, extension, or adduction permitted at the wrist joint were the limiting features of movements in the coronal plane.

After trunk contact with the wrist and forearm, the only territory free for additional limb and hand movement was lateral to the trunk on the test-limb side of the body. These contours became narrow vertical ellipses, with the upper pole tending to deviate toward the head. Movement was restricted on the left because of inability to increase wrist abduction. Other boundaries were due to limited wrist flexion (above), to the amount of wrist extension permitted (below), and to lateral contact (medially). At the extreme posterior range, shoulder retraction and elbow flexion reached a maximum. It should be noted that the narrow seat back did not restrict movement of the shoulder and elbow and that the hand could reach about at the side of and even slightly behind the body without artificial contact restraints.

*Total shape*

Although serial sections are informative of the three-dimensional character of the kinetospheres can be shown initially to better advantage by reconstructed plastic models, such as that in figure 4. The model represented a smoothed composite of the mean space envelope for the prone hand. The principal bulk of the kinetosphere was in front of the trunk and above the knees and thighs, extending a full head height above the mean sitting height, and its maximum height was again above the shoulder. The kinetosphere bulged medially and laterally—mainly laterally—it had a "wing" projecting to the left backward to a point a little behind the R-point. For the prone hand, much of the restraint medially and laterally was caused



Fig. 4 Medial view of a three-dimensional reconstruction of the mean kinetosphere for prone left hand shown in relation to the seat and an average size subject.

the limitation of wrist adduction and flexion. The larger range of wrist flexion and extension was less restrictive on the hand at the upper and lower limits of hand range.

When the grip axis is held vertically instead of prone, the limit due to wrist abduction affects the lower kinetosphere edge rather than the lateral side. When the grip axis is supine, the abduction limit affects the medial side of the space. Similarly, flexion, extension and adduction limits of the wrist affect different parts of the kinetospheres according to the angular position of the grip axis and the orientation of the specific restraining ligaments. Each of the 8 kinetospheres studied was similar to the extent that the major bulk of the space was above the thighs and ahead of the trunk and that each had a leg to the side of the body; nevertheless, they had characteristic differences in di-

mensions, in height relative to the seat, in size of wing or in slope of contour in one region or another. These differences were basically controlled by the kinematics of the upper limb joints and segments under the restrictive conditions of holding the hand grip in a constant orientation.

#### *Factors affecting kinetosphere shape*

When confronted with a three-dimensional model of a kinetosphere, people sometimes suggest that the subject should be able to reach higher, more to the side or more between the thighs. This is true, but for the average test subject, it is true to a marked degree only when he fails to maintain the standard hand orientations. The conditions for defining a given kinetosphere no longer exist when a subject appreciably changes the grip orientation or the direction of hand "pointing."



The range of translatory movement permitted the hand by the upper limb joint systems was limited in different directions either (1) by contacts with the trunk, thighs, or knees, or (2) by a restriction of movement in one or more critical joints in consonance with the hand having a fixed orientation irrespective of where it lay within a kinetosphere. The joint limitations were just as real as the body contacts. When the hand moves about while it maintains a fixed orientation, the wrist, the forearm, the elbow, and the claviscapular mechanism of the shoulder, which lie between the hand and the trunk, must adjust to the movement within the limits of their individual joint ranges. In one part of the hand range, depending upon the orientation of the hand in translatory motion, further movement may be limited by the inability to abduct the wrist further while in another region a lack of additional wrist extension may be the restricting factor. Shoulder and elbow joints may limit movement in the same way. The boundaries of each kinetosphere thus are determined by a distinctive type of limitation.

Linear dimensions of the upper limbs also are metrically correlated with the extent of hand range, thus the longer-limbed subjects were able to reach farther upward, downward, laterally, and medially across the trunk, as well as farther forward and backward. In general, tall, thin men with more flexible joints had greater hand ranges (Dempster, '55a), and smaller, heavier men with less joint flexibility produced kinetospheres of reduced dimensions.

Functional body contact must be understood as contact with any part of the arm, elbow, forearm, hand, or hand grip. Arm and forearm contact in one or another hand orientation affects the closeness of the grip point to the front and side of the trunk, to the groin region, to the medial sides of the thighs, to the opposite side of the thighs, etc. These contacts imply functional limits to hand range; since the grip point lies in the middle of the fist, it never can be closer to the body at any point than perhaps three inches.

Other factors having an effect on kinetospheres related to the psychology of the

test individuals. If the subject did not conscientiously attempt to reach the maximum of the translatory motion, the kinetosphere boundaries proved false. In the taking of records by the procedure outlined, it soon became apparent that overly eager subjects tended to bend the trunk or twist the hand position to give unreasonably large ranges. Neon lights attached over the subject's sternum, however, gave an indication of the degree of this defect. Where the records showed an unusual amount of trunk movement, the records were discarded and repetitions were made. Contrarily, lazy subjects tended not to exert themselves. Careful attention to procedures and repetitions, in certain instances, undoubtedly reduced the source of error to a minimum. The averaging procedures employed smoothed out most of these individual differences. Measures of variability, which will receive attention below, clearly involved psychological factors as well as strictly kinematic factors.

The reference grid introduced an extrinsic, non-functional factor; it extended 5 in. on either side of the center of the ball and 7 in. beyond. The contact of the grid with the body was not a significant contaminant for the supine or the sagittal series of kinetospheres. Figure 3, however, suggests that if the reference grid had not been between the hand and the side of the body the medial border of the "wing" might have extended inward more. Overall kinetosphere volume, in this instance however, would be reduced only in the region at the side of the body. The invert kinetosphere was more seriously affected; if the grid were to be seen by the subject unobscured by his hand and forearm, the grid must lie between the thigh and hand. The regions above, forward, and the sides, etc. were not affected by grid error. The inclusion of partially contaminated prone and invert data in this paper may be justified since these extrinsic contact errors are on the body side of the kinetosphere rather than on the outer ranges; accordingly the errors have little effect on the problem of practical workspace design. Questionable features, however, will be kept before the reader.



The greatest discrepancies from subject to subject related to the kinetosphere position nearest the thighs, pelvis and lower trunk. Frequently the subject did not move hand as closely as possible over and between the thighs; consequently, the clockwise and counterclockwise circuits were

less well duplicated in this region than in the rest of the circuit. The mean curves drawn in consequence appeared to be displaced upward by several inches. The subjects sometimes moved their feet from the foot rest and, by planting the feet flat on the floor area ahead of the seat, raised

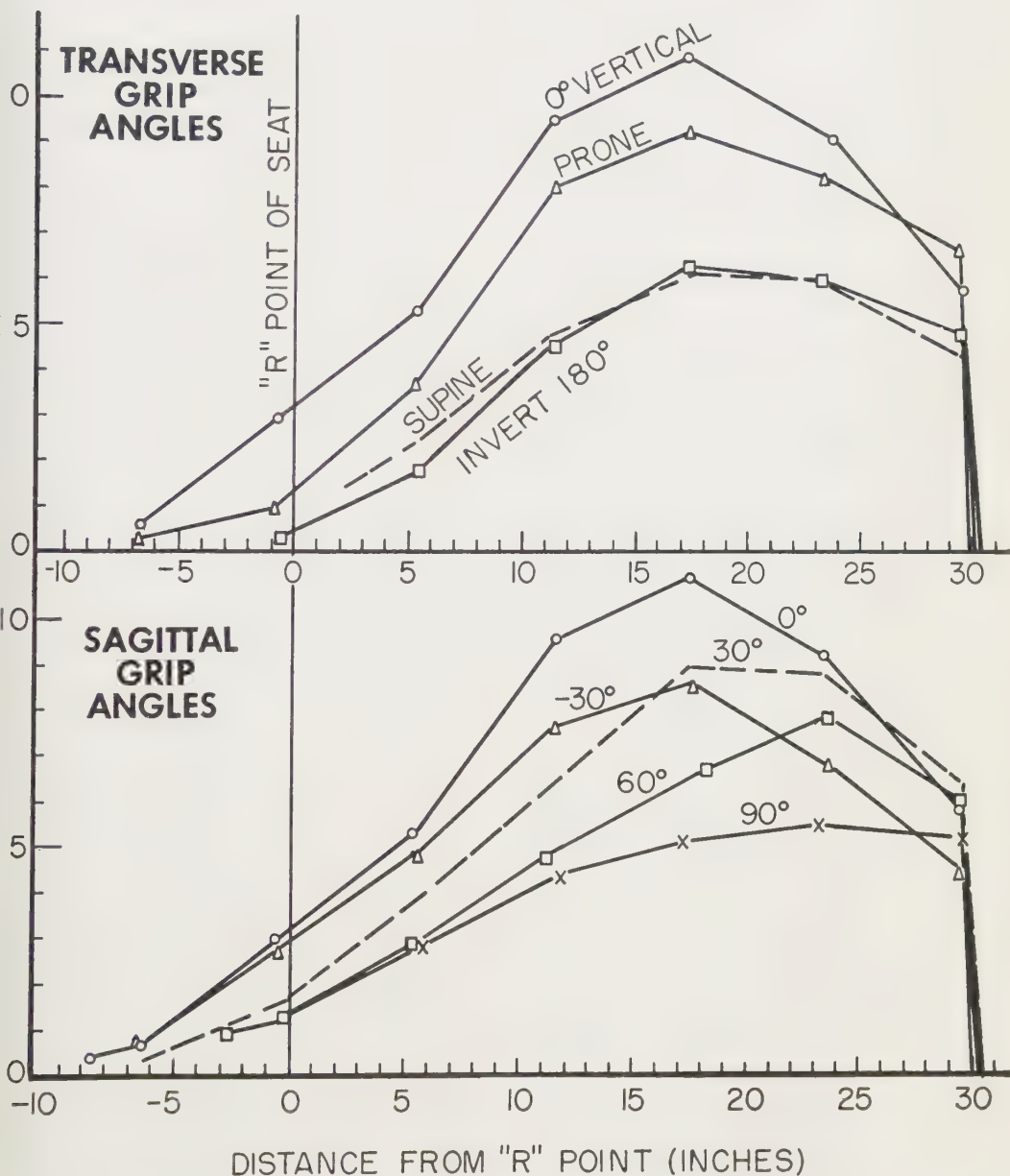


Fig. 5 Two series of plots showing the panel area available to the grip point of the left hand for various planes at different distances from the "R" point of the seat.

thigh height; in such instances the lower borders of kinetospheres would be raised still further. Practically, however, these discrepancies do not affect the exterior dimensions of the work space; they would affect only the region adjacent to the trunk and thighs. Kinetosphere volumes, centroid height and the height of common hand areas, etc., would be biased to a degree, but in a predictable direction. Except for the invert kinetosphere as mentioned, there is no reason to expect that the bias should not be the same for all hand orientations.

#### *Area to distance plots*

The curves in figure 5 are plots of the areas of cross-sections through kinetospheres relative to the distance of these cross-sections from the R-point of the seat. Each of the curves refers to a different hand orientation and is an average for the 22 subjects. The upper figure represents area/distance plots for transverse orientations of the hand grip and the lower shows plots for the sagittal hand grips. These two plots show, then, the relative maximum range of the hand (i.e., grip point) over vertical-transverse planes placed at intervals from 30 in. ahead of the R-point to 9 in. behind. If a worker such as a telephone operator were to be seated in front of a vertical panel, the area plots suggest the relative area of hand-panel contact at different seat-to-panel distances.

At the farthest extent of arm reach ( $\pm 30$  in.), the cross-section within reach increased rapidly from zero to 400–700 sq. in. Areas at distances closer to the seat then built up to a maximum (600–1000 sq. in.) for the best distances from the R-point and then fell off rather rapidly. The curves for 0°, prone,  $-30^\circ$ , and  $60^\circ$  rose rather sharply to a maximum; those for  $90^\circ$ , supine, invert, and  $+30^\circ$  built up more gradually. Since the size of the hand grip introduced a bias (discussed above), the prone range should be slightly higher at the side of the body (i.e.,  $+12$  in. to  $-7$  in. in relation to the R-point). The more anterior range for invert should read higher. All of the curves fell off rapidly inside the  $+12$  in. level. The  $90^\circ$  curve showed fairly constant panel areas of  $\pm 500$  sq. in.

between 15 and 30 inches from the point; closer to the R-point, the areas dropped off rapidly.

In general, the areas indicated by the curves were larger than the others;  $0^\circ$  followed by prone,  $+30^\circ$ ,  $-30^\circ$ , and  $60^\circ$  maximal areas in the 800–1000 sq. in. range. The curves for invert, supine, and  $90^\circ$  were definitely less.

The maximum area covered at a given distance from the seat varied according to hand orientation. Most of the curves showed maximal cross sectional areas 17–20 in. from the R-point; for the  $0^\circ$  to  $90^\circ$  hand orientation, the maxima were closer to 24 in. from the R-point. These section-to-R-point distances referred to the horizontal distance from the R-point to the grip point; when in a practical work-place situation, objects in the fingers and hand protrude anterior to the grip point; due allowance should be planned for this additional dimension.

#### *Kinetosphere volumes*

By measuring the areas under the area to distance plots with a planimeter, it was possible to obtain the volume of any given kinetosphere. Thus, we had a further criterion for demonstrating the characteristics of different hand grip angles. The volumes are presented in table 1, which

TABLE 1  
*Average kinetosphere volumes for the study group*

| Hand orientation | Volumes        | Hand orientation | Volumes        |
|------------------|----------------|------------------|----------------|
|                  | <i>cu. in.</i> |                  | <i>cu. in.</i> |
| $-30^\circ$      | 19,990         | $90^\circ$       | 13,040         |
| $0^\circ$        | 24,630         | Supine           | 13,180         |
| $30^\circ$       | 19,940         | Prone            | 20,670         |
| $60^\circ$       | 13,030         | Invert           | 12,920         |

shows the kinetosphere volume for 8 hand positions.

Attempts were made to correlate linear dimensions of the subjects and goniometric data on the ranges of joint flexibility for the upper limb with the volumes of kinetospheres of individuals; these showed a general correlation between volume of the work area and both body size and amplitude of joint movement. Neither standard anthropometric measurements nor measurements of joint range alone, however, presented the whole story since individuals differed notably. Large m

low joint flexibility or small men with larger joint ranges showed no consistent time patterns.

### *Kinetosphere sections*

The illustrations of figures 6 and 7 represent averaged sections of the 8 hand kinetospheres for the test subjects. In each instance, the kinetosphere is correctly placed relative to the "R" point of the seat; thus shapes, centroid positions, etc., can be compared relative to the seat. For each kinetosphere, the three sections cut through the mean centroid location for 22 men; the small crosses show the location of the mean centroids relative to the seat. The sections are perpendicular to one another; for each set of three sections the figures show, from left to right, a transverse, a coronal, and a sagittal section through the centroid. Patterns for right and left hands are shown in the (transverse) and front (coronal) views; these represent in reality a direct and mirror image of the left side kinetospheres.

The earlier section on technique indicated how the centroid of a kinetosphere is obtained. The centroid or center of gravity for a given kinetosphere has a specific location above, ahead of, and to the right of the "R" point of the seat. It is the mean center of all the possible grip point positions for the given grip orientation—the point from which the hand may, on the average, move farthest in all directions. Except for the 60° and 90° kinetospheres (figs. 6 and 7) where it is low, the centroids for the different kinetospheres lie above shoulder height, lateral to the shoulder and behind the knee. The locus of the invert centroid, which is influenced by hand-appliance contacts, is pictured too high by perhaps 2 in.; if the lower contour of the original frontal sections were to be lifted by 5 in., the centroid would be elevated 2 in.) Each orientation is distinctive according to kinetospheres, but there appears to be an underlying similarity. Figure 8 implies that each centroid is an expression of the hand position that results when each upper limb is fixed at the mid-range of its joint amplitude. The specimen illustrated was a special joint-ligament dissection; each

joint between the clavicle and wrist was anchored at the mid-range position for each type or degree of freedom of joint motion permitted (joint range data from living subjects, Dempster, '55a).

In spite of the general similarity in contour of the top views, a number of differences can be seen. From 0° to 90° (sagittal grip orientations), there was a marked decrease (fig. 6) in both the size of the kinetosphere and in the overlap of right and left hands. In addition the midline region of the kinetosphere moved farther and farther from the body. Although the centroid of each of the 8 kinetospheres was in approximately the same position, anterolateral to the seat, there was again some variation. From 0° to 90°, the centroid moved progressively farther out to the side. The 0° centroid was slightly closer to the "R" point of the seat, but the others were at about the same antero-posterior distance. Lateral reach was approximately the same for all the sagittal hand positions. The same held true for the distance back that the subject could reach. The kinetosphere for -30° was generally intermediate to 0° and +30° in size, in overlap of right and left hands, in centroid position, and in proximity of the kinetosphere to the body at the midline.

In the transverse hand positions (fig. 7), kinetosphere dimensions were less for supine and invert than for 0°, in fact the smaller kinetospheres were about the same as the 90° kinetosphere; that for the prone hand approximated the size of the -30° and +30°. The amount of right-left overlap increased and the distance between the kinetosphere and the body in the midline region decreased to 0°; the supine kinetosphere contrasted markedly in both respects. The lateral position of the centroid remained about the same from invert to 0°, but it was far more lateral at supination (approximating that of the 60° kinetosphere). The distance of the centroid from the "R" point decreased through invert and prone to 0°, though it increased again at supination. Hand reach laterally and posteriorly toward the "wings" of the kinetosphere was reduced for the invert, prone, and supine hand positions, although lateral reach was slightly greater for the invert kinetosphere. (The reduced medial



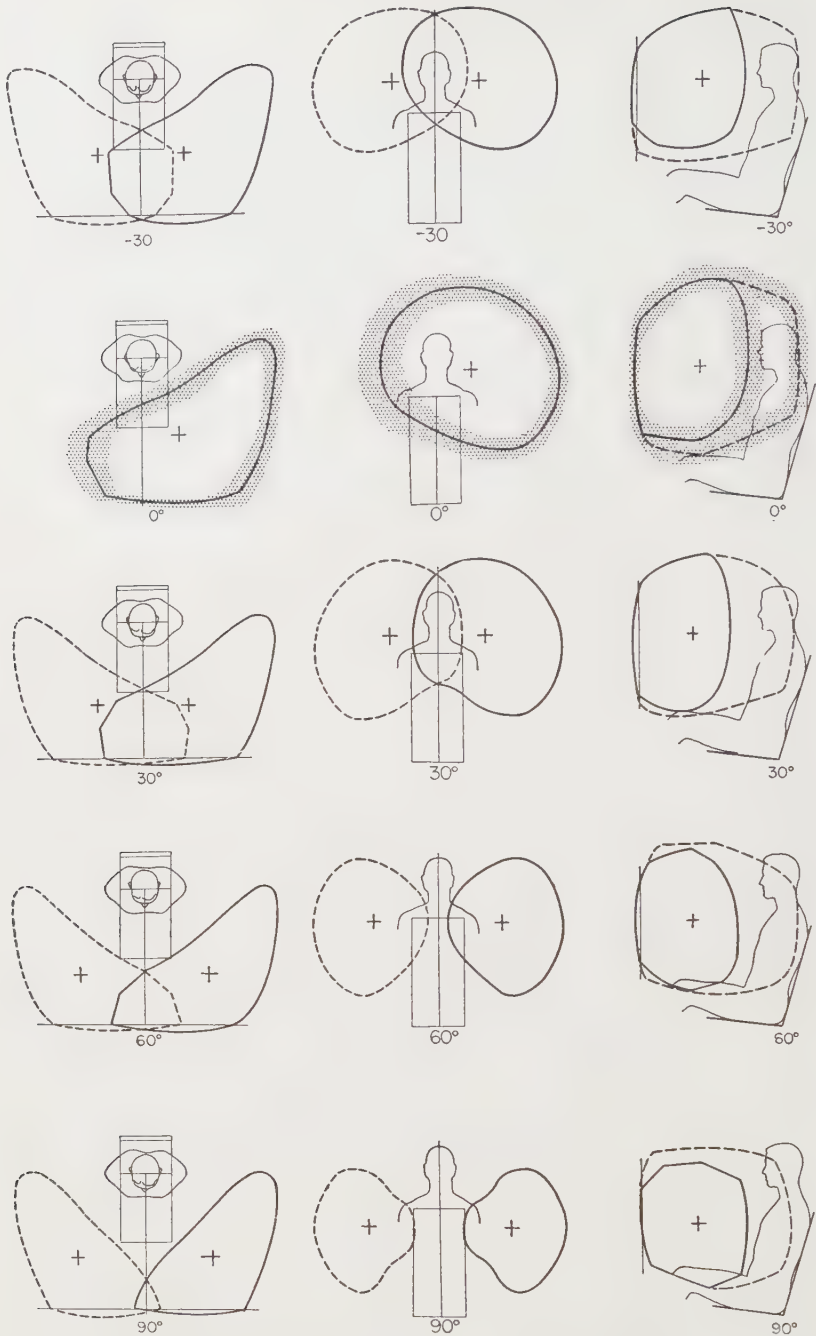


Fig. 6 Top, front and left side view of sections that pass through a series of kinetospheres at centroid level. Each successive transverse row represents 30° additional tilt to the hand grip in the sagittal plane. The right side areas are mirror images of the left side. The shaded halo for the 0° kinetosphere illustrates the mean deviation for different regions of the contour. A plus sign shows the location of the kinetosphere centroid.



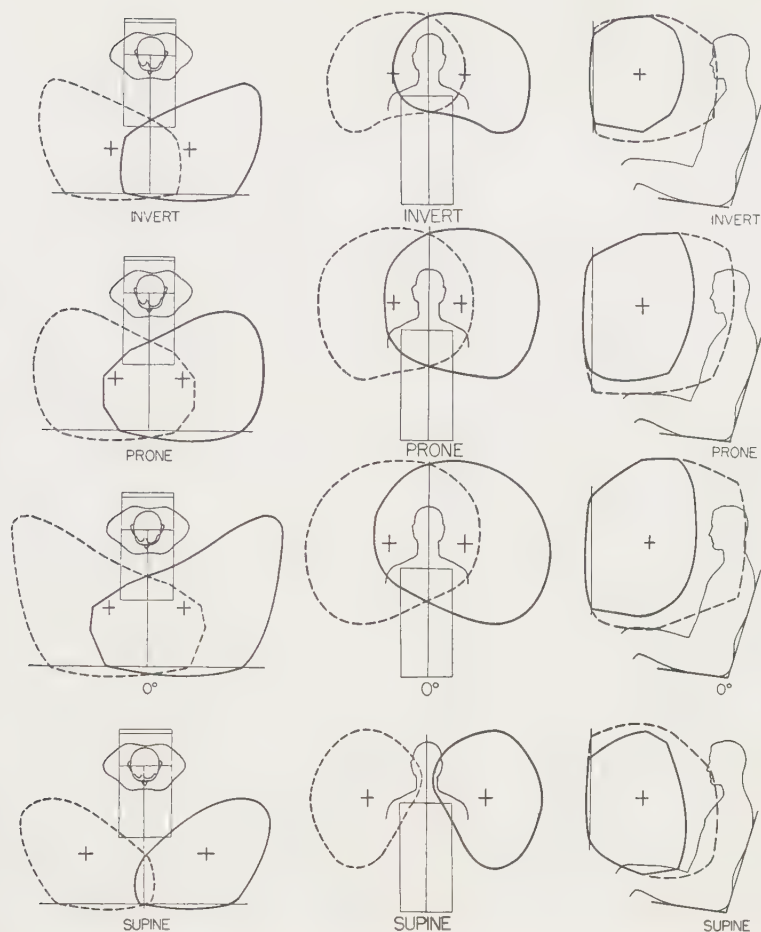


Fig. 7 Top, front and side views of sections through kinetospheres representing transverse changes in the grip axis.

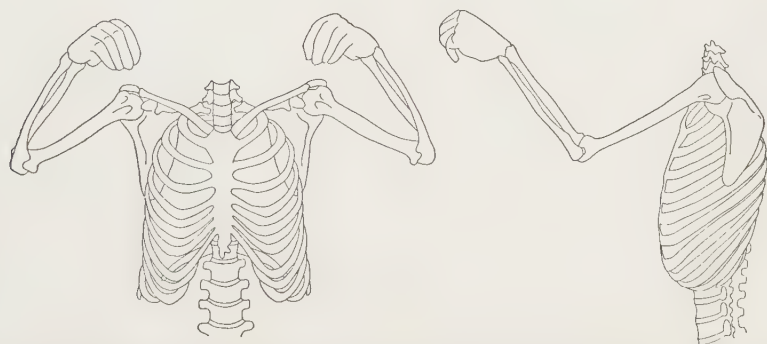


Fig. 8 A skeleton-ligament preparation showing the hand position that results when the sternoclavicular, the shoulder, the elbow, the forearm, and the wrist joint are fixed at their mid-range positions.

border of the wing for the prone kinetosphere was in part artificial because of hand grid interference.) Contrary to the sagittal grip positions, the transverse grips, other than 0°, did not allow the average subject to reach back posterior to the "R" point.

The front views of the kinetospheres demonstrated about the same sequences and variations as the top views in regard to size, reach, and overlap. There was, however, no overlap at the centroid level for supination, 60°, or 90°; the overlap region was farther forward. From -30° to 90° (grip orientations in the sagittal plane), there was a marked decrease in centroid height, which was below the shoulder at 60° and 90°; no clear sequence was found in the transverse plane. The centroid heights for invert, 0°, and prone were above the shoulder in that ascending order—invert was artificially high because of hand grid interference; that for supination was right at shoulder level.

In the side views of the kinetospheres, the solid line represented a section through the centroid; the dashed line indicated the maximum contour to the side of the centroidal section—through the "wing." The main feature to be noted here is the vertical dimension of the lateral extension or "wing" of the hand kinetosphere. In the side views showing -30°, 0°, and +30°, the lateral projection extended below the main body of the kinetosphere, but not above; at 60° and 90°, it extended both above and below. For the transverse grip orientations, the lateral extension was again lower for invert and supination than for 0°. The respective heights of the kinetospheres themselves again were revealed in the side view; the lower border of the invert kinetosphere would appear to be too high. It may also be noted again that transverse hand orientations do not permit much posterior projection of the kinetosphere.

Surrounding the outlines of the 0° kinetosphere in figure 6 are halos which represent the mean deviation of the 22 subjects of the test sample. The 0° kinetosphere was the least variable of the kinetospheres from region to region and from subject to subject. In addition to mean deviation, standard deviations were computed for superimposed horizontal and sagittal section contours of the 8 kinetospheres of the 22 subjects. The variability relative to the mean contours was measured in places along radiating lines from mean centroid to the contours. Measurements were made along an antero-posterior line through the mean centroid and along lines through the centroid at equivalent 60°, and 120° angles to the right and left; these lines cut the contours at 6 points along their circumference. The standard deviation of these measurements, as computed, gave some measure of the relative variability of the kinetosphere contours relative to one another. The values of the standard deviation and of the range which include 90% of the hand positions (in parentheses; i.e., 5th-95th percentiles) are shown in table 2. This tabulation shows that the 0° kinetosphere was least variable, that the -30° and the +30° kinetospheres were slightly more but similar in variability, and that the 60° and 90° kinetospheres were increasingly variable—the 0° was about 60% as variable as the 90° kinetosphere. The prone kinetosphere had a slightly larger standard deviation than the -30° and +30° kinetospheres, the invert hand orientation had more, and the supine was the same as the +60° kinetosphere. These values in themselves are of little importance, but there are significant implications relative to the placement of hand controls for the seated operator. Because of the variability between subjects, more critical placement of controls in the work place is necessary when planned for the 90°, 60°, and supine positions of the hand than

TABLE 2

| S.D.           | 90% Range    | S.D.             | 90% Range    |
|----------------|--------------|------------------|--------------|
| -30° ± 3.2 in. | (± 5.3 in.)  | 90° ± 4.7 in.    | (± 7.7 in.)  |
| 0° ± 2.8 in.   | (± 4.6 in.)  | Supine ± 4.2 in. | (± 6.95 in.) |
| +30° ± 3.3 in. | (± 5.45 in.) | Prone ± 3.5 in.  | (± 5.7 in.)  |
| +60° ± 4.2 in. | (± 6.95 in.) | Invert ± 3.8 in. | (± 6.75 in.) |

0°, +30°, -30°, and prone hand orientations.

### *Strophospheres*

When sections through a related series of kinetospheres were superimposed to produce a strophosphere, a larger space was outlined than that for any individual kinetosphere (figs. 9 and 10). The kinetospheres were superimposed relative to the "R" point. Figure 9 shows a strophosphere which defines hand position with three degrees of translatory freedom of motion plus one degree of rotation in the sagittal plane through angulations of 0° to +90°. Figure 10 shows another strophosphere in which the hand grip rotates through the transverse plane (from supine through 0° and prone to 180° in fact), in addition to the usual freedom for translatory motion. In both figures, the trends that were noted earlier when separate kinetospheres were compared can be seen.

The size of the hand space for the 0° orientation decreased systematically in several respects through the sequence 0, -30, +30, +60 to +90°. The postero-medial edge of the strophosphere, i.e., toward the subject, shifted forward with each new hand orientation to reduce kinetosphere overlap. The wing decreased slightly, and the region of right-left overlap decreased markedly. Furthermore, the rear of the overlap area came to lie farther and farther from the body. The relative height of the upper and lower contours, including those of the wing, came to lie lower and lower through the series: 0, -30°, +30, +60, and +90°. Both the frontal and sagittal sections showed this sequence.

Similar transitions were shown for the transverse series of kinetospheres. The supine kinetosphere occupied a small part of the strophosphere. Its height was low, its shape was globular, and the wing was reduced in size. With the vertical (0°) hand orientation, the wing, the medial reach, and the right-left overlap increased to a maximum; the height of reach increased notably. For the prone hand, both the wing and the amount of right-left hand overlap were somewhat reduced. These trends continued further for the inverted orientation (180°).

The strophosphere plots emphasized differences due to hand orientation, but, more importantly, they pointed to common features in a new way. The horizontally shaded area of figure 9 shows the space common to all vertical hand orientations; similarly, figure 10 shows the common space for all transverse orientations. For the sagittal hand orientations, the side-to-side, and especially the up-down extent of the common region was reduced; the posterior extent of the strophosphere wing, including the common region extended back to the "R" point. For the transverse hand orientations, the common hand space is short of the "R" point by about 12 in.; it corresponded most nearly with the region within reach of the supine hand, but its lower range was less extreme.

The dots shown in figures 9 and 10 show the location of the centroids of the kinetospheres that were grouped in each of the strophospheres. In general they form graded sequences from kinetosphere to kinetosphere; they fall mostly above the distal part of the thigh, to the side of the shoulder and above the shoulder. The inverted centroid (fig. 10; 180°) may, for technical reasons, be shown too high.

The region common to both the sagittal and transverse orientations of the hand, as seen in frontal and sagittal sections (fig. 11), was only slightly reduced from the size seen in the separate strophospheres. In horizontal section, the common area for the 8 hand orientations studied was reduced near the body and in the wing; the posterior extent of the common area of the wing was about 6 inches ahead of the "R" point.

The common region (fig. 11—heavy stippling) was roughly 15 inches wide, 16–18 inches high, and 18–24 inches deep; it lay obliquely, and its maximum antero-medial to posterolateral extent was about 30 inches with a perpendicular breadth of 18 inches at the maximum dimension. The orientation to the seat, to the "R" point and to a man with the dimensions of our average subject, is shown; the body size shown is a composite based on tracings of photographs of the 7 subjects in our sample who most nearly corresponded to the average in sitting-height, sitting-acromial-height and stature. The right-left



overlap was negligible and far forward; most of the space was lateral to the shoulder region. It extended from nose to waist level and from the line of the chest forward to the extent of maximum hand reach. Within such a range, the forward-directed hand could supinate or pronate through some 270° and could rotate in the sagittal plane to about 120°; presumably, movements about a horizontal transverse wrist axis for various degrees of pronation and supination also should have been possible throughout most of the region.

Our figures have defined the region *par excellence* for the positioning of hand controls to be operated by one hand. Within this range there may very well be regions

of preference, or regions of greater or lesser strength, or regions better correlated with precise or with gross movements, regions more central relative to the visual field. Further study in this respect should have value practically. (For instance, separate study on the mechanics of strong two-handed pulls for the seated operator (Dempster, '58) has shown that irrespective of the position of the hands, the pull vector is always (except for weak pulls directed toward the midsternal region; the implication is that when levers and handles are placed in the work area, the direction of pull be planned as intersecting the sternum.) Regions outside the common range will not permit completely free movements of the hand. Closer study of the

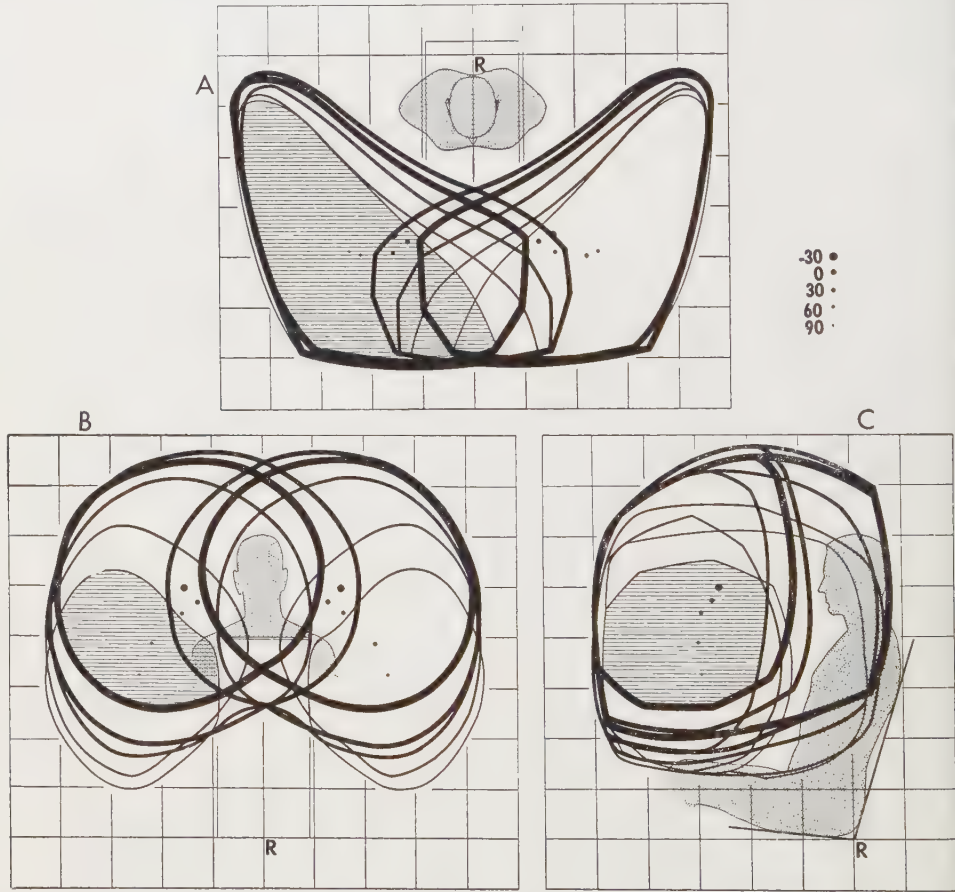


Fig. 9 A group of superimposed kinetospheres representing changing grip orientations in the sagittal plane enclose a strophosphere. Horizontal shading, the region common to each kinetosphere; dots, centroids of the several kinetospheres.



es showing the common region of the strophosphere in relation to specific work operations might suggest certain restrictive hand positions not be used; the common space for other hand positions would be larger than if the restrictive positions are included.

A centroid for a given hand orientation implies the most central position within a strophosphere. It is the least restrained position, or mean average position, for the given hand orientation relative to the whole system of limb joints. As in the study or defensive position of the fists in boxing, the centroids (dots, figs. 9, 10 and 11) represent a sort of mean starting position for hand movements toward the limits of the reach in any direction. The cluster

of centroids representing the different hand orientations studied should have similar implications for the combined strophospheres or ergospheres.

The centroids are shown in figures 9, 10 and 11 as clusters of points relative to the contour of an average-sized man. The centroids as seen from the side view were more or less vertical within a range of 15-19 in. from the "R" point; from above, the centroids extended from side to side in a band 7-15 in. from the midline; the front view shows the points extending in an oblique direction from above and medially (30 in. above the "R" point of the seat and 7 in. from the midline) to a lower and more lateral point (15 in. from the midline and 19 in. above the seat "R"

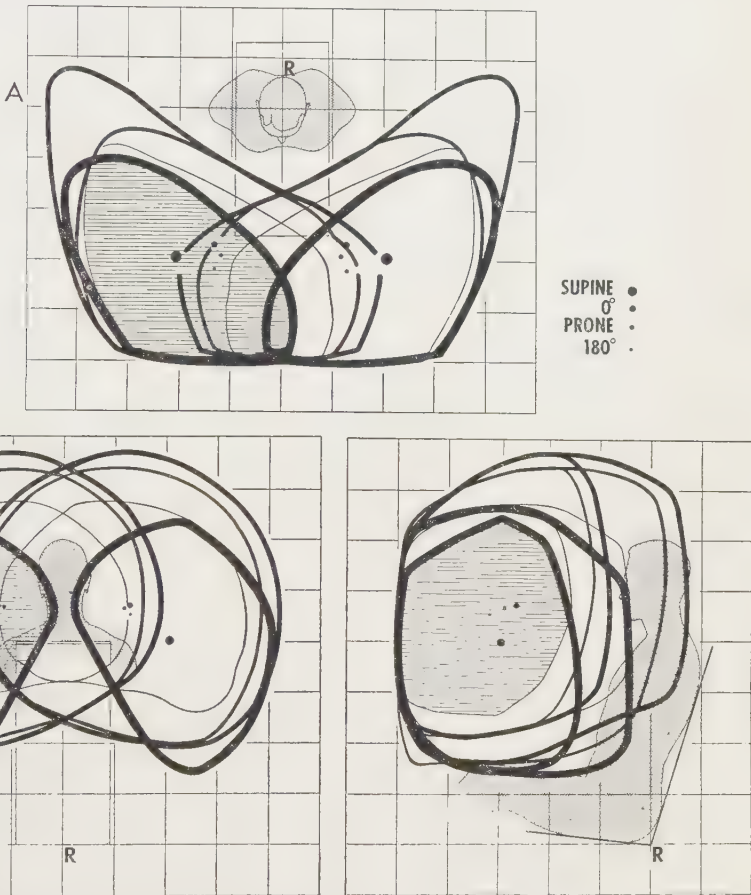


Fig. 10 The strophosphere resulting from superimposition of a transverse series of kinetospheres. Horizontal shading, the common region for hand motion; dots, the location of kinetosphere centroids.

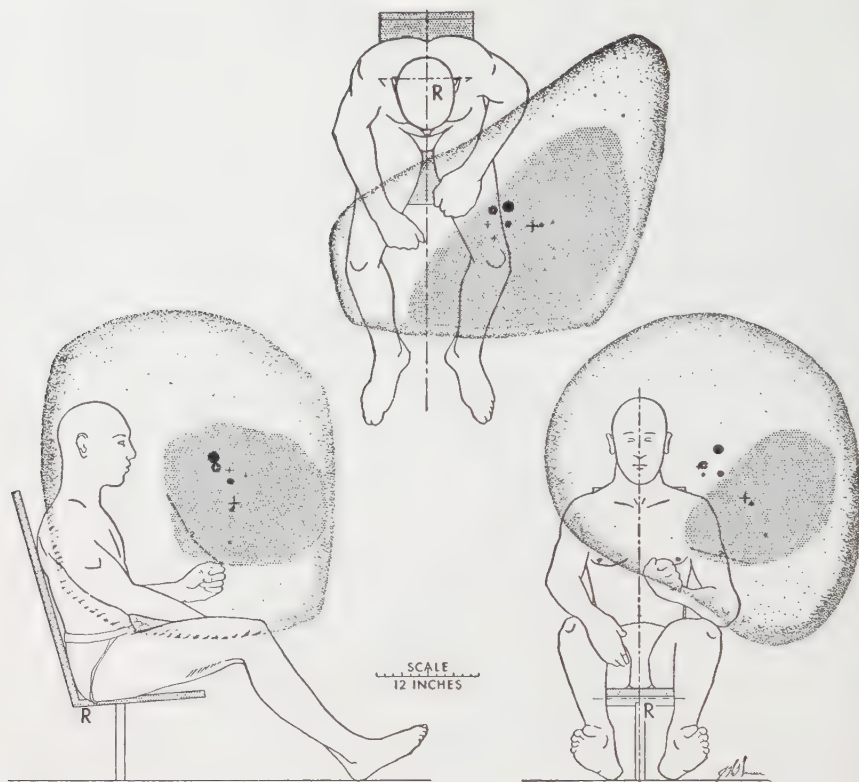


Fig. 11 Combined transverse and vertical strophospheres showing the common region for 8 hand orientations.

point). Relative to the average size subject, the cluster extended from nose tip or ear height to the lower end of the sternum; the centroids lay above the distal third of the thigh  $2\frac{1}{2}$ -6 in. posterior to the knee. The cluster of points extended obliquely from above the shoulder at the lower face level along a 45-degree angle downward and outward.

The segments of the limb have weight, and some muscular effort is required to support the limb in its various postures. This implies fatigue when the effort is prolonged. Muscular effort, however, is virtually eliminated when the hand hangs freely at the side of the body or when it is supported on the thighs or upon some surface such as chair arms or a work table or desk. The hand positions associated with these postures may be of primary practical importance as starting positions for hand motions.

## DISCUSSION

Although figures 9 and 10 have been scaled off on a 6-in. grid (and fig. 11 was drawn to the same scale) it would be a mistake to assume that the dimensions given would provide more than a guide in the designing of a practical work space. The information derived from our study involves a specific sample of subjects and a set of experimental conditions which have been described above. Our aim in this paper has been twofold: (1) to develop an indirect approach to a functional anthropometry in the hope that further studies will produce still better answers, and (2) to present data which, if intelligently handled, should contribute to the designing of more effective work places, cockpit and driver compartments.

It would be entirely unnecessary to even think of gross work space dimensions for a cell or compartment the height of

worker and with a floor area 6 ft. (side to side)  $\times$  3.5 ft. (front to back), i.e., slightly larger than the grid of figure 12, could be provided. When, however, as in a vehicle, the available space must be reduced or when an efficient layout is important, an evaluation of the different regions within reach is warranted. Plans for a work space based upon our strophospheres may form part of a solution but to achieve practical ends, it will be necessary to discuss several additional points:

1. The only kinetospheres (and strophospheres) that we have studied involve forward aiming of the hand—the hand was initially set with the arm horizontal and pointing forward from the shoulder; all 8 hand grip orientations on which data were obtained were dependent on this one condition. If, instead, the left hand had been directed toward the right (i.e., bent elbow) a strophosphere space a little more than shoulder width and from the lap to the top of the head would be included (heavy dashed line bounding space "A" in the three sketches of fig. 12); the fore-to-back extent would be about the same as the width. The region of right-hand overlap would include almost all of this new strophosphere space. Obliquely-aimed hand orientations would intergrade from this region to that described above in our study. If the strophosphere were to involve a downward pointing of the hand, the grip-point space would extend from shoulder height or lower to just below seat level. If the hand were to be directed laterally, the associated kinetospheres would lie entirely to the side of the trunk and there would be no right-left overlap; the hand space would lie almost wholly within our strophosphere spaces; it would, however, bulge several inches more laterally (arm span, fig. 12, M). The upward-directed hand would likewise be largely within our kinetospheres (from chest height upward), but it would reach several inches higher. Precise predictions of space involved for the different hand postures cannot be made without accurate data and a detailed analysis. None of these additional strophospheres, however, would extend as far forward as those of our study.

2. An actual practical work area must, in addition to room for hand movement

provide space for the operator's body. The basic unit of the work area is the seat, and its "R" point provides a suitable origin for the coordinate system to which dimensions are scaled. Since body stability is an essential for hand actions, seating area, seat height, floor area (Dempster, '55a), foot supports and the locations of foot controls all require careful planning. Despite several studies on seating (Lay and Fisher, '40; Randall et al., '46; Akerblom, '48), no adequate statement of the mechanical principles involved in seat stability has as yet appeared. Seat backs, head rests, harnesses, arm rests, etc. are also involved with body stability. The planning for a specified man-machine operation should call for a total functional-mechanical analysis of work motions. Provisions for body stability, however, cannot be made secondary to those concerned with the placement of controls, equipment and paraphernalia. The work place must always have a convenient path for entrance and exit (shaded arrows "B," fig. 12).

3. The original data were derived from subjects for whom the heel-to-eye height was fixed at 39.5 in., as in the "fighter" cockpit. Thus the seat-to-floor distance was less for tall men than for shorter ones. The average seat height was nearly half that of a kitchen chair. The major difference between the high and the low seat, as far as the work place is concerned, is the relative spatial position of the feet relative to the manual space. If the floor area is adequate for the bracing action of the feet, body stability should be about as good in a chair of ordinary height as in the cockpit; then hand reach should be comparable in the two situations, and no discrepancies should be anticipated in the planning of a work area for seats of ordinary height. If the tilt of the seat back were notably more or less than the  $17^\circ$  from vertical of our test seat, the fore-to-back location of the shoulders relative to the "R" point (and the several kinetospheres too) would be displaced slightly.

4. When our subjects sat upright in a balanced position with the trunk away from the seat back, the shoulders shifted forward an average of 2.5 in. relative to the "R" point. Thus for a worker on a stool (without a back), each kinetosphere



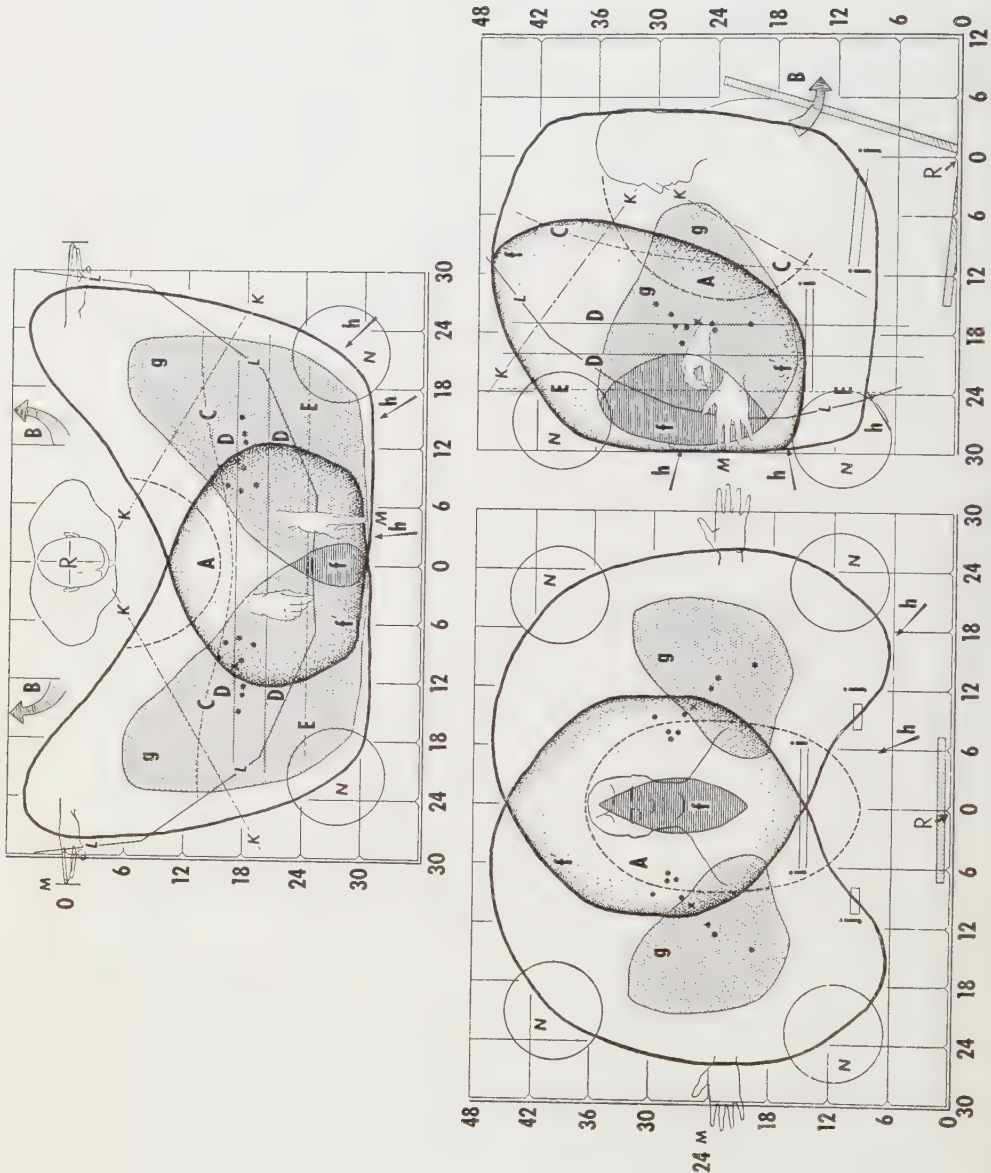


Fig. 12 A work space plan based upon the kinetosphere-strophosphere analysis of the forward directed hand.



d the whole hand space is moved forward a predictable amount.

5. If an operator sits on a settee, as in the automobile, the backward movement of his elbows is limited by the seat back, and the potential hand space (roughly the region behind the dashed outline "C," fig. 12) is unavailable.

6. Planning of the work area implies that a fixed chair position and a fixed placement of knobs, levers, cranks, instruments, bins, etc. The chair distance and height may be adjustable to a degree for individuals of large or small size but these changes should be planned in advance. In "fighter" aircraft, for instance, the oblique up-and-back/down-and-forward adjustment has been employed to keep the height constant and to provide a suitable pedal-to-seat distance.

7. If an operator were to sit before a transverse panel, he would be able to reach the largest possible area if the panel were at 17 to 20 in. ahead of the "R" point (fig. 12, D-D). (The panel distance refers to the "R" point to grip point, and, in practical application, an additional distance "X" will relate to fingers or objects in the hand, etc.) For the 90° grip position (i.e., antero-posterior grip axis), the mean panel distance would be 24 in. (fig. 12, E).

8. Our kinetospheres were defined as the outermost surface that the "grip point" could reach for the conditions tested. Push buttons or finger switches could be placed about 3 in. farther anterior, and they would still be within reach since the finger tip positions are about this distance in advance of the "grip point." The reduced anterior surface of the work area could be understood as an outside range of placement of switches, knobs, etc. within convenient reach of the operator (without the necessity of his moving his trunk).

9. One may assume that critical or frequently used or emergency controls could be more accessible in the work area than items of occasional use. The region of right-left overlap of our kinetospheres (fig. 12, f, horizontal shading and marginal stipple) and the more proximal region "A" in the figure would have certain advantages.

Similarly, the region common to different hand orientations (fig. 12, g, stipple)

which is contiguous with "f" should be of maximum utility. The more proximal region "A" in which different orientations are possible also should be important. In addition, the region near (and slightly below) the kinetosphere centroids (heavy dots, fig. 12) defines a position of high flexibility. The "X" within the cluster of dots represents the mean strophosphere centroid and the locus of this point in three dimensions relative to the R-point should be noted. (The three projections of figure 12 are based on strophosphere sections which intersect at point "X.")

10. It is equally important that free movement of the limbs not be impeded by the faulty positioning of parts. McFarland's study of driver compartments in commercial automobiles (McFarland et al., '53) emphasized that levers and controls have too commonly been placed so that they will neither accommodate the dimensions of men above average size nor permit free hand and foot movements. An illustration by Dempster ('55a, fig. 88) shows how foot movement is restricted when the knee cannot be raised beyond specified limits.

11. When the operator pulls a lever or hand grip toward his body, the most effective direction is toward his sternum (arrows, "h," fig. 12; Dempster, '58).

12. The table height and arm-rest positions ("i" and "j") shown in figure 12, represent conventional heights above the "R" point; measurements of elbow rest height by Hertzberg et al. ('54) are pertinent. Suitable arm rests (including table, steering wheel, etc.), if they do not impede necessary motions, should have value in reducing fatigue.

13. Several types of visual field (monocular field with fixed foveal focus, binocular field involving eye movement by ocular muscles, etc.) have long been recognized (Hering, '42). When the head is fixed but orbital muscles are free to move the eye, the visual field of each eye is framed above, below and on the nasal side by the eyebrow, cheek and nose. These parts of the facial anatomy combine to frame on all sides the composite field for precise binocular vision; in effect, this frame provides a hollow cone through which the subject looks. When the head is held in a fixed

position, the sides of the cone diverge from the principle line of sight by about  $60^\circ$  in all directions; a cone of  $45^\circ$  radial divergence would probably include about 90% of people (Schneller, 1875; Hering, '42; Giroud, '57). If the head is oriented so that a horizontal plane cuts the right and left tragion and the left orbitale, it is said to be oriented relative to the Frankfort plane; when the head is so oriented, a horizontal plane cuts the cone so that  $45^\circ$  of the purview lies above and  $75^\circ$  below (Giroud, '57; a  $30^\circ/60^\circ$  ratio would include most people). Figure 12 ("k") shows that when a subject with an average sized binocular field for precise vision looks straight ahead with the head horizontal (i.e., on Frankfort plane), he does not see the whole work space without turning his head. He sees the region of right-left overlap, the common region for different orientations of the hands and the centroid positions of the hands. The whole work space including the wings may be seen by turning the head (Danielson, '58). It should be apparent that if the essentials of a work operation are positioned within the K-K cone that only minimal head movements need be used. If the operation must be performed on a table the head and K-K cone will be tilted downward; focal distance, convergence, near-to-far-distance visual shifts and other factors may also call for attention.

14. Our strophospheres were derived from young men whose dimensions averaged a little higher than the mean of the average population. If a work area were to be planned for women of average stature (5 ft. 4 in.), the various dimensions from the "R" point must be reduced by an appropriate ratio. A comparison of certain dimensions of male flying personnel and basic trainees with college and Air Force women (Wilder and Pfeiffer, '24; Daniels, Meyers and Churchill, '53; Daniels, Meyers and Worrall, '53; Hertzberg et al., '54) suggests that a reduction of our measurements by 6% would be a working approximation for the average female if joint flexibility is of the same order. Intermediate percentages or extrapolations beyond our measurements should be appropriate for special male worker groups.

15. The only previous measurements on work area dimensions are those of King et al. ('47). The dotted line "L" in figure 12 shows the extent to which 97% of King's subjects could reach their fingertips. Forward arm-reach data (with shoulder protruded) for 97% of a test population (Hertzberg et al., '54) are shown as at "m" (outstretched white hand) in the figures. (The functional pinch-reach with shoulders back—also shown as a white hand—was shorter by 6 inches.)

16. When similar kinetospheres from different subjects are compared dimensionally, the boundary limits of the kinetospheres show intersubject variability due to differences in build, in kinematic behavior and in psychology. The circles of 5 in. radius (fig. 12, "n") suggest the order of magnitude of differences in the positions of boundary limits that would relate to about 90% of the subjects.

Several authors (McFarland et al., '55; '58; Hertzberg, '56) have argued that when members of a population are to be fitted the dimensions of the 10th to 90th or 5th to 95th percentiles should be used instead of the average. The use of the 90th or 95th percentile dimension will be obvious when the minimal clearance space for a larger person is involved; the difference in range between a high and a low percentile value should be important too in predicting dimensions for adjustments such as seat position or seat height; but it is questionable whether this principle need apply rigidly to a work area concerned with hand motions. Here we are dealing with flexible rather than with fixed characteristics. The work area problem is one that relates to the efficient placement of controls and equipment rather than to the mere enclosure of a person who is a member of a population; the extreme limits of the usable space are of little importance for frequent motions, they are of more concern for storage and for the placement of rarely used controls. The subject should, however, be able to reach conveniently to the boundary limits of the ergosphere. If unrestrained by tight shoulder harness, slight body movements should permit any normal adult to reach the limits of our work area—forward and to the side (but not, f



the smallest people, upward). By bending the trunk, an operator should be able to reach about 15 in. forward and 9 in. to the side without becoming unbalanced. A detailed job analysis should determine whether average or enlarged work space dimensions are needed.

17. The initial one fifth-scale tracings of our photo records involved a clockwise and a counterclockwise hand circuit of a low-lamp over the grip point. The two curves at places often coincided while at others either the clockwise or counterclockwise was outermost. The mean distance between the two circuits measured at 8 points on their circumference (at 45° intervals) for a plane above the knees and another over the thighs, 12 inches more posterior, was 1.4 in. The difference between the mean curve we drew in to provide data for our original kinetospheres and the actual light traces was thus  $\pm 0.7$  in. The subjects often overshot the kinetosphere boundary by this amount. Twice the S.D. was  $\pm 2.14$  in.; thus about two-fifths of the  $\pm 5$  in. variability factor mentioned above (paragraph 16; fig. 12, "N") should easily be within the reach of any subject without extraneous trunk movements.

Dimensional differences in limb segments, differences in joint range and differences in control due to handedness should be expected between the right and left sides of a subject. When we arbitrarily studied motions of only the left hand, our measures of variability could not be exhaustive.

18. In two instances, prone and 0° kinetosphere records for the forward projecting hand were taken on standing subjects. The general shape and location of the kinetosphere relative to the trunk were highly comparable to those for the same subjects seated; the principal difference was that the knees and thighs did not interfere and that the kinetospheres had a smooth convex contour below reaching to the level of the pubic symphysis. The forward and left lateral ranges were reduced slightly since the trunk swayed in the opposite direction when the upper limb was stretched out in the more or less horizontal positions.

McFarland et al. ('58) remind us that a workman does not live in a vacuum nor in an ivory tower; he operates in an environment of ponderable things. We should not ignore even the weight and inertia of his own body parts. Man-machine systems are complex and the requisite background for effectively designing work areas and the placement of controls will involve: (1) more and better data on body kinematics and dynamic anthropometry (like those we have explored in this paper), (2) further information on body size and clearance tolerances (including measurements on women), (3) improved measurements on strength in relation to posture and stability and, (4) increased knowledge about the energy expenditure (i.e., rate of oxygen utilization) involved in different tasks. Furthermore, the actual confirmation of drawing-board plans must involve real people as subjects. Such intangibles as comfort and fatigue and such specific job features as the purpose and character of the work, production schedules, motivation, noise and safety hazards will continue to provide appreciable areas for compromise and practical judgments.

#### SUMMARY

The anthropometry of the manual work area was approached by an indirect method using photo records of time exposures showing the motions of a tiny neon lamp at the hand grip. The records of 22 male subjects were analyzed for 8 sets of motions involving the forward-directed hand and different grip orientations. Techniques were developed for defining the limits of the space within reach relative to the mid-sagittal junction of the seat and chair back. Graphical records of the different hand-range spaces were grouped and compared to bring out variability data, the extent of right-left hand overlap, regions of maximum hand flexibility, mean hand positions, etc. The data have been discussed in relation to the geometry of the more effective hand positions and in relation to practical problems of work space designing.

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# Communalities of the Ossification Centers of the Hand and Wrist

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The bony nuclei of the hand and wrist, seen in radiographs, have long been considered as indicators of maturational status (Fryor, '06, '16, '25). Various, attention has been directed to the time of appearance of individual centers (Flecker, '42; Pyle and Sontag, '43; MacKay, '52; Wilkins, '50; Ashburn, '51; Stuart and Stevenson, '54), to the number of centers present (Sontag, Snell and Anderson, '39; cf. Acheson, '54), and to combinations involving number, size and extent of modeling of ossification centers of the hand and wrist (Flory, '36; Todd, '37; Acheson, '54; Greulich and Pyle, '50, '59).

A perennial problem has been the emphasis or weighting entitled to particular centers of ossification. One bony nucleus may be a far better indication of ossification status than another, a particular epiphysis or round bone may meter the development of the hand and wrist, while yet a third may have little in common with the rest. Sontag and Pyle ('43) like previous workers, have commented on the variability in time of appearance of different centers, while Greulich and Pyle ('59) like Flecker ('42) listed those centers most reliable, in their experience, in order of appearance. Various workers have developed their own ideas as to variable and constant centers, and have modified estimates accordingly (cf. Stuart and Stevenson, '54), or have weighted centers in various ways (Acheson, '54).

The most practical way of determining the relative utility of individual postnatal ossification centers of the hand and wrist is to discover the degree of interrelationship exhibited by each, using a complete intercorrelation matrix based on fully longitudinal data. Such an approach avoids subjectivity in deciding which centers are useful and which are not, and utilizes the interrelationships to solve the primary problem.

It is the purpose of the present paper then, to present communality data on 28 postnatal ossification centers, taking boys and girls separately. In so doing, it is our hope that such data will provide the basis for a rational weighting system, as well as indicating which centers are more useful and which less useful in assessing maturity status.

## METHODS AND MATERIALS

The present study is based upon serial longitudinal postero-anterior roentgenograms of the hand and wrist of 154 clinically-healthy, white single-born participants in the Fels Longitudinal Program. Subjects were selected from a total of 500 in the program on the criterion of most complete radiographic series during the first 7 years of life, and excluding like-sex siblings from the tabulations.

Since cumulative frequency curves for some of the 28 postnatal ossification centers of the hand and wrist evidenced considerable skewness, with Pearsonian coefficients of skewness up to 0.2, normalized T-scores were used (Lacey, '56; Garn and Shamir, '58, p. 82). For each sex a complete 28 by 27 correlation matrix was computed, using the N.C.R. 102-D electronic computer to minimize computational time. From the total of 1512 sex-specific correlations, communality indexes were calculated for each of the 28 bony nuclei, summing the z-transform of values of  $r$  involving that center, and then converting the mean  $z$  into the corresponding mean  $r$  (Fisher, '48). These *communality indexes*, or mean  $r$  for each center,<sup>1</sup> were

<sup>1</sup> The term "communality" as used throughout this study refers to the mean  $r$  as computed from the mean  $z$ -transforms of individual  $r$ s involving a particular center, and not to values of  $F^2$ . Complete  $28 \times 27$  intercorrelation matrices from which the present data are derived may be obtained from the Librarian of the Fels Research Institute.

TABLE 1  
*Communality rankings of the ossification centers of the hand and wrist*

| Boys                          |            |      | Girls                         |            |      |
|-------------------------------|------------|------|-------------------------------|------------|------|
| Center                        | Mean $r^1$ | Rank | Center                        | Mean $r^1$ | Rank |
| Distal IV                     | 0.534      | 1    | Proximal III <sup>2</sup>     | 0.613      |      |
| Distal III                    | 0.526      | 2    | Proximal IV <sup>2</sup>      | 0.610      |      |
| Distal V                      | 0.526      | 3    | Proximal II <sup>2</sup>      | 0.605      |      |
| Middle IV                     | 0.523      | 4    | Distal III                    | 0.591      |      |
| Distal II                     | 0.500      | 5    | Metacarpal V                  | 0.590      |      |
| Metacarpal III                | 0.499      | 6    | Middle II                     | 0.588      |      |
| Metacarpal IV                 | 0.497      | 7    | Metacarpal III                | 0.588      |      |
| Distal I                      | 0.495      | 8    | Proximal V                    | 0.582      |      |
| Proximal V                    | 0.490      | 9    | Distal II                     | 0.579      |      |
| Middle III                    | 0.490      | 10   | Distal IV                     | 0.574      | 10   |
| Metacarpal V                  | 0.488      | 11   | Metacarpal II                 | 0.571      | 11   |
| Middle II                     | 0.476      | 12   | Middle III                    | 0.568      | 12   |
| Metacarpal II                 | 0.472      | 13   | Metacarpal IV                 | 0.561      | 13   |
| Middle V <sup>2</sup>         | 0.458      | 14   | Middle IV                     | 0.555      | 14   |
| Metacarpal I                  | 0.457      | 15   | Distal V                      | 0.554      | 15   |
| Proximal I <sup>2</sup>       | 0.447      | 16   | Distal I                      | 0.552      | 16   |
| Proximal II <sup>2</sup>      | 0.439      | 17   | Middle V <sup>2</sup>         | 0.526      | 17   |
| Proximal III <sup>2</sup>     | 0.436      | 18   | Metacarpal I                  | 0.524      | 18   |
| Proximal I <sup>2</sup>       | 0.435      | 19   | Trapezium (G.M.) <sup>2</sup> | 0.494      | 19   |
| Trapezium (G.M.) <sup>2</sup> | 0.401      | 20   | Trapezoid (L.M.) <sup>2</sup> | 0.491      | 20   |
| Triquetral                    | 0.364      | 21   | Navicular (hand) <sup>2</sup> | 0.452      | 21   |
| Trapezoid (L.M.) <sup>2</sup> | 0.356      | 22   | Proximal I <sup>2</sup>       | 0.413      | 22   |
| Navicular (hand) <sup>2</sup> | 0.324      | 23   | Distal ulna                   | 0.401      | 23   |
| Distal ulna                   | 0.301      | 24   | Distal radius                 | 0.361      | 24   |
| Distal radius                 | 0.277      | 25   | Lunate <sup>2</sup>           | 0.305      | 25   |
| Hamate                        | 0.246      | 26   | Triquetral                    | 0.303      | 26   |
| Lunate <sup>2</sup>           | 0.219      | 27   | Hamate                        | 0.137      | 27   |
| Capitate                      | 0.161      | 28   | Capitate                      | 0.123      | 28   |

<sup>1</sup> Mean  $r$  from mean  $z$  transforms of  $r$ s involving age at appearance of centers.  
<sup>2</sup> Centers characterized by "irregularities in the order of appearance." (Greulich and Pyle, '50, p. 186.)

then assigned rankings in descending order of magnitude from highest (rank 1) to lowest (rank 28).  
Because the radiographs from which the present data were derived, had been taken over a long time period, on subjects born between 1930 and 1951, the possibility of secular trends was explored. Inasmuch as correlations between time of birth and age at appearance of individual centers were not significant, the influence of secular trends was then ignored. Moreover, the use of pairs of centers from the same individual precluded systematic bias from this source.  
It should be emphasized, however, that the correlations and the correlations involving the correlations are not independent of each other, and for that reason some of the more elaborate statistical tests were not used in the data analysis.

FINDINGS

As shown in table 1, where the mean  $r$  or "communality" index for each center is given for boys and girls separately, there is a definite hierarchy of values, differing somewhat between the sexes, but agreeing in the centers having lowest communality values. In general, girls exhibit higher communality values than boys, the order of high-ranking centers displays considerable sexual dimorphism, but the round bones of the wrist and the distal epiphyses of the forearm bones fall in the last third of the rankings (rank 20 to 28).  
The sex difference in communality is highly significant by the chi-squared test, girls exceeding boys in 25 out of 28 pairings. Individual centers also tend to be out the generalization of higher communality values for the girls, the differences being significant by  $t$ -test for 5 out of 28



nuclei. However, the meaning of these differences is weakened by the interdependence of the correlations and therefore means involving the correlation values. Nevertheless, the marked sex difference in the order of rankings is reflected by the rather low value of  $\rho$  (0.3) between boys' and girls' communality rankings.

In attempting to rationalize the order of communalities, or communality rankings shown in the table, several of the suggestions found in the literature were subjected to test. The notion that communality is a function of variability in time of appearance was tested, using rankings given in table 1 and the values of sigma from Sontag and Pyle ('43) which closely match ours. For boys, the correlation efficient  $r$  was not significant ( $0.27 \pm 0.18$ ) and it was only moderate for girls ( $0.48 \pm 0.15$ ). The alternative possibility that relative variability is involved was also tested, using conception-corrected values of the coefficient of variability (C.V.), since assigning zero time to birth yields unrealistically high C.V. values for early-appearing centers. Again, the correlation was not significant for boys ( $0.21 \pm 0.18$ ) and moderate for girls ( $0.60 \pm 0.12$ ).

Greulich and Pyle ('59, p. 186) have indicated those centers of the wrist and hand, that in their opinion are characterized by irregularities in the order of appearance. As shown by footnote 2 to table 1, the "irregular" centers do fall toward the bottom of the list in boys, but there are certain exceptions: the capitate and trapezoid, invariably first or second in order of appearance are at the bottom of the rankings, preceded by the distal radius and ulna, ordinarily 27th or 28th in order of appearance. For the girls three of the "irregular" centers have the highest communality values, while the more or less regular hamate, capitate, distal ulna and distal radius again fall at the bottom of the communality list. To some extent, the girls' variability in time of appearance may determine communality; to some extent, irregularity in order of appearance may be related to communality rankings.

However, it is notable that the centers of ossification low in communality occupy

a single anatomical area. For the boys, the last nine communality rankings encompass the carpal centers and epiphyses of the radius and ulna. In the girls, these same centers hold ranks 19 through 28, being interrupted only by the epiphysis of the proximal segment of the first digit. There is less anatomical consistency for the high-ranking centers. Even though the epiphysis of the distal segments of the digits are high-ranking in the boys (1, 2, 3, 4 and 8) and the epiphyses of the proximal segments of the digits in girls (1, 2, 3, and 8), differences between adjacent mean  $r$  or "communality" values are too small to emphasize. Only if comparable rankings appeared in an independent study would we care to assign anatomical meaning to the high-ranking centers in boys and girls respectively.

However, it is clear that, besides the sex difference in communality values, and rankings for the high-communality centers, the bony nuclei of the wrist taken as an anatomical area, have less predictive value than those of the digits or metacarpals.

#### DISCUSSION

It is evident, from the preceding findings, that the postnatal ossification centers of the hand and wrist differ considerably in their degree of communality. The carpal bones and anatomically-contiguous distal epiphyses of the ulna and radius exhibit rather less in common with the rest of the hand, while the epiphyses of the proximal and distal segments of the digits correlate more highly in time of ossification, with the hand and wrist in general. There is, moreover, a considerable sex difference both in the extent of communality, and in the particular bony nuclei exhibiting maximum communality. However, centers low in communality ranking are in excellent agreement in boys and girls alike.

Of the various explanations for the order of communalities (i.e., communality rankings) absolute variability alone seems to carry little weight. Rankings and sigma values for individual centers were not significantly correlated in boys, and only moderate in girls. Relative variability (conception-corrected to avoid implausibly-high (C.V.'s) again provided a pos-

sible explanation only for the girls. While it was true that the earliest-appearing centers (capitate and hamate) had the lowest mean  $r$ , the late-appearing distal ulna and radius and greater and lesser multangulans were also consistently low in communality. Therefore absolute variability, relative variability and time of appearance failed to explain the order of rankings, while purely anatomical considerations separated low-ranking and high-ranking ossification centers.

In part, centers low in communality rankings are those commonly considered to be variable in order of appearance (which is not necessarily identical with variability in *time* of appearance). The triquetral, modally 17th of the 28 centers to appear, may appear as early as 3rd or as late as 24th: it is 21st in the communality list in boys and 20th in girls. However, the distal epiphysis of the ulna, despite its consistent tendency to appear last or next to last is close to the bottom of the communality list in both boys and girls. Round bones of the wrist, and the anatomically-related epiphyses of the tubular bones of the lower arm tended to be low in communality, whether consistent in order of appearance, or high or low in relative variability.

For such practical purposes as estimating ossification status ("bone age" or percentage maturity) these communality rankings have a potential value. They suggest that presence or absence of the capitate, hamate or triquetral has little diagnostic utility, whereas the late appearance of the epiphyses of the proximal and distal segments of the digits and the metacarpals (especially the 3rd and 5th) is of greater clinical significance. Furthermore, the communality rankings given here provide a rational basis for weighting ossification centers in some system that involves percentage maturity, (cf. Acheson, '54; '57) and thus avoid purely empirical weightings (Acheson, '54, p. 503).<sup>2</sup>

Moreover, the sex difference in communality values and patterns emphasizes a research area of considerable interest. Boys and girls differ in the time of ossification, in the extent of communality, in the order of communality rankings, and

often in the sequence of ossification of the nuclei of the hand and wrist. It is doubtful that these sex differences are mediated by steroid hormones of gonadal and adrenal origin. Anterior pituitary growth-stimulating hormone and thyroid hormone are both implicated in ossification, yet major sex differences in these hormones are by no means demonstrated in childhood. Moreover, mechanisms that affect the order of appearance of individual bony centers are quite unknown, as is the case in the order of calcification or order of eruption of the teeth. Nevertheless these early sex differences exist, and descriptive studies such as the present one serve to delineate areas for further investigative research.

#### SUMMARY

1. The extent of communality (average intercorrelation) of 28 postnatal ossification centers of the hand and wrist was investigated in serial longitudinal radiographs of 75 boys and 79 girls in the Fels Longitudinal Program.

2. In both sexes the carpal bones and distal epiphyses of the radius and ulna ranked low in communality (mean  $r$  0.28 and 0.34), while the epiphyses of the distal digital segments ranked higher in boys (mean  $r$  0.52) as did the proximal digital segments in girls (mean  $r$  0.57).

3. Despite uniformly earlier ages of appearance for the ossification centers in girls, communality values for Fels girls exceeded those of boys in 25 centers with an average sex difference in mean  $r$  of 0.09 for all 28 centers.

4. While metacarpal and digital ossification centers appeared to be more reliable indicators of ossification status, none of the communality values could be regarded as high.

5. No satisfactory explanation could be found for the consistently higher communality values in girls, or for the sex difference in higher ranking ossification centers.

<sup>2</sup> Acheson's original system gave a weighting of 1 to each carpal and a weighting of but 0.25 to epiphyses of the phalanges and metacarpals. Later this was revised to equal weighting (Acheson, '54; '57). The present study would favor maximum weightings for the epiphyses and minimum weighting for the carpals!

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# A Graphical Treatment of Temporal Changes in Some Skeletal Measurements: Graphical Osteochronology

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If a series of anatomical measurements be made on a number of similar bones of different antiquities, then, by arranging the measurements in chronological order, the morphological changes throughout the time interval between the earliest and the most recent bone can be determined. Furthermore if the measurements be plotted as Cartesian ordinates against the times as abscissae, the resulting graph will show by its gradients and curvatures exactly how the shape and size of the bone has varied with time. By extrapolating such graphs, the past history and future trends in the development of the bone could be deduced with a degree of probability dependent on the continuity of the graph and the extent of the extrapolation.

The "total morphological pattern"—to use Professor Sir Wilfred Le Gros Clark's expression—could then be deduced by comparing whole families of such graphs or as many different bones as possible.

There are many obvious difficulties in carrying out a program of this kind. They may be summarized as follows:

1. In order to obtain at least a dozen points on a graph, there must be available for measurement at least a dozen *like* bones.

2. The antiquity of each bone in years B.P. (before the present) must be known.

3. The measurements made should preferably have taxonomic significance and, if necessary, be adjusted for variations due to sex, age, etc. Only variations arising from the antiquity of the specimen will be considered in this paper.

4. Recognized anatomical measurements and indices which vary with time may either increase or decrease and are measured in different dimensional units or are non-dimensional ratios. For comparative graphical purposes it is desirable

that they should all increase with time up to some fixed maximum value: for example, an arbitrary value for the average modern white adult male. Furthermore they should all be either of the same dimensions or preferably non-dimensional. How these objectives may be achieved by two simple mathematical formulas will be indicated in the following section.

## *Specific measurements*

In order to illustrate the proposed graphical approach to the problem of hominid skeletal development or human osteochronology three particular measurements of skulls and mandibles will be considered. They are: (1) the nuchal area height index ( $I_1$ ); (2) the cranial capacity ( $I_2$ ); (3) the angle in the symphyseal region of the mandible ( $I_3$ ).

These measurements have been chosen for the following reasons: (1) Investigations mainly in Africa, Java, China, Palestine and Europe have led to the discovery of relatively large numbers of skulls and mandibles; the numbers being sufficient to enable satisfactory graphs to be produced from appropriate measurements. Other human bones have not yet been discovered in sufficient numbers for this purpose. (2) The remains have been dated with reasonable accuracy by geochronological methods. (3) They cover the whole period of man's existence upon the earth; about a million years. (4) Each measurement has recognized taxonomic value. The index  $I_1$  gives some indication of man's erectness of posture,  $I_2$  is related to his intellectual development and  $I_3$  is a factor connected with his ability to have articulate speech. Each of these three criteria of humanity will now be considered in more detail.

$I_1$  (the nuchal area height index) is the percentage ratio of the height of the superior crest of the nuchal muscle attachment area to the auricular height of the skull: both measurements being made perpendicular to the Frankfort Plane. The index was introduced by Professor Sir Wilfred Le Gros Clark ('49) as some indication of the erectness of posture of the skeleton.

With quadrupedal gait the spine is more or less horizontal, the skull is suspended from the cranial end and hinged on the occipital condyles which, together with the foramen magnum, are situated towards the posterior part of the skull. The nuchal muscles extend relatively high up on the occipital bone in order to maintain the skull in a more or less horizontal position by counteracting the tendency of the weight of the anterior portion of the skull to tilt the face downwards.

With bipedal gait the spine is more or less vertical. The skull is not suspended from the spine but is balanced or pivoted on the cranial end and the occipital condyles and foramen magnum are more centrally situated at the base of the skull. Strong nuchal muscles are no longer needed to keep the skull horizontal with the result that they cover a much smaller area of the occipital bone, and allow the skull to expand upwards. Thus the more erect is the posture the greater will be the auricular height and the lower will be the crest of the nuchal muscle attachment area.

The ratio  $I_1$  therefore increases with the antiquity of the skull and decreases with the more erect hominids. Being the ratio of two linear dimensions, the index is non-dimensional. With modern man the crest is usually *below* the level of the Frankfort Plane with the result that the value of  $I_1$  becomes negative. (See column 4 of table 1, and below.)

$I_2$  (the cranial capacity) is a dimensional measurement (usually expressed in cubic centimeters) which, in general, decreases with the antiquity of the skull in contrast to the variation with antiquity of the  $I_1$  index. In other words modern men have larger skulls (with some exceptions) and greater intelligence than their more primi-

tive ancestors. This measurement is therefore of considerable significance in the evolution of the hominidae.

$I_3$ —the angle in the symphyseal region of the body of the mandible is, like  $I_2$ , a dimensional measurement (but in degrees or radians). Also like  $I_2$ , it *decreases* with increase in the antiquity of the bone. It is, together with other factors, related to man's ability to have articulate speech. Articulate speech is less probable with a constricted mouth cavity and with a tongue of relatively small flexibility. With the apes the narrow and almost parallel right and left halves of the body of the mandible and the large area of attachment of the genio-glossus muscles effectively inhibit articulate speech, especially as it is also accompanied by undeveloped inferior frontal convolutions of the brain. With man the splayed angle of the mandible and the flexibility of the tongue form a resonant mouth cavity capable of being varied both in size and shape. Consequently a man with articulate speech is a possibility, but a speaking ape is an impossibility. Thus the  $I_3$  index is some measure of man's speech potentiality and therefore has considerable taxonomic value.

With a few jaws, where the lower dental arcade is horse-shoe shaped, there is no clearly defined angle in the symphyseal region. In such cases, the value of the index is indeterminate. Fortunately the measurement can be made on the majority of prehistoric mandibles.

The difficulties of plotting the values of these three sets of measurements as comparable graphical ordinates will be apparent from the following figures taken from table 1. The  $I_1$  index *decreases* from +30 with the Pithecanthropoids to - with modern man, while the cranial capacity  $I_2$  *increases* from 775 cm<sup>3</sup> to 1480 cm<sup>3</sup> and the mandibular angle increases from about 25° to 48° with these two types of men respectively.

It is however possible to make all these figures increase with the modernity of the bone up to (say) 100 for modern man. This can be done without altering the relative values and irrespective of the different directions of variation, different magnitudes and different units. When the

necessary mathematical transformations have been made, the values assigned to the more primitive skeletons will measure the "percentage humanity" of the given bone at that particular time determined by the dating of the specimen.

In order to see how the measured values may be modified to meet these requirements, let  $I$  be the normal value and  $H$  the modified value of a given measurement or index, and let  ${}_PI$  represent the value of  $I$  for the earliest and most primitive member of a series and let  ${}_MI$  be the corresponding value for the average modern white adult male. Also let  ${}_XI$  be the value for any intermediate skeleton. To transform values of  $I$  to the corresponding "percentage humanity" values  $H$ , it will be necessary to consider two cases: (1) when  ${}_PI < {}_MI$  and when (2)  ${}_PI > {}_MI$ . The problem is to make  ${}_MI = 100$  and to transform all the other indices to numbers less than 100 without altering their original relative values.

1.  ${}_PI < {}_MI$ . The first case is relatively simple because it entails adjusting  ${}_MI$  to 100 and modifying the other values of  $I$  in proportion. Thus the modified value  ${}_XH$  of any index  ${}_XI$  is given by;

$${}_XH = 100 \cdot {}_XI / {}_MI \quad (1)$$

This formula is applicable to any series for which  ${}_PI < {}_MI$ . The second example discussed above, namely cranial capacities  $I_2$ , is a case in point, because  ${}_PI_2$ —the cranial capacity of *Pithecanthropus erectus* I—is less than  ${}_MI_2$ , the skull capacity of modern man.

The modified values, using equation (1) are therefore;

$${}_PH_2 = 100 \times 775/1480 = 52.2\%$$

and

$${}_MH_2 = 100 \times 1480/1480 = 100\%$$

is required.

Thus, as far as the skull capacity is concerned, the percentage humanity of *Pithecanthropus erectus* II is 52. Other values of  $I_2$  and  $H_2$  are given in table 1, columns 6 and 7.

Similarly, the  $I_3$  index increases directly with increase in the modernity of the mandible. Consequently the modified results  $H_3$  shown in the last column of table 1 follow directly from the use of equation (1). For example:

$${}_XH_3 = 100 \cdot {}_XI_3 / {}_MI_3 = 100 \times 25.7/48 = 53.6\%$$

for the Heidelberg jaw or the percentage humanity of this mandible is about 54. Other values of  $I_3$  and the corresponding values of  $H_3$  are given in the last two columns of table 1.

2.  ${}_PI > {}_MI$ . In the second case, where the series of measurements increases with antiquity, the necessary modification is slightly more complicated. As before  ${}_MH$  must equal 100. At the same time the value of  ${}_PH$  must be less than 100 in spite of the fact that  ${}_PI$  is greater than  ${}_MI$ . The necessary mathematical procedure can be appreciated most easily by treating the problem in two stages. First, the gradient of the graph of  $I$  against time decreases. This can be reversed without altering the relative values by plotting the "mirrored" or image graph as seen in a plane mirror oriented perpendicular to the axis of ordinates and passing through the origin. Suppose the image value of the ordinate corresponding to any value  ${}_XI$  be  ${}_XY$ , then we have:

$${}_XY = ({}_PI + {}_MI - {}_XI) \quad (2)$$

The mirrored image graph obtained by plotting the values of  ${}_XY$  against time will now have a positive slope. Consequently the required values of  ${}_XH$  will be obtained directly by the use of equation (1). That is:

$${}_XH = 100 \cdot {}_XY / {}_MY \quad (3)$$

It will not be necessary to plot the ( ${}_XY$ /time) graph nor to calculate the values of  ${}_XY$ , because it is obviously possible to eliminate  ${}_XY$  by combining equations (2) and (3) while at the same time substituting for  ${}_MY$  its mirrored value as calculated from (2). Thus:

$${}_XH = 100({}_PI + {}_MI - {}_XI) / {}_PI \quad (4)$$

This equation gives the modified ordinate values when the value for the first or most primitive member of the original series (namely  ${}_PI$ ) is greater than the value for modern man ( ${}_MI$ ).

When the measured values change sign it is necessary to make all the values positive before applying either equation (1) or equation (4). This is easily done by adding the largest negative value to every member of the series.

The index  $I_1$  is a case in point. For this series  ${}_PI_1$  was taken to be +70 for the



TABLE 1  
Skulls, provenances, dates (abscissae) and skeletal values (ordinates) for the graphs of figure 1

| Skull                                   | Locality                           | Time<br>(T)      | Nuchal height I <sub>1</sub> |                | Cranial capacity I <sub>2</sub> |                | Mandibular angle I <sub>3</sub> |                |
|---|------------------------------------|------------------|------------------------------|----------------|---------------------------------|----------------|---------------------------------|----------------|
|   |                                    |                  | Ratio                        | H <sub>1</sub> | Vol.                            | H <sub>2</sub> | Degrees                         | H <sub>3</sub> |
|   |                                    | Years B.P.       |                              | %              | cm <sup>3</sup>                 | %              |                                 | %              |
| Modern man<br><i>Homo sapiens</i>       | England                            | 0                | -9.0                         | 100            | 1480                            | 100            | 48                              | 100            |
|   | Glastonbury, Somerset              | 2,000            | -5.7                         | 96             | 1468                            | 98             | —                               | —              |
|   | Hutton, Somerset                   | 5,000(?)         | -7.4                         | 97             | 1415                            | 96             | 47                              | 98             |
|   | Wadjak I, Java                     |                  | —                            | —              | { 1650                          | 110            | 28                              | 58             |
|   | Wadjak II, Java                    | 10,000           | —                            | —              | { 1550                          | 104            | 24                              | 50             |
|   | Aveline's Hole, Somerset           | 17,000           | —                            | —              | 1450                            | 97             | 45                              | 94             |
|   | Chancelade, Dordogne, France       | 30,000           | —                            | —              | 1590                            | 106            | 38                              | 79             |
|   | Předmost, Czechoslovakia           | 50,000           | -2.9                         | 91             | { 1590<br>1440                  | 106<br>95      | —                               | —              |
|   | Cro-Magnon, France                 | 50,000           | -8.0                         | 98             | 1660                            | 111            | 34                              | 71             |
|   | Coombe-Capelle, France             | 50,000           | —                            | —              | 1580                            | 106            | —                               | —              |
| <i>Homo neanderthalensis</i>            | La Chapelle aux Saints, France     | 75,000           | +14                          | 70             | 1450<br>(mean)                  | 97             | 26.4                            | 55             |
| <i>Pithecanthropus soloensis</i>        | Solo River, Java                   | 75,000           | +25                          | 57             | 1100                            | 74             | —                               | —              |
| <i>Homo rhodesiensis</i>                | Broken Hill Mine, Rhodesia, Africa | 75,000           | +10                          | 76             | 1280                            | 86             | —                               | —              |
| <i>Homo neanderthalensis</i>            | Skhul Cave, Palestine              | 150,000          | { -1.6                       | 91             | 1550                            | 104            | 34                              | 71             |
|   | Tabun Cave, Palestine              | 150,000          | { +4.6                       | 83             | 1550                            | 104            | 34                              | 71             |
|   |                                    |                  | +8.7                         | 78             | 1270                            | 85             | 27                              | 56             |
|   | Steinheim, Germany                 | 200,000          | +17                          | 67             | 1070                            | 73             | —                               | —              |
| <i>Pithecanthropus pekinensis</i>       | Choukoutien, Peking, China         | 250,000          | +24                          | 58             | 1075                            | 74             | 29                              | 60             |
| <i>Homo heidelbergensis</i>             | Heidelberg, Germany                | 350,000          | —                            | —              | —                               | —              | 25.7                            | 54             |
| <i>Pithecanthropus erectus</i> I and II | Trinil and Sangiran, Java          | 400,000          | { —<br>+30                   | 51             | 917<br>775                      | 61<br>52       | —                               | —              |
| <i>Pithecanthropus modjokertensis</i>   | Modjokerto, Java                   | 500,000          | —                            | —              | —                               | —              | 25.5                            | 53             |
| <i>Australopithecus africanus</i>       | Sterkfontein, Africa               | 600,000          | +8.9                         | 77             | { 450<br>600                    | 30<br>40       | 38.8                            | 81             |
| Aborigine                               | Australia                          | 0                | +25                          | 60             | 1200                            | 81             | 25                              | 52             |
| Chimpanzee                              | Africa                             | 0                | +50                          | 24             | 400                             | 27             | 3.2                             | 6.7            |
| <i>Proconsul africanus</i>              | Kenya, East Africa                 | 20 to 30 million | —                            | —              | 350                             | 24             | 15.2                            | 32             |
| Figure 1                                |                                    | →                | ○                            | △              |                                 |                |                                 |                |



gorilla. It is +30 for *Pithecanthropus erectus* II and -9 for modern man. Hence, using equation (4), we have (for modern man):

$$xH_1 = \frac{100(79 + 0 - 0)}{79} = 100\%$$

and (for *Pithecanthropus*):

$$xH_1 = \frac{100(79 + 0 - 39)}{79} = 51\%$$

Other values of  $I_1$  and  $H_1$  are given in columns 4 and 5 of table 1.

### Skeletal material and resultant graphs

In spite of the severe limitations (outlined in paragraphs 1, 2 and 3 on page

325) on the choice of suitable skeletal remains, it has been found possible to obtain sufficient values of the three selected indices to enable reasonable graphs to be drawn. In table 1 are recorded the measured values ( $I$ ) together with the percentage humanity values ( $H$ ) obtained from 20 prehistoric skeletons, to which have been added for comparative purposes the corresponding values for the Australian aborigine, the chimpanzee and the Miocene ape *Proconsul africanus*. The  $H$  values in table 1 are the ordinates of the graphs in figure 1.

The date allocated in column 3 of table 1 to each specimen is that which has been

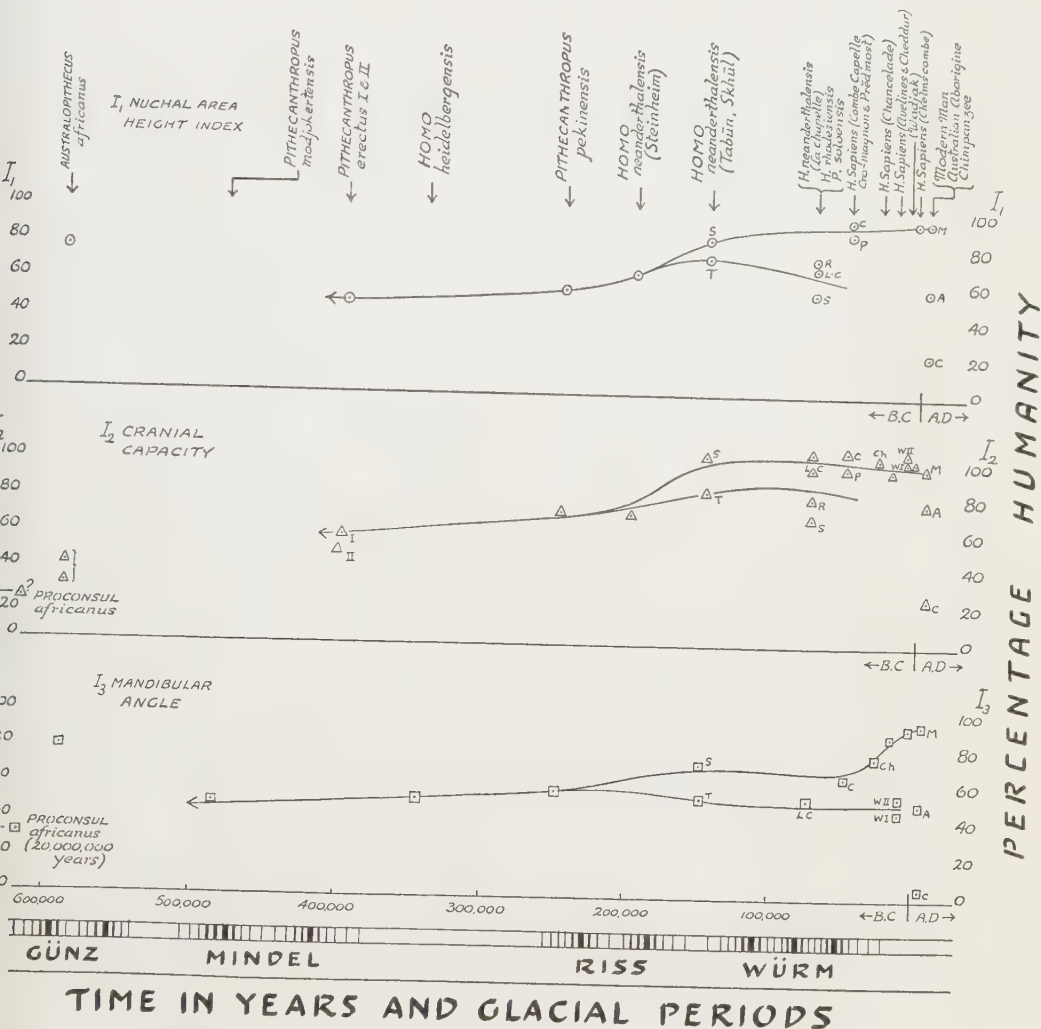


Fig. 1 Osteochronological graphs: skeletal measurements/time.

determined from the geological horizon or from dateable artifacts with which each skull or mandible was associated. The values in years B.P. (i.e., before the present) are the abscissae of the graphs. The three resulting graphs are shown in figure 1.

### *Some deductions from the graphs*

It is not easy from a study of table 1 to see the general trend of the figures nor to realize how the data in one column compare with those from another. But when the values are plotted as in figure 1, several conclusions are at once apparent, and others can be deduced by a closer study of the graphs and their slopes or gradients. There are many large and small variations on each graph and some significant differences between them. An attempt will now be made to interpret the more important variations and to see whether any explanations are forthcoming.

1. *Linearity of the graphs.* The linearity of the graphs means that there has been a gradual temporal change in each of the three morphological characteristics under consideration. This suggests the possibility of some genetic relationship between the several types whose skeletal remains provided the osteometric data for the graphical ordinates. But the continuity of the graphs is no proof that such a relationship exists. Professor Sir Wilfred Le Gros Clark considers that, in general, it is justifiable to assume "that species and genera which show a predominance of structural resemblances are genetically related forms." ('54).

A temporal sequence of morphological characteristics is a primary criterion of a genetic relationship, and a graphical treatment of osteometric data is one of the simplest methods of determining whether or not such a sequence exists.

In the present case, any assumption of a genetic relationship is based on the continuity of the graphs of only three series of measurements which have recognized taxonomic significance. Many more measurements will therefore be necessary before an assumption of genetic connection can be accepted without reserve. But the opposite conclusion, which follows from any discontinuity in the plotted points, can be accepted with considerable confidence;

namely, that *without* any continuity a genetic connection between the different types is highly improbable if not impossible. This follows because rapid temporal fluctuations or jumps in consecutive values of a series of osteometric measurements of genetically related types do not normally occur. This negative conclusion may be more useful than the less probable positive assumption that a continuous graph indicates some genetic relationship. (See paragraph 5 below on the Australo-pithecinae.)

If it now be assumed that there may be some genetic connection (particularly between the Pithecanthropoids) then the gradient of the graphs prior to about 250,000 B.C. not only measures the time rate of change of the particular morphological characteristic, but is also a measure of the rate of hominid evolution in as far as it can be determined from the three sets of measurements (see paragraph 2 below on the gradients).

On the whole the three graphs are unexpectedly similar especially that central part which is contemporary with the Mindel-Riss interglacial period. During this time the climatic conditions were more or less stable, producing what Jepsen, Simpson and Mayr termed a "constant genetic controlling factor." ('49). It may therefore be that the constant intensity of solar radiation was at least partly responsible for the steady rate of hominid development during this period.

It is not to be expected that the parallelism of these three graphs would apply to other indices because all the changes in man's morphology have not necessarily occurred during the 200,000 years of the Mindel-Riss interglacial, nor did they necessarily develop at the same rate. However, it is perhaps not surprising that the  $I_1$  and  $I_2$  graphs are more or less parallel because the reduction in the tension of the nuchal muscles with a more erect posture would allow the expansion of the skull to take place. Consequently these two changes may well be interdependent.

2. *Gradients and law of hominid evolution.* Apart from certain deviations on each graph, there is a general tendency for all the graphs to have a positive gra-

gradient<sup>1</sup> commencing roughly at the point (400,000 years, 50%) and extending to the present time where 100% represents the degree of humanity of modern man. Consequently the percentage rate of increase per annum of the "humanity" of man as judged from the average gradient of these three graphs is  $50/4 \times 10^5$  or 1.25 per 10,000 years. This is 1.25 darwins. Professor J. B. S. Haldane devised the "darwin" as a unit of evolutionary rate and defined it as the rate of change when any anatomical dimension alters in value by one thousandth part in a thousand years ('49). Thus 1.25 darwins is the rate of human evolution as determined by these three particular osteometric measurements. The time interval covered by these graphs does not justify any consideration of the very slight curvature which results in the gradient becoming less for more remote times. In other words, there is a slight convexity of the graphs towards the axis of abscissae. If this curvature be neglected, these graphs show that there is a rectilinear law applicable to the last 400,000 years of man's physical evolution. This law may be stated:

$$H = 100 - \alpha T \quad (5)$$

where H is the "percentage humanity" or ordinate value at any time T and  $\alpha$  is the evolutionary constant" for man expressed in per cent per annum; that is  $\alpha = 1.25 \times 10^{-4}$ . This value of 1.25 darwins for the rate of hominid evolution may be compared with Dr. F. Weidenreich's value of 24 darwins. This figure is obtained from measurements of the skull length/height index of *Pithecanthropus pekinensis* assuming the antiquity of the relics to be 400,000 years. Professor J. B. S. Haldane

suggested an exponential equation for calculating man's rate of physical evolution. His equation leads to a rate of 1.3 darwins using cranial capacity data.

On the whole it would appear from these graphs and from other calculations that man has evolved physically during the past half a million years at a rate of about one and one-quarter darwins.

3. *Divergent evolution of the Neanderthaloids.* An interesting variation on all these graphs is the bifurcation which seems to have taken place about 250,000 years ago. The pedomorphic or non-specialized Neanderthaloids seem to share the general upward trend of the graphs, but the gerontomorphic or specialized Neanderthal men have developed much less rapidly. These conclusions can perhaps be appreciated most readily from the data in table 2, in which the mean value of the "percentage humanity" H determined from the three values in table 1, is compared with the value of H calculated from equation (5), using the time factor from column 3 of table 1.

The agreement between the mean values for the pedomorphic Neanderthal men is very close, but for the gerontomorphic men the mean of the measured indices is 15.5% lower than those calculated from the general law of evolution given by equation (5). This seems to suggest at least a sub-specific difference between the two types of Neanderthal men.

If the volume of the Swanscombe skull be included on the  $I_2$  graph, the upper branch of the graph would tend to continue backwards in time more or less par-

<sup>1</sup> Times when plotted as abscissae are considered to increase in the direction B.C. to A.D.

TABLE 2  
Measured and calculated "humanity" of the Neanderthaloids

| Skull                 | Average H<br>from table 1 | H calculated<br>from equation (5) | Time       |
|-----------------------|---------------------------|-----------------------------------|------------|
|                       |                           |                                   | Years B.P. |
| <i>Pedomorphic</i>    |                           |                                   |            |
| Skhul                 | 87                        | 81                                | 150,000    |
| Steinheim             | 70                        | 75                                | 200,000    |
| Mean                  | 78.5                      | 78                                |            |
| <i>Gerontomorphic</i> |                           |                                   |            |
| La Chapelle           | 74                        | 93                                | 75,000     |
| Tabun                 | 73                        | 81                                | 150,000    |
| Mean                  | 73.5                      | 87                                |            |



allel to the lower branch. In this case it might be concluded that the Swanscombe man, the pedomorphic Neanderthaloids and *Homo sapiens* were genetically related and developed independently of the Pithecanthropoids and the gerontomorphic or specialized Neanderthal men. It is unfortunate that it is not possible to obtain the  $I_1$  and  $I_3$  measurements for the Swanscombe remains. In any case, any such theoretical conclusion, based as it is on only one measurement of one skull together with an equally approximate date for the geological horizon of the relics, means that this particular view of human evolution must be accepted with considerable reserve until more skeletal remains are discovered.

In either case, the graphs suggest the possibility that the Neanthropoids of Europe may have descended directly from the pedomorphic Neanderthaloids. If these Neanderthaloids migrated to the south on the advance of the last ice-age, the generally accepted idea that the Neanthropoids immigrated into Europe from the south would accord with the suggested genetic connection between these two types of men.

4. *Extrapolations.* Some deductions will now be made by extrapolating the graphs both forwards and backwards in time. Extrapolations are justified because of the linearity of the graphs; genetic relationships of some kind will also be assumed.

Extrapolation forwards of the upper portions of the graphs merely indicates or predicts what physical or morphological development man may anticipate in the near future. Any such extrapolation necessarily presupposes the continuation of the present conditions which control man's genetic relationships. This means that no new ice-age is anticipated and that man himself makes no appreciable artificial variations in the radiations or other genetic influences to which he is now exposed. Assuming therefore the continuation of the present conditions, none of the graphs promises any very startling changes in the next few millennia. An improvement in speech potentiality seems to be the most likely happening together with a further slight decrease in the average cranial capacity.

Extrapolation of the lower branch of the graphs pertaining to the gerontomorphic Neanderthal men leads to more interesting conclusions. Extrapolation necessarily presupposes that these Neanderthaloids did not become extinct. All the three curves pass surprisingly close to the points A (figure 1) which represent the several indices for the Australian aborigine. The Wadjak mandibles (but not the skulls) form an interesting and possibly significant link with the mandibular index of the Australian aborigine. Dr. E. K. Tratman ('50) has already noted Neanderthaloid features in Mongolian teeth. It is therefore extremely tempting to suggest that these extrapolated graphs are some evidence for the migration of the gerontomorphic Neanderthal men south and eastwards through Malay and Java to Australia during the last glacial epoch. Both Sir Arthur Keith and Dr. E. Dubois spoke of the Wadjak men as proto-Australians. *Pithecanthropus soloensis* is therefore probably another link in support of this suggested southeasterly migration of the classical Neanderthaloids. It is to be hoped that further links in the chain of evidence may be forthcoming. On the other hand Dr. G. M. Morant ('27) points out that the facial characteristics do not support such a hypothesis.

If the graphs be extrapolated backwards with due consideration for their curvature they will pass quite close to the index values for *Proconsul africanus*. This does not mean that *Homo sapiens* has descended (or ascended) from this Miocene ape, but it does mean that he could have done so. The curves so extrapolated would pass near to a percentage humanity value in the region of 30 somewhere between 20 and 30 million years ago. The indices for this ape are difficult to determine, but the  $H_2$  index is probably about 24 (for 350 cm<sup>3</sup>) and the mandibular angle about 15° giving a value of 32 for  $H_3$ .

5. *The Australopithecinae.* The next conclusion arises when the index values for *Australopithecus* are examined.  $I_1$  and  $I_3$  do not fall anywhere near their respective graphs; but the value of the skull capacity lies on the  $I_2$  graph and accords with what would be expected from so primitive a creature living at least 500,000



years ago. The discrepancies in the  $I_1$  and  $I_3$  values preclude the possibility of any genetic connection with the other fossil men. In other words, the *Australopithecinae* are not ancestral to man. This conclusion accords with Sir Wilfred Le Gros Clark's view based on a study of the milk molars of these apes.

6. *The position of the chimpanzee.* The indices for the chimpanzee (Points C in figure 1) are much smaller than those for *Proconsul africanus*. This is what would be expected if the apes and men had diverged from an early man-like ape, such as *P. africanus*, at some remote time. More points are needed on graphs for both men and apes before this time of divergence can be determined graphically. In this connection it is of interest that the symphyseal angle of the mandible of *Oreopithecus bambolii* from Tuscany leads to a value of about 20 for the  $H_3$  index. Even if this be only approximately correct (for the mandible was much crushed) then this primitive feature is ancestral to the apes and not to man. It therefore contributes a very distant point on the  $I_3$  graph of the Ape family.

7. *Articulate speech.* Finally some reference must be made to the rapid increase in the gradient of the  $I_3$  graph about 10,000 B.P. The meaning of the change in slope is clear. The angle in the symphyseal region of the mandible increased relatively rapidly towards the close of the last ice-age. During this time the cranial capacity was slightly decreasing. Hence any general increase in the size of the skull, such as occurred about 200,000 B.P., could not account for the widening of the distance between the ascending rami of the mandible. A graph of the changes in skull widths with time is necessary in order to learn more about possible causes for this unexpected increase in the convergence angle of the mandible.

It is probably not a coincidence that at this time man's cultural development began to accelerate. In fact all major cultural progress began about the close of the last ice-age and increased very rapidly in post-glacial times. Many authorities (for example, White, '49) believe that speech is one of the governing factors in man's cultural development. Consequently it may

be that, stimulated by the rigorous ameliorating climate of the time, man was able to acquire an ability to speak articulately and to develop his mouth cavity (and mandibular angle) at the same time. However, the points on the graph, particularly that one provided by the Chancelade mandible, leave no doubt that a significant development of man's speech potentiality took place during the years immediately following the last ice-age.

The points discussed in these 7 paragraphs do not exhaust the conclusions that can be deduced from these graphs. Particularly is this the case when they are compared with cultural development graphs, the gradients of which are practically zero for hundreds of thousands of years and then become steeper and steeper in post-glacial times (Palmer, '59). But this topic, although inseparably linked with the graphs of hominid physical development, is beyond the scope of the present discussion.

#### CONCLUSIONS AND SUMMARY

The foregoing graphical treatment of the temporal changes which have occurred with certain morphological features of the hominid skeleton was originally outlined in a somewhat different form in "Man's Journey Through Time" (Palmer, '57). This paper discusses and supplements that portion of the book which is concerned with man's physical development. Any considerations of the evidence underlying the dating of skeletons and any discussion of the cultural aspect of man's development have been omitted. Consequently a specialized knowledge of such kindred disciplines as Geochronology and Prehistoric Archeology is unnecessary when dealing solely with the skeletal aspects of Anthropochronology, that is with Osteochronology.

After indicating a graphical method for depicting the changes that have occurred in the human skeleton in the course of time, some of the inherent difficulties of the method are outlined.

In Section II three anatomical measurements are considered, namely—(i) the nuchal area height index (ii) the cranial capacity and (iii) the angle in the symphyseal region of the mandible.

These measurements are shown to have considerable taxonomic significance, and are related respectively to erectness of posture, degree of intelligence and speech potentiality.

How measurements and indices may be modified mathematically in order to yield values of "percentage humanity" suitable for use as graphical ordinates is next considered. The results are embodied in two simple equations.

Section III deals with the measurements of the selected skeletal material and their dating. Twenty-three examples are chosen which vary from modern man to the manape *Australopithecus*. The Australian aborigine, the chimpanzee and *Proconsul* are also included. The data recorded in table 1 lead to the three graphs of Figure 1.

Section IV is concerned with deductions from the graphs and from their extrapolations both forward and backward in time.

An approximate law of hominid evolution relating the "percentage humanity"  $H$  with the time  $T$  in years B.P. (before the present) is given by the equation:

$$H = 100 - \alpha T$$

where  $\alpha$  is the "evolutionary constant" equal to  $1.25 \times 10^{-4}$  per cent per annum.

Other deductions concern the probable divergent evolution of the Neanderthaloids, a possible relationship between the classical gerontomorphic Neanderthal men with the modern Australian aborigines and the position of *Australopithecus* and the chimpanzee in relation to *Homo sapiens*.

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It is not practicable to acknowledge in detail all the many original sources upon which I have had to draw for quantitative information, but I am grateful to all those Authors, whose published measurements on skulls not accessible to me, have enabled many gaps to be filled in the data recorded in table 1.

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